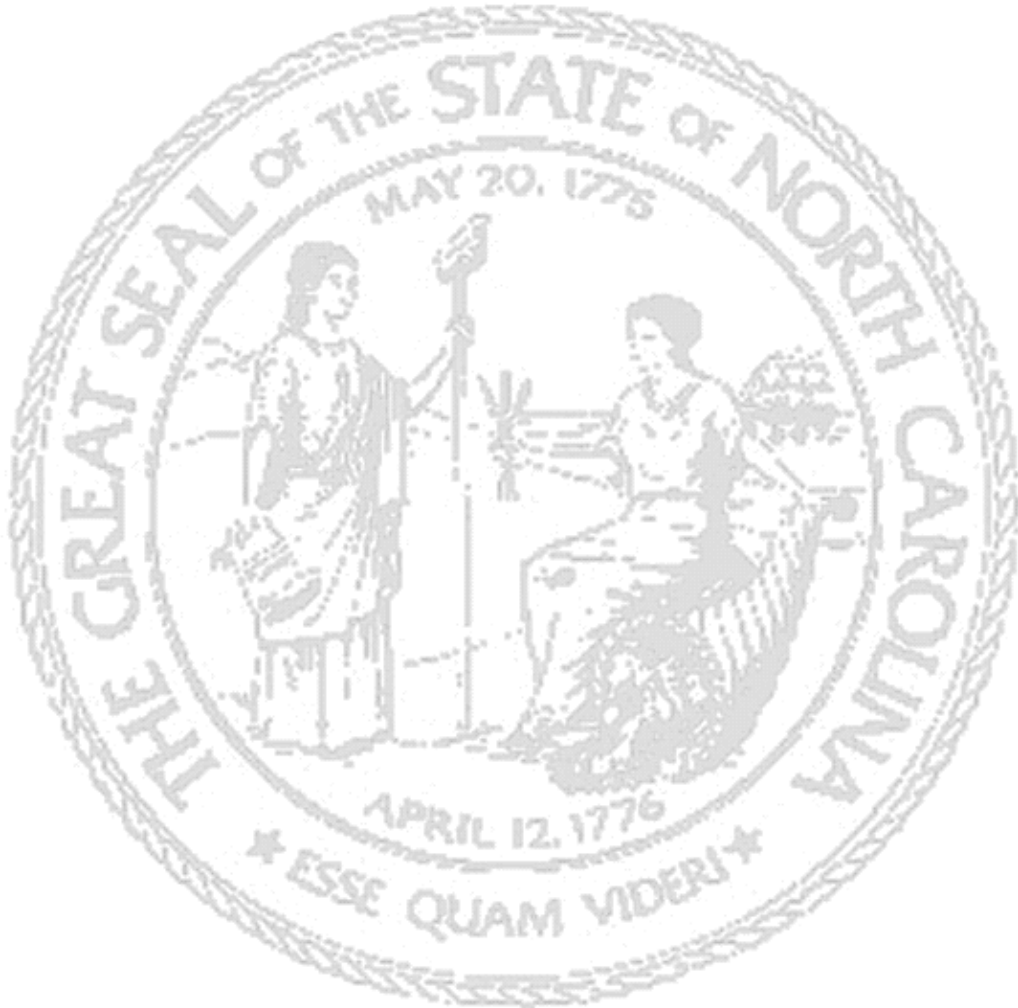


# Single Audit Report

*For the Year Ended June 30, 2013*



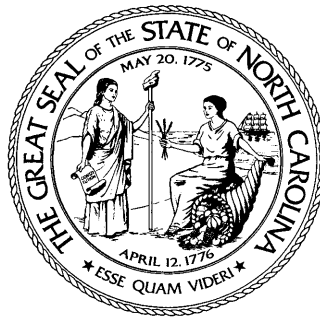
Office of the State Auditor  
*Beth A. Wood, CPA*  
*State Auditor*

## State of North Carolina



# STATE OF NORTH CAROLINA

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## SINGLE AUDIT REPORT

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**OFFICE OF THE STATE AUDITOR**  
***BETH A. WOOD, CPA***  
***STATE AUDITOR***



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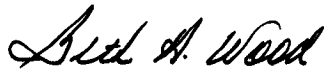
# North Carolina Office of the State Auditor

## Our Mission and Our Commitment

The Office of the State Auditor protects the interests of taxpayers and others who provide financial resources to the State of North Carolina. Specifically, we provide objective information to interested parties about whether economic resources are properly accounted for, reported and managed; as well as whether publically-funded programs are achieving desired results.

The Office of the State Auditor's mission is accomplished by conducting thorough audits and investigations. These audits and investigations are performed by highly competent and professional staff and result in useful and practical recommendations to improve services provided by North Carolina state government.

This office will always strive for the highest standards in professional conduct, independence and integrity as we pursue our mission. If we find financial management deficiencies, we will report them without apology because our ultimate responsibility is to the citizens and taxpayers of North Carolina.

A handwritten signature in black ink, reading "Beth A. Wood". The signature is written in a cursive, flowing style.

Beth A. Wood, CPA  
State Auditor





## Office of the State Auditor



Beth A. Wood, CPA  
State Auditor

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March 26, 2014

The Honorable Pat McCrory, Governor  
The General Assembly of North Carolina

We are pleased to submit the *Single Audit Report* for the State of North Carolina for the fiscal year ended June 30, 2013. The audit was conducted in accordance with standards contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the requirements of the Single Audit Act Amendments of 1996, and the provisions of the Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.

This *Single Audit Report* reflects federal awards of \$22.3 billion. This report includes significant deficiencies and material weaknesses in internal control relating to major federal programs and instances of noncompliance, including several that we believe constitute material noncompliance, that meet the criteria of OMB Circular A-133.

The North Carolina *Comprehensive Annual Financial Report* for the fiscal year ended June 30, 2013, has been issued in a separate report by the Office of the State Controller. In accordance with *Government Auditing Standards*, we are issuing our report on our consideration of the State of North Carolina's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements that have an effect on the financial statements.

The deficiencies in internal control and instances of noncompliance arising from our audit that are required to be reported by *Governmental Auditing Standards* or the Single Audit Act and OMB Circular A-133 are described in the Schedule of Findings and Questioned Costs.

We wish to acknowledge the assistance of the North Carolina Office of the State Controller and the cooperation of other state agencies, community colleges, and universities in the preparation of this report.

Respectfully submitted,

A handwritten signature in cursive script that reads "Beth A. Wood".

Beth A. Wood, CPA  
State Auditor

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## **AUDITOR'S SECTION**

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**Office of the State Auditor**

**Beth A. Wood, CPA**  
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**INDEPENDENT AUDITOR'S REPORT  
ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND  
ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF  
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH  
GOVERNMENT AUDITING STANDARDS**

The Honorable Pat McCrory, Governor  
The General Assembly of North Carolina

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of North Carolina, as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the State's basic financial statements (not presented herein), and have issued our report thereon dated November 25, 2013.

Our report includes a reference to other auditors who audited the financial statements of the North Carolina State Lottery Fund, the North Carolina Turnpike Authority, the North Carolina Housing Finance Agency, the State Education Assistance Authority, the University of North Carolina System – University of North Carolina Health Care System – Rex Healthcare, the Supplemental Retirement Income Plan of North Carolina, and the cash basis claims and benefits of the North Carolina State Health Plan, as described in our report on the State's financial statements. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors. The financial statements of the University of North Carolina System – University of North Carolina Health Care System – Rex Healthcare and the Supplemental Retirement Income Plan of North Carolina were not audited in accordance with *Government Auditing Standards*. As of the date of our report on the financial statements of the State of North Carolina, the financial statements of the State Education Assistance Authority were not audited in accordance with *Government Auditing Standards*; however, subsequent to that date, an audit in accordance with *Government Auditing Standards* was completed.

**Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the State of North Carolina's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our

opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State's internal control. Accordingly, we do not express an opinion on the effectiveness of the State's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

### **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the State of North Carolina's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

### **Purpose of this Report**

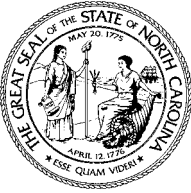
The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the State of North Carolina's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



Beth A. Wood, CPA  
State Auditor

Raleigh, North Carolina

November 25, 2013



**Beth A. Wood, CPA**  
State Auditor

STATE OF NORTH CAROLINA  
**Office of the State Auditor**

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**INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR  
FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER  
COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF  
FEDERAL AWARDS REQUIRED BY OMB CIRCULAR A-133**

The Honorable Pat McCrory, Governor  
The General Assembly of North Carolina

**Report on Compliance for Each Major Federal Program**

We have audited the State of North Carolina's compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the State of North Carolina's major federal programs for the year ended June 30, 2013. The State of North Carolina's major federal programs are identified in Section I, Summary of Auditor's Results, in the accompanying Schedule of Findings and Questioned Costs.

The State of North Carolina's financial reporting entity includes the operations of the State Education Assistance Authority and the North Carolina Housing Finance Agency. These agencies reported \$2.46 billion and \$281 million, respectively, in federal awards which are not included in the Schedule of Expenditures of Federal Awards during the year ended June 30, 2013. Our audit, described below, did not include the operations of the State Education Assistance Authority and the North Carolina Housing Finance Agency because these agencies engaged other auditors to perform an audit in accordance with OMB Circular A-133.

***Management's Responsibility***

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable its federal programs.

***Auditor's Responsibility***

Our responsibility is to express an opinion on compliance for each of the State of North Carolina's major federal programs based on our audit of the types of compliance requirements referred to above. The State of North Carolina arranges with local government social services agencies to perform the "intake function" to determine eligibility for the following major programs: Medicaid Cluster, Temporary Assistance for Needy Families Cluster, Special Supplemental Nutrition Program for Women, Infants and Children, Children's Health Insurance Program, and Adoption Assistance. We designated these programs to be audited as major programs at certain local governments by their local government auditors. The results

of these audits were furnished to us, and our opinion, insofar as it relates to the intake function for these programs, is based on the other auditors' results.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State of North Carolina's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit and the work of the other auditors provide a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the State of North Carolina's compliance with those requirements.

### ***Basis for Qualified Opinion on Certain Major Federal Programs***

As described in Section III, Federal Award Findings and Questioned Costs, in the accompanying Schedule of Findings and Questioned Costs, the State of North Carolina did not comply with several compliance requirements that are applicable to several of its major federal programs, as follows:

Finding Number	Type of Compliance Requirement	CFDA	Major Federal Program
2013-006	Reporting	10.561	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program
2013-007	Cash Management	14.228	Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii
2013-011	Reporting	14.228	Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii
2013-025	Special Tests and Provisions	20.205	Highway Planning and Construction
2013-027	Procurement and Suspension and Debarment	20.319	High Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants
2013-029	Reporting	20.319	High Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants
2013-031	Reporting	20.509	Formula Grants for Rural Areas
2013-032	Reporting	20.509	Formula Grants for Rural Areas
2013-033	Subrecipient Monitoring	20.509	Formula Grants for Rural Areas
2013-035	Subrecipient Monitoring	66.458	Capitalization Grants for Clean Water State Revolving Funds



Finding Number	Type of Compliance Requirement	CFDA	Major Federal Program
2013-037	Subrecipient Monitoring	66.468	Capitalization Grants for Drinking Water State Revolving Funds
2013-046	Cash Management	84.031	Higher Education-Institutional Aid
2013-047	Reporting	84.031	Higher Education-Institutional Aid
2013-049	Matching, Level of Effort, Earmarking	84.048	Career and Technical Education – Basic Grants to States
2013-058	Special Tests and Provisions	84.268	Federal Direct Student Loans
2013-059	Special Tests and Provisions	84.268	Federal Direct Student Loans
2013-074	Allowable Costs/Cost Principles	93.767	Children’s Health Insurance Program
2013-076	Allowable Costs/Cost Principles	93.778	Medical Assistance Program
2013-082	Cash Management	93.917	HIV Care Formula Grants
2013-083	Eligibility	93.917	HIV Care Formula Grants
2013-084	Subrecipient Monitoring	93.959	Block Grants for Prevention and Treatment of Substance Abuse
2013-086	Reporting	97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters)
2013-089	Equipment and Real Property Management	Various	Research and Development Cluster
2013-090	Subrecipient Monitoring	Various	Research and Development Cluster
2013-091	Subrecipient Monitoring	Various	Research and Development Cluster

Compliance with such requirements is necessary, in our opinion, for the State of North Carolina to comply with the requirements applicable to those programs.

#### ***Qualified Opinion on Certain Major Programs***

In our opinion, based on our audit and the work of other auditors, except for the noncompliance described in the Basis for Qualified Opinion paragraph above, the State of North Carolina complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2013.

#### ***Unmodified Opinion of Each of the Other Major Federal Programs***

In our opinion, based on our audit and the work of other auditors, the State of North Carolina complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its other major federal programs identified in Section I, Summary of Auditor’s Results, in the accompanying Schedule of Findings and Questioned Costs for the year ended June 30, 2013.

***Other Matters***

The results of our auditing procedures disclosed other instances of noncompliance, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying Schedule of Findings and Questioned Costs as items 2013-001, 2013-005, 2013-016, 2013-34, 2013-36, 2013-51, 2013-52, 2013-57, 2013-071, 2013-072, 2013-074, 2013-075, 2013-076, 2013-077, 2013-079, and 2013-083. Our opinion on each major federal program is not modified with respect to these matters.

The State of North Carolina's responses to the noncompliance findings identified in our audit are described in Section III, Federal Award Findings and Questioned Costs, of the accompanying Schedule of Findings and Questioned Costs. The State of North Carolina's responses were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses.

**Report on Internal Control Over Compliance**

Management of the State of North Carolina is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the State of North Carolina's internal control over compliance with the types of requirements that could have a direct and material effect on a major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of North Carolina's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in the internal control over compliance that might be significant deficiencies or material weaknesses and therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and other deficiencies that we consider to be significant deficiencies.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. We consider the deficiencies in internal control over compliance described in Section III, Federal Award Findings and Questioned Costs, of the accompanying Schedule of Findings and Questioned Costs as items 2013-006, 2013-007, 2013-008, 2013-009, 2013-010, 2013-011, 2013-012, 2013-015, 2013-018, 2013-020, 2013-022, 2013-025, 2013-027, 2013-029, 2013-031, 2013-032,

2013-033, 2013-035, 2013-037, 2013-046, 2013-047, 2013-049, 2013-058, 2013-059, 2013-074, 2013-076, 2013-082, 2013-083, 2013-084, 2013-086, 2013-088, 2013-089, 2013-090, and 2013-091, to be material weaknesses.

A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in Section III, Federal Award Findings and Questioned Costs, of the accompanying Schedule of Findings and Questioned Costs as items, 2013-001, 2013-002, 2013-003, 2013-004, 2013-013, 2013-014, 2013-016, 2013-017, 2013-019, 2013-021, 2013-023, 2013-024, 2013-026, 2013-028, 2013-030, 2013-034, 2013-036, 2013-038, 2013-039, 2013-040, 2013-041, 2013-042, 2013-043, 2013-044, 2013-045, 2013-048, 2013-050, 2013-051, 2013-052, 2013-053, 2013-054, 2013-055, 2013-056, 2013-057, 2013-060, 2013-061, 2013-062, 2013-063, 2013-064, 2013-065, 2013-066, 2013-067, 2013-068, 2013-069, 2013-070, 2013-073, 2013-077, 2013-078, 2013-080, 2013-081, 2013-085, and 2013-087 to be significant deficiencies.

The State of North Carolina's responses to the internal control over compliance findings identified in our audit are described in Section III, Federal Award Findings and Questioned Costs, of the accompanying Schedule of Findings and Questioned Costs. The State of North Carolina's responses were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

### **Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133**

We have audited the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of North Carolina as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the State of North Carolina's basic financial statements (not presented herein). We issued our report thereon dated November 25, 2013, which contained unmodified opinions on those financial statements. Our report includes a reference to other auditors.

As discussed in Note 22 to the financial statements, during the year ended June 30, 2013, the State implemented Governmental Accounting Standards Board Statement No. 61, *The Financial Reporting Entity: Omnibus*. Our opinion was not modified with respect to this matter.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Other auditors audited the financial statements of the North Carolina State Lottery Fund, the North Carolina Turnpike Authority, the North Carolina Housing Finance Agency, the State Education Assistance Authority, the University of North Carolina System – University of North Carolina Health Care System – Rex Healthcare, the Supplemental Retirement Income Plan of North Carolina, and the cash basis claims and benefits of the North Carolina State Health Plan, as described in our report on the State’s financial statements. The financial statements of the University of North Carolina System – University of North Carolina Health Care System – Rex Healthcare and the Supplemental Retirement Income Plan of North Carolina were not audited in accordance with *Government Auditing Standards*. As of the date of our report on the State of North Carolina’s financial statements, the financial statements of the State Education Assistance Authority were not audited in accordance with *Government Auditing Standards*; however, subsequent to that date, an audit in accordance with *Government Auditing Standards* was completed.

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Schedule of Expenditure of Federal Awards is fairly stated in all material respects in relation to the basic financial statements as a whole.



Beth A. Wood, CPA  
State Auditor

Raleigh, North Carolina

March 14, 2014 (except as related to the Report on  
the Schedule of Expenditures of Federal Awards,  
as to which the date is November 25, 2013)

**SCHEDULE OF FINDINGS AND QUESTIONED COSTS**

For the Fiscal Year Ended June 30, 2013

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**SCHEDULE OF FINDINGS AND QUESTIONED COSTS****I. Summary of Auditor's Results**For the Fiscal Year Ended June 30, 2013

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Financial Statements

- |  |             |
|--|-------------|
| – Type of auditor's report issued?   | Unqualified |
| – Internal control over financial reporting:   |             |
| • Material weaknesses identified?  | No          |
| • Significant deficiencies identified that are not considered to be material weaknesses? | No          |
| – Noncompliance material to financial statements noted?                                  | No          |

Federal Awards

- |  |   |
|--|---|
| – Internal control over major programs:  |   |
| • Material weaknesses identified?  | Yes   |
| • Significant deficiencies identified that are not considered to be material weaknesses? | Yes   |
| • Type of auditor's report issued on compliance for major programs?                      | Unqualified for all major programs except for State Administrative Matching Grants for the Supplemental Nutrition Assistance Program; Community Development Block Grants / State's Program and Non-Entitlement Grants in Hawaii; Highway Planning and Construction; High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants; Formula Grants for Rural Areas; Capitalization Grants for Clean Water State Revolving Funds; Capitalization Grants for Drinking Water State Revolving Funds; Higher Education – Institutional Aid; Career and Technical Education – Basic Grants to States; Federal Direct Student Loans; Children's Health Insurance Program; Medical Assistance Program; HIV Care Formula Grants; Block Grants for Prevention and Treatment of Substance Abuse; Disaster Grants – Public Assistance |

**SCHEDULE OF FINDINGS AND QUESTIONED COSTS****I. Summary of Auditor's Results**

For the Fiscal Year Ended June 30, 2013

(Presidentially Declared Disasters), and Research and Development Cluster which is qualified.

- Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133? Yes
- Identification of major programs:

CFDA Number	Name of Federal Program or Cluster
CCDF	CCDF Cluster
CHNC	Child Nutrition Cluster
DISI	Disability Insurance/SSI Cluster
HPCC	Highway Planning and Construction Cluster
JAGP	JAG Program Cluster
MEDC	Medicaid Cluster
RD	Research and Development Cluster
SCPC	CDBG – State-Administered CDBG Cluster
SFAC	Student Financial Assistance Cluster
SIGC	School Improvement Grants Cluster
SNAP	Supplemental Nutrition Assistance Program (SNAP) Cluster
SPED	Special Education Cluster (IDEA)
TANF	TANF Cluster
TIPA	Title I, Part A Cluster
WIAC	WIA Cluster
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children
17.225	Unemployment Insurance
20.319	High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants
20.509	Formula Grants for Rural Areas
66.458	Capitalization Grants for Clean Water State Revolving Funds
66.468	Capitalization Grants for Drinking Water State Revolving Funds
84.031	Higher Education – Institutional Aid
84.048	Career and Technical Education – Basic Grants to States
84.126	Rehabilitation Services – Vocational Rehabilitation Grants to States



**SCHEDULE OF FINDINGS AND QUESTIONED COSTS****I. Summary of Auditor's Results**For the Fiscal Year Ended June 30, 2013

---

CFDA Number	Name of Federal Program or Cluster (continued)
84.367	Improving Teacher Quality State Grants
84.395	ARRA – State Fiscal Stabilization Fund (SFSF) – Race-to-the-Top Incentive Grants, Recovery Act
84.410	Education Jobs Fund
93.568	Low-Income Home Energy Assistance
93.658	Foster Care – Title IV-E
93.659	Adoption Assistance
93.667	Social Services Block Grant
93.767	Children's Health Insurance Program
93.917	HIV Care Formula Grants
93.959	Block Grants for Prevention and Treatment of Substance Abuse
97.036	Disaster Grants – Public Assistance (Presidentially Declared Disasters)
– Dollar threshold used to distinguish between type A and type B programs?	\$33,408,674
– Auditee qualified as low-risk auditee?	No

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## **SCHEDULE OF FINDINGS AND QUESTIONED COSTS**

### **II. Financial Statement Findings**

For the Fiscal Year Ended June 30, 2013

Type of Finding	Findings and Recommendations
No reportable findings and recommendations resulted from our audit of the State of North Carolina's basic financial statements.	

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**SCHEDULE OF FINDINGS AND QUESTIONED COSTS****III. Federal Award Findings and Questioned Costs**

For the Fiscal Year Ended June 30, 2013

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The following findings, recommendations, and questioned costs are the results of the single audit of the State of North Carolina for the fiscal year ended June 30, 2013. Each finding is referenced with a four-digit number representing the fiscal year audited and a sequential number. The findings are presented by federal program and are classified according to federal and state department, type of compliance requirement, category of internal control weakness, and category of noncompliance. Findings included in this section are related to major programs.

**Category of Internal Control Weakness**

If the finding represents a weakness in internal control over compliance, one of the following designations will appear:

- **Significant Deficiency** – A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.
- **Material Weakness** – A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

**Category of Noncompliance Findings**

If the finding represents an instance of noncompliance, one of the following designations will appear:

- **Material Noncompliance** – A finding related to a major federal program which discusses conditions representing noncompliance with federal laws, regulations, contracts, or grants, the effects of which have a material effect in relation to a type of compliance requirement or an audit objective identified in *OMB Circular A-133 Compliance Supplement*.
- **Questioned Cost Finding** – A finding which discusses known or likely questioned costs that are greater than \$10,000 for a type of compliance requirement, unless the conditions giving rise to the questioned costs are otherwise reported as a material noncompliance finding.

**10.551 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM****III. Federal Award Findings and Questioned Costs**

U.S. Department of Agriculture

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-001	Special Tests and Provisions:  Significant Deficiency  Questioned Cost Finding  \$2,694	<p><u>Noncompliance with SNAP Requirements</u></p> <p>Deficiencies in the Department's procedures related to participants' certification periods in the North Carolina Families Accessing Services through Technology (NCFAST) system resulted in noncompliance with Supplemental Nutritional Assistance Program (SNAP) regulations. NCFAST is the new system that is used to determine eligibility and authorize benefits for SNAP. Deficiencies identified included:</p> <ul style="list-style-type: none"> <li>• The system was not set up to prevent county case workers from establishing certification periods for longer than the participant was entitled. County case workers were given the ability to modify the certification period because of a defect in the system. After auditor inquiry, the Department provided a report of 1,683 cases that contained errors in the certification periods. The certification period for these cases ranged from 12 - 36 months while the normal certification period is 6 months.</li> <li>• The system allowed county case workers to reopen cases closed by the system due to failure of the participant to recertify; however, there was no review of system overrides for appropriateness. We identified five instances in a sample of 40 where the case worker reactivated the case even though the participant did not submit the required recertification paperwork timely. By overriding the closed case, the participant received benefits for ineligible periods for total known questioned costs of \$2,694 and likely questioned costs greater than \$10,000 in the population. Federal regulation 7 CFR section 273.10(a)(2) states that if a participant submits an application after the certification period has expired, that application shall be considered an initial application and benefits for that month shall be prorated.</li> <li>• In addition, sufficient documentation was not present to support testing of system changes in accordance with Department standard procedures. These standard procedures require a minimum of performance testing, functional testing, and user acceptance testing for major and minor releases. While evidence was present that some testing was performed on fixes to system defects, there was no evidence that user acceptance testing was performed. Changes that are not thoroughly tested can potentially result in improper system functionality, which could cause noncompliance with federal regulations.</li> </ul>

**10.551 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Agriculture

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Federal Award Information:</i> This finding affects the Supplemental Nutritional Assistance Program for the federal fiscal year ended September 30, 2013.</p> <p><i>Recommendation:</i> The Department should (1) follow up on the known cases with incorrect certification periods and take appropriate action; (2) establish internal controls to identify system overrides and determine the effect on case eligibility; and (3) ensure that user acceptance testing is performed and specifically documented before system changes are put into production.</p> <p><i>Agency Response:</i> The Department has initiated the following actions:</p> <ol style="list-style-type: none"> <li>1. The majority of the cases identified with incorrect certification periods were converted from the legacy Food Stamp Information System. As of December 6, 2013, the cases identified with incorrect certification periods were corrected and clients were mailed recertification forms. In addition, a hard stop validation edit was added to the system to prevent workers from extending the certification period beyond 12 months for regular Food Nutrition Service.</li> <li>2. Based on the results of the evaluation, the appropriate change requirements will be submitted to North Carolina Families Accessing Services through Technology (NC FAST) to ensure system controls are in place to identify system overrides and the effect on case eligibility. The ability to reopen a case was designed in the system based on the business requirement to provide a method to reopen a closed case unit. The Department will evaluate the reopen process.</li> <li>3. NC FAST program has defined User Acceptance Procedures (UAT) in place that included business stakeholder acceptance before any system change can be released into production. NC FAST has implemented additional steps based on these findings to clearly provide documented business stakeholder approval.</li> </ol>

**10.553 SCHOOL BREAKFAST PROGRAM****III. Federal Award Findings and Questioned Costs**

U.S. Department of Agriculture

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-002	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p><u>Monitoring Results Not Reported Timely</u></p> <p>The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified.</p> <p>We selected 11 sample items out of 53 fiscal monitoring visits performed during the year and reviewed the fiscal monitoring documentation. Our test revealed that:</p> <ul style="list-style-type: none"> <li>• The Department did not review the reports and communicate the results of the fiscal monitoring visits to 11 subrecipients in a timely manner. The monitoring reports were issued between 59 and 201 business days after the date of the monitoring visit.</li> <li>• The Department did not ensure that four subrecipients took timely corrective action on the issues noted during the monitoring visits that occurred in October and November of 2012. As of October 31, 2013, three of the subrecipients had not yet provided the documentation requested by the Department to show that corrective actions were taken. One subrecipient did not take corrective action until August 21, 2013.</li> </ul> <p>The Department's policy does not specify a timeline for reviewing monitoring reports and communicating results to the subrecipients. The Department does have a policy that requires subrecipients to respond to report findings within 30 business days of receipt of the report.</p> <p>OMB Circular A-133 requires pass-through entities to monitor the subrecipient's use of federal awards through reporting, site visits, regular contact or other means to provide reasonable assurance that the subrecipient administers federal awards in compliance with laws and regulations. In addition, it requires pass-through entities to ensure subrecipients took appropriate and timely corrective action on its findings.</p> <p>Aspects of this finding were reported in the prior year.</p> <p><i>Federal Award Information:</i> This finding impacts these federal programs:</p> <ol style="list-style-type: none"> <li>a. School Breakfast Program, National Lunch Program, &amp; Special Milk Program for Children: Federal funding periods: 1) October 1, 2011 -</li> </ol>



**10.553 SCHOOL BREAKFAST PROGRAM (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Agriculture

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	September 30, 2012 (5NC300327); and 2) October 1, 2012 - September 30, 2013 (5NC300327).
b.	Title I Grants to Local Education Agencies: Federal funding periods: 1) July 1, 2011 - September 30, 2012 (S010A110033A); and 2) July 1, 2012 - September 30, 2013 (S010A120033A).
c.	Career and Technical Education: Federal funding periods July 1, 2011 - September 30, 2012 (V048A110033-11B); and July 1, 2012 - September 30, 2013 (V048A120033-12A).
d.	Special Education - Grants to States: Federal funding periods: 1) July 1, 2011 - September 30, 2012 (H027A110092A); and 2) July 1, 2012 - September 30, 2013 (H027A120092A).
e.	Special Education - Preschool Grants: Federal funding periods: 1) July 1, 2011 - September 30, 2012 (H173A110096); and 2) July 1, 2012 - September 30, 2013 (H173A120096).
f.	Improving Teacher Quality State Grants: Federal funding periods: 1) July 1, 2011 - September 30, 2012 (S367A110032A); and 2) July 1, 2012 - September 30, 2013 (S367A120032A).
g.	Education Jobs Fund: Federal funding period August 10, 2010 - September 30, 2011 (S410A100034).
h.	Race to the Top: Federal funding period September 24, 2010 - September 23, 2014 (S395A100069).
i.	School Improvement Grants: Federal funding periods 1) July 1, 2009 – September 30, 2010 (S377A090034A); 2) July 1, 2010 – September 30, 2011 (S377A100034); and 3) July 1, 2012 – September 30, 2013 (S377A120034).
j.	School Improvement Grants, Recovery Act: Federal funding period February 17, 2009 – September 30, 2010 (S388A090034A).

*Recommendation:* The Department should develop and implement policies and procedures that ensure fiscal monitoring reports are reviewed and results are communicated to the subrecipient within a reasonable period of time. In addition, the Department should comply with its policy by ensuring that subrecipients respond to noncompliance issues within 30 business days of receipt of the report.

**10.553 SCHOOL BREAKFAST PROGRAM (continued)**

**III. Federal Award Findings and Questioned Costs**

U.S. Department of Agriculture  
N.C. Department of Public Instruction  
For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Agency Response:</i> The Department concurs with the Auditor's finding and recommendation. As stated in the responses, the Monitoring and Compliance Section's fiscal monitoring policies and procedures are in the process of being revised. Updated policies and procedures will include a reasonable period from the actual on-site visit date for the completion, review and issuance of the final monitoring report as well as include noncompliance consequences for those subrecipients who fail to submit requested corrective action plans within the time allotted. The Department implemented formal monitoring report cover letter and report templates in February 2014 for use with all 2013-2014 fiscal monitoring visits which includes language for potential consequences if the LEA or Charter School fails to respond to the request for a formal response, corrective action plan and documentation when applicable.</p>

**10.555 NATIONAL SCHOOL LUNCH PROGRAM****III. Federal Award Findings and Questioned Costs**

U.S. Department of Agriculture

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-003	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<u>Monitoring Results Not Reported Timely</u>  The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.

**10.556 SPECIAL MILK PROGRAM FOR CHILDREN****III. Federal Award Findings and Questioned Costs**

U.S. Department of Agriculture

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-004	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<u>Monitoring Results Not Reported Timely</u>  The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.

**10.557 SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN****III. Federal Award Findings and Questioned Costs**

U.S. Department of Agriculture

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-005	<u>Eligibility:</u>  Questioned Cost Finding	<p data-bbox="537 558 1214 590"><u>Deficiencies in County Eligibility Determination Processes</u></p> <p data-bbox="537 621 1463 867">County departments of social services offices process applications related to the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC). Certified Public Accountants performing the county audits tested 1,366 case files and found eligibility documentation deficiencies in 13 cases. These files were missing items such as verification of identity, verification of residency, appropriate signatures, and identification of nutritional risk. There were no questioned costs identified by the county auditors; however, it is likely questioned costs exceed \$10,000 in the population.</p> <p data-bbox="537 898 1463 993"><i>Federal Award Information:</i> This finding affects Special Supplemental Nutrition Program for Women, Infants, and Children federal grant award 5NC700705 for the federal fiscal years ended September 30, 2012 and 2013.</p> <p data-bbox="537 1024 1463 1119"><i>Recommendation:</i> The county auditors recommended that policies and procedures be put in place or reinforced at the counties to ensure that all documentation is obtained and maintained in the case file.</p> <p data-bbox="537 1150 1463 1491"><i>Agency Response:</i> In March, 2014, the Department immediately researched each of the cases identified as deficient and verified that eligibility documentation was included in the WIC Automated Data Processing System for twelve (12) of the thirteen (13) cases cited although the client case files did not contain all the required supporting paper documentation; and, the clients were in fact eligible on the service dates assessed by the county auditors. In addition, the Department verified that corrective action for the one deficient case was implemented and fully resolved. The Department will continue to work with county health department staff to ensure that existing policies and procedures are reinforced and documentation is maintained to support case files.</p>

# 10.561 STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

## III. Federal Award Findings and Questioned Costs

U.S. Department of Agriculture

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-006	<u>Reporting:</u>  Material Weakness  Material Noncompliance	<p><u>Federal Funding Accountability Transparency Act Reporting Not Completed Timely</u></p> <p>The Department did not report on state administration subaward obligations within the required time frame, and therefore, did not comply with the Federal Funding Accountability and Transparency Act (FFATA) reporting requirements. These obligations totaled approximately \$74 million.</p> <p>Federal regulations require states to report subaward actions obligating \$25,000 or more in federal funds, excluding American Recovery and Reinvestment Act funds, no later than the end of the month following the month in which the obligation was made. The Department did not submit the subaward obligations for federal fiscal year 2013 until one year after the due date. There were some functionality problems with the federal reporting system, but the Department would have been able to upload the majority of the required data.</p> <p><i>Federal Award Information:</i> This finding affects State Administrative Matching Grants for the Supplemental Nutrition Assistance Program for the federal fiscal year ended September 30, 2013.</p> <p><i>Recommendation:</i> The Department should ensure FFATA reports are submitted timely in accordance with federal requirements.</p> <p><i>Agency Response:</i> In June 2012, the Department reported to the federal service desk the functionality problems with the federal reporting system and did not report subaward information until the issues were resolved in December 2013. All required subaward information was reported in the FFATA Subaward Reporting System (FSRS) on January 10, 2014. To ensure that FFATA reports are submitted timely, the Department will enter required data into FSRS as the system allows and will maintain periodic contact with federal partners to resolve any system issues.</p>

## 14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII

### III. Federal Award Findings and Questioned Costs

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-007	<p>Cash Management:</p> <p>Material Weakness</p> <p>Material Noncompliance</p>	<p><u>Inaccurate Cash Management Improvement Act Analysis</u></p> <p>Deficiencies were identified in the preparation and review process of the Department of Commerce's Community Development Block Grant Cash Management Improvement Act (CMIA) Analysis. The lack of controls over the CMIA Analysis resulted in failure to report all cash draws and disbursements in the year-end report submitted to the State Controller. The Department's analysis is used by the State Controller to prepare the Statewide CMIA report to the federal government. The weaknesses in the preparation and review processes increase the likelihood that excessive balances may be maintained beyond the federally allowable timeframe or that inadequate funds are drawn down, thereby affecting the computed interest due to or from the U.S. Treasury.</p> <p>The Department prepares monthly reports of cash draws and disbursements which are then accumulated into the year-end CMIA Analysis report. A test of the year-end report revealed that \$11.4 million of draws and disbursements were omitted as follows:</p> <ul style="list-style-type: none"> <li>• \$7.9 million draws and disbursements were omitted from both the monthly and year-end report. This included \$5.5 million in draws and disbursements related to Neighborhood Stabilization Program (NSP), which the Department incorrectly treated as not subject to the Treasury State Agreement.</li> <li>• \$3.5 million draws and disbursements were included in the monthly reports, but were not transferred to the year-end report.</li> </ul> <p>The failure of the review process to identify errors did not ensure the total draws and disbursements reported reconciled to the program expenditures and draws per the accounting records. This failure increases the risk of noncompliance with federal cash management requirements and the Treasury-State Agreement. Additionally, the failure to properly report impacts the statewide calculation of interest due to or from the U. S. Treasury.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 14.228 Community Development Block Grant award B-12-DC-37-0001 awarded April 4, 2012; B-11-DC-37-0001 awarded January 1, 2011; award B-10-DC-37-0001 awarded January 1, 2010; award B-09-DC-37-0001 awarded January 1, 2009; award B-08-DC-37-0001 awarded January 1, 2008; and award B-07-DC-37-0001 awarded January 1, 2007.</p>

**14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Recommendation:</i> The Department should strengthen internal controls to ensure compliance with the Treasury State agreement and that the year-end reports are completed accurately.</p> <p><i>Agency Response:</i> DCA will strengthen its internal controls to ensure that all cash draws and disbursements are included in the year-end report submitted to the Office of the State Controller. DCA will implement the following procedure. Upon receipt of the CDBG and NSP draw worksheets two verifications of each draw will be performed. Once these verifications have been performed and the information matches that which was submitted by DCA an email is sent to DCA indicating concurrence. If the information does not match, DCA will be notified of any differences and a corrected draw worksheet will be sent by DCA to Fiscal Management with the updated information. When agreement between Cash Management, the Doc. ID Logs, DCA, and Commerce Fiscal has been achieved the CMIA worksheet and the draw worksheet will be sent by email to Office of the State Controller, DCA, and all concerned.</p>
2013-008      Matching, Level of Effort, <u>Earmarking:</u> Material Weakness	<p><u>Inability to Support Compliance with Earmarking Requirements</u></p> <p>The Department of Commerce's Division of Community Assistance (DCA) did not maintain sufficient documentation to support compliance with federal earmarking requirements for planning and administrative expenditures in the Community Development Block Grant. As a result, there is an increased risk that planning and administrative costs of the State and general local governments (subrecipients) could exceed the 20% allowed under 24 CFR 570.489(a)(3) and not be detected.</p> <p>Per federal regulations, the total amount expended on planning and administrative costs by the State and local governments combined must not exceed 20%. Detail cost data by type for subrecipients is maintained in the Grants Management System (GMS). However, the total costs from GMS data provided did not reconcile to the Department's accounting records. For fiscal year 2013, expenditures in the accounting records exceeded the GMS costs by \$2.5 million. Additionally, the Department could not provide further evidence to support the actual cumulative amounts of subrecipients' planning and administrative costs.</p> <p>Based on analysis of the subrecipient data in GMS and State costs from the accounting records, the earmarking requirement has not been exceeded. However, without sufficient documentation to support the accuracy of the</p>



## 14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)

### III. Federal Award Findings and Questioned Costs

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>subrecipient data, there is no way to be assured that the 20% was not or would not be exceeded if costs have been excluded from GMS.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 14.228 Community Development Block Grant award B-12-DC-37-0001 awarded 04/04/2012; award B-11-DC-37-0001 awarded January 1, 2011; award B-10-DC-37-0001 awarded January 1, 2010; award B-9-DC-37-0001 awarded January 1, 2009; award B-08-DC-37-0001 awarded January 1, 2008, and award B-07-DC-37-0001 awarded January 1, 2007.</p> <p><i>Recommendation:</i> The Department should strengthen controls to ensure that adequate documentation is maintained to support compliance with federal earmarking requirements.</p> <p>The Department should ensure that subrecipient costs are accurately tracked and reconciled to the accounting records to ensure earmarking compliance.</p> <p><i>Agency Response:</i> DCA will maintain a grants management system to record, monitor and produce required reports to ensure that the amount expended on planning and administrative costs does not exceed twenty percent (20%). The data contained in the grants management system will be reconciled with DCA accounting records and other systems.</p>
<p>2013-009      Matching, Level of Effort, Earmarking: Material Weakness  Reporting: Material Weakness</p>	<p><u>Management's Implementation of Corrective Action Was Inadequate</u></p> <p>The Department of Commerce management has not ensured implementation of corrective action on all prior year audit findings for three major federal programs audited for fiscal year ended June 30, 2013. Failure to timely implement corrective action to ensure compliance allows federal funds to potentially be used for unallowable expenditures or fail to be properly reported to the federal oversight agency.</p> <p>Four of the eight findings below for 2013 repeat aspects of findings from the prior years. Although, the Department had identified corrective action plans to address these areas in prior years, there has been a breakdown in the implementation of those corrective actions to prevent further issues.</p> <p>Here are some of the details:</p> <ul style="list-style-type: none"> <li>• The failure to segregate duties for maintaining and operating the Grants Management System (GMS) for the Community Development</li> </ul>

**14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>Block Grant program has contributed to findings related to both federal reporting and earmarking compliance requirements. Department information system staff, who are unfamiliar with the system, have been trying to provide the needed data and reports for program staff. However, success has been limited and the auditors have been unable to rely on data from the system.</p> <ul style="list-style-type: none"> <li>• A backlog of investigative cases for potential benefit overpayment in the Unemployment Insurance program continued. In prior year the backlog was over 16,000 assigned cases, but during 2013 was only decreased to near 14,000 cases. Additionally, the timeliness of case closure has not significantly improved.</li> <li>• The procedures for performing subrecipient monitoring for the Workforce Investment Act Cluster were not updated in accordance with the prior corrective action plan. The monitoring procedures did not provide evidence that all participants receiving services from the program are being documented in the Workforce Plus System. This system is used to generate performance reports to demonstrate that use of federal funds is meeting the expected performance goals for serving participants.</li> </ul> <p>OMB Circular A-133 section .300 requires that auditees, State agency, maintain internal control over federal programs to ensure compliance federal regulations. It further states that auditees are responsible for following up and taking corrective action for audit findings.</p> <p>Also, North Carolina General Statute 143D, <i>State Government Accountability and Internal Control Act</i>, provides that each State agency is fully responsible for establishing and maintaining a proper system of internal controls. The Office of the State Controller requires State agencies to make satisfactory progress in resolving deficiencies in internal control determined by any internal or external auditor of the agency. If the State Controller determines deficiencies are not being resolved in a reasonable period after the audit report date, the State Controller may recommend disciplinary action after consultation with the State Auditor.</p> <p><i>Federal Award Information:</i> This finding affects the following programs and awards:</p> <p>CFDA 14.228 Community Development Block Grant award B-12-DC-37-0001 awarded April 4, 2012; award B-11-DC-37-0001 awarded January 1, 2011;</p>

**14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>award B-10-DC-37-0001 awarded January 1, 2010; award B-9-DC-37-0001 awarded January 1, 2009; award B-08-DC-37-0001 awarded January 1, 2008, and award B-07-DC-37-0001 awarded January 1, 2007.</p> <p>CFDA 17.258 WIA Adult Program, CFDA 17.259 WIA Youth Activities, and CFDA 17.278 WIA Dislocated Workers awards AA-20205-10-55-A-37 for the award period July 1, 2010 - June 30, 2013, AA-21407-11-55-A-37 for the award period July 1, 2011 - June 30, 2014 and AA-22947-12-55-A-37 for the award period July 1, 2012 - June 30, 2015.</p> <p>Unemployment Insurance – funding from the State and Federal Unemployment Trust Funds. This finding affects funds administered under the American Recovery and Reinvestment Act.</p> <p><i>Recommendation:</i> The Department and Division management should ensure that appropriate follow-up on audit findings is performed. Follow-up should include well defined corrective action plans, establishment of deadlines for implementation of the plan and identification of individuals responsible for implementation.</p> <p><i>Agency Response:</i> The Department acknowledges its failure to ensure proper implementation of corrective action plans for past audits. These prior findings occurred during the previous administration, but the Department has new leadership in place and is committed to ensuring that all corrective action plan items for this and previous audits are completed.</p>
2013-010  Matching, Level of Effort, <u>Earmarking:</u>  Material Weakness  <u>Reporting:</u>  Material Weakness  Subrecipient <u>Monitoring:</u>  Material Weakness	<p><u>Lack of Segregation of Duties in the Administration of Grants Management System</u></p> <p>The Department of Commerce's Division of Community Assistance did not properly segregate duties for the administration of the Grant Management System (GMS). As a result, there is an increased risk that data integrity could be compromised and noncompliance with federal regulations could occur.</p> <p>Duties for production and programming of the GMS are not separated. Instead, a single individual is responsible for all duties related to the system. A review is not conducted by another person after changes to the database and programs have been implemented to ensure accuracy. The GMS system is used to track and maintain all grant data for over 400 subawards, which may include multiple awards to the same subrecipient. The Department disbursed approximately \$50 million during the 2013 fiscal year to subrecipients.</p>

**14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>The <i>Statewide Information Security Manual</i> states that agency management must ensure that there is proper segregation of duties to reduce the risk of agency system misuse and fraud. In addition, the manual states that system development and system change management shall be performed by different personnel, and system operations and system security administration shall be performed by different personnel.</p> <p>Additionally, in April 2013, the individual responsible for maintaining and operating the GMS left the Department and the position has not been filled as of February 2014. The Department does not have other personnel knowledgeable of the system operation and queries to generate accurate data for federal reporting and to ensure compliance with federal requirements.</p> <p>Aspects of this finding were also reported in the prior two years.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 14.228 Community Development Block Grant award B-12-DC-37-0001 awarded April 4, 2012; award B-11-DC-37-0001 awarded January 1, 2011; award B-10-DC-37-0001 awarded January 1, 2010; award B-9-DC-37-0001 awarded January 1, 2009; award B-08-DC-37-0001 awarded January 1, 2008, and award B-07-DC-37-0001 awarded January 1, 2007.</p> <p><i>Recommendation:</i> The Department should adequately segregate duties for the administration of the Grant Management System. Also, the Department should ensure that knowledgeable staff is put in place to maintain and operate the Grants Management System.</p> <p><i>Agency Response:</i> DCA's current grants management system is a proprietary software program that was built and modified by a single individual since its inception, and that individual is no longer employed by the Department. The system uses non-standard database programming techniques and has now become almost impossible to manage and extract data. As a result, the Department has submitted a budget expansion request to the Office of State Budget and Management (OSBM) to receive additional funds to purchase and install a new grants management software program.</p> <p>In addition, a comprehensive plan is currently under development regarding the Grants Management System (GMS) that will:</p> <ol style="list-style-type: none"> <li>Identify the weaknesses of the system and establish new temporary operational procedures to ensure proper segregation of duties and data integrity;</li> </ol>

**14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
b.	Develop a hiring plan to ensure the proper segregation of duties and workload regarding the grants management solution;
c.	Develop a plan and timeline to adopt a new out-of-the-box (non-proprietary) grant management software solution;
d.	Verify the validity of the current GMS data and make corrections using formalized change management procedures;
e.	Migrate the GMS data to the new grant management software solution; and
f.	Develop a set of formalized policies and procedures regarding proper segregation of duties, data validation, staff training, and system security, and maintenance.
	DCA will develop and adopt a set of formal policies and procedures that will ensure the proper segregation of duties, protect data integrity, implement security protocols, and ensure that scheduled software maintenance and updates are conducted. The policies and procedures will be developed according the Statewide Information Security Manual and other best practices.
2013-011	<p data-bbox="315 1304 420 1331"><u>Reporting:</u></p> <p data-bbox="342 1346 456 1398">Material Weakness</p> <p data-bbox="342 1419 506 1472">Material Noncompliance</p> <p data-bbox="537 1304 883 1331"><u>Inaccurate Federal Reporting</u></p> <p data-bbox="537 1367 1463 1486">The Department of Commerce's Division of Community Assistance submitted Community Development Block Grant (CDBG) reports to the federal agency that were misstated. While testing the federal reports, the following errors and internal control deficiencies were noted:</p> <ul data-bbox="586 1524 1463 1854" style="list-style-type: none"> <li data-bbox="586 1524 1463 1854">• The Department did not maintain nor provide complete data to support and ensure the accuracy of the information in the 2012 CDBG Performance and Evaluation Report (PER). The Grants Management System (GMS) is used to record grant expenditures and cash drawdowns which is used to generate the PER Summary. The GMS data did not reconcile to the Department's accounting records and did not agree with the PER report submitted to the federal agency. The total of all expenditures reported was \$9 million overstated compared to the underlying GMS data. Additionally, issues with the data prevented verification of amounts reported by National Objective and federal allocation year within the PER.</li> </ul>

**14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<ul style="list-style-type: none"> <li>While testing the Section 3 Summary Report, Economic Opportunities for Low – and Very Low-Income Persons, supporting documentation for a sample of 63 subrecipients was reviewed and errors were found for six subrecipients. Each of these six subrecipients had one or more of the following types of errors: lack of documentation to support amounts reported; subrecipients' data not reported; or discrepancies between supporting documentation and the amount reported in the Section 3 Summary report. As a result, not all activity was accurately included in the annual report submitted to U.S. Department of Housing and Urban Development (HUD).</li> <li>The Department is in noncompliance with reporting requirements under the Federal Funding Accountability and Transparency Act (FFATA). It failed to report, as required, CDBG sub-awards of \$25,000 or more made during fiscal year 2013 in the FFATA Subaward Reporting System. There were 134 subawards that met the criteria for reporting. The Department entered 98 subawards subsequent to year end, but did not complete the submission until December 2013. The other 36 were not entered or submitted.</li> </ul>
	<p>Aspects of this finding were reported in the prior three years.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 14.228 Community Development Block Grant award B-12-DC-37-0001 awarded April 4, 2012; award B-11-DC-37-0001 awarded January 1, 2011; award B-10-DC-37-0001 awarded January 1, 2010; award B-9-DC-37-0001 awarded January 1, 2009; award B-08-DC-37-0001 awarded January 1, 2008, and award B-07-DC-37-0001 awarded January 1, 2007.</p> <p><i>Recommendation:</i> The Department should strengthen internal controls to ensure reports are prepared in accordance with federal reporting requirements.</p> <p>The Department should implement procedures to ensure the accuracy of the data in the Grants Management System and ensure it reconciles to the Department's accounting records and federal reporting.</p> <p><i>Agency Response:</i> DCA will strengthen its internal controls to ensure that reports are prepared in accordance with federal reporting requirements and will implement a grants management system to capture and maintain subrecipient and financial data. DCA will ensure that the data contained in the</p>

**14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>grants management system is reconciled with Department accounting records and federal reporting.</p> <p>DCA will implement and maintain a new grants management system to record, manage and produce accurate data regarding grant expenditures and cash drawdowns for the Performance Evaluation Report (PER) and other compliance reporting requirements. DCA will ensure that data contained in the grants management system is reconciled with the Department's accounting data and other systems. The Director, Compliance Section Chief and Business Officer are responsible for implementing this corrective action.</p> <p>DCA will strengthen its internal controls to ensure that accurate data is collected for and reported in the Section 3 Summary Report. The corrective action will include multiple checkpoints to ensure that subrecipient Annual Performance Report (APR) data are collected and reported accurately.</p> <p>DCA will strengthen its internal controls to ensure that all subrecipient awards of \$25,000 and greater are entered into the FSRS (FFATA Sub-Award Reporting System) as required by the Federal Funding Accountability and Transparency Act (FFATA).</p>
<p>2013-012      Subrecipient Monitoring:</p> <p>Material Weakness</p>	<p><u>Deficiencies in Subrecipient Monitoring</u></p> <p>We identified deficiencies in the Department of Commerce's Division of Community Assistance subrecipient monitoring procedures for the Community Development Block Grant (CDBG). As a result, there is an increased risk that noncompliance at the subrecipient level could occur and not be detected or corrected in a timely manner. Subrecipients received approximately \$50 million of Community Development Block Grant funds.</p> <p>The CDBG program is State administered and local government operated. In accordance with 24 CFR 85.40, the Department is responsible for monitoring the local governments receiving CDBG funds to ensure compliance with federal requirements. Our review of the monitoring efforts identified the following deficiencies described below:</p> <ul style="list-style-type: none"> <li>• The Department does not have an adequate monitoring plan to ensure subrecipients are sufficiently monitored throughout the award period for compliance with federal requirements. There was no schedule of when monitoring visits will take place nor was there formal documentation of a subrecipient risk assessment. In accordance with</li> </ul>

**14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>24 CFR 570.492, the state should perform monitoring, including on-site, as may be necessary and appropriate to ensure subrecipients are in compliance with program requirements. The U.S. Department of Housing and Urban Development – Managing CDBG - A Guidebook for Grantees on Subrecipient Oversight Manual provides guidance for monitoring strategies and procedures. Guidance for monitoring includes the following: monitoring should be an on-going process; a monitoring plan should be developed at the beginning of the program year; a formal risk assessment should be performed to identify which subrecipients require comprehensive monitoring; checklists should be used that specify the particular items or documents to be reviewed in the course of the visit; and checklists should be annotated with notes and identify documentation reviewed to arrive at conclusions.</p> <ul style="list-style-type: none"> <li>• We reviewed on-site monitoring files for 29 out of 183 CDBG subrecipients with grant expenditures during the 2013 fiscal year. There were deficiencies noted in the Department's internal policies and procedures and documentation as follows: <ul style="list-style-type: none"> <li>a) The Grants Management Standard Operating Procedures (SOP) Manual used by the grant representative has not been updated since May 2010. The manual identifies which checklists should be used to perform site visits, however, it does not provide guidance on the documentation that should be reviewed and maintained to support the conclusions on the checklist.</li> <li>b) No guidance was provided in the SOP for what type transactions or documents are to be reviewed during monitoring visits, nor how many items should be reviewed.</li> <li>c) Monitoring checklists contained little or no supporting documentation or notations of the items reviewed to support the work performed and basis for conclusion on subrecipients compliance with a particular requirement.</li> <li>d) There were instances of questions on the compliance checklists being unanswered or partially answered. Specifically, there were 2 instances when the question regarding compliance with allowable costs guidelines, pursuant to OMB Circular A-87, were answered incorrectly as not applicable with no explanation.</li> </ul> </li> </ul>



## 14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)

### III. Federal Award Findings and Questioned Costs

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<ul style="list-style-type: none"> <li>The grant representative performed the close out of one subaward for more than \$190,000 without reviewing the subrecipients final audit report as required by the Department's administrative code, 04 NCAC 19L.913.</li> </ul> <p>OMB Circular A-133 requires pass-through entities to monitor the activities of subrecipients as necessary to ensure that federal awards are used for authorized purposes in compliance with laws, regulations and the provisions of contracts or grant agreements and to ensure that performance goals are achieved.</p> <p>In addition to our audit, an investigation was performed by the North Carolina Office of State Budget and Management Office of Internal Audit, at the request of the Department of Commerce, related to a third party CDBG program administrator at 26 local governments. The report was issued February 2014, and contained recommendations for the Department that coincide with the results and recommendations of our audit.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 14.228 Community Development Block Grant award B-12-DC-37-0001 awarded April 4, 2012; award B-11-DC-37-0001 awarded January 1, 2011; award B-10-DC-37-0001 awarded January 1, 2010; award B-9-DC-37-0001 awarded January 1, 2009; award B-08-DC-37-0001 awarded January 1, 2008, and award B-07-DC-37-0001 awarded January 1, 2007.</p> <p><i>Recommendation:</i> The Department should document a monitoring plan to ensure subrecipients are sufficiently monitored for compliance with federal requirements on an on-going basis.</p> <p>The Department should enhance procedures and guidelines for monitoring activities to ensure documentation is complete and supportive of the conclusions reached regarding subrecipients compliance.</p> <p>Department management should implement procedures to ensure that monitoring procedures are well-defined and staff is consistently following procedures when performing subrecipient monitoring visits and in the completion of checklists.</p> <p><i>Agency Response:</i> DCA will strengthen its internal controls to ensure that the activities of subrecipients are used for authorized purposes in compliance with laws, regulations and the provisions of contracts or grant agreements and to ensure that performance goals are achieved.</p>

**14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS / STATE’S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII (continued)**

**III. Federal Award Findings and Questioned Costs**

U.S. Department of Housing and Urban Development

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	DCA will revise its subrecipient monitoring plan to identify subrecipients that require more comprehensive monitoring based on identified risk. Subrecipients identified as higher risk will receive additional desk and on-site monitoring reviews throughout the life of the grant.
	DCA will update its Standard Operating Procedure Manual to include guidance on the types and number of transactions and documents that should be reviewed during monitoring visits and the documentation that should be maintained to support conclusions drawn by monitors and reported on the monitoring checklists.
	Monitoring checklists and other documents will be revised where necessary to add supporting documentation and/or notations of the items received to support the basis for conclusions of sub-recipient compliance requirements.

**16.738 EDWARD BRYNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM****III. Federal Award Findings and Questioned Costs**

U.S. Department of Justice

N.C. Department of Public Safety

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs		Findings and Recommendations
2013-013	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p><u>Review of Subrecipient Audit Reports Needs Improvement</u></p> <p>While the Department adequately tracked and obtained subrecipient audit reports, it did not have procedures in place to identify audit findings relevant to its programs and ensure that subrecipients took timely and appropriate corrective action on the findings. As a result, there was an increased risk that deficiencies identified at the subrecipient level would not be corrected. However, in our audit we did not identify any subrecipient audit findings relevant to the Department's programs.</p> <p>OMB Circular A-133 requires pass-through agencies to issue a management decision on audit findings within six months after receipt of the subrecipient's report and ensure the subrecipient took timely and appropriate action.</p> <p>Significant aspects of this finding were reported in previous years.</p> <p><i>Federal Award Information:</i> This finding affects the following:</p> <ul style="list-style-type: none"> <li>CFDA 16.738 Edward Byrne Memorial Justice Assistance Grant Program award 2008-DJ-BX-0032 for the award period October 1, 2007 – September 30, 2012; award 2008-DJ-BX-0768 for the award period October 1, 2007 – September 30, 2012; award 2009-DJ-BX-0839 for the award period October 1, 2008 – September 30, 2013; award 2010-DJ-BX-0045 for the award period October 1, 2009 – September 30, 2014; award 2011-DJ-BX-2091 for award period October 1, 2010 – September 30, 2014; and award 2012-DJ-BX-0640 for award period October 1, 2011 – September 30, 2015.</li> <li>CFDA 16.803 Recovery Act – Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants to States and Territories award 2009-SU-B9-0054 for the award period March 1, 2009 – February 28, 2013.</li> <li>CFDA 97.036 Disaster Grants – Public Assistance program disasters NC Severe Storms, Tornadoes, and Flooding award FEMA-1969-DR-NC and NC Hurricane Irene award FEMA-4019-DR-NC.</li> </ul> <p><i>Recommendation:</i> The Department should implement procedures to ensure management decisions are issued and corrective action is taken on relevant subrecipient audit findings. The Department should also ensure that the appropriate guidance and training is provided to staff given these responsibilities.</p>

**16.738    EDWARD BRYNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM** (continued)

**III. Federal Award Findings and Questioned Costs**

U.S. Department of Justice  
N.C. Department of Public Safety  
For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<i>Agency Response:</i> The Department agrees with this finding. The Department will ensure there is follow-up with the sub recipient on audit findings. Also, the communication and result of the corrective action will be documented on the audit tracking mechanism.

**16.803 RECOVERY ACT - EDWARD BRYNE MEMORIAL JUSTICE ASSISTANCE GRANT (JAG) PROGRAM / GRANTS TO STATES AND TERRITORIES****III. Federal Award Findings and Questioned Costs**

U.S. Department of Justice

N.C. Department of Public Safety

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-014	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<u>Review of Subrecipient Audit Reports Needs Improvement</u>  While the Department adequately tracked and obtained subrecipient audit reports, it did not have procedures in place to identify audit findings relevant to its programs and ensure that subrecipients took timely and appropriate corrective action on the findings. As a result, there was an increased risk that deficiencies identified at the subrecipient level would not be corrected. However, in our audit we did not identify any subrecipient audit findings relevant to the Department's programs. See finding 2013-013 for a description.

**17.225 UNEMPLOYMENT INSURANCE****III. Federal Award Findings and Questioned Costs**

U.S. Department of Labor

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-015	<u>Eligibility:</u>  Material Weakness	<p><u>Management's Implementation of Corrective Action Was Inadequate</u></p> <p>The Department of Commerce management has not ensured implementation of corrective action on all prior year audit findings for three major federal programs audited for fiscal year ended June 30, 2013. Failure to timely implement corrective action to ensure compliance allows federal funds to potentially be used for unallowable expenditures or fail to be properly reported to the federal oversight agency.</p> <p>Here are some of the details:</p> <ul style="list-style-type: none"> <li>• A backlog of investigative cases for potential benefit overpayment in the Unemployment Insurance program continued. In prior year the backlog was over 16,000 assigned cases, but during 2013 was only decreased to near 14,000 cases. Additionally, the timeliness of case closure has not significantly improved. See finding 2013-009 for a description.</li> </ul>
2013-016	<u>Eligibility:</u>  Significant Deficiency  Questioned Cost Finding  \$602	<p><u>Deficiencies in Processing and Monitoring of Overpayment Investigations</u></p> <p>The Department of Commerce's Division of Employment Security has not been able to investigate and adjudicate potentially inappropriate benefit payments timely; has not maintained adequate records to support investigation findings and has not established overpayments for benefits deemed to be paid improperly. Lack of timely investigation and failure to establish identified overpayments impacts the ability to recover and/or prevent overpayments. Additionally, the lack of adequate documentation impacts the ability to support that the investigation was properly completed and the correct conclusions reached.</p> <p>Potential overpayments due to both fraud and error result from a weekly cross-match with the National Directory of New Hires database and other tips and leads. The Benefit Audit, Reporting, and Tracking System (BARTS) and the Inventory Tracking System (ITS) are used to document these potential overpayments and completed case investigations.</p> <p>A review of the open cases within the Benefit Audit, Reporting and Tracking System as of June 30, 2013 resulted in the following timeliness issues:</p> <ol style="list-style-type: none"> <li>Of the 14,060 open and assigned cases, 7,984 (56.8%) had been open greater than a year.</li> </ol>

**17.225 UNEMPLOYMENT INSURANCE (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Labor

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<ul style="list-style-type: none"> <li>b. 3,512 of those open and assigned cases had been open for more than two years. The most delinquent case had been open for 1601 days (approximately 4.4 years).</li> <li>c. 513 cases have been open for more than one year before being assigned for investigation indicating the potential that cases may remain without action or follow-up for an extended period of time.</li> </ul> <p>The Division of Employment Security records indicated 5,715 benefit integrity investigation cases were closed during state fiscal year 2013. A sample of 60 closed cases identified 29 cases with insufficient documentation to determine that the investigation took place, that the correct determination was reached or that appropriate overpayments were established.</p> <ul style="list-style-type: none"> <li>a. Records for three cases could not be produced. One case had been deleted from BARTS and the case file may have been shredded in violation of the division's records retention policy leaving no record of the investigation, relevant evidence or the basis for the conclusion.</li> <li>b. Three cases identified overpayments totaling \$602 that should have been established but no overpayments had been established in the UI Benefits System to facilitate the recovery of the overpayments. These overpayments will be questioned.</li> <li>c. Sixteen cases had limited or no supporting documentation. Division practice is to scan all supporting documentation and link it to the BARTS record. These cases had either no linked documents or only blank documents. Documents critical to the investigation such as Wage Audit Notices returned by employers and the listing of wages actually paid were missing.</li> <li>d. One case exposed a system override capability where a case originally opened, investigated and closed in 2011 was reopened in fiscal year 2013. The case open date in BARTS was changed from 2011 to 2013, leading to the appearance that the case had been opened and closed on the same day during the audit period. The ability to override the original open date provides the opportunity for investigators and managers to change 'overdue' cases to 'current' and affect the metrics used by management to assess job performance and federal reporting data.</li> <li>e. Five cases did not contain the necessary documentation to support the decision to or not to establish an overpayment. Two cases had</li> </ul>

**17.225 UNEMPLOYMENT INSURANCE (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Labor

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>overpayments established in the UI Benefit System for the same weeks investigated in the case, but no overpayment was noted in the case or BARTS. The three other cases contained documentation to suggest an overpayment should have been established but was not.</p> <p>f. One case had been opened in 2011 and determined to be overpaid. However, due to a BARTS printing problem the overpayment notice was not sent. The case was set aside for two years and finally resolved in 2013.</p> <p>Additionally, within the sample of 60 closed cases, six cases had been open and assigned for more than one year before being closed. Cases that remain open for an extended period of time can allow benefits to continue after the potential for fraud has been identified and the total overpayment to be larger than if prompt action had been taken.</p> <p>Unemployment Insurance Program Letter 19-11A, Improper Payments, indicates that integrity programs must be designed to discourage fraud and uncover potential issues of fraud at the earliest possible time. Additionally, the Division's record retention and disposition policy requires that records subject to audit or legally required for ongoing official proceedings must be retained until released from such audits or official proceedings. These investigation files are subject to audit and are used as evidence in claimant appeals and legal proceeding for suspected fraud.</p> <p>Aspects of this finding were reported in the prior year.</p> <p><i>Federal Award Information:</i> Unemployment Insurance – funding from the State and Federal Unemployment Trust Funds. This finding affects funds administered under the American Recovery and Reinvestment Act.</p> <p><i>Recommendation:</i> The Division should improve review of case log reports to ensure cases are handled in a reasonable time period which should be established in policy. Additionally, management should implement procedures to address the current and prevent future case backlogs.</p> <p>The Division should enhance policies outlining the procedures investigators should use in investigating and documenting cases, which should include supervisory review to ensure documentation is sufficient and supports the resolution.</p> <p>The Division should adhere to Division and State record retention policies.</p>



**17.225 UNEMPLOYMENT INSURANCE (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Labor

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>Finally, the Division should investigate the underlying causes for the deficiencies noted and implement procedures to address.</p> <p><i>Agency Response:</i> For fiscal year ending 2013, DES identified more than 16,000 cases within the backlog as reported from the BARTS application that required investigation and/or review for potential inappropriate benefit payments. Using the corrective action methods as communicated for fiscal year ending 2012, DES was able to reduce the backlog by more than 2,000 within fiscal year 2013. Although DES showed improvement in 2013, DES recognizes the need to use more automation to more quickly and more accurately address the backlog. Therefore, DES is currently working with Onpoint Technology to fully maximize and utilize the BARTS application and hope to significantly reduce the backlog to no more than 5000 cases by fiscal year ending 2014. In addition to working with the vendor to improve the use of BARTS, DES has made some organizational changes to improve the supervision of the unit charged with investigating those that may be receiving unemployment benefits while potentially having active employment.</p>
2013-017 <u>Reporting:</u>  Significant Deficiency	<p><u>Inaccurate Federal Reporting</u></p> <p>Deficiencies were identified in the preparation and review process for the quarterly ETA 227 – Overpayment Detection and Recovery Activities reports which resulted in misreporting the change in overpayment receivables by \$10 million and inaccuracies in the reported number of overpayments established.</p> <p>The Department of Commerce's Division of Employment Security prepares the ETA 227 report using reports from the UI Benefit system, spreadsheets and manual paper notepad calculations. The transfer of data between manual calculations and spreadsheets increases the risk of keying errors and miscalculations.</p> <p>The December 2012 and June 2013 quarterly ETA 227 reports were tested and the following errors were noted:</p> <ul style="list-style-type: none"> <li>One line on the December report was left blank due to a failure to transfer the data from the supporting documentation. This resulted in nine cases not being counted.</li> <li>On the June report, a \$10 million keying error in the calculation of the change in overpayment receivable resulted in reporting an increase of \$9,759,594 in the receivable balance, when it should have been a</li> </ul>

**17.225 UNEMPLOYMENT INSURANCE (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Labor

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>\$240,406 decrease. The mistake was due to a manual notepad calculation error.</p> <ul style="list-style-type: none"> <li>Based on the Unemployment Insurance Reports Handbook No. 401 line by line instructions for the ETA 227, 243 tips and leads type cases were added to the wrong line on the December report, while 668 of the same type counts were excluded from the June report. No overpayment dollars were added in relation to these cases. The Division could not provide evidence that these dollars were or were not already included in the report nor could they explain how dollars, and not case counts, would be captured in the system report use to develop the data. Therefore, it is unclear if the December report is overstated or the June report is understated.</li> </ul> <p>Based on errors noted, the review process was not sufficient to prevent significant errors and increases the risk that federal reporting will be incomplete, inaccurate and noncompliant.</p> <p><i>Federal Award Information:</i> Unemployment Insurance – funding from the State and Federal Unemployment Trust Funds. This finding affects funds administered under the American Recovery and Reinvestment Act.</p> <p><i>Recommendation:</i> The Division should improve controls over the ETA 227 federal report to ensure it is prepared in accordance with federal reporting guidelines and sufficiently reviewed for completeness and accuracy.</p> <p><i>Agency Response:</i> DES will develop extract files from the Guide application to assist the Benefits Integrity unit in creating the ETA 227 report. These extract files will be made available to the LEAD unit for comparison and review. These extracts will feed into spreadsheets that will calculate both dollars and counts for the ETA 227, thus eliminating human error. This will also reduce the need to tally both counts and dollars manually on notepads, thus allowing for more accurate reporting of the ETA 227. In addition to these changes, the LEAD unit will be required to double check the ETA 227 report entries with Benefits Integrity management before submission to the United States Department of Labor. LEAD and Benefit Integrity management's review will be evidence by appropriate personnel signing off on the report certifying that the report is complete and accurate. Future inaccurate submissions of the ETA 227 report will be reflected in the performance management documents of personnel responsible.</p>

**17.258 WIA ADULT PROGRAM****III. Federal Award Findings and Questioned Costs**

U.S. Department of Labor

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-018	Subrecipient <u>Monitoring:</u>  Material Weakness	<p><u>Management's Implementation of Corrective Action Was Inadequate</u></p> <p>The Department of Commerce management has not ensured implementation of corrective action on all prior year audit findings for three major federal programs audited for fiscal year ended June 30, 2013. Failure to timely implement corrective action to ensure compliance allows federal funds to potentially be used for unallowable expenditures or fail to be properly reported to the federal oversight agency.</p> <p>Here are some of the details:</p> <ul style="list-style-type: none"> <li>The procedures for performing subrecipient monitoring for the Workforce Investment Act Cluster were not updated in accordance with the prior corrective action plan. The monitoring procedures did not provide evidence that all participants receiving services from the program are being documented in the Workforce Plus System. This system is used to generate performance reports to demonstrate that use of federal funds is meeting the expected performance goals for serving participants. See finding 2013-009 for a description.</li> </ul>
2013-019	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p><u>Deficiencies in Subrecipient Monitoring</u></p> <p>The Department did not document that monitoring procedures were performed to ensure that data for all participants receiving Workforce Investment Act (WIA) Cluster funds was included in the Workforce Plus system. This system is used to track and evaluate WIA performance for federal reporting purposes. Without effective monitoring procedures, there is an increased risk federal reports may not contain all activity of the period.</p> <p>The Division of Workforce Solutions (DWS) Workforce Investment Act Oversight &amp; Review Guide requires DWS financial and programmatic monitors to verify that participants enrolled in WIA were entered into Workforce Plus. During our review of five out of 23 local area Workforce Development Board monitoring visits, none of the five monitoring files included evidence that the reconciliations of participants between the Workforce Plus and the local area's participant expense accounting system were completed and reviewed by the monitors.</p> <p>OMB Circular A-133 requires pass-through entities to monitor the activities of subrecipients as necessary to ensure that federal awards are used for authorized purposes in compliance with laws, regulations, and the provisions of grant agreements and to ensure that performance goals are achieved. This</p>

**17.258 WIA ADULT PROGRAM** (continued)**III. Federal Award Findings and Questioned Costs**

U.S. Department of Labor

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>monitoring should include ensuring that all activity is reported by the subrecipients to be included in the pass-through entities federal performance reports.</p> <p>This finding was also reported in the prior year.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 17.258 WIA Adult Program, CFDA 17.259 WIA Youth Activities, and CFDA 17.278 WIA Dislocated Workers awards AA-20205-10-55-A-37 for the award period July 1, 2010 - June 30, 2013, AA-21407-11-55-A-37 for the award period July 1, 2011 - June 30, 2014 and AA-22947-12-55-A-37 for the award period July 1, 2012 - June 30, 2015.</p> <p><i>Recommendation:</i> The Department should ensure that all necessary monitoring procedures are performed and documented, including procedures to ensure that participant data is properly included in the Workforce Plus system.</p> <p><i>Agency Response:</i> In November 2013, DWS staff added questions to address this finding to the Oversight Review Guide, the document used to monitor sub-recipient activities funded through the Workforce Investment Act. While the U.S. Department of Labor has not yet issued a final management decision, USDOL staff has indicated that it will accept the changes as submitted.</p>

**17.259 WIA YOUTH ACTIVITIES****III. Federal Award Findings and Questioned Costs**

U.S. Department of Labor

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-020	Subrecipient <u>Monitoring:</u>  Material Weakness	<p><u>Management's Implementation of Corrective Action Was Inadequate</u></p> <p>The Department of Commerce management has not ensured implementation of corrective action on all prior year audit findings for three major federal programs audited for fiscal year ended June 30, 2013. Failure to timely implement corrective action to ensure compliance allows federal funds to potentially be used for unallowable expenditures or fail to be properly reported to the federal oversight agency.</p> <p>Here are some of the details:</p> <ul style="list-style-type: none"> <li>The procedures for performing subrecipient monitoring for the Workforce Investment Act Cluster were not updated in accordance with the prior corrective action plan. The monitoring procedures did not provide evidence that all participants receiving services from the program are being documented in the Workforce Plus System. This system is used to generate performance reports to demonstrate that use of federal funds is meeting the expected performance goals for serving participants. See finding 2013-009 for a description.</li> </ul>
2013-021	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p><u>Deficiencies in Subrecipient Monitoring</u></p> <p>The Department did not document that monitoring procedures were performed to ensure that data for all participants receiving Workforce Investment Act (WIA) Cluster funds was included in the Workforce Plus system. This system is used to track and evaluate WIA performance for federal reporting purposes. Without effective monitoring procedures, there is an increased risk federal reports may not contain all activity of the period. See finding 2013-019 for a description.</p>

**17.278 WIA DISLOCATED WORKERS FORMULA GRANTS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Labor

N.C. Department of Commerce

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-022	Subrecipient <u>Monitoring:</u>  Material Weakness	<p><u>Management's Implementation of Corrective Action Was Inadequate</u></p> <p>The Department of Commerce management has not ensured implementation of corrective action on all prior year audit findings for three major federal programs audited for fiscal year ended June 30, 2013. Failure to timely implement corrective action to ensure compliance allows federal funds to potentially be used for unallowable expenditures or fail to be properly reported to the federal oversight agency.</p> <p>Here are some of the details:</p> <ul style="list-style-type: none"> <li>The procedures for performing subrecipient monitoring for the Workforce Investment Act Cluster were not updated in accordance with the prior corrective action plan. The monitoring procedures did not provide evidence that all participants receiving services from the program are being documented in the Workforce Plus System. This system is used to generate performance reports to demonstrate that use of federal funds is meeting the expected performance goals for serving participants. See finding 2013-009 for a description.</li> </ul>
2013-023	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p><u>Deficiencies in Subrecipient Monitoring</u></p> <p>The Department did not document that monitoring procedures were performed to ensure that data for all participants receiving Workforce Investment Act (WIA) Cluster funds was included in the Workforce Plus system. This system is used to track and evaluate WIA performance for federal reporting purposes. Without effective monitoring procedures, there is an increased risk federal reports may not contain all activity of the period. See finding 2013-019 for a description.</p>

**20.205 HIGHWAY PLANNING AND CONSTRUCTION****III. Federal Award Findings and Questioned Costs**

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-024	Procurement and Suspension and Debarment:  Significant Deficiency	<p data-bbox="537 554 1162 583"><u>Justification for Awarding Contracts Not Documented</u></p> <p data-bbox="537 617 1466 743">The Department of Transportation did not adequately document the rationale for awarding consultant contracts when the selected firms were not identified as the best qualified. As a result, there was an increased risk of noncompliance with federal procurement requirements.</p> <p data-bbox="537 772 1466 953">We tested 45 contracts funded fully or partially with Highway Planning and Construction Cluster funds, which included 34 consultant contracts. We identified four consultant contracts awarded to firms that were not identified as the best qualified firm based on Department selection criteria. The total value of the four contracts was \$10 million, with current year federal expenditures of \$1.9 million.</p> <p data-bbox="537 982 1466 1205">For consultant contracts, the Department uses a centralized selection committee to evaluate and rank qualified firms based on past performance, applicable work experience, present workload, and other factors. Each committee member ranks the firms and then they meet to discuss the rankings and make a final selection. In most cases the top ranked firm will be awarded the contract, but if the committee makes a different selection, the rationale for the decision was not documented.</p> <p data-bbox="537 1234 1466 1457">The OMB Circular A-133 Compliance Supplement requires states to use the same state policies and procedures they use for procurements from non-federal funds. <i>North Carolina General Statute</i> 143-64.31 requires state agencies to select firms qualified to provide services on the basis of demonstrated competence and qualification. The Department's internal "Policies and Procedures for Major or Specialized Service Contracts" requires that the results of the selection committee meeting be maintained.</p> <p data-bbox="537 1486 1466 1583"><i>Federal Award Information:</i> CFDA 20.205 Highway Planning and Construction Cluster 2013. This finding also applies to CFDA 20.319 High Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants.</p> <p data-bbox="537 1612 1466 1667"><i>Recommendation:</i> The Department should fully document the rationale for procurement decisions.</p> <p data-bbox="537 1696 1466 1843"><i>Agency Response:</i> Since the audited period, a leadership change has been made in the Director of Technical Services position. The Department of Transportation will prepare formal documentation that summarizes the results of the selection committee meetings and outlines the rationale/justification of the selections.</p> <p data-bbox="537 1873 922 1902">Completion Date: March 1, 2014</p>

**20.205 HIGHWAY PLANNING AND CONSTRUCTION (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-025	Special Tests and Provisions:	<u>Quality Assurance Procedures Not Followed</u>
	Material Weakness	The Department of Transportation did not consistently perform its quality assurance procedures for testing concrete used to pave bridges. As a result, the Department did not comply with federal quality assurance requirements.
	Material Noncompliance	Federal regulations require the Department to follow an approved quality assurance program to validate the quality of the products used in highway construction. The Department's quality assurance program for concrete used in bridge paving requires that acceptance testing and independent assurance tests be conducted at specified intervals for all concrete used on a project. Both the acceptance tests and the assurance tests are to be executed by qualified sampling technicians and compression strength testers.
		<p>We tested 60 contracts for bridge concrete to determine if the Department followed its quality assurance procedures and noted the following:</p> <ul style="list-style-type: none"> <li>While the proper number of acceptance tests was performed, the Department did not meet its standards for independent assurance tests for 20 of the contracts. No independent assurance tests were conducted for 16 contracts, and in four additional cases the tests did not meet the Department's minimum requirements. Although the Department monitored to assess if the required number of independent assurance tests had been performed, they did not follow-up when the minimum number was not met.</li> <li>The Department did not have a formal policy defining what skills and training staff must have to be deemed a qualified compression strength tester. As a result the Department could not provide documentation to demonstrate that its 22 compression strength testers were qualified. In contrast, the Department requires sampling technicians to obtain a specific certification, and we found the Department had documentation that the certifications were attained for those in our test.</li> </ul>
		<p><i>Federal Award Information:</i> CFDA 20.205 Highway Planning and Construction Cluster 2013.</p>
		<p><i>Recommendation:</i> The Department should ensure the required number of independent assurance tests is performed. The Department should develop and implement procedures that clearly demonstrate personnel are qualified to perform concrete compression strength tests.</p>



**20.205 HIGHWAY PLANNING AND CONSTRUCTION (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Agency Response:</i> This finding is the result of transitioning to a new Independent Assurance Program in partnership with the North Carolina Federal Highway Administration (FHWA). In 2012, the Department designed a new approach that is allowed by the Code of Federal Regulations. FHWA encouraged the Department to pilot this approach before full implementation. In April 2013, the Department began the pilot and was to continue the previously FHWA approved approach. However, the approach in effect for fiscal 2013 was not always properly performed.</p> <p>NCDOT received concurrence from FHWA to fully implement the new approach effective in January 2014. With this new approach, the Materials and Tests Unit has a technician who is responsible for monitoring all Independent Assurance activities and notifies the field employees and their management of the status of compliance through formal reports sent via email monthly (office specific) and quarterly (overall effectiveness). The target for calendar year 2014 is to assess 80 percent of all actively testing technicians.</p> <p>The Department will institute a formal policy defining the expected training and qualifying laboratory technicians inclusive of the expected documentation process. In December 2013, a portion of the personnel (nine technicians) responsible for compressive strength testing received training and obtained the American Concrete Institute's Concrete Strength Testing Technician Level I certification. Another session will be held in March 2014 to certify ten of the remaining technicians. Others will be trained in the same fashion as needed. This exceeds the requirements of the CCRL for this particular test. Also, the five Materials and Tests Regional laboratories will obtain AMRL and CCRL accreditation by December 31, 2014. This will ensure that annual documentation of the testing protocol/procedure by each technician is maintained properly.</p> <p>Anticipated Completion Date: Changes will be fully implemented by December 2014.</p>

## 20.319 HIGH-SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE – CAPITAL ASSISTANCE GRANTS

### III. Federal Award Findings and Questioned Costs

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-026	<u>Davis-Bacon Act:</u>  Significant Deficiency	<p data-bbox="519 588 893 619"><u>Certified Payrolls Not Obtained</u></p> <p data-bbox="519 651 1479 808">The Department of Transportation did not ensure contractors and subcontractors of the Rail Division's construction contracts submitted the required weekly certified payrolls. As a result, the Department did not comply with federal Davis-Bacon requirements. In addition, there was an increased risk that wages paid to laborers did not comply with the wage requirements.</p> <p data-bbox="519 840 1479 1050">We tested wage requirements for five construction contracts and 19 weeks of work. We noted that for two of the five contracts and seven of the 19 work weeks, the contractors and subcontractors did not submit all of the required certified payrolls. The two contracts noted as errors had a total value of \$3.3 million with current year federal expenditures of \$472,230, but it is unknown how much of this cost was related to contractors' and subcontractors' payroll.</p> <p data-bbox="519 1081 1479 1291">The two errors were contracts monitored by the Rail Division. The Division did not follow Department procedures to accurately document the collection of the required certified payrolls. In addition, the Department's standard documentation for identifying which certified payrolls had been received was often completed by a prime contractor and not a Division employee. There was no evidence the Division reviewed the documents prepared by the contractor or the certified payrolls to ensure laborers were paid the prevailing wage rates.</p> <p data-bbox="519 1323 1479 1533">The OMB Circular A-133 Compliance Supplement requires that contractors and subcontractors submit to the state awarding agency a certified payroll for each week in which any contract work is performed. The awarding agency it to review the payrolls to ensure all laborers and mechanics employed by contractors and subcontractors to work on construction contracts in excess of \$2,000 are paid wages not less than those established for the locality of the project (prevailing wage rates) by the Department of Labor.</p> <p data-bbox="519 1564 1479 1669"><i>Federal Award Information:</i> This finding affects federal award FR-HSR-0006-10-01 for the award period of May 24, 2010 – September 30, 2017.</p> <p data-bbox="519 1701 1479 1850"><i>Recommendation:</i> The Department should ensure all certified payrolls are obtained and reviewed for compliance with federal regulations. In addition, management should ensure staff that oversee or coordinate construction projects are properly trained on how to document and execute the Department's designed procedures.</p>

## 20.319 HIGH-SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE – CAPITAL ASSISTANCE GRANTS (continued)

### III. Federal Award Findings and Questioned Costs

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Agency Response:</i> The Rail Division will ensure certified payrolls for contractors and sub-contractors will be obtained and that the certified payrolls will be reviewed by a DOT employee.</p> <p>Completion Date: March 1, 2014</p>
<p>2013-027 Procurement and Suspension and Debarment:</p> <p>Material Weakness</p> <p>Material Noncompliance</p>	<p><u>Buy-American Provisions Excluded From Contract Terms</u></p> <p>The Department of Transportation did not ensure all the Buy-American provisions were included in its ARRA-funded contracts for the maintenance or repair of a public building. As a result the Department did not comply with this federal requirement and there is an increased risk that the manufactured goods used in these projects were not produced in the United States.</p> <p>The Department awarded four ARRA-funded contracts, with a total contract value of \$6.6 million, to maintain or repair rail stations. None of the four contracts included the Buy-America provision for manufactured goods. Per Department personnel, the initial contracts that involved construction, alteration, maintenance, or repair only included a clause that the steel and iron products used for a project be produced in the United States. The Department did not update the standard contract provisions to include manufactured goods.</p> <p>Title 2 CFR section 176.140 requires a contract using ARRA funds for the construction, alteration, maintenance, or repairs of a public building or work include an award clause that all of the iron, steel, and manufactured goods used in the project be produced in the United States.</p> <p><i>Federal Award Information:</i> This finding affects federal award FR-HSR-0006-10-01 for the award period of May 24, 2010 – September 30, 2017.</p> <p><i>Recommendation:</i> The Department should update the standard contract language to include all Buy-American provisions.</p> <p><i>Agency Response:</i> There were four contracts issued without the Buy American provision for rail station projects. As the Piedmont Improvement Program developed, with coordination between the Rail Division and Contract Standards and Development, all other contracts included the Buy American provision. Measures are in place to ensure the provision will be in all contracts going forward.</p>

## 20.319 HIGH-SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE – CAPITAL ASSISTANCE GRANTS (continued)

### III. Federal Award Findings and Questioned Costs

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
		<p>The Rail Division has informed the Federal Railroad Administration (FRA). A memo will be prepared outlining the affected projects and the approximate dollar values of the applicable materials per project. The memo will be copied to FRA's attention with a request for guidance on additional information and approval requirements.</p> <p>Anticipated Completion Date: March 31, 2014</p>
2013-028	<p>Procurement and Suspension and Debarment:</p> <p>Significant Deficiency</p>	<p><u>Justification for Awarding Contracts Not Documented</u></p> <p>The Department of Transportation did not adequately document the rationale for awarding consultant contracts when the selected firms were not identified as the best qualified. As a result, there was an increased risk of noncompliance with federal procurement requirements.</p> <p>We identified three out of 15 consultant contracts that were awarded to firms that were not identified as the best qualified firm based on Department selection criteria. The total value of the three contracts was \$1.3 million, with current year federal expenditures of \$1 million.</p> <p>For consultant contracts, the Department uses a centralized selection committee to evaluate and rank qualified firms based on past performance, applicable work experience, present workload, and other factors. Each committee member ranks the firms and then they meet to discuss the rankings and make a final selection. In most cases the top ranked firm will be awarded the contract, but if the committee makes a different selection, the rationale for the decision was not documented.</p> <p>The OMB Circular A-133 Compliance Supplement requires states to use the same state policies and procedures they use for procurements from non-federal funds. North Carolina General Statute 143-64.31 requires state agencies to select firms qualified to provide services on the basis of demonstrated competence and qualification. The Department's internal "Policies and Procedures for Major or Specialized Service Contracts" requires that the results of the selection committee meeting be maintained.</p> <p><i>Federal Award Information:</i> This finding affects federal award FR-HSR-0006-10-01 for the award period of May 24, 2010 – September 30, 2017. This finding also applies to CFDA 20.205 Highway Planning and Construction Cluster.</p>

## 20.319 HIGH-SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE – CAPITAL ASSISTANCE GRANTS (continued)

### III. Federal Award Findings and Questioned Costs

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Recommendation:</i> The Department should fully document the rationale for procurement decisions.</p> <p><i>Agency Response:</i> Since the audited period, a leadership change has been made in the Director of Technical Services position. The Department of Transportation will prepare formal documentation that summarizes the results of the selection committee meetings and describes the rationale/justification of the selections.</p> <p>Completion Date: March 1, 2014</p>
<p>2013-029      <u>Reporting:</u></p> <p>Material Weakness</p> <p>Material Noncompliance</p>	<p><u>Federal Reports Contained Errors</u></p> <p>The Department of Transportation's federal reports for the High Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grant did not include all activity of the reporting period, and the errors were not detected and corrected by the Department. Errors in federal reports reduce the usefulness of the data to users and may result in noncompliance with federal reporting requirements.</p> <p>There are two quarterly reports for the grant. Both reports contain similar financial data and were prepared by a consulting firm hired by the Rail Division to provide program management and support. Division staff did not review the reports to ensure they were prepared in accordance with Department policy. We found the reports did not match each other nor did they contain the correct financial data for the reporting period. Specifically, we noted the following:</p> <ul style="list-style-type: none"> <li>• The cumulative cash receipts amount reported in line 10(a) of the SF-425 for the quarter ended June 2013 was overstated by \$3.97 million.</li> <li>• The cumulative cash disbursements amount reported in line 10(b) of the SF-425 for the quarter June 2013 was understated by \$5.38 million.</li> <li>• The cumulative federal share of expenditures reported in line 10(e) of the SF-425 for the quarter June 2013 was overstated \$1.89 million.</li> <li>• The cumulative federal amount of ARRA expenditures reported in the 1512 report for the quarter ended June 2013 was understated by \$1.19 million.</li> </ul>

**20.319 HIGH-SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE – CAPITAL ASSISTANCE GRANTS (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>The federal reports contained errors because the preparer was not aware of the Department's federal revenue and expenditure recognition policy or what accounting reports the Department uses to prepare federal reports.</p> <p>The OMB Circular A-133 Compliance Supplement requires federal reports to include all activity of the reporting period, be supported by applicable accounting or performance records, and be fairly presented in accordance with governing requirements.</p> <p><i>Federal Award Information:</i> This finding affects federal award FR-HSR-0006-10-01 for the award period of May 24, 2010 – September 30, 2017.</p> <p><i>Recommendation:</i> The Department should ensure that federal reports are complete and accurate. Individuals responsible for preparing federal reports may need training on Department policy and what accounting system reports should be used to help determine the expenditures and revenues to be include in federal financial reports. Work to prepare the reports should be reviewed thoroughly prior to report submission.</p> <p><i>Agency Response:</i> The difference in federal reports (OMB 1512 vs. SF-425) was due to timing issues and the Department's use of different financial reports capturing reimbursements during a given period of time. Due to the timing, OMB 1512 was created prior to the completion of the month-end closeout and the SF-425 was created after completion of the month-end closeout. The Rail Division has implemented a procedure to create monthly snapshots of information that will be archived for future reference. The Rail Division has implemented a procedure to utilize the Department's preferred query to help ensure federal reports are properly created.</p>

Completion Date: January 31, 2014

**20.509 FORMULA GRANTS FOR RURAL AREAS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-030	<p><u>Activities Allowed or Unallowed:</u></p> <p>Significant Deficiency</p> <p><u>Eligibility:</u></p> <p>Significant Deficiency</p>	<p><u>Review of Subrecipient Applications Not Always Documented</u></p> <p>The Department of Transportation did not consistently document its review of subrecipient grant applications for allowable activities and subrecipient eligibility. As a result, there was an increased risk of awarding a grant for unallowable activities or to an ineligible subrecipient.</p> <p>We identified five out of 18 subrecipients, a 28% error rate, for which the Department was unable to provide a completed application review checklist. Department policy is to complete a standard checklist to document the review of subrecipient applications to ensure allowable activities are approved and eligible recipients are awarded federal funds. Although completed checklists were not provided, our review of the applications found the five subrecipients were eligible and the applications proposed allowable activities.</p> <p><i>Federal Award Information:</i> CFDA 20.509 Formula Grants for Rural Areas awards NC-18-X034-02 for state fiscal year 2013.</p> <p><i>Recommendation:</i> The Department should ensure subrecipient application reviews are consistently documented and maintained.</p> <p><i>Agency Response:</i> In order to provide better electronic retention capability for future Application Checklists, they will be attached to the Partner Connect System. Once a grant application is reviewed and approved by the respective program managers, it will be attached to the grant award record.</p> <p>The Program Manager will review that all information is complete in the process. Assistant Directors have also been charged with properly training the Mobility Development Specialists (MDS) and ensuring that all documentation can be found in Partner Connect and placed in the appropriate files.</p> <p>Anticipated Completion Date: April 1, 2014</p>
2013-031	<p><u>Reporting:</u></p> <p>Material Weakness</p> <p>Material Noncompliance</p>	<p><u>Subrecipient Data in Federal Reports Not Reviewed</u></p> <p>The Department of Transportation did not ensure the subrecipient data in the Formula Grants for Rural Areas' annual financial and operating reports were complete and accurate. As a result, the Department did not comply with federal requirements and there was an increased risk that the federal reports contained errors that were not detected and corrected by the Department. Errors in federal reports reduce the usefulness of the data to the users and may result in noncompliance with federal reporting requirements.</p>

**20.509 FORMULA GRANTS FOR RURAL AREAS** (continued)**III. Federal Award Findings and Questioned Costs**

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>The Department contracted with an external vendor to prepare the annual report using data supplied by subrecipients. The Department did not review the data supplied by the subrecipients for reasonableness and it did not review the vendor-prepared report prior to submitting it to the federal agency. We were able to agree the vendor-prepared report to subrecipient supplied data but were unable to verify the accuracy of the subrecipient data because the underlying support resides at the subrecipient. As noted in another finding, the Department has not performed on-site monitoring to verify the accuracy of subrecipient reports.</p> <p>The OMB Circular A-133 Compliance Supplement and the federal reporting manual for the Rural Areas grant requires recipients to submit an annual report with financial and operating information. The state agency administering the grant is responsible for collecting data and submitting the report. The compliance supplement also requires recipients of federal awards to ensure federal reports include all activity of the reporting period, are supported by applicable accounting or performance records, and are fairly presented in accordance with program requirements.</p> <p><i>Federal Award Information:</i> CFDA 20.509 Formula Grants for Rural Areas awards NC-18-X026-00 for state fiscal year 2008; NC-18-X028-00 for state fiscal year 2009; NC-18-X030-00 for state fiscal year 2010; NC-18-X030-01 for state fiscal year 2011; NC-18-X032-00 for state fiscal year 2010; NC-18-X034-00 for state fiscal year 2011; NC-18-X034-01 for state fiscal year 2012; and NC-86-X001 for fiscal 2009 American Recovery and Reinvestment Act funds.</p> <p><i>Recommendation:</i> The Department should ensure the accuracy and completeness of the annual financial and operating reports. The subrecipient data supplied for the report should be monitored for accuracy and, prior to submission, the report should be reviewed for compliance with federal requirements.</p> <p><i>Agency Response:</i> The results from a report from the Institute for Transportation Research and Education (ITRE) contained information that could not be reconciled to the DOT financials system and the local auditing process. Public Transportation will reinstitute a review process to ensure accurate data reporting inclusive of the operating data for federal compliance. The Deputy Director will approve the final financial data prior to submittal.</p> <p>Anticipated Completion Date: Changes will be fully implemented by September 30, 2014</p>



**20.509 FORMULA GRANTS FOR RURAL AREAS** (continued)**III. Federal Award Findings and Questioned Costs**

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-032	<u>Reporting:</u>  Material Weakness  Material Noncompliance	<p><u>Schedule of Expenditures of Federal Awards Not Accurate</u></p> <p>The Department of Transportation did not accurately report the amount provided to subrecipients of the Formula Grants for Rural Areas on its Schedule of Expenditures of Federal Awards (SEFA). Errors in federal reports reduce the usefulness of the data to the users and may result in noncompliance with federal reporting requirements.</p> <p>The subrecipient expenditures for the Formula Grants for Rural Areas funded by the American Recovery and Reinvestment Act were understated by \$3.6 million. The Department purchased security equipment for the benefit of and use by program subrecipients but did not include the costs in the SEFA as amounts provided to subrecipients.</p> <p>OMB Circular A-133 requires a pass-through entity to identify in its SEFA the total amount provided to subrecipients (both cash and non-cash) from each federal award.</p> <p><i>Federal Award Information:</i> CFDA 20.509 Formula Grants for Rural Areas award NC-86-X001 for fiscal 2009 American Recovery and Reinvestment Act funds.</p> <p><i>Recommendation:</i> The Department should ensure the SEFA is presented in accordance with federal guidelines.</p> <p><i>Agency Response:</i> Cameras and security equipment were purchased by NCDOT purchase orders during the fiscal year for each subrecipient. This was an unusual situation but deemed a quicker solution in order to collectively purchase the items rather than each subrecipient procuring the cameras. The expense was not identified or communicated as subrecipient related cost. An adjusting entry has been made to correct the reporting of this purchase and new general ledger codes have been established for this type of activity.</p> <p>Completion Date: March 1, 2014</p>
2013-033	<u>Subrecipient Monitoring:</u>  Material Weakness  Material Noncompliance	<p><u>Subrecipient Monitoring Not Adequately Performed</u></p> <p>The Department of Transportation did not adequately monitor subrecipients of the Formula Grants for Rural Areas. As a result, the Department did not comply with federal monitoring requirements and there was an increased risk that noncompliance with federal requirements occurred at the subrecipient level and was not detected by the Department.</p>

**20.509 FORMULA GRANTS FOR RURAL AREAS (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>Our review of the Department's monitoring efforts identified the following deficiencies:</p> <ul style="list-style-type: none"> <li>The Department has not performed site visits to monitor subrecipients' fiscal operations and/or compliance with grant requirements since state fiscal year 2010. Department staff attended quarterly meetings of the subrecipients' Transportation Advisory Board where they conducted safety checks, evaluated the cleanliness of the environment and vehicles used in the program, and reviewed certain subrecipient policies. However, these procedures do not provide reasonable assurance that subrecipients complied with all federal requirements, such as allowable costs, equipment maintenance, procurement requirements (including vehicle purchases and facility construction that comply with Buy-American, the Americans with Disability Act, and disadvantaged business enterprises participation), and accurate performance and financial reporting.</li> <li>The Department has not required all subrecipients to provide detailed documentation to support cost reimbursement claims. As a result, it did not consistently monitor subrecipient expenditures for allowable activities, allowable costs, or that the costs were true reimbursements. We identified 28 out of 67 subrecipient cost reimbursements that were approved by the Department without detailed documentation to support the expenditures. Department policy does not require subrecipients subject to an A-133 audit to provide supporting documentation for cost reimbursements. However, an A-133 audit does not assure the Rural Areas grant was subjected to testing by the auditor nor does it replace the federal requirement for the Department to conduct during-the-award monitoring. Submitting such documentation may not be necessary if the Department were to examine it during site visits.</li> </ul> <p>The OMB Circular A-133 Compliance Supplement requires a pass-through entity monitor subrecipients to provide reasonable assurance that the federal awards are administered in compliance with laws, regulations, and the provisions of contract or grant agreement and that performance goals are achieved. The Department's State Management Plan says the Department will conduct on-site reviews of every subrecipient every three years to ensure compliance with federal and state regulations and agreements.</p> <p>Similar aspects of this finding were reported in 2011.</p>

**20.509 FORMULA GRANTS FOR RURAL AREAS** (continued)**III. Federal Award Findings and Questioned Costs**

U.S. Department of Transportation

N.C. Department of Transportation

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Federal Award Information:</i> CFDA 20.509 Formula Grants for Rural Areas awards NC-18-X026-00 for state fiscal year 2008; NC-18-X028-00 for state fiscal year 2009; NC-18-X030-00 for state fiscal year 2010; NC-18-X030-01 for state fiscal year 2011; NC-18-X032-00 for state fiscal year 2010; NC-18-X034-00 for state fiscal year 2011; NC-18-X034-01 for state fiscal year 2012; NC-18-X034-02 for state fiscal year 2013; and NC-86-X001 for fiscal year 2009 American Recovery and Reinvestment Act funds.</p> <p><i>Recommendation:</i> The Department should perform monitoring procedures to ensure subrecipients administer federal awards in compliance with laws, regulations, and the provisions of contracts or grant agreements. Management should ensure monitoring procedures comply with the Department's State Management Plan.</p> <p><i>Agency Response:</i> Recognizing that issues existed, there has been a change in the leadership position of the area. The Public Transportation Division is creating a plan to conduct on-site compliance reviews. The on-site reviews will include items to assist meeting A-133 audit requirements (including sampling the documentation that supports the invoices). In addition, all subrecipients will be required to attend a webinar to understand their legal responsibilities when receiving federal funds prior to future grant awards.</p> <p><i>Anticipated Completion Date:</i> Efforts are underway but cannot be fully accomplished in FY14 since it is late in the fiscal year. NCDOT anticipates being complete by December 2014.</p>

**66.458 CAPITALIZATION GRANTS FOR CLEAN WATER STATE REVOLVING FUNDS****III. Federal Award Findings and Questioned Costs**

U.S. Environmental Protection Agency

N.C. Department of Environment and Natural Resources

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs		Findings and Recommendations
2013-034	<p><u>Period of Availability:</u></p> <p>Significant Deficiency</p> <p>Questioned Cost Finding</p> <p>\$15,512</p>	<p><u>Federal Funds Expended After the Period of Availability</u></p> <p>The Department of Environment and Natural Resources did not comply with the period of availability requirements for the Capitalization Grant for Clean Water State Revolving Fund. Grant funds were expended during the liquidation period for obligations that were incurred after the period of availability.</p> <p>40 CFR 31.23 requires that only allowable costs resulting from obligations incurred during the period of availability may be charged to the grant. Additionally, cost incurred in the 90-day liquidation period after the end of the funding period should only be for obligations incurred within the period of availability.</p> <p>The budget period and project period for the American Recovery and Reinvestment Act (ARRA) Capitalization Grant for Clean Water State Revolving Fund ended October 30, 2012. The liquidation period was November 1, 2012 – January 31, 2013. Any federal funds that were expended during the liquidation period should have been for obligations that occurred on or before October 31, 2012.</p> <p>Audit results revealed \$15,512 was expended during the liquidation period for personal services and indirect costs that occurred during the liquidation period. These costs are considered unallowable and will be questioned.</p> <p><i>Federal Award Information:</i> This finding affects funds administered under the American Recovery and Reinvestment Act federal award 2W-95421209 for the award period of March 16, 2009 - October 31, 2012.</p> <p><i>Recommendation:</i> The Department should implement procedures to ensure that federal funds expended during the liquidation period are for expenditures associated with obligations that occurred during the period of availability.</p> <p><i>Agency Response:</i> Department agrees with the finding. Corrective action is in progress. Overall, our allowable expenses within the grant period exceeded our draws, and we did incur other expenses within the grant period from which a draw could be made; however, the \$15,512 noted did fall within the liquidation period. The Department is creating standard operating procedures to prevent any future occurrences. Since this finding relates specifically to ARRA funding that is no longer available, this issue will not reoccur.</p>

**66.458 CAPITALIZATION GRANTS FOR CLEAN WATER STATE REVOLVING FUNDS (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Environmental Protection Agency

N.C. Department of Environment and Natural Resources

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-035	Subrecipient <u>Monitoring:</u>	<u>Monitoring of Subrecipient Cash Management Needs Improvement</u>
	Material Weakness	<p>The Department of Environment and Natural Resources did not monitor subrecipients of the Capitalization Grant for Clean Water State Revolving Funds to ensure that funds were expended in accordance with the cash management requirements communicated in the Offer and Acceptance Document. As a result, there is an increased risk that subrecipients are not complying with the cash management requirements resulting in excess cash held by subrecipients and lost interest earnings to the State.</p> <p>The Offer and Acceptance (Binding Commitment) document, Section 2 – Assurances, requires the subrecipient to expend all of the requisitioned loan/grant proceeds for the purpose of paying costs of projects within three banking days of receipt of such funds from the State. However, the Department did not monitor subrecipients to verify that funds were expended within the required three days. During the audit period, 17 subrecipients received \$36,462,111 in Capitalization Grants for Clean Water State Revolving Funds.</p> <p>OMB Circular A-133 requires that subrecipients be monitored to provide reasonable assurance that the subrecipient administers federal awards in compliance with laws, regulations and provision of contracts or grants agreements. In addition, 40 CFR 31.20(b)(7) requires the State to monitor cash drawdowns by its subrecipients to ensure that they conform substantially to the same standards as the State.</p> <p>This finding has been reported for four consecutive years.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 66.458 Capitalization Grants for Clean Water Revolving Funds and federal awards CS-37000110 for the award period of 10/01/2010 – 09/30/2012; CS-37000111 for the award period of 10/01/2011 – 09/30/2014 and CS-37000112 for the award period of 08/01/2012 – 12/31/2014. This finding also affects funds administered under the American Recovery and Reinvestment Act federal award 2W-95421209 for the award period of March 16, 2009 – October 30, 2012.</p> <p><i>Recommendation:</i> The Department should strengthen subrecipient monitoring by implementing procedures to ensure that cash management requirements are met.</p> <p><i>Agency Response:</i> Department agrees with the finding. Corrective action has been implemented. DENR Internal Audit staff are currently in the process of monitoring recipients of the Clean Water State Revolving Fund and Drinking Water State Revolving Fund.</p>
	Material Noncompliance	

**66.468 CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUNDS****III. Federal Award Findings and Questioned Costs**

U.S. Environmental Protection Agency

N.C. Department of Environment and Natural Resources

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-036	<u>Activities Allowed or Unallowed:</u>	<u>Federal Funds Used for Unallowable Activity</u>
	Significant Deficiency  Questioned Cost Finding  \$1,652,657	The Department of Environment and Natural Resources inappropriately transferred federal funds into the State Revolving Fund. As a result federal funds were used for an unallowable activity. In addition, the Department did not comply with federal cash management requirements to minimize the time elapsing between the transfer of funds from the U.S. Treasury and their disbursement for program purposes.
	<u>Cash Management:</u>  Significant Deficiency	The Department uses the Capitalization Grant for Drinking Water State Revolving Fund (DWSRF) to make loans to local governments for approved projects. Repayment of these loans by the local government is appropriately deposited into the State Revolving Fund.
		During the 2013 fiscal year, the Department drew down \$1,652,657 in available funds from the American Recovery and Reinvestment Act DWSRF Capitalization Grant and treated the drawdown as repayment for three loans projects that had been previously financed with funds from the 2008 DWSRF Capitalization Grant. The ARRA funds were deposited directly into the State Revolving Fund where they would not be subject to federal compliance requirements.
		Additionally, the funds were not disbursed timely which may result in interest owed to the federal government for holding funds in the State account.
		DWSRF Capitalization Grants should only be expended for allowable activities as prescribed in 40 CFR sections 35.3520 and 35.3525. In addition, 31 CFR 205.33 requires the State to minimize the time elapsing between the drawdown of federal funds from the federal government and their disbursement for federal program purposes.
		<i>Federal Award Information:</i> This finding affects funds administered under the American Recovery and Reinvestment Act federal award 2F-95423309 for the award period of April 1, 2009 and September 30, 2013.
		<i>Recommendation:</i> The Department should improve procedures to ensure that federal funds are only used for allowable activities and that federal funds are drawn and disbursed timely in accordance with cash management requirements. Also, the Department should transfer the ARRA funds from the State Revolving Fund to the federal capitalization grant to be loaned out as federal funds and subject to federal compliance requirements.

**66.468 CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUNDS (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Environmental Protection Agency

N.C. Department of Environment and Natural Resources

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
		<p><i>Agency Response:</i> Department agrees with the finding. Corrective action has been implemented. While there were other allowable charges that could have drawn from ARRA funding, all transactions involving the \$1,652,657 from the Drinking Water State Revolving Fund have been reversed. Since this finding relates specifically to ARRA funding that is no longer available, this issue will not reoccur.</p>
2013-037	<p>Subrecipient Monitoring:</p> <p>Material Weakness</p> <p>Material Noncompliance</p>	<p><u>Subrecipient Monitoring Needs Improvement</u></p> <p>The Department of Environment and Natural Resources did not have adequate monitoring procedures in place for subrecipients of the Capitalization Grant for Drinking Water State Revolving Fund (DWSRF). As a result, there is an increased risk that subrecipients may not administer federal awards in compliance with laws, regulations and provision of contracts or grants agreements and performance goals may not be met.</p> <p>The Department did not follow its monitoring plan, did not monitor subrecipients for cash management requirements and did not verify suspension and debarment certifications. The following details the audit results:</p> <ul style="list-style-type: none"> <li>The Department did not perform subrecipient site visits in accordance with its monitoring plan. The Department's monitoring plan required that a site visit be performed when projects were 10%, 50% and 90% complete. Audit results disclosed that 24 of the 42 subrecipients that had open projects during the audit year did not receive site visits in accordance with the monitoring plan.</li> <li>The Department did not monitor subrecipients to ensure that funds were expended in accordance with the cash management requirement communicated in the Offer and Acceptance document. The Offer and Acceptance (Binding Commitment) document, Section 2 – Assurances, requires the subrecipient to expend all of the requisitioned loan/grant proceeds for the purpose of paying costs of projects within three banking days of receipt of such funds from the State. As a result, there is an increased risk that subrecipients are not complying with the cash management requirements resulting in excess cash held by subrecipients and lost interest earnings to the State. During the audit period, 44 subrecipients received \$22,979,540 in Capitalization Grants for Drinking Water State Revolving Funds.</li> <li>The Department did not ensure that subrecipients did not contract with parties that were suspended or debarred. As part of the monitoring</li> </ul>

**66.468 CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUNDS (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Environmental Protection Agency

N.C. Department of Environment and Natural Resources

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>process Department engineers are required to check that all contractors and subcontractors submit a certification that they are not suspended or debarred. The auditor tested eight of the 40 new loan projects approved during the audit period and identified one instance (12.5% error rate) where the contractor did not submit the required suspension and debarment certification. The total value of the loan project noted as an error was \$725,170 with current year federal expenditures of \$292,789. Insufficient verification of suspension and debarment certification increases the risk that the Department would reimburse the subrecipient for unallowable costs paid to suspended or debarred parties. Based on auditor review of the State and Federal debarment listings, the contractor in question was not suspended or debarred.</p> <p>OMB Circular A-133 requires that subrecipients be monitored to provide reasonable assurance that the subrecipient administers federal awards in compliance with laws, regulations and provision of contracts or grants agreements. In addition, 40 CFR 31.40 requires that grantees must monitor subrecipients to assure compliance with applicable federal requirements and 40 CFR 31.20(b)(7) specifically requires monitoring for conformance with cash management requirements.</p> <p>Certain aspects of this finding have been reported for four consecutive years.</p> <p><i>Federal Award Information:</i> This finding affects federal awards FS-98433807 for the award period of July 1, 2008 – September 30, 2013; FS-98433808 for the award period of July 1, 2009 – September 13, 2014; FS-98433809 for the award period of July 1, 2010 – September 13, 2015 and FS-98433810 for the award period of July 1, 2011 – September 30, 2016. This finding also affects funds administered under the American Recovery and Reinvestment federal award 2F-95423309 for the award period of April 1, 2009 – September 30, 2013.</p> <p><i>Recommendation:</i> The Department should have an adequate monitoring plan in place to ensure that subrecipients administer federal awards in compliance with laws, regulations and provisions of contracts or grants agreements.</p> <p>The Department should strengthen subrecipient monitoring procedures to ensure subrecipients expend funds within three-days of receipt in compliance with cash management requirements.</p> <p>The Department should verify that subrecipients require contractors and subcontracts to certify that they are not suspended or debarred.</p>



**66.468 CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUNDS (continued)**

**III. Federal Award Findings and Questioned Costs**

U.S. Environmental Protection Agency  
N.C. Department of Environment and Natural Resources  
For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Agency Response:</i> Department agrees with the finding. Corrective action has been implemented. A) The monitoring plan was intended to provide guidance to division staff rather than a requirement; however, this was not clearly indicated in the plan. The monitoring plan has been updated to more clearly define the inspection protocol. B) DENR Internal Audit staff are currently in the process of monitoring recipients of the Clean Water State Revolving Fund and Drinking Water State Revolving Fund. C) Although contractors were being monitored for suspensions or debarments, the Department did not previously check for this certification regarding engineers. The "Owner DBE Checklist" has now been updated to require this certification.</p>

**84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-038	Cash <u>Management:</u>  Significant Deficiency	<p><u>Noncompliance with Cash Management Requirements</u></p> <p>The Department did not have adequate monitoring procedures to ensure subrecipients disbursed federal funds in a timely manner as required by federal and state cash management requirements. As a result, there is an increased risk that the Department and/or its subrecipients could accumulate federal funds and fail to disburse them in a timely manner. During the year, the Department disbursed approximately \$1.3 billion in federal funds to subrecipients for all grants.</p> <p>The Treasury-State Agreement requires the State to request funds such that they are deposited in a state account not more than three days prior to the actual disbursement of those funds. In addition, 34 CFR section 80.20 requires the State to monitor cash drawdowns by its subrecipients to ensure that they conform substantially to the same standards as the State.</p> <p>The Department's cash management monitoring procedures in place during the majority of the year did not ensure that subrecipients expended federal funds within three days of receipt. During its fiscal monitoring visits, the Department determined if the subrecipients had policies and procedures over their cash management process, but did not verify that the procedures were actually being followed. At the end of each month, the Department determined whether subrecipients had requested funds in excess of actual expenditures for the month. This procedure was inadequate as it did not determine whether funds were spent within three days of receipt. The Department began the implementation of an online cash management monitoring system in March 2013, but not all subrecipients were participating in the monitoring system by year-end.</p> <p>The Department's year-end closeout process for the 2013 fiscal year revealed that excess funds had been drawn down and disbursed to subrecipients for a number of grants. The Department recovered these funds from the subrecipients which caused the Department to have excess cash on hand. For example, the Department recovered:</p> <ul style="list-style-type: none"> <li>• \$8 million for the Special Education – Grants to State program on July 9, 2013.</li> <li>• \$3.5 million for the Title I Cluster on July 9, 2013.</li> <li>• \$1.3 million for the Improving Teacher Quality grant on July 9, 2013.</li> </ul>

**84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>In addition to the issues noted above, the Department did not eliminate excess cash balances on hand before drawing down additional federal funds. During the 2012 year-end closeout process, the Department had recovered approximately \$16.2 million from its subrecipients in July 2012, which caused the Department to have excess cash on hand. The Department continued to draw down federal funds daily for more than two weeks before using any of the recovered funds. The recovered funds were held by the Department from 21 to 30 business days before being used.</p> <p>Significant aspects of this finding were reported in the prior year.</p> <p><i>Federal Award Information:</i> This finding impacts these federal programs:</p> <ol style="list-style-type: none"> <li>Title I Grants to Local Education Agencies: Federal funding periods: 1) July 1, 2011 - September 30, 2012 (S010A110033A); and 2) July 1, 2012 - September 30, 2013 (S010A120033A).</li> <li>Special Education - Grants to States: Federal funding periods: 1) July 1, 2010 - September 30, 2011 (H027A100092A); 2) July 1, 2011 - September 30, 2012 (H027A110092A); and 3) July 1, 2012 - September 30, 2013 (H027A120092-11B).</li> <li>Special Education - Preschool Grants: Federal funding periods: 1) July 1, 2010 - September 30, 2011 (H173A100096); 2) July 1, 2011 - September 30, 2012 (H173A110096); and 3) July 1, 2012 - September 30, 2013 (H173A120096).</li> <li>Improving Teacher Quality State Grants: Federal funding periods: 1) July 1, 2010 - September 30, 2011 (S367A100032A); 2) July 1, 2011 - September 30, 2012 (S367A110032A); and 3) July 1, 2012 - September 30, 2013 (S367A120032A).</li> <li>Race to the Top: Federal funding period September 24, 2010 - September 23, 2014 (S395A100069).</li> <li>Education Jobs Fund: Federal funding period August 10, 2010 - September 30, 2011 (S410A100034).</li> <li>Career and Technical Education: Federal funding periods July 1, 2011 - September 30, 2012 (V048A110033-11B); and July 1, 2012 - September 30, 2013 (V048A120033-12A).</li> </ol>

**84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
<p>h. School Improvement Grants: Federal funding periods 1) July 1, 2009 – September 30, 2010 (S377A090034A); 2) July 1, 2010 – September 30, 2011 (S377A100034); and 3) July 1, 2012 – September 30, 2013 (S377A120034).</p> <p>i. School Improvement Grants, Recovery Act: Federal funding period February 17, 2009 – September 30, 2010 (S388A090034A).</p>	

*Recommendation:* The Department should ensure subrecipients draw down and disburse federal funds in accordance with federal and state cash management requirements. In addition, the Department should ensure excess federal cash balances are returned to the federal awarding agency or disbursed in a timely manner.

*Agency Response:* The Department concurs with the Auditor's finding and recommendation. Effective March 28, 2013, the Department went "live" with the online Cash Management Monitoring System (CMMS). Implementation of CMMS enables the LEAs to perform self-monitoring of compliance with federal and state cash management requirements. CMMS provides users with cash and expenditure activity, ending cash balances and any out of compliance amounts via two key reports, the Audit Compliances Report and Daily Report (Summary). To date, communication to the LEAs included two separate Finance Officer Newsletters dated March 28, 2013 and May 3, 2013, respectively, as well as direct emails. In addition, the Department presented this information as well as a live demonstration of the system at the Finance, Business, and Technology Services Summer Conference on July 25, 2013, the Cash Management and Management Systems Administration training December 18, 2013 and the Region 2 Finance Officers' meeting February 28, 2014. The Department's ongoing communication regarding the implementation of the system and emphasis on compliance with Federal and State cash management requirements should increase the LEAs awareness of the significance of compliance.

The Department is in the process of developing effective policies and procedures for monthly monitoring of CMMS for LEA compliance and appropriate notification, consequences, etc. for identified instances of noncompliance. In addition, the Monitoring and Compliance Section's policies and procedures for on-site fiscal monitoring, also in the process of being revised, will include an update of fiscal monitoring procedures and the fiscal monitoring tool to include verification of subrecipients' compliance with federal and state cash management requirements. The estimated date to have these monitoring procedures revised and implemented is by July 1, 2014.

**84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
		<p>The Department will develop a year end accounting procedure to eliminate excess cash balances on hand before drawing down additional funds. If funds cannot be disbursed within three business days, the Department will return the excess cash balances to the appropriate federal awarding agency. The procedure will be effective with the fiscal year 2013-2014 year end close.</p>
2013-039	<p>Period of <u>Availability:</u></p> <p>Significant Deficiency</p>	<p><u>Noncompliance with Period of Availability Requirements</u></p> <p>The Department did not reduce amounts awarded to subrecipients that had carryover balances exceeding 15% of the Title I awards as required by federal regulations. As a result, subrecipients did not comply with the period of availability requirement by carrying over approximately \$2.36 million in unobligated funds into the following fiscal year.</p> <p>We reviewed 12 out of 31 subrecipients that received \$50,000 or more in Title I funds and had a carryover balance on September 30, 2012, that exceeded 15% of the original Title I funds awarded. Our review showed that eight of the 12 subrecipients did not request a waiver from the Department but carried over Title I funds. The Department did not comply with the federal requirement to reduce the excess awards for the eight subrecipients.</p> <p>Section 1127 of the Elementary and Secondary Education Act provides that subrecipients that receive \$50,000 or more in Title I, Part A funds may not carry over beyond the initial 15 months of availability more than 15% of its Title I, Part A funds. A State Education Agency may grant a waiver of the percentage limitation for a subrecipient.</p> <p><i>Federal Award Information:</i> This finding impacts federal funding period July 1, 2011 - September 30, 2012 (S410A110033A).</p> <p><i>Recommendation:</i> The Department should ensure awards are reduced for subrecipients of Title I funds that have a carryover balance that exceeds the 15% threshold and do not obtain a waiver.</p> <p><i>Agency Response:</i> The Department concurs with the Auditor's finding and recommendation. The Department's Monitoring and Compliance Section is in the process of developing effective policies and procedures to monitor period of availability requirements and ensure compliance as well as identify appropriate methods of notification or required reductions for identified instances of noncompliance. The estimated date to have these monitoring policies and procedures revised and implemented is by July 1, 2014.</p>

**84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-040	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p data-bbox="519 588 1006 619"><u>Monitoring Results Not Reported Timely</u></p> <p data-bbox="519 651 1477 871">The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.</p>
2013-041	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p data-bbox="519 924 1023 955"><u>Deficiencies in Title I Program Monitoring</u></p> <p data-bbox="519 987 1477 1113">The Department did not maintain documentation to support its monitoring visits for the Title I program. As a result, there is an increased risk that subrecipient monitoring was not adequately performed and/or monitoring results were not supported.</p> <p data-bbox="519 1144 1477 1239">Our review of the documentation for a sample of 11 subrecipient monitoring visits revealed the Department issued reports and ensured findings were addressed. However, we noted the following deficiencies:</p> <ul data-bbox="568 1270 1477 1585" style="list-style-type: none"> <li data-bbox="568 1270 1477 1333">• The Department did not maintain the completed monitoring tool for eight subrecipient monitoring visits.</li> <li data-bbox="568 1365 1477 1522">• The Department did not maintain the completed monitoring tracking form for three subrecipient visits. A tracking form is used to ensure the monitoring process is completed in its entirety (i.e. the visit occurred, the report was sent to the subrecipient, and the subrecipient took appropriate and timely corrective action on all findings).</li> <li data-bbox="568 1554 1477 1585">• The Department provided one incomplete monitoring tracking form.</li> </ul> <p data-bbox="519 1617 1477 1795">These deficiencies were attributed to the lack of centralized filing of monitoring supporting documentation and the lack of review and approval of monitoring tracking forms to ensure all phases of the monitoring process were completed. The Department's current procedures require Title I Program Consultants to complete a monitoring tool and monitoring tracking form for each subrecipient monitored during the year.</p> <p data-bbox="519 1827 1477 1887">Federal regulations require pass-through entities to monitor the activities of subrecipients as necessary to ensure that federal awards are used for</p>

**84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>authorized purposes in compliance with laws and regulations and performance goals are achieved.</p> <p><i>Federal Award Information:</i> This finding impacts federal funding periods 1) July 1, 2011 - September 30, 2012 (S010A110033A) and 2) July 1, 2012 - September 30, 2013 (S010A120033A).</p> <p><i>Recommendation:</i> The Department should develop and implement policies and procedures that ensure subrecipient monitoring documentation is centrally filed and available to Department personnel. Monitoring tracking forms should be reviewed and approved to ensure all monitoring phases are completed.</p> <p><i>Agency Response:</i> The Department concurs with the Auditor's finding and recommendation. The Department's Federal Program Monitoring and Support Division initiated an internal audit of the Title I subrecipient monitoring procedures including documentation to support subrecipient monitoring. In fiscal year 2013-14, the Department implemented procedures to include organizing documentation in files for planning, fieldwork, reporting and maintenance of files in Dropbox; revising the monitoring instrument adding a section to provide detailed documentation of evidence reviewed and recommendations made; developing common practices and providing staff training for documenting reviews; and, revising the monitoring report to include additional information and space for action taken and the final report status. The Title I monitoring staff were trained on monitoring and documentation procedures in August and September of 2013. The Department's Federal Program Monitoring and Support Division will maintain all documentation, including tracking forms, in a web-based format to provide management with easy access to monitoring procedures throughout the subrecipient monitoring process.</p>

**84.027 SPECIAL EDUCATION – GRANTS TO STATES****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-042	Cash <u>Management:</u>  Significant Deficiency	<p><u>Noncompliance with Cash Management Requirements</u></p> <p>The Department did not have adequate monitoring procedures to ensure subrecipients disbursed federal funds in a timely manner as required by federal and state cash management requirements. As a result, there is an increased risk that the Department and/or its subrecipients could accumulate federal funds and fail to disburse them in a timely manner. During the year, the Department disbursed approximately \$1.3 billion in federal funds to subrecipients for all grants. See finding 2013-038 for a description.</p>
2013-043	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p><u>Deficiencies in Special Education Cluster Monitoring</u></p> <p>The Department did not have procedures in place to ensure that monitoring reports were reviewed or that monitoring results were communicated to subrecipients. As a result, there is an increased risk that noncompliance at the subrecipient level could not be corrected timely. During the year, subrecipients received approximately \$342 million in Special Education Cluster funds.</p> <p>The Department has multiple processes in place to monitor the use of the Special Education funds at the subrecipient level. These activities range from reviewing improvement plans prepared by the subrecipients, to performing onsite visits. The deficiencies identified during our tests are described below.</p> <ul style="list-style-type: none"> <li>• There was no evidence that four of the 33 continuous improvement plans received from the subrecipients had been reviewed by the Department. The Department has developed a routing form that indicates the date received, the date reviewed and date approved for these plans but this form was not completed for these four subrecipients.</li> <li>• There was no evidence of approval to ensure that the monitoring report clearly reflected the results of the review for one of the eight onsite program compliance reviews tested.</li> <li>• The Department did not provide a monitoring report communicating the results of the visit to the subrecipient for one of the two onsite targeted visits in our sample. The Department's policy states that a formal report will be issued within 60 calendar days of the targeted visit. Failure to issue reports increases the likelihood that the subrecipient will not address noncompliance issues or take timely corrective action.</li> </ul>



**84.027 SPECIAL EDUCATION – GRANTS TO STATES (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>OMB Circular A-133 requires pass-through entities to monitor the activities of subrecipients as necessary to ensure that federal awards are used for authorized purposes in compliance with laws, regulations, and the provisions of contracts or grant agreements and that performance goals are achieved.</p> <p><i>Federal Award Information:</i> This finding impacts these federal programs:</p> <ul style="list-style-type: none"> <li>a. Special Education - Grants to States: Federal funding periods: 1) July 1, 2011 - September 30, 2012 (H027A110092A) and 2) July 1, 2012 - September 30, 2013 (H027A120092A).</li> <li>b. Special Education - Preschool Grants: Federal funding periods: 1) July 1, 2011 - September 30, 2012 (H173A110096) and 2) July 1, 2012 - September 30, 2013 (H173A120096).</li> </ul> <p><i>Recommendation:</i> The Department should follow its procedures to ensure that monitoring reports are reviewed and each subrecipient receives a monitoring report. In addition, the Department should complete and retain routing forms to document monitoring procedures performed.</p> <p><i>Agency Response:</i> The Department concurs with the Auditor's finding and recommendation. The Exceptional Children's Division will put cross-check procedures in place to ensure that monitoring reports are reviewed and each subrecipient receives a monitoring report. These cross-check procedures will include a procedure to ensure that routing forms are completed and retained to document the status of the monitoring process. These procedures will be effective by July 1, 2014.</p>
2013-044  Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p><u>Monitoring Results Not Reported Timely</u></p> <p>The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.</p>

**84.031 HIGHER EDUCATION – INSTITUTIONAL AID****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

Elizabeth City State University

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-045	Procurement and Suspension and Debarment:  Significant Deficiency	<p><u>Lack of Controls Over Federal Suspension and Debarment Verification</u></p> <p>For the Higher Education Institutional Aid program, the University did not have adequate controls in place to ensure compliance with federal suspension and debarment requirements. Thus, there was an increased risk of noncompliance with the requirements.</p> <p>OMB Circular A-110 requires verification of applicable contract and procurement transactions to ensure that recipients of federal funds are not suspended or debarred. This verification is to be accomplished by either checking the federal excluded parties list or by collecting a certification from the vendor regarding its exclusion status and that of its principal employees.</p> <p>The University was not aware of the requirement to check the federal listing or the option to receive certifications from vendors until we discussed our results in May 2013. Although the University had been checking the state debarred list, that effort was not sufficient to meet federal requirements. The University indicated they would start checking the federal list in June 2013. However, upon further follow-up, no documentation was provided to demonstrate that this was being done.</p> <p>We tested payments made to vendors that received at least \$25,000 from July 1, 2012, through May 31, 2013, and identified no transactions with debarred vendors.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 84.031 Higher Education Institutional Aid: Federal award P031B070024 for years October 1, 2011 – September 30, 2012 and October 1, 2012 – September 30, 2013; and Federal award P031B120553 for years October 1, 2011 – September 30, 2012 and October 1, 2012 and September 30, 2013.</p> <p><i>Recommendation:</i> The University should implement controls to ensure federal suspension and debarment requirements are followed and relevant documentation is maintained to prove compliance.</p> <p><i>Agency Response:</i> The University concurs and the Purchasing Office has checked the federal list at SAM.gov for all purchase orders \$25,000 and above from the period of October 1, 2011 through September 30, 2013 for all federal awards and found no vendors with exclusions. Procedures for setting up new vendors were put in place June 17, 2013. These procedures included checking the database prior to setting up the vendor, but did not include a method for documenting the database was checked.</p>

**84.031 HIGHER EDUCATION – INSTITUTIONAL AID (continued)**

**III. Federal Award Findings and Questioned Costs**

U.S. Department of Education  
Elizabeth City State University  
For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	Effective June 17, 2013, purchase requisitions \$25,000 and above, using federal funds, are checked using SAM.gov. Effective December 20, 2013, comments are now made in the requisition file to document verification. This procedure has been improved to include not only comments, but a screen shot from the database that is attached to the requisition.

**84.031 HIGHER EDUCATION – INSTITUTIONAL AID****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

Fayetteville State University

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-046	Cash <u>Management:</u>  Material Weakness  Material Noncompliance	<p data-bbox="537 558 1101 585"><u>Cash Management Controls Need Improvement</u></p> <p data-bbox="537 621 1461 894">The University did not time the drawdown of Higher Education Institutional Aid program funds with the associated disbursements. During the first three months of the fiscal year, funds were drawn down in excess of immediate needs, violating federal requirements. The University used the excess drawdowns over the next four months. However, for the period after February 2013, the University failed to promptly draw down federal funds, waiting over five months before making a request. This resulted in the University using other funds to cover the Higher Education Institutional Aid program expenditures.</p> <p data-bbox="537 930 1461 1047">Seven of the 13 reimbursement requests for the months of July 2012 through September 2012 were in excess of monthly expenses. The excess amounts received ranged from \$1,755 to \$319,137 per payment request. The total excess amounts drawn for this period was \$723,775.</p> <p data-bbox="537 1083 1461 1262">After February 2013, the University did not make monthly requests for Higher Education Institutional Aid funds for the three individual awards tested. For two of the awards, a request was made August 27, 2013, for \$2,411,701, to cover the expenditures made since February. For the third award, a request was made on July 25, 2013, in the amount of \$289,791, to cover the expenditures made since February.</p> <p data-bbox="537 1297 1461 1386">Title 34 CFR 74.22 states that drawdowns should be limited to the minimum amounts needed and are as close as is administratively feasible to the actual disbursements by the recipient organization.</p> <p data-bbox="537 1421 1461 1509"><i>North Carolina General Statute</i> 147-86.11 states that funds due to a State agency by another governmental agency shall be promptly billed, collected, and deposited.</p> <p data-bbox="537 1545 1461 1818"><i>Federal Award Information:</i> This finding affects CFDA 84.031 Higher Education Institutional Aid: Federal Award P031B085087 for the award period October 1, 2009 – September 30, 2010; Federal Award P031B085087-08 for the award period October 1, 2008 – September 30, 2009; Federal Award P031B085087-11 for the award period October 1, 2011 – September 30, 2012; Federal Award P031B100016-11 for the award period October 1, 2011 – September 30, 2012; Federal Award P031B100016-12 for the award period October 1, 2012 – September 30, 2013; Federal Award P031B120554 for the award period October 1, 2012 – September 30, 2013.</p>

**84.031 HIGHER EDUCATION – INSTITUTIONAL AID (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

Fayetteville State University

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Recommendation:</i> The University should strengthen internal controls to ensure federal and state cash management regulations are followed. Payment requests should be limited to the minimum amounts needed and timed in accordance with immediate cash needs.</p> <p><i>Agency Response:</i> The University agrees with the finding and recommendation. We have taken the necessary steps to ensure that compliance with federal and state cash management regulations are followed. Payment requests will be limited to the minimum amounts needed and timed in accordance with immediate cash needs. Going forward the Contracts and Grants office will ensure that all draws are done at least monthly to coincide with the monthly payroll schedule. Attached to the draw request will be documentation of all expenditures for the requested draw, such as, a reconciliation of revenue to expenditures for each fund related to the draw request. The Director of Contracts and Grants is currently in the process of reassigning this function to another staff member and will monitor the process to make sure that the draws are done timely and accurately.</p> <p>A corrective action plan has been outlined and implemented, and will be monitored as part of the University's annual internal auditing program.</p>
2013-047	<p><u>Reporting:</u></p> <p><u>Expenditures Understated on Annual Performance Report</u></p> <p>Material Weakness</p> <p>Material Noncompliance</p> <p>The University understated federal expenditures by a combined \$1,708,039 on the 2012 annual performance reports for the Student Aid and Fiscal Responsibility Act (SAFRA) grant and the Strengthening Historically Black Colleges and Universities (HBCU) program. These errors may reduce the usefulness of the reports to the federal funding agencies.</p> <p>The expenditures reported on the SAFRA report were understated by \$719,090 and the HBCU expenditures were understated by \$988,949. The University only included expenditures for the 2012 award when completing the report, even though it had incurred expenditures for grant awards from earlier years.</p> <p>Title 2 CFR part 215 requires recipients' financial management systems to provide for accurate, current and complete disclosure of the financial results of each federally sponsored project.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 84.031 Higher Education Institutional Aid: Federal Award P031B085087-09 for the award period October 1, 2009 - September 30, 2010; Federal Award</p>

**84.031 HIGHER EDUCATION – INSTITUTIONAL AID (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

Fayetteville State University

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>P031B070087-10 for the award period October 1, 2010 - September 30, 2011; Federal Award P031B070087-11 for the award period October 1, 2011 – September 30, 2012; Federal Award P031B100016-11 for the award period October 1, 2011 – September 30, 2012.</p> <p><i>Recommendation:</i> The University should place greater emphasis on annual performance reporting. The University should strengthen internal controls to ensure the completeness and accuracy of annual performance reports.</p> <p><i>Agency Response:</i> The University agrees with the finding and recommendation. We have taken the necessary steps to ensure that the expenditures reported in the annual performance report are complete and accurate. To ensure that expenses used in the APR are those reported for the fiscal year of the grant, the Office of Title III, in collaboration with the Office of Contracts and Grants, has hired an accounting technician to support the Title III Office. This position will provide assistance to the Title III Office by performing various duties to include technical and administrative accounting, examining budget estimates for completeness and accuracy, and will provide all financial reporting for applications and reports due to the Federal Department of Education, and other external and internal offices. A corrective action plan has been outlined and will be monitored as part of the University's annual internal auditing program.</p>

**84.048 CAREER AND TECHNICAL EDUCATION – BASIC GRANTS TO STATES****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-048	Cash Management:  Significant Deficiency	<p><u>Noncompliance with Cash Management Requirements</u></p> <p>The Department did not have adequate monitoring procedures to ensure subrecipients disbursed federal funds in a timely manner as required by federal and state cash management requirements. As a result, there is an increased risk that the Department and/or its subrecipients could accumulate federal funds and fail to disburse them in a timely manner. During the year, the Department disbursed approximately \$1.3 billion in federal funds to subrecipients for all grants. See finding 2013-038 for a description.</p>
2013-049	Matching, Level of Effort, <u>Earmarking:</u>  Material Weakness  Material Noncompliance	<p><u>Noncompliance with Maintenance of Effort Requirements</u></p> <p>The Department did not maintain its required maintenance of effort from state sources for the Career and Technical Education grant. Expenditures from state sources in fiscal year 2012 were approximately \$12.7 million less than those provided in fiscal year 2011.</p> <p>Section 311(b)(1)(A) of the Carl D. Perkins Career and Technical Education Act of 2006 requires the State to maintain its fiscal effort in the preceding year from state sources for career and technical education on either an aggregate or a per-student basis when compared with such effort in the second preceding year.</p> <p>The Department's current procedures require staff to review expenditures from state sources for career and technical education on a quarterly basis to ensure compliance with this requirement. However, the Department did not request a waiver when it became evident that the requirement would not be met. The Secretary of Education may grant a waiver for one fiscal year only after determining that the waiver would be equitable due to exceptional or uncontrollable circumstances affecting the ability of the agency to meet the requirements.</p> <p>The Department grants a portion of the award to the North Carolina Community College System Office (NCCCS) each year. The NCCCS expenditures from state sources are also used in the calculation to determine if the maintenance of effort requirement is met. The Department and NCCCS provided approximately \$3.6 million and \$9.1 million, respectively, less in 2012 than 2011.</p> <p><i>Federal Award Information:</i> This finding impacts federal funding period July 1, 2012 - September 30, 2013 (V048A120033).</p>

**84.048 CAREER AND TECHNICAL EDUCATION – BASIC GRANTS TO STATES (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
		<p><i>Recommendation:</i> The Department should take action to ensure that the maintenance of effort requirement is met in accordance with federal regulations or request a waiver.</p> <p><i>Agency Response:</i> The Department concurs with the Auditor's finding and recommendation. The Department will continue to review and analyze the level of effort on a quarterly basis. A formal review and discussion regarding the level of effort will be scheduled annually with the North Carolina Community College System effective with fiscal year ending June 30, 2014. If it is determined that the circumstances related to maintenance of effort noncompliance meet the Perkins Act waiver requirements, the Department will request a waiver. The Perkins Act states that waivers are granted for 1(one) fiscal year only due to exceptional or uncontrollable circumstances (such as a natural disaster or an unforeseen and precipitous decline in financial resources) that would have affected the ability of the eligible agency to meet the maintenance of effort requirement. It should be noted that the Department met the maintenance of effort requirement at the Secondary and Post-Secondary Recipient level for the FY 2013-14 reporting.</p>
2013-050	Subrecipient <u>Monitoring:</u> Significant Deficiency	<p><u>Monitoring Results Not Reported Timely</u></p> <p>The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.</p>



**84.063 FEDERAL PELL GRANT PROGRAM****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

James Sprunt Community College

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-051	Special Tests and Provisions:  Significant Deficiency  Questioned Cost Finding  \$12,992	<p><u>Weakness in the Student Financial Aid Application Verification Process</u></p> <p>The College did not adequately verify student aid application data for students selected for verification by the US Department of Education, nor did they update the student aid application data when the verification procedures resulted in variations from the previously reported information. This lack of verification resulted in questioned costs of \$16,552, which represent over-awards to students. Federal regulations (34 CFR §668.51-§668.61) require the College to verify information on each student aid application selected by the Department of Education, and, if the student's data changes as a result of the verification procedures, the College must determine the amount of any overpayments and recoup that amount.</p> <p>We tested 60 out of the 499 students who were selected for verification by the US Department of Education to ensure that adequate verification procedures were performed. Of these student files tested, 10 were found to be in noncompliance.</p> <ul style="list-style-type: none"> <li>• In eight of the student files tested, the verification documentation was not consistent with the information maintained in the financial aid system, resulting in \$5,650 in over-awards to these students.</li> <li>• Two student files lacked evidence of any verification procedures. These two students were awarded a total of \$10,902.</li> </ul> <p><i>Federal Award Information:</i> CFDA No. 84.063 - Federal Pell Grant Program (Federal Award P063P122985) and CFDA No. 84.268 - Federal Direct Student Loans (Federal Award P268K132985) for award year July 1, 2012 - June 30.</p> <p><i>Recommendation:</i> The College should strengthen internal controls to ensure that verification procedures are adequately performed.</p> <p><i>Agency Response:</i> We agree with the findings. The financial aid staff has been re-trained by the Financial Aid Director in the verification process. The College has implemented quarterly quality assurance reviews that will be performed on five percent of the students selected for verification to ensure that financial aid staff responsible for verification is using all information obtained during verification to update financial aid awards properly, and awarding students in accordance with federal regulations. This review will be performed by the Financial Aid Director to ensure that all student application data is obtained, verified, and filed in accordance with program requirements for central processor selected students. Corrective action was implemented on January 13, 2014.</p>

**84.126 REHABILITATION SERVICES – VOCATIONAL REHABILITATION GRANTS TO STATES****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-052	<u>Allowable Costs/ Cost Principles:</u>  Significant Deficiency  Questioned Cost Finding  \$2,064	<p data-bbox="537 558 943 590"><u>Errors in Claims Payment Process</u></p> <p data-bbox="537 621 1476 831">The Department made payments on behalf of Rehabilitation Services – Vocational Rehabilitation Grants to States (VR) program participants that did not comply with activities allowed and allowable costs requirements for the program. The Department erroneously made net overpayments totaling \$2,623, resulting in questioned costs of \$2,064, which represents the federal share of the overpayments. We believe that it is likely that questioned costs exceed \$10,000 in the population.</p> <p data-bbox="537 863 1476 1020">The Department administers the VR program through two different divisions – the Division of Vocational Rehabilitation Services and the Division of Services for the Blind. We examined a sample of 100 participant claims across both divisions and identified 28 claims that were paid in error or were not sufficiently documented. Examples of the deficiencies noted include:</p> <ul data-bbox="586 1052 1476 1272" style="list-style-type: none"> <li>• Comparable benefits were not appropriately verified and/or applied.</li> <li>• Payments were made using incorrect methodology for payment or pricing.</li> <li>• Insufficient documentation was maintained in support of the services rendered.</li> </ul> <p data-bbox="537 1304 1476 1398">Federal regulation requires allowable costs to be adequately documented and program costs to be necessary and reasonable for proper and efficient administration of the grant program.</p> <p data-bbox="537 1419 1268 1451">Similar aspects of this finding were reported in previous years.</p> <p data-bbox="537 1482 1476 1629"><i>Federal Award Information:</i> This finding affects the Rehabilitation Services - Vocational Rehabilitation Grants to States federal grant awards H126A110049, H126A120049, H126A130049, H126A111050, H126A120050, and H126A130050 for the federal fiscal years ended September 30, 2011 to 2013.</p> <p data-bbox="537 1661 1476 1724"><i>Recommendation:</i> The Department should continue to enhance its control procedures to improve the accuracy of the claims payment process:</p> <ul data-bbox="586 1745 1476 1898" style="list-style-type: none"> <li>• Services should be properly documented and authorized in the participant's vocational rehabilitation plan prior to issuing payment.</li> <li>• Payment methodologies should be updated to be consistent with Medicaid or other departmental pricing policies.</li> </ul>

## 84.126 REHABILITATION SERVICES – VOCATIONAL REHABILITATION GRANTS TO STATES (continued)

### III. Federal Award Findings and Questioned Costs

U.S. Department of Education

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>Further, identified over or underpaid claims should be followed up for timely and appropriate collection or payment.</p> <p><i>Agency Response:</i> The Department will continue to enhance its control procedures to ensure that all claims are documented, processed, and paid properly. Additional efforts are being made within the Department to ensure the payment tables reflect updated medical rates in the legacy claims processing system.</p> <p>The Department will continue efforts to implement a replacement claims processing system, BEAM, that will improve accuracy and eliminate errors that are attributed to an inconsistent payment methodology applied to Medicaid and/or other departmental pricing policies. The replacement claims processing system will also have the functionality to ensure that services are properly documented and authorized in the participants' plan before payments are issued.</p> <p>In addition, collections and/or payments of the identified over and underpaid claims will be made timely and appropriately if substantiated.</p>
2013-053 <u>Eligibility:</u>  Significant Deficiency	<p><u>Deficiencies in Participant Eligibility Determinations</u></p> <p>The Department did not always adequately document participant eligibility determinations for the Rehabilitation Services – Vocational Rehabilitation Grant to States (VR) program, nor did it always determine eligibility timely. As a result, there was an increased risk of noncompliance related to participant eligibility.</p> <p>The Department administers the VR program through two different divisions – the Division of Vocational Rehabilitation Services and the Division of Services for the Blind. We examined a sample of 100 participant files across both divisions and identified deficiencies for 39 participant files. Examples of the deficiencies noted included:</p> <ul style="list-style-type: none"> <li>• Untimely eligibility determinations and/or the agreed upon extension of time for making those determinations.</li> <li>• Lack of required signatures on the participant's application.</li> <li>• Insufficient or improper eligibility documentation.</li> <li>• Information used to determine financial need was not always verified.</li> </ul>

**84.126 REHABILITATION SERVICES – VOCATIONAL REHABILITATION GRANTS TO STATES**

(continued)

**III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>In addition, the Department did not consistently demonstrate that it verified the participant's identity and ability to legally work. This lack of sufficient control procedures could result in ineligible participants receiving benefits.</p> <p>Federal regulations and division policies require that documentation be maintained to support a participant's eligibility determination and that the process be completed within established timeframes.</p> <p>Similar aspects of this finding were reported in previous years.</p> <p><i>Federal Award Information:</i> This finding affects the Rehabilitation Services - Vocational Rehabilitation Grants to States federal grant awards H126A110049, H126A120049, H126A130049, H126A111050, H126A120050, and H126A130050 for the federal fiscal years ended September 30, 2011 to 2013.</p> <p><i>Recommendation:</i> The Department should continue to enhance procedures to ensure that the eligibility determination process occurs within required timeframes, all eligibility forms are completed with the proper signatures as required by policy, the financial needs forms are completed and verified before cost services are provided, and all applicable eligibility information is maintained to adequately support eligibility determinations made.</p> <p><i>Agency Response:</i> The Department will investigate the case files identified as deficient and appropriately resolve them if substantiated.</p> <p>The Department will continue efforts to strengthen internal controls to ensure that eligibility determination is made within required timeframes and all applicable eligibility information is maintained in files including evidence of required signatures. In addition, Division management will have greater accountability in monitoring the timeliness of eligibility requirements through system generated reports. During random site visits, Quality Assurance staff will conduct internal reviews focusing on the timeliness of eligibility decisions, review for applicable parent consent signatures and required eligibility documentation maintained in the case files.</p> <p>The Department will implement a replacement case management system with built-in controls that will ensure eligibility forms are completed in accordance with policy and within the required timeframes.</p>

**84.173 SPECIAL EDUCATION – PRESCHOOL GRANTS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-054	Cash <u>Management:</u>  Significant Deficiency	<u>Noncompliance with Cash Management Requirements</u>  The Department did not have adequate monitoring procedures to ensure subrecipients disbursed federal funds in a timely manner as required by federal and state cash management requirements. As a result, there is an increased risk that the Department and/or its subrecipients could accumulate federal funds and fail to disburse them in a timely manner. During the year, the Department disbursed approximately \$1.3 billion in federal funds to subrecipients for all grants. See finding 2013-038 for a description.
2013-055	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<u>Deficiencies in Special Education Cluster Monitoring</u>  The Department did not have procedures in place to ensure that monitoring reports were reviewed or that monitoring results were communicated to subrecipients. As a result, there is an increased risk that noncompliance at the subrecipient level could not be corrected timely. During the year, subrecipients received approximately \$342 million in Special Education Cluster funds. See finding 2013-043 for a description.
2013-056	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<u>Monitoring Results Not Reported Timely</u>  The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.

**84.268 FEDERAL DIRECT STUDENT LOANS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

James Sprunt Community College

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-057	Special Tests and Provisions:  Significant Deficiency  Questioned Cost Finding  \$3,560	<u>Weakness in the Student Financial Aid Application Verification Process</u>  The College did not adequately verify student aid application data for students selected for verification by the US Department of Education, nor did they update the student aid application data when the verification procedures resulted in variations from the previously reported information. This lack of verification resulted in questioned costs of \$16,552, which represent over-awards to students. Federal regulations (34 CFR §668.51-§668.61) require the College to verify information on each student aid application selected by the Department of Education, and, if the student's data changes as a result of the verification procedures, the College must determine the amount of any overpayments and recoup that amount. See finding 2013-051 for a description.

**84.268 FEDERAL DIRECT STUDENT LOANS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

North Carolina Agricultural and Technology State University

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-058	Special Tests and Provisions:	<u>Improvements Needed in Direct Loan Notification Process</u>
	Material Weakness	The University did not notify students or parents each time a Direct Loan disbursement was credited to the student's account, as required by federal regulations. Therefore, students and parents were not provided information needed to make an informed decision related to the acceptance or rejection of these loans.
	Material Noncompliance	
		<p>We examined a sample of 60 recipients and determined that six recipients did not receive proper notification for seven payments credited to their accounts.</p>
		<p>The University is required by federal regulation 34 CFR section 668.165 to notify the student or parent in writing of (1) the date and amount of the disbursement; (2) the student's right, or parent's right to cancel all or a portion of that loan or loan disbursement and have the loan proceeds returned to the holder of that loan; and (3) the procedure and time by which the student or parent must notify the institution that he or she wishes to cancel the loan disbursement. The University must provide the notification no earlier than 30 days before, and no later than 30 days after, crediting the student's account.</p>
		<p>The reporting omissions occurred because the internally-generated report used by the University to identify students requiring notification was incomplete. The parameters of the report improperly omitted the criteria necessary to capture parent loans and loans to students that only registered for the spring semester.</p>
		<p><i>Federal Award Information:</i> CFDA 84.268 - Federal Direct Student Loans: Federal Award P268K130317 for award year July 1, 2012 - June 30, 2013.</p>
		<p><i>Recommendation:</i> The University should ensure that appropriate notification is made to all federal direct loan recipients in a timely manner.</p>
		<p><i>Agency Response:</i> We concur that improvement is needed in the Direct Loan Notification Process for notifying students and parents of their right to cancel the loan disbursement. Corrective Action was immediately taken.</p>

**84.268 FEDERAL DIRECT STUDENT LOANS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

North Carolina State University

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-059	Special Tests and Provisions:  Material Weakness  Material Noncompliance	<p data-bbox="519 556 1476 588"><u>Untimely Notice to Lenders of Changes in Enrollment Status</u></p> <p data-bbox="519 619 1476 871">The University did not provide student financial aid lenders timely notice of student enrollment status changes. Federal regulations (34 CFR, section 682.610(c)) require the University to notify the lender within 30 days of discovery that a recipient of Federal Direct Loans has either ceased to be enrolled on at least a half-time basis, failed to enroll, has ceased to be enrolled on a full-time basis, or has changed his or her permanent address, unless the University expects to submit the next student status confirmation report within 60 days.</p> <p data-bbox="519 892 1476 955">The University failed to provide timely notice to the lender for 3 of the 40 student enrollment status changes we tested.</p> <p data-bbox="519 987 1476 1018"><i>Federal Award Information:</i> Award Year July 1, 2012 – June 30, 2013.</p> <p data-bbox="519 1050 1476 1113"><i>Recommendation:</i> The University should improve controls to provide for timely notification to lenders of changes in students' status.</p> <p data-bbox="519 1144 1476 1325"><i>Agency Response:</i> The Office of Registration and Records has amended the enrollment reporting schedule utilized by the National Student Clearinghouse to report student enrollment statuses. The Clearinghouse reporting schedule has been revised to include submission of an enrollment report the day after the end of each term. The end of the term is defined as the end of final exams for that term.</p>



**84.367 IMPROVING TEACHER QUALITY STATE GRANTS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-060	Cash <u>Management:</u>  Significant Deficiency	<u>Noncompliance with Cash Management Requirements</u>  The Department did not have adequate monitoring procedures to ensure subrecipients disbursed federal funds in a timely manner as required by federal and state cash management requirements. As a result, there is an increased risk that the Department and/or its subrecipients could accumulate federal funds and fail to disburse them in a timely manner. During the year, the Department disbursed approximately \$1.3 billion in federal funds to subrecipients for all grants. See finding 2013-038 for a description.
2013-061	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<u>Monitoring Results Not Reported Timely</u>  The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.

**84.377 SCHOOL IMPROVEMENT GRANTS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-062	Cash <u>Management:</u>  Significant Deficiency	<u>Noncompliance with Cash Management Requirements</u>  The Department did not have adequate monitoring procedures to ensure subrecipients disbursed federal funds in a timely manner as required by federal and state cash management requirements. As a result, there is an increased risk that the Department and/or its subrecipients could accumulate federal funds and fail to disburse them in a timely manner. During the year, the Department disbursed approximately \$1.3 billion in federal funds to subrecipients for all grants. See finding 2013-038 for a description.
2013-063	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<u>Monitoring Results Not Reported Timely</u>  The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.

**84.388 ARRA – SCHOOL IMPROVEMENT GRANTS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-064	Cash <u>Management:</u>  Significant Deficiency	<u>Noncompliance with Cash Management Requirements</u>  The Department did not have adequate monitoring procedures to ensure subrecipients disbursed federal funds in a timely manner as required by federal and state cash management requirements. As a result, there is an increased risk that the Department and/or its subrecipients could accumulate federal funds and fail to disburse them in a timely manner. During the year, the Department disbursed approximately \$1.3 billion in federal funds to subrecipients for all grants. See finding 2013-038 for a description.
2013-065	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<u>Monitoring Results Not Reported Timely</u>  The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.

**84.395 ARRA - STATE FISCAL STABILIZATION FUND (SFSF) – RACE-TO-THE-TOP INCENTIVE GRANTS, RECOVERY ACT****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-066	Cash <u>Management:</u>  Significant Deficiency	<u>Noncompliance with Cash Management Requirements</u>  The Department did not have adequate monitoring procedures to ensure subrecipients disbursed federal funds in a timely manner as required by federal and state cash management requirements. As a result, there is an increased risk that the Department and/or its subrecipients could accumulate federal funds and fail to disburse them in a timely manner. During the year, the Department disbursed approximately \$1.3 billion in federal funds to subrecipients for all grants. See finding 2013-038 for a description.
2013-067	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<u>Monitoring Results Not Reported Timely</u>  The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.

**84.410 EDUCATION JOBS FUND****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-068	Cash Management:  Significant Deficiency	<p><u>Noncompliance with Cash Management Requirements</u></p> <p>The Department did not have adequate monitoring procedures to ensure subrecipients disbursed federal funds in a timely manner as required by federal and state cash management requirements. As a result, there is an increased risk that the Department and/or its subrecipients could accumulate federal funds and fail to disburse them in a timely manner. During the year, the Department disbursed approximately \$1.3 billion in federal funds to subrecipients for all grants. See finding 2013-038 for a description.</p>
2013-069	Subrecipient Monitoring:  Significant Deficiency	<p><u>Subrecipients Not Notified of Budget Overruns</u></p> <p>The Department did not provide evidence that it communicated noncompliance issues identified during the monitoring process to Education Jobs Fund subrecipients. As a result, there is an increased risk that noncompliance with federal requirements could continue if the subrecipient is not aware of the monitoring results.</p> <p>The Department's current procedures require staff to contact subrecipients that overspent more than 10%, for any line item of their approved budget, and request that the approved budget be revised or reclassify expenditures to another funding source. We examined a sample of 18 monitoring reviews and noted four subrecipients exceeded certain line items within their approved budget by more than 10%. These overruns totaled approximately \$472,000. However, there was no evidence that the Department notified the four subrecipients to revise their budgets.</p> <p>Title 34 CFR section 80.30 provides that subrecipients obtain prior approval of the awarding agency whenever programs, projects, functions, or activities exceed or are expected to exceed 10% of the current total approved budget.</p> <p><i>Federal Award Information:</i> This finding impacts federal funding period August 10, 2010 - September 30, 2013 (S410A100034).</p> <p><i>Recommendation:</i> The Department should notify all subrecipients that exceeded by 10% any line item in their approved budget and require a revision of their budgets.</p> <p><i>Agency Response:</i> The Department concurs with the Auditor's finding and recommendation. As stated in the response, the Monitoring and Compliance Section's fiscal monitoring policies and procedures are in the process of being revised. Updated policies will incorporate procedures to ensure adequate</p>

**84.410 EDUCATION JOBS FUND (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Education

N.C. Department of Public Instruction

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs		Findings and Recommendations
		monitoring of budget vs expenditures for applicable programs, timely notification to subrecipients of identified budget overruns, implementation of a tracking mechanism for notifications and verification of the subrecipients' corrective action taken.
2013-070	Subrecipient Monitoring:  Significant Deficiency	<u>Monitoring Results Not Reported Timely</u>  The Department did not review fiscal monitoring reports in a timely manner, which resulted in the late communication of monitoring results to the subrecipients. Also, the Department did not ensure subrecipients took timely corrective action on issues identified during the fiscal monitoring visits. As a result, there is an increased risk that subrecipients did not take timely corrective actions on noncompliance issues identified. See finding 2013-002 for a description.

**93.558 TEMPORARY ASSISTANCE FOR NEEDY FAMILIES – STATE PROGRAMS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-071	<u>Eligibility:</u>  Questioned Cost Finding  \$1,063	<p data-bbox="519 556 1476 588"><u>Deficiencies in County Eligibility Determination Processes</u></p> <p data-bbox="519 619 1476 829">County departments of social services offices process applications related to the Temporary Assistance for Needy Families program. Certified Public Accountants performing the county audits tested 980 case files and found eligibility documentation deficiencies in three cases. There were two client files missing and one file lacking evidence of the online verification system search. The county auditors identified questioned costs of \$1,063; however, it is likely questioned costs exceed \$10,000 in the population.</p> <p data-bbox="519 861 1476 892">Similar aspects of this finding were reported in previous years.</p> <p data-bbox="519 924 1476 1018"><i>Federal Award Information:</i> This finding affects Temporary Assistance for Needy Families federal grant awards 1202NCTANF and 1302NCTANF, for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p data-bbox="519 1050 1476 1144"><i>Recommendation:</i> The county auditors recommended policies be put in place or reinforced at the counties to ensure case files are maintained appropriately and all necessary documentation is included.</p> <p data-bbox="519 1176 1476 1449"><i>Agency Response:</i> The Department has required county departments of social services to develop Corrective Action Plans (CAPs) to address all reported issues to ensure compliance with program policy. In addition, the assigned Work First Program Consultant (WFPC) will conduct onsite case file reviews at each county identified with findings to ensure that the CAPs are implemented, errors are corrected as necessary, and any overpayments are recouped. During onsite visits, the WFPC will perform a random testing of case files to ensure files are appropriately maintained with all required documentation by the county department of social services offices.</p>

**93.659 ADOPTION ASSISTANCE – TITLE IV-E****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-072	<u>Eligibility:</u>  Questioned Cost Finding  \$90,273	<p><u>Deficiencies in County Eligibility Determination Processes</u></p> <p>County departments of social services offices process applications related to the Adoption Assistance Title IV-E program. Certified Public Accountants performing the county audits tested 470 case files and found eligibility documentation deficiencies in nine cases. These files were missing items such as an eligibility checklist, a signed and dated eligibility checklist and/or adoption agreement, and evidence of a six-month review being performed. The county auditors identified questioned costs of \$90,273 for two cases.</p> <p>Similar aspects of this finding were reported in the prior year.</p> <p><i>Federal Award Information:</i> This finding affects Adoption Assistance Title IV-E federal grant awards 1201NC1407 and 1301NC1407 for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The county auditors recommended that policies and procedures be put in place or reinforced at the counties to ensure that all documentation is obtained and maintained in the case file.</p> <p><i>Agency Response:</i> The Department will continue to provide Title IV-E training to the county departments of social services (DSS). Training will include monthly technical assistance webinars with DSS staff to ensure that policies and procedures are put in place or reinforced to ensure required documentations is obtained and maintained in the case file. In addition, Department programmatic and budget staff will collaborate to follow-up on DSS corrective action plans and fiscal adjustments to recoup questioned cost as appropriate.</p>
2013-073	<u>Subrecipient Monitoring:</u>  Significant Deficiency	<p><u>Deficiency in Subrecipient Monitoring</u></p> <p>The Department did not consistently complete monitoring checklists designed to verify that accurate eligibility determinations for the Adoption Assistance Title IV-E program were made at the county level. Inadequate monitoring of eligibility could result in Adoption Assistance funds being used for children that do not meet program requirements.</p> <p>We reviewed documentation of monitoring visits performed at seven counties and found that key questions related to eligibility were not answered for three of the 35 children tested. However, the monitor concluded that these children were eligible for the Adoption Assistance Title IV-E program.</p>



**93.659 ADOPTION ASSISTANCE – TITLE IV-E (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>OMB Circular A-133 requires pass-through entities to monitor the activities of subrecipients as necessary to ensure that federal awards are used for authorized purposes in compliance with laws, regulations, and the provisions of grant agreements. The State authorizes the counties to determine eligibility of the Adoption Assistance Title IV-E program. This monitoring should include ensuring that eligibility determinations made by the counties are adequate and made within the requirements imposed by the federal regulations for the program.</p> <p><i>Federal Award Information:</i> This finding affects Adoption Assistance – Title IV-E federal grant award 1301NC1407 for the federal fiscal year ended September 30, 2013.</p> <p><i>Recommendation:</i> The Department should ensure that the Adoption Assistance monitoring tools be completed for each child to document federal eligibility requirements. The monitoring tools should be reviewed prior to sending the results to the county to ensure the documentation matches the conclusion.</p> <p><i>Agency Response:</i> The Department will identify an independent existing position to assume the responsibility of providing quality assurance for the eligibility monitoring process. All monitoring tools will be reviewed to ensure they are complete and adequately documented to support the federal eligibility requirements prior to sending results to the county departments of social services.</p>

**93.767 CHILDREN'S HEALTH INSURANCE PROGRAM****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-074	<u>Allowable Costs/ Cost Principles:</u>  Material Weakness  Material Noncompliance  Questioned Cost Finding  \$1,030	<p><u>Errors in Provider Billing and Payment Process</u></p> <p>The Department made payments on behalf of Children's Health Insurance Program participants that did not comply with activities allowed or allowable cost requirements for the program. The Department erroneously made net overpayments of \$1,358 to medical providers, resulting in questioned costs of \$1,030, which represents the federal share of the overpayments. We believe that it is likely that questioned costs exceed \$10,000 in the population.</p> <p>We examined a sample of 125 medical claims and identified 28 claims that were paid in error or were not sufficiently documented. Examples of the deficiencies noted include lack of sufficient documentation to support billed services, payment for ineligible participants due to misclassification within the eligibility category, payment methodology was inconsistent with the effective state plan or policies, payment based on the incorrect rate for the claim date of service, provider not providing documentation to support services rendered, and insufficient signatures on medical documentation. The majority of the errors were related to the payment of claims based on incorrect rates and payment methodologies, which is the responsibility of the Department to ensure an accurate claims payment process.</p> <p>Federal regulation requires allowable costs to be adequately documented and program costs to be necessary and reasonable for proper and efficient administration of the grant program.</p> <p>Similar aspects of this finding were reported in previous years.</p> <p><i>Federal Award Information:</i> This finding affects Children's Health Insurance Program federal grant awards 05-1205NC5021 and 05-1305NC5021 for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The Department should continue to enhance its control procedures to improve the accuracy of the claims payment process:</p> <ul style="list-style-type: none"> <li>• Management should ensure the proper implementation of system changes, including effective payment edits and/or audits.</li> <li>• Emphasis should be placed on educating providers as to proper coding and documentation standards necessary to support the medical services being provided.</li> </ul> <p>Further, identified overpaid claims should be followed up for timely and appropriate collection or payment.</p>

**93.767 CHILDREN'S HEALTH INSURANCE PROGRAM** (continued)**III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Agency Response:</i> The Department understands that the sample of claims reviewed were processed by the legacy MMIS system that was replaced effective July 1, 2013. The Department's Division of Medical Assistance (DMA) will investigate the twenty-eight (28) claims cited to determine which claims were paid in error and which claims can be resolved by obtaining additional documentation from providers. DMA will also follow-up on any over and underpaid claims to ensure appropriate collection or payment is made.</p> <p>The Division of Medical Assistance (DMA) will enhance its efforts to educate providers about proper documentation to support the medical necessity and coding of services billed to Children's Health Insurance Program. DMA will implement a training module for providers to ensure proper coding and required documentation. DMA will provide ongoing communication via Medicaid Bulletins and other communication venues to enhance the education and training.</p> <p>DMA will evaluate proposed amendments to G.S. 108C to strengthen requirements for providers to submit documentation to support medical necessity and coding of services billed to Children's Health Insurance Program.</p>
2013-075 <u>Eligibility:</u>  Questioned Cost Finding  \$50	<p><u>Deficiencies in County Eligibility Determination Processes</u></p> <p>County departments of social services offices process applications related to the Children's Health Insurance Program. Certified Public Accountants performing the county audits tested 429 case files and found deficiencies in five cases. The county auditors identified questioned costs of \$50; however, it is likely questioned costs exceed \$10,000 in the population. The deficiencies found are described below:</p> <ul style="list-style-type: none"> <li>• Three client files contained inaccurate budget calculations. County auditors questioned costs of \$50 for one client.</li> <li>• Two client files did not contain all the required eligibility documentation. These files were missing items such as sufficient support for the budget, budget verification forms, and eligibility determination forms.</li> </ul> <p>Similar aspects of this finding were reported in previous years.</p>

**93.767 CHILDREN'S HEALTH INSURANCE PROGRAM** (continued)**III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Federal Award Information:</i> This finding affects Children's Health Insurance Program federal grant awards 05-1205NC5021 and 05-1305NC5021 for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The county auditors recommended that policies be put in place or reinforced at the counties to ensure that participant eligibility and documentation is maintained appropriately.</p> <p><i>Agency Response:</i> The Department will obtain and review case specific information relating to each finding from the county audits. Upon review of the documentation, the Department will require the relevant county departments of social services to formulate specific corrective action plans to adequately address all areas of deficiencies and take steps to verify its implementation by the county departments of social services.</p>

**93.778 MEDICAL ASSISTANCE PROGRAM****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-076	<u>Allowable Costs/ Cost Principles:</u>  Material Weakness  Material Noncompliance  Questioned Cost Finding  \$287,925	<p><u>Errors in Provider Billing and Payment Process</u></p> <p>The Department made payments on behalf of program participants that did not comply with federal activities allowed or allowable cost requirements for the Medicaid Program. The Department erroneously made net overpayments of \$439,851 to Medicaid providers, resulting in questioned costs of \$287,925, which represents the federal share of the overpayments.</p> <p>We examined a sample of 280 Medicaid claims and identified 65 claims that were paid in error or not sufficiently documented. Examples of the deficiencies noted included insufficient or missing documentation in support of the services rendered, documentation that failed to meet the requirements established by policy, improper billing of services by providers, payment methodologies or rates that were inconsistent with the effective state plan or policies, failure to timely recoup charges subject to retroactive rate adjustments, and no consideration of the participants' private insurance prior to payment. The majority of the errors were related to medical record documentation to support services provided and charges incurred, which is the providers' responsibility to maintain.</p> <p>Federal regulation requires allowable costs to be adequately documented and program costs to be necessary and reasonable for proper and efficient administration of the program. Federal regulations also require that medical records disclose the extent of services provided to Medicaid participants.</p> <p>Similar aspects of this finding were reported in previous years.</p> <p><i>Federal Award Information:</i> This finding affects Medical Assistance Program federal grant awards 05-1205NC5MAP and 05-1305NC5MAP for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The Department should continue to enhance its control procedures to improve the accuracy of the claims payment process:</p> <ul style="list-style-type: none"> <li>• Management should ensure the proper implementation of system changes, including effective payment edits and/or audits.</li> <li>• Emphasis should be placed on educating providers as to proper coding and documentation standards necessary to support the medical services being provided.</li> </ul> <p>Further, identified over and underpaid claims should be followed up for timely and appropriate collection or payment.</p>

**93.778 MEDICAL ASSISTANCE PROGRAM (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
		<p><i>Agency Response:</i> The Department understands that the sample of claims reviewed were processed by the legacy MMIS system that was replaced effective July 1, 2013.</p> <p>The Department's Division of Medical Assistance (DMA) will investigate the sixty-five (65) claims cited to determine which claims were paid in error and which claims can be resolved by obtaining additional documentation from providers. DMA will also follow-up on any over and underpaid claims to ensure appropriate collection or payment is made.</p> <p>DMA will enhance its efforts to educate providers about proper documentation to support the medical necessity and coding of services billed to Medicaid. DMA will implement a training module for providers to ensure proper coding and required documentation. DMA will provide ongoing communication via Medicaid Bulletins and other communication venues to enhance education and training.</p> <p>DMA will evaluate proposed amendments to G.S. 108C to strengthen requirements for providers to submit documentation to support medical necessity and coding of services billed to Medicaid.</p>
2013-077	<p>Allowable Costs/ Cost Principles:</p> <p>Significant Deficiency</p> <p>Questioned Cost Finding</p> <p>\$2,243</p>	<p><u>Medicaid Disproportionate Share Hospital Payments Made Incorrectly</u></p> <p>The Department made disproportionate share hospital (DSH) payments that were not in accordance with approved methodologies and calculations. This resulted in payment errors to individual hospitals.</p> <p>Medicaid disproportionate share hospital payments provide financial assistance to hospitals that serve a large number of low-income patients, such as people with Medicaid and the uninsured. We noted deficiencies in the overall DSH calculations and individual hospital payment amounts. The deficiencies found included:</p> <ul style="list-style-type: none"> <li>• An incorrect payment was made for uncompensated care DSH expenditures caused by an update in information that was not properly included in the calculation of payments. This resulted in an overpayment of \$3,768, with resulting questioned costs of \$2,243, which represents the federal share of the overpayment.</li> <li>• Incorrect payments of various types of DSH expenditures were noted for 15 hospitals. The payment errors were caused by an incorrect calculation in the distribution of funds, the exclusion of one hospital</li> </ul>

**93.778 MEDICAL ASSISTANCE PROGRAM (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>that became eligible, and not updating a change in status for another hospital. These errors resulted in hospitals receiving under and overpayments of funds. The net impact is an underpayment of \$89,515.</p> <p>OMB Circular A-87 requires that to be allowable under a grant program, costs must be adequately documented and consistent with policies, regulations, and the state plan.</p> <p><i>Federal Award Information:</i> This finding affects Medical Assistance Program federal grant awards 05-1205NC5MAP and 05-1305NC5MAP for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The Department should enhance procedures to identify incorrect payments and review hospital documentation in a timely manner and correct the payment calculations accordingly. Identified over and underpaid amounts should be followed up for timely and appropriate collection or payment.</p> <p><i>Agency Response:</i> The Department's Division of Medical Assistance (DMA) reviewed issues identified during the audit for the State Fiscal Year (SFY) 2013 DSH model and made the appropriate corrections during the first quarter of SFY 2014.</p> <p>DMA will enhance current procedures as necessary to ensure that hospital documentation is reviewed timely and ensure accurate DSH calculations and payments. The DSH model will be reviewed periodically and adjusted as necessary.</p>
2013-078	<p><u>Allowable Costs/ Cost Principles:</u></p> <p>Significant Deficiency</p> <p><u>Deficiencies in the Eligibility System Interface Process</u></p> <p>The Department did not monitor the conversion of private insurance data in the Medicaid eligibility system to the Medicaid claims processing system. As a result, there was an increased risk that the Medicaid program paid claims for recipients that should have been covered by other insurance. However, only two of the 280 Medicaid claims we examined did not have updated private insurance coverage.</p> <p>North Carolina's federally-approved Medicaid plan requires that the Medicaid program be the "payer of last resort" in all cases that involve insurance coverage. The Department maintains participant private insurance coverage data in the Medicaid eligibility system.</p>

**93.778 MEDICAL ASSISTANCE PROGRAM (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>The eligibility system is interfaced with the Department's claims processing system daily. The Department maintains responsibility for monitoring and correcting errors in the interface; however, the interface procedures do not include a review of private insurance data. Errors could include failure to identify data that was previously interfaced from the eligibility system and subsequently deleted, which would prevent the policies from transferring during the interface. The interface procedures reviewed were for the two legacy systems that are scheduled to be replaced in the subsequent state fiscal year.</p> <p>The claims processing system relies on the accuracy of private insurance data for the claims adjudication process. Without accurate data, participant claims could be paid by the system that should be denied by the system edits checking for other potential insurance coverage.</p> <p>Similar aspects of this finding were reported in the prior year.</p> <p><i>Federal Award Information:</i> This finding affects Medical Assistance Program federal grant awards 05-1205NC5MAP and 05-1305NC5MAP for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The Department should ensure that interface monitoring procedures are developed for the new eligibility system and claims processing system to ensure errors are identified and corrected in a timely manner.</p> <p><i>Agency Response:</i> As noted above, the sample of claims reviewed were processed by the legacy MMIS system that was replaced effective July 1, 2013.</p> <p>The Department will review the process by which private insurance data is transferred from the eligibility system to the claims processing system. The Department will document the measures taken to ensure that the data is reviewed on an on-going basis and that Medicaid is the payer of last resort.</p>
2013-079 <u>Eligibility:</u>  Questioned Cost Finding  \$6,126	<u>Deficiencies in County Eligibility Determination Processes</u>  County departments of social services offices process applications related to the Medicaid Program. Certified Public Accountants performing the county audits tested 4,730 case files and found deficiencies in 103 cases. The county auditors identified questioned costs of \$6,126; however, it is likely questioned costs exceed \$10,000 in the population. The deficiencies found are described below:



**93.778 MEDICAL ASSISTANCE PROGRAM (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<ul style="list-style-type: none"> <li>a. For 36 client files, inaccurate budget calculations were noted. These inaccurate calculations included items such as the use of the incorrect income/wage amount, improper amount of child support income/payment amounts, and incorrect conversion factors. County auditors questioned costs of \$258 for one client.</li> <li>b. For 21 client files, missing eligibility and budget documentation was noted. These case files were missing items such as verification of wages, verification of liquid assets, and eligibility determination forms.</li> <li>c. For 18 client files, both inaccurate calculations related to the budget and missing eligibility and budget documentation were noted. These inaccurate calculations included improper calculations of reserve amounts, child support income/payments, and various contribution amounts provided from the client or confirmed from other sources.</li> <li>d. For 22 client files, missing eligibility documentation was noted. These case files were missing items such as citizenship documentation and online verification documentation. County auditors questioned costs of \$5,868 for two clients.</li> <li>e. For six client files, errors that resulted in the recipient being incorrectly determined to be eligible for the program were noted. The errors pertained to items such as inaccurate budget calculations, exceeding the reserve amount, exceeding the resource limit, an unreported change in the client's circumstances, and lack of verification of third-party insurance. County auditors were unable to quantify the amount of questioned costs.</li> </ul>

Similar aspects of this finding were reported in previous years.

*Federal Award Information:* This finding affects Medical Assistance Payments federal grant awards 05-1205NC5MAP and 05-1305NCMAP for the federal fiscal years ended September 30, 2012 and 2013, respectively.

*Recommendation:* The county auditors recommended that procedures be put in place at the counties to ensure that all required information is maintained, complete, and accurate.

*Agency Response:* The Department will obtain and review case specific information relating to each finding from the county departments of social services' audits. Upon review of the documentation, the Department will require the relevant county departments of social services to formulate specific

**93.778 MEDICAL ASSISTANCE PROGRAM (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
		<p>corrective action plans to adequately address all areas of deficiencies and take steps to verify its implementation by the county department of social services office.</p> <p>The Department will evaluate the effectiveness of the policy training globally and evaluate focused training needed by specific county departments of social services based on the counties' case findings. The Department will request all county departments of social services to review their internal compliance review processes for case monitoring to identify errors and processes to prevent future errors at the county level.</p>
2013-080	<p>Special Tests and Provisions:</p> <p>Significant Deficiency</p>	<p><u>Deficiencies with Program Integrity Functions</u></p> <p>The Department did not adequately track and review case investigations. These deficiencies could result in failures in completing case investigations, reaching accurate conclusions, identifying provider overpayments, and referring potentially fraudulent cases to the Attorney General's Medicaid Investigations Unit.</p> <p>The Department's Program Integrity Unit is charged with ensuring compliance, efficiency and accountability for the Medicaid program by detecting and preventing fraud, waste and abuse. It also works to prevent improper payments through tort recoveries, recoupments, and ongoing training of providers and recipients. The Department has partnered with various contractors to assist in examining Medicaid activities for fraud, waste and abuse. All cases identified as potentially fraudulent are referred to the Attorney General's Medicaid Investigations Unit for further investigation.</p> <p>The Program Integrity Unit uses a case tracking database to document case investigations. The database includes when a case is opened, referred, closed, and case results. Program Integrity staff does not consistently update the database for each individual case status, particularly for cases that were closed when an investigation was not initiated and for cases referred to the Attorney General's Medicaid Investigations Unit.</p> <p>We selected a sample of 60 case files identified as closed and noted six cases that did not have proper supervisory review as required by Departmental policy. These cases were completed by a contracted vendor and were related to investigations of claim payments for services provided after the death of a recipient.</p>

**93.778 MEDICAL ASSISTANCE PROGRAM (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
		<p>Similar aspects of this finding were reported in the prior year.</p> <p><i>Federal Award Information:</i> This finding affects Medical Assistance Program federal grant awards 05-1205NC5MAP and 05-1305NC5MAP for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The Department should review procedures and computer systems to enhance the tracking of case investigations. In addition, the Department should ensure that review procedures are consistently applied.</p> <p><i>Agency Response:</i> The Department's Division of Medical Assistance's Program Integrity Unit (PI) will review its case tracking database procedures with staff to ensure accurate tracking of case investigations. In addition, PI will continue to conduct monthly quality assurance reviews of case tracking. The existing case tracking system will be upgraded to allow for more comprehensive tracking of cases and reporting.</p>
2013-081	<p>Special Tests and Provisions:</p> <p>Significant Deficiency</p>	<p><u>Deficiencies in Provider Enrollment and Termination Processes</u></p> <p>The Department did not have sufficient policies and procedures in place to ensure the accuracy and completeness of the Medicaid provider enrollment and termination processes administered by a contracted service provider. These issues increase the risk that ineligible providers may be enrolled in the Medicaid program.</p> <p>The Department contracts with a service provider to perform enrollment, credentialing, and verification activities for provider participation in the Medicaid program. The Department is responsible for monitoring the activities of the service provider to ensure established business rules and desk review procedures are followed during the provider eligibility determination process and that all provider sanctions are properly handled.</p> <p>Our review of 60 group and individual provider files noted that the contract service provider did not consistently acquire and/or maintain all required information necessary to document the eligibility determination for provider-applicants of Medicaid services for five providers.</p> <p>In addition, the contracted service provider is responsible for monitoring professional licensing board notifications of sanctioned and suspended providers and terminating such providers from the Medicaid program. During our testing of the licensing actions, we noted exceptions in which the provider was not properly terminated from the Medicaid program. There were four</p>

**93.778 MEDICAL ASSISTANCE PROGRAM** (continued)**III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>Medical Board licensees that had a temporary, indefinite, or voluntarily suspended license due to Medical Board disciplinary action who were not properly end-dated or terminated from the Medicaid program computer systems.</p> <p>Similar aspects of this finding were reported in previous years.</p> <p><i>Federal Award Information:</i> This finding affects Medical Assistance Program federal grant awards 05-1205NC5MAP and 05-1305NC5MAP for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The Department should continue to improve and implement adequate monitoring controls over Medicaid provider enrollment and termination processes to ensure that only eligible, licensed medical providers are allowed participation in the Medicaid program and provider files are maintained.</p> <p><i>Agency Response:</i> The Department will investigate the errors noted by the auditors to determine the potential root causes. The Department will continue to implement monitoring controls over Medicaid provider enrollment and termination processes utilized by the service provider to ensure that only eligible providers are allowed to participate in the Medicaid Program.</p>

**93.917 HIV CARE FORMULA GRANTS****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-082	Cash <u>Management:</u>  Material Weakness  Material Noncompliance	<p><u>Untimely Use of Rebates</u></p> <p>The Department did not ensure that rebate funds for the HIV CARE Formula grant were used in accordance with federal requirements. Federal regulations require that grantees disburse rebates before requesting additional cash payments from the federal awarding agency.</p> <p>The Department did not consistently use the rebate funds prior to requesting additional federal funds from the federal awarding agency. The Department accumulated \$4.6 million in drug rebate funds from July 2012 to May 2013 before disbursing the funds in June 2013.</p> <p><i>Federal Award Information:</i> This finding affects HIV CARE Formula federal grant awards 12X07HA00051-22-00 and 12X07HA00051-23-00 for fiscal years ended March 31, 2013 and 2014, respectively.</p> <p><i>Recommendation:</i> The Department should ensure rebate funds are used in accordance with program regulations prior to requesting additional federal funds from the federal awarding agency.</p> <p><i>Agency Response:</i> Effective March 1, 2014, the Department implemented procedures to ensure appropriate disbursement of rebates. Upon receipt of drug invoices, budget staff determines the availability of rebates as reflected in the North Carolina Accounting System (NCAS). If available, rebates are used for disbursements prior to the use of federal funds.</p>
2013-083	<u>Eligibility:</u>  Material Weakness  Material Noncompliance  Questioned Cost Finding  \$23,212	<p><u>Deficiencies in Eligibility Determinations</u></p> <p>The Department did not adequately determine eligibility for participants that receive Human Immunodeficiency Virus (HIV) or Acquired Immunodeficiency Syndrome (AIDS) treatments, as funded by the HIV CARE Formula Grant. As a result, benefits were delivered to ineligible participants and \$23,212 of federal questioned costs were identified for the program.</p> <p>To be eligible to receive treatment assistance, a participant must meet financial and medical criteria, including a requirement that the participant not be enrolled in the Medicaid program. We identified seven participant cases in a sample of 60 where the participants were enrolled in Medicaid during the authorization period and should have been removed from the HIV CARE Formula program. The evaluation of Medicaid participation occurs during the intake process and no further verification is performed thereafter.</p>

**93.917 HIV CARE FORMULA GRANTS (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>Similar aspects of this finding were reported in the prior year.</p> <p><i>Federal Award Information:</i> This finding affects HIV CARE Formula federal grant awards 12X07HA00051-22-00 and 12X07HA00051-23-00 for fiscal years ended March 31, 2013 and 2014, respectively.</p> <p><i>Recommendation:</i> The Department should implement procedures to periodically verify Medicaid participation and enhance communication between divisions to ensure only eligible participants receive services.</p> <p><i>Agency Response:</i> The Department will continue to conduct a quarterly, random sampling of records to assure that all required information is maintained in client files until the Division of Public Health and the Division of Medical Assistance have implemented a process to ensure that participants eligible for Medicaid will not receive treatments funded by the HIV CARE Formula Grant. The amount of any questioned costs will be verified and repaid to the federal agency as appropriate.</p>

**93.959 BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-084	Subrecipient <u>Monitoring:</u>  Material Weakness  Material Noncompliance	<p><u>Noncompliance with DUNS Number Requirement</u></p> <p>The Department did not obtain Dun and Bradstreet Data Universal Numbering System (DUNS) numbers from subrecipients of the Block Grants for Prevention and Treatment of Substance Abuse program prior to the issuance of subawards, as required by federal regulations. After our inquiry, the Department requested subrecipients to provide DUNS numbers. Our testwork verified that the DUNS numbers provided were valid.</p> <p>Federal regulations (Title 2 CFR section 25.200) require the awarding agency, for non-ARRA first tier subawards made on or after October 1, 2010, to have the subrecipient provide a valid DUNS number before issuing the subaward.</p> <p>Similar aspects of this finding were reported in the prior year.</p> <p><i>Federal Award Information:</i> This finding affects Block Grants for Prevention and Treatment of Substance Abuse federal grant awards 2B08TI010032-12 and 2B08TI010032-13 for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The Department should ensure a valid DUNS number is obtained from every subrecipient prior to issuing the subaward.</p> <p><i>Agency Response:</i> Effective June 1, 2013, the Department amended all LME/MCO contracts, with the exception of one, to include the requirement to obtain a valid DUNS number prior to the time of sub-award. The contract amendment between the one remaining contract and DMH/DD/SAS is currently in negotiation. The Department will continue to include the DUNS number requirement in all contracts entered into with the LME/MCOs in subsequent periods.</p>
2013-085	Subrecipient <u>Monitoring:</u>  Significant Deficiency	<p><u>Monitoring Procedures Need Improvement</u></p> <p>We identified deficiencies in the monitoring procedures for the Block Grants for Prevention and Treatment of Substance Abuse program. As a result, there is an increased risk that noncompliance at the subrecipient level could occur and not be detected or corrected in a timely manner.</p> <p>The Department is responsible for monitoring the Local Management Entities (LMEs) providing program services. Our review of the monitoring efforts identified the following deficiencies:</p>

**93.959 BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<ul style="list-style-type: none"> <li>The Department does not require a corrective action plan for all deficiencies it finds during the LME fiscal settlement reviews. All issues found are communicated to the LMEs but corrective action is not required unless the LME is required to repay funds. Other issues noted, including those that violate federal regulations such as the practice of advancing funds to providers, do not require a corrective action plan from the LME.</li> <li>The Department is not properly completing monitoring tools during the LME annual systems reviews. We reviewed 134 monitoring tools and identified 11 that were incomplete or improperly completed. Questions related to eligibility criteria were not answered by the monitor.</li> </ul> <p>Federal and departmental guidelines require the monitoring of subrecipient activities to provide reasonable assurance that subrecipients are complying with applicable laws and regulations.</p> <p>Similar aspects of this finding were reported in previous years.</p> <p><i>Federal Award Information:</i> This finding affects Block Grants for Prevention and Treatment of Substance Abuse federal grant awards 2B08TI010032-12 and 2B08TI010032-13 for the federal fiscal years ended September 30, 2012 and 2013, respectively.</p> <p><i>Recommendation:</i> The Department should continue to enhance its monitoring process for the Block Grants for Prevention and Treatment of Substance Abuse program:</p> <ul style="list-style-type: none"> <li>Comprehensive policies should address all aspects of the monitoring efforts, including obtaining corrective action plans for all deficiencies.</li> <li>The Department should develop and implement procedures to review monitoring tools to ensure they are properly completed.</li> </ul> <p><i>Agency Response:</i> The Department revised its Local Management Entities (LMEs)/ Managed Care Organizations (MCOs) fiscal monitoring procedures and guidelines for conducting settlement reviews effective January 2014 to include the requirement for a corrective action plan for all deficiencies found during the LME/MCO fiscal settlement reviews. The process followed will be in accordance with the procedures and timelines in the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services (DMH/DD/SAS) Plan of Correction policy, <a href="http://www.ncdhhs.gov/mhddsas/providers/POC/poc-policy.pdf">http://www.ncdhhs.gov/mhddsas/providers/POC/poc-policy.pdf</a>.</p>



**93.959 BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE (continued)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Health and Human Services

N.C. Department of Health and Human Services

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
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Staff has been informed to complete each item on all monitoring tools regardless of the eligibility criteria previously determined. In addition, the Department will implement an electronic audit tool to require monitoring worksheets to be completed before the related question on the tool will automatically rate criteria as Met or Not Met.

**97.036 DISASTER GRANTS – PUBLIC ASSISTANCE (PRESIDENTIALLY DECLARED DISASTERS)****III. Federal Award Findings and Questioned Costs**

U.S. Department of Homeland Security

N.C. Department of Public Safety

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-086	<u>Reporting:</u>  Material Weakness  Material Noncompliance	<p><u>Deficiencies in Federal Reporting</u></p> <p>The Department did not report Public Assistance disaster subawards of \$25,000 or more in the federal reporting system, as required under the Federal Funding Accountability and Transparency Act (FFATA). During the state fiscal year ended June 30, 2013, the Department disbursed approximately \$16.7 million of subawards equal to or exceeding \$25,000 for Public Assistance disasters.</p> <p>As required by the Transparency Act and Office of Management and Budget (OMB) guidance, prime awardees are required to report and ensure public disclosure of federal grant data for subawards equal to or over \$25,000.</p> <p><i>Federal Award Information:</i> This finding affects CFDA 97.036 Disaster Grants – Public Assistance program disasters NC Severe Storms, Tornadoes, and Flooding award FEMA-1969-DR-NC and NC Hurricane Irene award FEMA-4019-DR-NC.</p> <p><i>Recommendation:</i> The Department should ensure reports are accurately prepared and submitted in accordance with federal reporting requirements.</p> <p><i>Agency Response:</i> The Department agrees with this finding. The Department will report Public Assistance disaster awards in the federal reporting system as required under the Federal Funding Accountability and Transparency Act (FFATA).</p>
2013-087	<u>Subrecipient Monitoring:</u>  Significant Deficiency	<p><u>Review of Subrecipient Audit Reports Needs Improvement</u></p> <p>While the Department adequately tracked and obtained subrecipient audit reports, it did not have procedures in place to identify audit findings relevant to its programs and ensure that subrecipients took timely and appropriate corrective action on the findings. As a result, there was an increased risk that deficiencies identified at the subrecipient level would not be corrected. However, in our audit we did not identify any subrecipient audit findings relevant to the Department's programs. See finding 2013-013 for a description.</p>

## RESEARCH AND DEVELOPMENT CLUSTER

## III. Federal Award Findings and Questioned Costs

Various Federal Agencies (Note A)

North Carolina State University

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
2013-088	<u>Reporting:</u>  Material Weakness	<p data-bbox="537 558 1297 590"><u>Lack of Controls Over Required Reporting of Federal Grant Data</u></p> <p data-bbox="537 621 1461 804">The University did not have a system in place to ensure that required reporting under the Federal Funding Accountability and Transparency Act (Act) is complete, timely, and is supported by accounting records. One employee gathers, prepares, and submits the required information. The information submitted is not reviewed to ensure completeness and accuracy, nor is it monitored to ensure timely submission.</p> <p data-bbox="537 835 1461 930">Of the 40 items we tested, actual noncompliance was not identified. However, lack of internal controls increases the risk that the University would not comply with federal regulations for reporting under the Act.</p> <p data-bbox="537 961 1461 1144">The Act requires that the University make available information to the public for subawards made on or after October 1, 2010 that are greater than \$25,000 via a single, searchable database (<a href="http://www.USASpending.gov">www.USASpending.gov</a>). OMB Circular A-133 requires that the reports include all activity for the reporting period, are supported by applicable accounting or performance records, and are fairly presented in accordance with governing requirements.</p> <p data-bbox="537 1176 1461 1236"><i>Federal Award Information:</i> The finding impacts federal research and development funding for July 1, 2012 through June 30, 2013.</p> <p data-bbox="537 1268 1461 1541">The specific CFDA numbers for the Research and Development Cluster are identified in the Schedule of Expenditures of Federal Awards (By State Agency) for North Carolina State University. The following CFDA numbers are impacted by this finding: 10.000, 10.001, 10.025, 10.200, 10.206, 10.215, 10.219, 10.303, 10.307, 10.309, 10.310, 10.500, 11.417, 11.440, 11.611, 12.000, 12.300, 12.351, 12.431, 13.000, 15.805, 15.812, 20.000, 43.000, 47.041, 47.049, 47.050, 47.070, 47.074, 47.076, 47.082, 66.436, 81.000, 81.049, 81.087, 81.135, 93.000, 93.113, 93.286, 93.393, 93.396, 93.855, 93.859, 98.000.</p> <p data-bbox="537 1572 1461 1633"><i>Recommendation:</i> The University should implement controls to ensure that required reporting is completed timely and accurately.</p> <p data-bbox="537 1665 1461 1890"><i>Agency Response:</i> The Office of Sponsored Programs and Regulatory Compliance Services has developed a process to formally verify successful completion and oversight of the FFATA monthly reporting process, including procedures that will prevent a failure to submit a required report, a late submission of a required report, and/or a submission of a report with inaccurate information. The control adds to our existing process by having a member of SPARCS management team review the report prior to</p>

**RESEARCH AND DEVELOPMENT CLUSTER** (continued)**III. Federal Award Findings and Questioned Costs**

Various Federal Agencies (Note A)

North Carolina State University

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	on-line submission for timeliness and accuracy and documenting said review and approval prior to the monthly submission deadline.
	<b>Note A:</b> The following federal agencies provided direct funding to the Research and Development Cluster:
	U.S. Department of Agriculture
	U.S. Department of Commerce
	U.S. Department of Defense
	U.S. Central Intelligence Agency
	U.S. Department of Interior
	U.S. Department of Transportation
	National Aeronautics and Space Administration
	National Science Foundation
	U.S. Environmental Protection Agency
	U.S. Department of Energy
	U.S. Department of Health and Human Services
	U.S. Agency for International Development

**RESEARCH AND DEVELOPMENT CLUSTER****III. Federal Award Findings and Questioned Costs**

Various Federal Agencies (Note A)

University of North Carolina at Chapel Hill

For the Fiscal Year Ended June 30, 2013

2013-089	Type of Finding/ Questioned Costs	Findings and Recommendations
	Equipment and Real Property Management:  Material Weakness  Material Noncompliance	<p data-bbox="537 562 1166 590"><u>Internal Controls Over Equipment Need Improvement</u></p> <p data-bbox="537 625 1468 804">The University did not maintain adequate equipment records, nor was equipment adequately safeguarded. Federal regulations (OMB Circular A-110, §215.34) state that equipment records should include the location and condition of the equipment (§215.34(f)(1)(vii)), and a control system should be in effect to ensure adequate safeguards to prevent loss, damage, or theft of equipment (§215.34(f)(4)).</p> <p data-bbox="537 840 1468 926">We tested 60 pieces of equipment to ensure adequate records were maintained and assets were safeguarded. Of these items tested, three items were found to be in noncompliance.</p> <ul data-bbox="586 961 1468 1329" style="list-style-type: none"> <li data-bbox="586 961 1468 1087">• One item listed in the inventory at June 30, 2013 was returned to a vendor prior to June 30, 2013, but subsequent to the inventory date. The Department did not notify asset management timely, as required by University policy.</li> <li data-bbox="586 1123 1468 1329">• Two items listed in the inventory at June 30, 2013 could not be located. After our testing began, these items were determined to be missing. Proper documentation was not sent to asset management notifying them of the missing equipment until these items were requested as a part of audit procedures. This indicates that the University did not maintain adequate equipment records nor did they adequately safeguard these items.</li> </ul> <p data-bbox="537 1365 1468 1423"><i>Federal Award Information:</i> This finding impacts federal research and development funding for July 1, 2012 through June 30, 2013.</p> <p data-bbox="537 1459 1468 1577">The specific CFDA numbers for the Research and Development Cluster are identified in the Schedule of Expenditures of Federal Awards (By State Agency) for the University of North Carolina at Chapel Hill. The following CFDA numbers are impacted by this finding: 12.420, 43.000, 47.000.</p> <p data-bbox="537 1612 1468 1671"><i>Recommendation:</i> The University should strengthen internal controls to adequately maintain equipment records and to safeguard equipment.</p> <p data-bbox="537 1707 1468 1793"><i>Agency Response:</i> The University acknowledges that for the three items noted in this finding, the University did not meet the requirements of OMB Circular A-110 215.34(f)(1)(vii) and (f)(4).</p> <p data-bbox="537 1829 1468 1887">On an annual basis, each University department is responsible for conducting an inventory of capitalized equipment and reporting disparities to Asset</p>

**RESEARCH AND DEVELOPMENT CLUSTER (continued)****III. Federal Award Findings and Questioned Costs**

Various Federal Agencies (Note A)

University of North Carolina at Chapel Hill

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>Management. For the sample item that was returned to the vendor, the Department at issue has a large inventory that requires coordination of multiple laboratories and custodians. The Department began the inventory process in May 2013. In early June 2013, the laboratory where the sample item was located signed-off on its inventory, indicating the location of the sample item, and submitted the inventory to the Department's Facilities Manager, who coordinates the Department's inventory submission to Asset Management. Subsequently, during the month of June 2013, the sample item was traded-in by the laboratory for a new item.</p> <p>The Department submitted its consolidated inventory to Asset Management in August 2013. For the sample item, the Department should have updated Asset Management in a timelier manner as to trade-in of the item. Corrective action has already been taken regarding this item. The Capital Asset Manager has communicated with the respective laboratory and department regarding its obligation to track decaled items and to provide timely notification to Asset Management regarding any permanent change in the status of an item. In addition, the inventory records for the Department have been updated to reflect the status of this item.</p> <p>For the two items that could not be located, we believe the primary reason for the inability to locate one item, a metallic tester, frequently used in coastal water research, is due to the remote location of where it was assigned and the utility of the item on many projects, resulting in it changing locations frequently. The metallic tester was acquired in January 1994 and had book value in the Asset Management database of \$131 at fiscal year-end 2013. For the other item, a Pentium II computer purchased in 1999, we believe that turn-over in the Departmental representative responsible for managing physical assets contributed to Asset Management not being notified of the likely scrapping or transferring to University Surplus Property of the item. Given the age of this computer, we believe the value of the computer was insignificant.</p> <p>Corrective action has already been taken regarding these two items. The Department at issue has filed the appropriate paperwork with Asset Management regarding the missing items and Asset Management has updated the equipment inventory appropriately. The Capital Asset Manager has also communicated directly with the Department regarding its obligation to track decaled items and to notify Asset Management regarding any permanent change in location or status of an item.</p> <p>In addition to the corrective actions noted above, by April 30, 2014, the Capital Asset Manager will send a communication to campus reminding departments of the obligation to provide timely notification to Asset Management when</p>

**RESEARCH AND DEVELOPMENT CLUSTER (continued)****III. Federal Award Findings and Questioned Costs**

Various Federal Agencies (Note A)

University of North Carolina at Chapel Hill

For the Fiscal Year Ended June 30, 2013

	Type of Finding/ Questioned Costs	Findings and Recommendations
		<p>there is a permanent change in location of a decaled equipment item or whenever an equipment item is lost, stolen, traded-in, scrapped, or transferred to another institution.</p> <p><b>Note A:</b> The following federal agencies provided direct funding to the Research and Development Cluster:</p> <p>U.S. Department of Defense</p> <p>National Aeronautics and Space Administration</p> <p>National Science Foundation</p>
2013-090	<p>Subrecipient <u>Monitoring:</u></p> <p>Material Weakness</p> <p>Material Noncompliance</p>	<p><u>Internal Control Deficiencies Related to Subrecipient Audit Findings</u></p> <p>The University did not have a system in place to ensure that management decision letters were sent to subrecipients that had weaknesses identified in their audit report. Management decision letters are required to be issued by the University within six months after the receipt of the subrecipients' audit report which includes weaknesses. These letters should document the University's evaluation of the audit findings and corrective action plan submitted and a written decision as to what corrective action is necessary. Also, there was not a system in place to ensure that the subrecipient took timely and corrective action on all audit findings.</p> <p>We tested a sample of expenses paid to subrecipients, and for each subrecipient we reviewed the A-133 audit report obtained by the University to ensure that if weaknesses were noted, management decision letters were sent accordingly. During our testing, a management decision letter was sent for all audit reports that contained audit findings. However, lack of internal controls increases the risk that the monitoring would not be adequate, as well as the risk of noncompliance at the subrecipient level.</p> <p>Federal regulations (OMB Circular A-133.400(d)(5)) require the University to issue a management decision letter on subrecipients' audit findings within six months after the receipt of the subrecipient's audit report. Further, the Circular requires that the University ensure that the subrecipient took timely and corrective action on all audit findings.</p> <p><i>Federal Award Information:</i> The finding impacts federal research and development funding for July 1, 2012 through June 30, 2013.</p>

**RESEARCH AND DEVELOPMENT CLUSTER** (continued)**III. Federal Award Findings and Questioned Costs**

Various Federal Agencies (Note A)

University of North Carolina at Chapel Hill

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p>The specific CFDA numbers for the Research and Development Cluster are identified in the Schedule of Expenditures of Federal Awards (By State Agency) for the University of North Carolina at Chapel Hill. The following CFDA numbers are impacted by this finding: 11.012, 11.303, 12.000, 12.300, 12.351, 12.420, 12.431, 12.910, 20.000, 20.200, 43.000, 45.161, 47.000, 47.049, 47.050, 47.070, 47.074, 47.075, 47.080, 47.082, 66.000, 66.202, 66.509, 81.000, 81.049, 81.135, 84.015, 84.133, 84.324, 84.325, 84.326, 84.373, 93.000, 93.051, 93.061, 93.067, 93.088, 93.107, 93.110, 93.113, 93.121, 93.127, 93.135, 93.136, 93.143, 93.155, 93.172, 93.173, 93.184, 93.213, 93.226, 93.242, 93.243, 93.262, 93.273, 93.279, 93.282, 93.283, 93.286, 93.307, 93.350, 93.361, 93.389, 93.393, 93.394, 93.395, 93.396, 93.397, 93.512, 93.516, 93.600, 93.607, 93.631, 93.701, 93.718, 93.730, 93.822, 93.837, 93.838, 93.839, 93.846, 93.847, 93.848, 93.853, 93.855, 93.859, 93.865, 93.866, 93.867, 93.918, 93.928, 93.945, 93.969, 93.989, 97.061, 97.122, 98.000, 98.012.</p> <p><i>Recommendation:</i> The University should strengthen internal controls over subrecipient monitoring.</p> <p><i>Agency Response:</i> The University acknowledges that for the FY13 period it did not have a process in place to send management decision letters to subrecipients that has weaknesses identified in their A-133 audit reports, as required by A-133.400(d)(5). Effective with the request to subrecipients for FY12 audit reports, which occurred in Spring of FY13, the Office of Sponsored Research has implemented a process to issue management decision letters to subrecipients within six months after receipt of the subrecipients' audit report which includes weaknesses. The management decision letters notify each subrecipient that the review has been completed and identify any additional corrective action, as necessary.</p> <p><b>Note A:</b> The following federal agencies provided direct funding to the Research and Development Cluster:</p> <p>U.S. Department of Commerce</p> <p>U.S. Department of Defense</p> <p>U.S. Department of Transportation</p> <p>National Aeronautics and Space Administration</p> <p>National Endowment for the Humanities</p>



**RESEARCH AND DEVELOPMENT CLUSTER (continued)****III. Federal Award Findings and Questioned Costs**

Various Federal Agencies (Note A)

University of North Carolina at Chapel Hill

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs		Findings and Recommendations
		National Science Foundation
		U.S. Environmental Protection Agency
		U.S. Department of Energy
		U.S. Department of Education
		U.S. Department of Health and Human Services
		Department of Homeland Security
		U.S. Agency for International Development
2013-091	Subrecipient Monitoring:	<u>For-Profit Subrecipient Monitoring Not Adequate</u>
	Material Weakness	<p>The University does not adequately monitor subawards issued to for-profit subrecipients. Federal regulations (OMB Circular A-133.210(e)) state that since OMB Circular A-133 does not apply to for-profit subrecipients, the pass-through entity (University) is responsible for establishing requirements, as necessary, to ensure compliance by for-profit subrecipient.</p> <p>The University does not have an adequate system in place to ensure that for-profit subrecipients are monitored for compliance with the applicable requirements as stated in the subrecipient contract (OMB Circular A-133 compliance requirements). We tested twelve payments to for-profit subrecipients to determine if the University was adequately monitoring the expenses of the subrecipient to ensure compliance. There was no evidence of monitoring for compliance of the for-profit subrecipients for eight of the twelve transactions we tested.</p> <p><i>Federal Award Information:</i> The finding impacts federal research and development funding for July 1, 2012 through June 30, 2013.</p> <p>The specific CFDA numbers for the Research and Development Cluster are identified in the Schedule of Expenditures of Federal Awards (By State Agency) for the University of North Carolina at Chapel Hill. The following CFDA numbers are impacted by this finding: 12.000, 20.000, 20.200, 47.049, 66.000, 66.202, 81.000, 84.326, 93.000, 93.113, 93.242, 93.243, 93.286, 93.307, 93.361, 93.393, 93.394, 93.600, 93.701, 93.715, 93.837, 93.838, 93.847, 93.859, 93.865, 93.866, 93.867, 97.122, 98.000</p>
	Material Noncompliance	

**RESEARCH AND DEVELOPMENT CLUSTER** (continued)**III. Federal Award Findings and Questioned Costs**

Various Federal Agencies (Note A)

University of North Carolina at Chapel Hill

For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<p><i>Recommendation:</i> The University should strengthen their system to ensure adequate monitoring of for-profit subrecipients.</p> <p><i>Agency Response:</i> The University acknowledges that its process for monitoring for-profit subrecipients could be enhanced to provide additional safeguards to ensure compliance of for-profit subrecipients.</p> <p>The University's current process includes having the assigned Program Administrator in the Office of Sponsored Research review information provided by each potential subrecipient such as the type of entity (e.g., institution of higher education, for-profit), fiscal responsibility of the entity and audit status of the entity. This information is reviewed prior to the execution of a subagreement and can inform the University as to the monitoring needed for the subrecipient.</p> <p>Throughout the subaward, subrecipient invoices are initially sent to the Principal Investigator, who has overall responsibility for the fiscal management of the project, to review and certify the appropriateness of the charges prior to being sent to the Office of Sponsored Research for payment. Upon receipt in the Office of Sponsored Research, invoices are reviewed by the pre audit team to ensure the time period of the invoice is within the budget period, costs correspond to the approved budget and costs do not exceed the authorized subaward amount. If the University has questions regarding a particular cost, the terms and conditions of the subaward provide the University with the right to request backup documentation from the subrecipient.</p> <p>In addition to the invoice review process undertaken prior to payment, the post audit team in the Office of Sponsored Research performs a desk audit of randomly selected subrecipient invoices on a quarterly basis. This sampling of detailed costs can include for profit subrecipient invoices.</p> <p>To enhance the current process, the Office of Sponsored Research will expand its post award audit review to include a larger sample of for profit invoices. This review will include obtaining and reviewing supporting documentation for the costs claimed on the invoice. In addition, a mini audit questionnaire will be implemented to assist with reviewing the for profit subrecipient's internal control mechanisms (e.g., cash management, past audit findings, procurement systems, payroll management, property management, etc.), as well as to allow for further evaluation of the subrecipient's ability to comply with applicable requirements as stated in the subaward agreement.</p>

**RESEARCH AND DEVELOPMENT CLUSTER** (continued)

**III. Federal Award Findings and Questioned Costs**

Various Federal Agencies (Note A)  
University of North Carolina at Chapel Hill  
For the Fiscal Year Ended June 30, 2013

Type of Finding/ Questioned Costs	Findings and Recommendations
	<b>Note A:</b> The following federal agencies provided direct funding to the Research and Development Cluster:
	U.S. Department of Defense
	U.S. Department of Transportation
	National Science Foundation
	U.S. Environmental Protection Agency
	U.S. Department of Energy
	U.S. Department of Education
	U.S. Department of Health and Human Services
	Department of Homeland Security
	U.S. Agency for International Development

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**Summary of Findings and Questioned Costs**

**Summary of Findings and Questioned Costs  
By Federal Agency**

For the Fiscal Year Ended June 30, 2013

Federal Agency	CFDA	Finding Number	Finding	Questioned Costs
U.S. Department of Agriculture:	10.551	2013-001	Noncompliance with SNAP Requirements	\$ 2,694
				2,694
	10.553	2013-002	Monitoring Results Not Reported Timely	\$ -
				-
	10.555	2013-003	Monitoring Results Not Reported Timely	-
				-
	10.556	2013-004	Monitoring Results Not Reported Timely	-
				-
	10.557	2013-005	Deficiencies in County Eligibility Determination Processes	-
				-
	10.561	2013-006	Federal Funding Accountability Transparency Act Reporting Not Completed Timely	-
				-
				-
			Total Federal Agency	2,694
U.S. Department of Housing and Urban Development:	14.228	2013-007	Inaccurate Cash Management Improvement Act Analysis	-
		2013-008	Inability to Support Compliance with Earmarking Requirements	-
		2013-009	Management's Implementation of Corrective Action Was Inadequate	-
		2013-010	Lack of Segregation of Duties in the Administration of Grants Management System	-
		2013-011	Inaccurate Federal Reporting	-
		2013-012	Deficiencies in Subrecipient Monitoring	-
				-
			Total Federal Agency	-
U.S. Department of Justice:	16.738	2013-013	Review of Subrecipient Audit Reports Needs Improvement	-
				-
	16.803	2013-014	Review of Subrecipient Audit Reports Needs Improvement	-
				-
			Total Federal Agency	-
U.S. Department of Labor:	17.225	2013-015	Management's Implementation of Corrective Action Was Inadequate	-
		2013-016	Deficiencies in Processing and Monitoring of Overpayment Investigations	602
		2013-017	Inaccurate Federal Reporting	-
				602

**Summary of Findings and Questioned Costs  
By Federal Agency**

For the Fiscal Year Ended June 30, 2013

Federal Agency	CFDA	Finding Number	Finding	Questioned Costs	
U.S. Department of Labor: (continued)	17.258	2013-018	Management's Implementation of Corrective Action Was Inadequate Deficiencies in Subrecipient Monitoring	-	
		2013-019		-	
				-	
	17.259	2013-020	Management's Implementation of Corrective Action Was Inadequate Deficiencies in Subrecipient Monitoring	-	
		2013-021		-	
				-	
	17.278	2013-022	Management's Implementation of Corrective Action Was Inadequate Deficiencies in Subrecipient Monitoring	-	
		2013-023		-	
				-	
Total Federal Agency				602	
U.S. Department of Transportation:	20.205	2013-024	Justification for Awarding Contracts Not Documented Quality Assurance Procedures Not Followed	-	
		2013-025		-	
				-	
	20.319	2013-026	Certified Payrolls Not Obtained Buy-American Provisions Excluded From Contract Terms Justification for Awarding Contracts Not Documented Federal Reports Contained Errors	-	
		2013-027		-	
		2013-028		-	
		2013-029		-	
				-	
	20.509	2013-030	Review of Subrecipient Applications Not Always Documented Subrecipient Data in Federal Reports Not Reviewed Schedule of Expenditures of Federal Awards Not Accurate Subrecipient Monitoring Not Adequately Performed	-	
		2013-031		-	
		2013-032		-	
		2013-033		-	
				-	
	Total Federal Agency				-
	U.S. Environmental Protection Agency:	66.458	2013-034	Federal Funds Expended After the Period of Availability Monitoring of Subrecipient Cash Management Needs Improvement	15,512
2013-035			-		
			15,512		
66.468		2013-036	Federal Funds Used for Unallowable Activity Subrecipient Monitoring Needs Improvement	1,652,657	
		2013-037		-	
			1,652,657		
Total Federal Agency				1,668,169	
U.S. Department of Education:	84.010	2013-038	Noncompliance with Cash Management Requirements	-	
		2013-039	Noncompliance with Period of Availability Requirements	-	
		2013-040	Monitoring Results Not Reported Timely	-	
		2013-041	Deficiencies in Title I Program Monitoring	-	
				-	

**Summary of Findings and Questioned Costs  
By Federal Agency**

For the Fiscal Year Ended June 30, 2013

Federal Agency	CFDA	Finding Number	Finding	Questioned Costs
U.S. Department of Education: (continued)	84.027	2013-042	Noncompliance with Cash Management Requirements	-
		2013-043	Deficiencies in Special Education Cluster Monitoring	-
		2013-044	Monitoring Results Not Reported Timely	-
				-
	84.031	2013-045	Lack of Controls Over Federal Suspension and Debarment Verification	-
		2013-046	Cash Management Controls Need Improvement	-
		2013-047	Expenditures Understated on Annual Performance Report	-
				-
	84.048	2013-048	Noncompliance with Cash Management Requirements	-
		2013-049	Noncompliance with Maintenance of Effort Requirements	-
		2013-050	Monitoring Results Not Reported Timely	-
				-
	84.063	2013-051	Weakness in the Student Financial Aid Application Verification Process	12,992
				-
				12,992
	84.126	2013-052	Errors in Claims Payment Process	2,064
		2013-053	Deficiencies in Participant Eligibility Determinations	-
				-
				2,064
	84.173	2013-054	Noncompliance with Cash Management Requirements	-
		2013-055	Deficiencies in Special Education Cluster Monitoring	-
		2013-056	Monitoring Results Not Reported Timely	-
				-
	84.268	2013-057	Weakness in the Student Financial Aid Application Verification Process	3,560
		2013-058	Improvements Needed in Direct Loan Notification Process	-
		2013-059	Untimely Notice to Lenders of Changes in Enrollment Status	-
				-
				3,560
	84.367	2013-060	Noncompliance with Cash Management Requirements	-
		2013-061	Monitoring Results Not Reported Timely	-
				-
	84.377	2013-062	Noncompliance with Cash Management Requirements	-
		2013-063	Monitoring Results Not Reported Timely	-
				-
	84.388	2013-064	Noncompliance with Cash Management Requirements	-
		2013-065	Monitoring Results Not Reported Timely	-
				-
	84.395	2013-066	Noncompliance with Cash Management Requirements	-
		2013-067	Monitoring Results Not Reported Timely	-
				-



**Summary of Findings and Questioned Costs  
By Federal Agency**

For the Fiscal Year Ended June 30, 2013

Federal Agency	CFDA	Finding Number	Finding	Questioned Costs
U.S. Department of Education: (continued)	84.410	2013-068	Noncompliance with Cash Management Requirements	-
		2013-069	Subrecipients Not Notified of Budget Overruns	-
		2013-070	Monitoring Results Not Reported Timely	-
				<u>-</u>
			Total Federal Agency	<u>18,616</u>
U.S. Department of Health and Human Services:	93.558	2013-071	Deficiencies in County Eligibility Determination Processes	<u>1,063</u>
				1,063
	93.659	2013-072	Deficiencies in County Eligibility Determination Processes	90,273
		2013-073	Deficiency in Subrecipient Monitoring	-
				<u>90,273</u>
	93.767	2013-074	Errors in Provider Billing and Payment Process	1,030
		2013-075	Deficiencies in County Eligibility Determination Processes	<u>50</u>
				1,080
	93.778	2013-076	Errors in Provider Billing and Payment Process	287,925
		2013-077	Medicaid Disproportionate Share Hospital Payments Made Incorrectly	2,243
		2013-078	Deficiencies in the Eligibility System Interface Process	-
		2013-079	Deficiencies in County Eligibility Determination Processes	6,126
		2013-080	Deficiencies with Program Integrity Functions	-
		2013-081	Deficiencies in Provider Enrollment and Termination Processes	-
				<u>296,294</u>
	93.917	2013-082	Untimely Use of Rebates	-
		2013-083	Deficiencies in Eligibility Determinations	<u>23,212</u>
				23,212
	93.959	2013-084	Noncompliance with DUNS Number Requirement	-
		2013-085	Monitoring Procedures Need Improvement	-
				<u>-</u>
			Total Federal Agency	<u>411,922</u>
U.S. Department of Homeland Security:	97.036	2013-086	Deficiencies in Federal Reporting	-
		2013-087	Review of Subrecipient Audit Reports Needs Improvement	-
				<u>-</u>
				<u>-</u>
			Total Federal Agency	<u>-</u>

**Summary of Findings and Questioned Costs  
By Federal Agency**

For the Fiscal Year Ended June 30, 2013

Federal Agency	CFDA	Finding Number	Finding	Questioned Costs
Various Federal Agencies:	Note A	2013-088	Lack of Controls Over Required Reporting of Federal Grant Data	-
		2013-089	Internal Controls Over Equipment Need Improvement	-
		2013-090	Internal Control Deficiencies Related to Subrecipient Audit Findings	-
		2013-091	For-Profit Subrecipient Monitoring Not Adequate	-
				-
			Total Federal Agency	-
Total Questioned Costs				\$ 2,102,003

Note A      These findings are related to the Research and Development cluster. The federal agencies and CFDA numbers are identified in the respective audit findings.

**Summary of Findings and Questioned Costs  
By State Agency**

For the Fiscal Year Ended June 30, 2013

State Agency		Finding Numbers	Questioned Costs
Commerce:	1 Inaccurate Cash Management Improvement Act Analysis	2013-007	-
	2 Inability to Support Compliance with Earmarking Requirements	2013-008	-
	3 Management's Implementation of Corrective Action Was Inadequate	2013-009, 2013-015, 2013-018, 2013-020, 2013-022	-
	4 Lack of Segregation of Duties in the Administration of Grants Management System	2013-010	-
	5 Inaccurate Federal Reporting	2013-011	-
	6 Deficiencies in Subrecipient Monitoring	2013-012	-
	7 Deficiencies in Processing and Monitoring of Overpayment Investigations	2013-016	602
	8 Inaccurate Federal Reporting	2013-017	-
	9 Deficiencies in Subrecipient Monitoring	2013-019, 2013-021, 2013-023	-
	Total State Agency		<u>602</u>
Elizabeth City State University:	10 Lack of Controls Over Federal Suspension and Debarment Verification	2013-045	-
	Total State Agency		<u>-</u>
Environment and Natural Resources:	11 Federal Funds Expended After the Period of Availability	2013-034	15,512
	12 Monitoring of Subrecipient Cash Management Needs Improvement	2013-035	-
	13 Federal Funds Used for Unallowable Activity	2013-036	1,652,657
	14 Subrecipient Monitoring Needs Improvement	2013-037	-
	Total State Agency		<u>1,668,169</u>
Fayetteville State University:	15 Cash Management Controls Need Improvement	2013-046	-
	16 Expenditures Understated on Annual Performance Report	2013-047	-
	Total State Agency		<u>-</u>
Health and Human Services:	17 Noncompliance with SNAP Requirements	2013-001	2,694
	18 Deficiencies in County Eligibility Determination Processes	2013-005	-
	19 Federal Funding Accountability Transparency Act Reporting Not Completed Timely	2013-006	-
	20 Errors in Claims Payment Process	2013-052	2,064
	21 Deficiencies in Participant Eligibility Determinations	2013-053	-
	22 Deficiencies in County Eligibility Determination Processes	2013-071	1,063
	23 Deficiencies in County Eligibility Determination Processes	2013-072	90,273
	24 Deficiency in Subrecipient Monitoring	2013-073	-

**Summary of Findings and Questioned Costs  
By State Agency**

For the Fiscal Year Ended June 30, 2013

State Agency		Finding Numbers	Questioned Costs
Health and Human Services: (continued)			
	25 Errors in Provider Billing and Payment Process	2013-074	1,030
	26 Deficiencies in County Eligibility Determination Processes	2013-075	50
	27 Errors in Provider Billing and Payment Process	2013-076	287,925
	28 Medicaid Disproportionate Share Hospital Payments Made Incorrectly	2013-077	2,243
	29 Deficiencies in the Eligibility System Interface Process	2013-078	-
	30 Deficiencies in County Eligibility Determination Processes	2013-079	6,126
	31 Deficiencies with Program Integrity Functions	2013-080	-
	32 Deficiencies in Provider Enrollment and Termination Processes	2013-081	-
	33 Untimely Use of Rebates	2013-082	-
	34 Deficiencies in Eligibility Determinations	2013-083	23,212
	35 Noncompliance with DUNS Number Requirement	2013-084	-
	36 Monitoring Procedures Need Improvement	2013-085	-
		Total State Agency	416,680
James Sprunt Community College:			
	37 Weakness in the Student Financial Aid Application Verification Process	2013-051, 2013-057	16,552
		Total State Agency	16,552
North Carolina Agricultural and Technical State University:			
	38 Improvements Needed in Direct Loan Notification Process	2013-058	-
		Total State Agency	-
North Carolina State University:			
	39 Untimely Notice to Lenders of Changes in Enrollment Status	2013-059	-
	40 Lack of Controls Over Required Reporting of Federal Grant Data	2013-088	-
		Total State Agency	-
Public Instruction:			
	41 Monitoring Results Not Reported Timely	2013-002, 2013-003, 2013-004, 2013-040, 2013-044, 2013-050, 2013-056, 2013-061, 2013-063, 2013-065, 2013-067, 2013-070	-

**Summary of Findings and Questioned Costs  
By State Agency**

For the Fiscal Year Ended June 30, 2013

State Agency		Finding Numbers	Questioned Costs
Public Instruction: (continued)	42 Noncompliance with Cash Management Requirements	2103-038, 2013-042, 2013-048, 2013-054, 2013-060, 2013-062, 2013-064, 2013-066, 2013-068	-
	43 Noncompliance with Period of Availability Requirements	2013-039	-
	44 Deficiencies in Title I Program Monitoring	2013-041	-
	45 Deficiencies in Special Education Cluster Monitoring	2013-043, 2013-055	-
	46 Noncompliance with Maintenance of Effort Requirements	2013-049	-
	47 Subrecipients Not Notified of Budget Overruns	2013-069	-
		Total State Agency	-
Public Safety:	48 Review of Subrecipient Audit Reports Needs Improvement	2013-013, 2013-014, 2013-087	-
	49 Deficiencies in Federal Reporting	2013-086	-
		Total State Agency	-
Transportation:	50 Justification for Awarding Contracts Not Documented	2013-024	-
	51 Quality Assurance Procedures Not Followed	2013-025	-
	52 Certified Payrolls Not Obtained	2013-026	-
	53 Buy-American Provisions Excluded From Contract Terms	2013-027	-
	54 Justification for Awarding Contracts Not Documented	2013-028	-
	55 Federal Reports Contained Errors	2013-029	-
	56 Review of Subrecipient Applications Not Always Documented	2013-030	-
	57 Subrecipient Data in Federal Reports Not Reviewed	2013-031	-
	58 Schedule of Expenditures of Federal Awards Not Accurate	2013-032	-
	59 Subrecipient Monitoring Not Adequately Performed	2013-033	-
		Total State Agency	-
University of North Carolina at Chapel Hill:	60 Internal Controls Over Equipment Need Improvement	2013-089	-
	61 Internal Control Deficiencies Related to Subrecipient Audit Findings	2013-090	-
	62 For-Profit Subrecipient Monitoring Not Adequate	2013-091	-
		Total State Agency	-
Total Questioned Costs			\$ <u>2,102,003</u>

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## **AUDITEE'S SECTION**

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**Schedule of Expenditures of Federal Awards  
For the Year Ended June 30, 2013**

**Prepared by  
Office of the State Controller**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
AGEC	31,582,493	29,610,620	Aging Cluster
CCDF	271,454,071	253,486,656	CCDF Cluster
CDBG	93,001		CDBG-Entitlement Grants Cluster
CHNC	508,635,733	507,540,107	Child Nutrition Cluster
DISI	59,293,907		Disability Insurance/SSI Cluster
EFAC	19,126,966	18,333,895	Food Distribution Cluster
EMPS	28,812,247		Employment Service Cluster
ETSG	2,411,868	2,264,802	Educational Technology State Grants Cluster
F&WC	18,157,588		Fish and Wildlife Cluster
FGSC	627,600		Foster Grandparent/Senior Companion Cluster
HCCL	1,838,927	1,079,130	Health Center Cluster
HPCC	1,133,275,860	60,790,325	Highway Planning and Construction Cluster
HVCL	4,325,442	3,935,177	Housing Voucher Cluster
HWSC	11,824,739	4,669,527	Highway Safety Cluster
JAGP	10,365,785	3,101,551	JAG Program Cluster
MEDC	8,033,261,813	155,616,300	Medicaid Cluster
PWED	33,813		Economic Development Cluster
RD	867,990,292	148,429,899	Research and Development Cluster
SCPC	56,727,022	50,537,049	CDBG-State-Administered CDBG Cluster
SDSD	235,200		Statewide Data Systems Cluster
SFAC	2,357,076,002		Student Financial Assistance Cluster
SIGC	36,731,062	34,997,044	School Improvement Grants Cluster
SNAP	2,562,373,117	75,933,084	Supplemental Nutrition Assistance Program Cluster (SNAP)
SPED	354,158,671	341,360,524	Special Education Cluster (IDEA)
TANF	245,346,624	183,797,578	TANF Cluster
TIFC	7,322		Teacher Incentive Fund Cluster
TIPA	390,187,744	384,621,938	Title I, Part A Cluster
TQPG	2,697,465	766,792	Teacher Quality Partnership Grants
TRIO	17,555,644	33,964	TRIO Cluster
TSPC	5,026,149	4,858,993	Transit Services Programs Cluster
WIAC	91,266,888		WIA Cluster
10	1,249,428	21,124	U. S. Department of Agriculture
10.001	44,312		Agricultural Research-Basic and Applied Research
10.025	2,424,418		Plant and Animal Disease, Pest Control, and Animal Care
10.153	5,000		Market News
10.163	163,169		Market Protection and Promotion
10.168	59,284	35,241	Farmers' Market Promotion Program
10.169	9,333		Specialty Crop Block Grant Program
10.170	1,052,074		Specialty Crop Block Grant Program-Farm Bill
10.200	135,840		Grants for Agricultural Research, Special Research Grants
10.203	163,403		Payments to Agricultural Experiment Stations Under the Hatch Act
10.206	1,944,966	139,537	Grants for Agricultural Research-Competitive Research Grants
10.210	320,240		Food and Agricultural Sciences National Needs Graduate Fellowship Grants
10.212	14,953		Small Business Innovation Research
10.215	71,406		Sustainable Agriculture Research and Education
10.216	327,767	1,642	1890 Institution Capacity Building Grants
10.217	313,430	71,636	Higher Education Challenge Grants
10.220	36,253		Higher Education Multicultural Scholars Program
10.224	65,194		Fund for Rural America-Research, Education, and Extension Activities
10.250	299,484		Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations
10.255	14,426		Research Innovation and Development Grants in Economic (RIDGE)
10.303	494,779	27,228	Integrated Programs
10.304	38,816		Homeland Security-Agricultural
10.305	97,766		International Science and Education Grants
10.308	3,406		Resident Instruction Grants for Insular Area Activities
10.309	4,775		Specialty Crop Research Initiative
10.310	1,784,948	158,032	Agriculture and Food Research Initiative (AFRI)
10.311	310,830		Beginning Farmer and Rancher Development Program
10.319	11,326		Farm Business Management and Benchmarking Competitive Grants Program
10.435	96,478		State Mediation Grants
10.455	48,044		Community Outreach and Assistance Partnership Program

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
10.459	70,887	15,000	Commodity Partnerships for Small Agricultural Risk Management Education Sessions
10.475	4,079,299		Cooperative Agreements with States for Intrastate Meat and Poultry Inspection
10.479	155,583		Food Safety Cooperative Agreements
10.500	15,125,208	149,541	Cooperative Extension Service
10.557	198,728,608	49,993,625	Special Supplemental Nutrition Program for Women, Infants, and Children
10.558	91,524,331	90,357,636	Child and Adult Care Food Program
10.560	7,836,835		State Administrative Expenses for Child Nutrition
10.567	631,476	390,979	Food Distribution Program on Indian Reservations
10.572	144,545		WIC Farmers' Market Nutrition Program (FMNP)
10.576	73,832		Senior Farmers Market Nutrition Program
10.578	1,021,212		WIC Grants To States (WGS)
10.578	7,765,802		ARRA - WIC Grants To States (WGS)
10.579	365,994	194,488	Child Nutrition Discretionary Grants Limited Availability
10.582	3,601,193	3,515,830	Fresh Fruit and Vegetable Program
10.588	29,884		Assessment of Alternatives to Face-to-Face Interviews in SNAP
10.600	24,898		Foreign Market Development Cooperator Program
10.652	563,114		Forestry Research
10.664	3,524,662		Cooperative Forestry Assistance
10.676	5,144		Forest Legacy Program
10.678	99,883		Forest Stewardship Program
10.680	583,625		Forest Health Protection
10.688	442,363		ARRA - Recovery Act of 2009: Wildland Fire Management
10.771	153,114		Rural Cooperative Development Grants
10.777	12,341		Norman E. Borlaug International Agricultural Science and Technology Fellowship
10.855	85,818		Distance Learning and Telemedicine Loans and Grants
10.902	653,733		Soil and Water Conservation
10.903	45,254		Soil Survey
10.912	194,978	5,487	Environmental Quality Incentives Program
10.962	34,080		Cochran Fellowship Program-International Training-Foreign Participant
11	433,680		U. S. Department of Commerce
11.008	18,595		NOAA Mission-Related Education Awards
11.303	161,669		Economic Development-Technical Assistance
11.417	961,014	211,326	Sea Grant Support
11.419	16,350	8,383	Coastal Zone Management Administration Awards
11.431	84,978		Climate and Atmospheric Research
11.435	111,622		Southeast Area Monitoring and Assessment Program
11.439	129,474		Marine Mammal Data Program
11.440	35,566		Environmental Sciences, Applications, Data, and Education
11.459	32,118		Weather and Air Quality Research
11.460	2,308		Special Oceanic and Atmospheric Projects
11.469	173,344	4,948	Congressionally Identified Awards and Projects
11.472	104,364		Unallied Science Program
11.473	32,687		Coastal Services Center
11.550	356,941		Public Telecommunications Facilities Planning and Construction
11.555	397,706	397,706	Public Safety Interoperable Communications Grant Program
11.557	154,687		Broadband Technology Opportunities Program (BTOP)
11.557	1,544,875	25,106	ARRA - Broadband Technology Opportunities Program (BTOP)
11.609	255,292		Measurement and Engineering Research and Standards
11.609	3,507		ARRA - Measurement and Engineering Research and Standards
11.611	2,290,826	90,000	Manufacturing Extension Partnership
12	3,803,266	166,112	U. S. Department of Defense
12.002	31,497		Procurement Technical Assistance For Business Firms
12.114	110,625		Collaborative Research and Development
12.300	1,382,082	312,875	Basic and Applied Scientific Research
12.357	322,667		ROTC Language and Culture Training Grants
12.400	3,331,769		Military Construction, National Guard
12.400	517,925		ARRA - Military Construction, National Guard
12.401	22,866,242		National Guard Military Operations and Maintenance (O&M) Projects
12.404	3,239,873		National Guard ChalleNGe Program
12.420	1,611,366	101,553	Military Medical Research and Development
12.431	2,241,437	161,995	Basic Scientific Research
12.579	582,807		Language Training Center
12.630	238,182	63,726	Basic, Applied, and Advanced Research in Science and Engineering
12.750	9,032	9,118	Uniformed Services University Medical Research Projects

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
12.800	622,770	470,006	Air Force Defense Research Sciences Program
12.900	84,785		Language Grant Program
12.901	94,939		Mathematical Sciences Grants Program
12.902	49,020		Information Security Grant Program
12.910	16,938		Research and Technology Development
14	50,141		U. S. Department of Housing and Urban Development
14.169	21,497		Housing Counseling Assistance Program
14.171	38,040		Manufactured Home Dispute Resolution
14.231	2,387,227	2,272,799	Emergency Solutions Grant Program
14.241	2,092,269	2,041,804	Housing Opportunities for Persons with AIDS
14.401	126,237		Fair Housing Assistance Program-State and Local
14.520	1,350,676	57,491	Historically Black Colleges and Universities Program
14.703	123,330		Sustainable Communities Regional Planning Grant Program
14.704	14,270		Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants
14.900	299,050		Lead-Based Paint Hazard Control in Privately-Owned Housing
14.906	256,715	22,413	Healthy Homes Technical Studies Grants
15	23,002		U. S. Department of Interior
15.225	30,123		Recreation Resource Management
15.235	36,880		Southern Nevada Public Land Management
15.423	91,430		Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)
15.608	12,934		Fish and Wildlife Management Assistance
15.615	296,506		Cooperative Endangered Species Conservation Fund
15.616	18,906	16,400	Clean Vessel Act
15.622	6,767		Sportfishing and Boating Safety Act
15.626	231,545		Enhanced Hunter Education and Safety Program
15.634	2,433,093		State Wildlife Grants
15.637	30,467		Migratory Bird Joint Ventures
15.649	7,019		Service Training and Technical Assistance (Generic Training)
15.650	21,754		Research Grants (Generic)
15.657	173,531		Endangered Species Conservation - Recovery Implementation Funds
15.660	28,799		Endangered Species-Candidate Conservation Action Funds
15.669	16,892		Cooperative Landscape Conservation
15.805	22,554		Assistance to State Water Resources Research Institutes
15.808	127,270		U. S. Geological Survey- Research and Data Collection
15.809	7,604		National Spatial Data Infrastructure Cooperative Agreements Program
15.810	9,822		National Cooperative Geologic Mapping Program
15.811	14,203		Gap Analysis Program
15.816	19,827		Minerals Resources External Research Program
15.904	956,155	86,526	Historic Preservation Fund Grants-In-Aid
15.916	1,327,301		Outdoor Recreation-Acquisition, Development and Planning
15.923	1,365		National Center for Preservation Technology and Training
15.923	14,104		ARRA - National Center for Preservation Technology and Training
15.926	3,041		American Battlefield Protection
15.931	33,596		Conservation Activities by Youth Service Organizations
15.945	207,346		Cooperative Research and Training Programs - Resources of the National Park System
16	722,289		U. S. Department of Justice
16.013	54,273		Violence Against Women Act Court Training and Improvement Grants
16.017	265,953	265,953	Sexual Assault Services Formula Program
16.523	1,005,508	740,255	Juvenile Accountability Block Grants
16.525	58,957		Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus
16.540	803,330	149,984	Juvenile Justice and Delinquency Prevention-Allocation to States
16.548	9,610	9,610	Title V-Delinquency Prevention Program
16.550	100,271		State Justice Statistics Program for Statistical Analysis Centers
16.554	44,635		National Criminal History Improvement Program (NCHIP)
16.560	169,590		National Institute of Justice Research, Evaluation, and Development Project Grants
16.575	13,153,293	11,749,883	Crime Victim Assistance
16.576	2,896,810	2,805,377	Crime Victim Compensation
16.580	31,324	10,513	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program
16.582	76,220	220	Crime Victim Assistance/Discretionary Grants
16.585	260,384		Drug Court Discretionary Grant Program
16.588	3,690,225	1,999,952	Violence Against Women Formula Grants
16.590	166,240		Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program
16.593	490,403		Residential Substance Abuse Treatment for State Prisoners
16.606	3,009,157		State Criminal Alien Assistance Program

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
16.607	82,505		Bulletproof Vest Partnership Program
16.609	468,287	271,663	Project Safe Neighborhoods
16.710	33,629		Public Safety Partnership and Community Policing Grants
16.726	108,250	34,910	Juvenile Mentoring Program
16.727	292,472		Enforcing Underage Drinking Laws Program
16.731	20,809		Tribal Youth Program
16.735	271,240		Protecting Inmates and Safeguarding Communities Discretionary Grant Program
16.740	328,573		Statewide Automated Victim Information Notification (SAVIN) Program
16.741	3,036,376		DNA Backlog Reduction Program
16.742	256,541		Paul Coverdell Forensic Sciences Improvement Grant Program
16.746	54,421		Capital Case Litigation
16.751	290,611		Edward Byrne Memorial Competitive Grant Program
16.753	126,145		Congressionally Recommended Awards
16.758	10,106		Advocacy Centers
16.800	180,601		ARRA - Recovery Act - Internet Crimes against Children Task Force Program (ICAC)
16.801	68,889	68,728	ARRA - Recovery Act - State Victim Assistance Formula Grant Program
16.816	141,051	141,051	John R. Justice Prosecutors and Defenders Incentive Act
17.002	1,845,936		Labor Force Statistics
17.005	157,465		Compensation and Working Conditions
17.225	2,551,163,343		Unemployment Insurance
17.225	723,215		ARRA - Unemployment Insurance
17.235	2,361,374	2,249,459	Senior Community Service Employment Program
17.245	9,839,430		Trade Adjustment Assistance
17.260	773,596		WIA Dislocated Workers
17.260	201,689		ARRA - WIA Dislocated Workers
17.261	164,783		WIA Pilots, Demonstrations, and Research Projects
17.265	263,983	80,074	Native American Employment and Training
17.268	994,888	350,033	H-1B Job Training Grants
17.271	542,888		Work Opportunity Tax Credit Program (WOTC)
17.273	127,453		Temporary Labor Certification for Foreign Workers
17.275	150,966		Industry Sectors
17.275	1,920,011		Emerging Industry Sectors
17.276	739,579		ARRA - Health Care Tax Credit (HCTC) National Emergency Grants (NEGs)
17.277	40,468		Workforce Investment Act (WIA) National Emergency Grants
17.277	69,437		ARRA - Workforce Investment Act (WIA) National Emergency Grants
17.280	115,328		Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants
17.281	2,198,526		Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training
17.282	10,375,942		Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants
17.502	25,950		Occupational Safety and Health-Susan Harwood Training Grants
17.503	6,170,840		Occupational Safety and Health-State Program
17.504	1,679,288		Consultation Agreements
17.600	148,310		Mine Health and Safety Grants
19	62,526		U. S. Department of State
19.040	62,883		Public Diplomacy Programs
19.501	203,726		Public Diplomacy Programs for Afghanistan and Pakistan
20	328,319		U. S. Department of Transportation
20.106	27,570,120	26,877,383	Airport Improvement Program
20.200	24,847		Highway Research and Development Program
20.215	9,955		Highway Training and Education
20.218	9,512,787		National Motor Carrier Safety
20.231	122,670		Performance and Registration Information Systems Management
20.232	528,789		Commercial Driver's License Program Improvement Grant
20.234	505,387		Safety Data Improvement Program
20.237	905,116		Commercial Vehicle Information Systems and Networks
20.313	38,703		Railroad Research and Development
20.314	2,917,640		Railroad Development
20.319	38,565,766		ARRA - High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants
20.505	2,039,871	1,899,698	Metropolitan Transportation Planning
20.509	22,128,649	20,573,280	Formula Grants for Rural Areas
20.509	11,806,008	10,545,540	ARRA - Formula Grants for Rural Areas
20.604	278,514		Safety Incentive Grants for Use of Seatbelts
20.614	5,707		National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants
20.700	323,127		Pipeline Safety Program State Base Grant

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
20.701	115,051		University Transportation Centers Program
20.703	466,352	264,402	Interagency Hazardous Materials Public Sector Training and Planning Grants
20.721	6,831		PHMSA Pipeline Safety Program One Call Grant
20.931	74,932		Transportation Planning, Research and Education
23.001	82,194	37,065	Appalachian Regional Development (See individual Appalachian Programs)
23.011	670,850	265,495	Appalachian Research, Technical Assistance, and Demonstration Projects
39.003	9,020,690		Donation of Federal Surplus Personal Property
43	1,535,003	1,950	National Aeronautics and Space Administration
43.001	455,043	41,060	Science
43.003	23,171		Exploration
43.008	3,920		Education
45	19,988		Federal Council on the Arts and the Humanities
45.024	31,468	2,400	Promotion of the Arts-Grants to Organizations and Individuals
45.025	935,656	851,406	Promotion of the Arts-Partnership Agreements
45.129	9,809		Promotion of the Humanities-Federal/State Partnership
45.149	2,918		Promotion of the Humanities-Division of Preservation and Access
45.160	187,087		Promotion of the Humanities-Fellowships and Stipends
45.161	246,308	37,152	Promotion of the Humanities-Research
45.162	53,900		Promotion of the Humanities-Teaching and Learning Resources and Curriculum Development
45.163	7,655		Promotion of the Humanities-Professional Development
45.169	6,980		Promotion of the Humanities-Office of Digital Humanities
45.309	27,392		Museum Grants for African American History and Culture
45.310	4,208,381	2,546,592	Grants to States
45.312	159,570	135,732	National Leadership Grants
45.313	440,175		Laura Bush 21st Century Librarian Program
47	572,113		National Science Foundation
47.041	2,912,159	98,508	Engineering Grants
47.049	1,564,387	20,004	Mathematical and Physical Sciences
47.050	784,857	158,160	Geosciences
47.070	3,210,937	117,203	Computer and Information Science and Engineering
47.074	2,008,859	101,058	Biological Sciences
47.075	1,016,167	91,097	Social, Behavioral, and Economic Sciences
47.076	11,449,098	1,014,710	Education and Human Resources
47.078	204,072	83,605	Polar Programs
47.079	169,696		Office of International and Integrative Activities
47.080	91,709		Office of Cyberinfrastructure
47.081	81,090	5,289	Office of Experimental Program to Stimulate Competitive Research
47.082	1,767,702	46,450	ARRA - Trans-NSF Recovery Act Research Support
59	87,749		U. S. Small Business Administration
59.037	3,420,787	5,545	Small Business Development Centers
59.044	179,907		Veterans Business Development
59.058	37,733		Federal and State Technology Partnership Program
59.061	787,298		State Trade and Export Promotion Pilot Grant Program
64	18,752		U. S. Department of Veterans Affairs
64.005	177,854		Grants to States for Construction of State Home Facilities
64.005	2,567,316		ARRA - Grants to States for Construction of State Home Facilities
64.018	3,723		Sharing Specialized Medical Resources
64.028	292,336		Post-9/11 Veterans Educational Assistance
64.124	366,296		All-Volunteer Force Educational Assistance
66	92,739		U. S. Environmental Protection Agency
66.032	218,117		State Indoor Radon Grants
66.034	208,108		Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act
66.040	482,465		State Clean Diesel Grant Program
66.127	1,161		Southeastern U. S. Regional Targeted Watershed Initiative
66.202	757,972		Congressionally Mandated Projects
66.432	2,210,617		State Public Water System Supervision
66.433	76,237		State Underground Water Source Protection
66.454	312,414		Water Quality Management Planning
66.456	613,495		National Estuary Program
66.458	82,775,227	29,148,235	Capitalization Grants for Clean Water State Revolving Funds
66.458	15,153,774	7,313,876	ARRA - Capitalization Grants for Clean Water State Revolving Funds
66.460	4,979,281		Nonpoint Source Implementation Grants
66.461	30,698		Regional Wetland Program Development Grants

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
66.468	36,694,282	18,912,536	Capitalization Grants for Drinking Water State Revolving Funds
66.468	12,188,562	4,067,005	ARRA - Capitalization Grants for Drinking Water State Revolving Funds
66.472	304,756		Beach Monitoring and Notification Program Implementation Grants
66.474	54,342		Water Protection Grants to the States
66.479	18,943		Wetland Program Grants- State/Tribal Environmental Outcome Wetland Demonstration Program
66.509	25,348		Science To Achieve Results (STAR) Research Program
66.514	6,470		Science To Achieve Results (STAR) Fellowship Program
66.516	59,613		P3 Award: National Student Design Competition for Sustainability
66.605	618,945		Performance Partnership Grants
66.608	32,040		Environmental Information Exchange Network Grant Program and Related Assistance
66.611	80,825		Environmental Policy and Innovation Grants
66.701	75,929		Toxic Substances Compliance Monitoring Cooperative Agreements
66.707	57,761		TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals
66.709	990		Multi-Media Capacity Building Grants for States and Tribes
66.716	64,233		Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies
66.717	61,218		Source Reduction Assistance
66.801	2,279,942		Hazardous Waste Management State Program Support
66.802	1,657,945		Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements
66.804	710,936		Underground Storage Tank Prevention, Detection and Compliance Program
66.805	2,609,693		Leaking Underground Storage Tank Trust Fund Corrective Action Program
77	74,915		Nuclear Regulatory Commission
77.008	34,489		U. S. Nuclear Regulatory Commission Scholarship and Fellowship Program
81	777,176	22,562	U. S. Department of Energy
81	158,054		ARRA - U. S. Department of Energy
81.041	865,811	203,390	State Energy Program
81.041	3,795,064	3,641,331	ARRA - State Energy Program
81.042	3,037,948	2,446,579	Weatherization Assistance for Low-Income Persons
81.042	16,735,809	15,548,138	ARRA - Weatherization Assistance for Low-Income Persons
81.049	329,290		Office of Science Financial Assistance Program
81.086	63,465	1,096	Conservation Research and Development
81.086	634,184	394,352	ARRA - Conservation Research and Development
81.087	1,031,917	159,617	Renewable Energy Research and Development
81.117	396,117		Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance
81.119	127,912	19,592	State Energy Program Special Projects
81.121	70,669		Nuclear Energy Research, Development and Demonstration
81.122	431,691		ARRA - Electricity Delivery and Energy Reliability, Research, Development and Analysis
81.128	4,233,623	2,134,590	ARRA - Energy Efficiency and Conservation Block Grant Program (EECBG)
81.129	17,364		Commercialization
81.214	79,295		Outreach, Technical Analysis
84	1,693,698	97,043	U. S. Department of Education
84.002	17,987,201	15,748,023	Adult Education-Basic Grants to States
84.011	6,090,253	5,286,610	Migrant Education-State Grant Program
84.013	1,104,185	1,086,965	Title I State Agency Program for Neglected and Delinquent Children and Youth
84.015	8,630		National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program
84.016	72,492		Undergraduate International Studies and Foreign Language Programs
84.031	41,968,333	214,815	Higher Education-Institutional Aid
84.048	35,118,120	18,131,091	Career and Technical Education -- Basic Grants to States
84.116	310,373	102,666	Fund for the Improvement of Postsecondary Education
84.120	795,716	6,000	Minority Science and Engineering Improvement
84.126	108,829,391		Rehabilitation Services-Vocational Rehabilitation Grants to States
84.129	796,866		Rehabilitation Long-Term Training
84.141	527,880		Migrant Education-High School Equivalency Program
84.144	183,686		Migrant Education-Coordination Program
84.153	2,280		Business and International Education Projects
84.161	319,396		Rehabilitation Services-Client Assistance Program
84.169	407,335	407,334	Independent Living-State Grants
84.177	680,339		Rehabilitation Services-Independent Living Services for Older Individuals Who are Blind
84.181	12,452,225	1,377,679	Special Education-Grants for Infants and Families
84.186	2,137	2,137	Safe and Drug-Free Schools and Communities-State Grants
84.187	812,370		Supported Employment Services for Individuals with the Most Significant Disabilities
84.196	1,804,635	1,347,995	Education for Homeless Children and Youth
84.200	531,049		Graduate Assistance in Areas of National Need

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

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<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
84.213	46,633	42,316	Even Start-State Educational Agencies
84.215	110,195		Fund for the Improvement of Education
84.224	359,051		Assistive Technology
84.243	24,704	11,417	Tech-Prep Education
84.265	217,738		Rehabilitation Training-State Vocational Rehabilitation Unit In-Service Training
84.283	34,240		Comprehensive Centers
84.287	26,178,605	24,889,477	Twenty-First Century Community Learning Centers
84.299	269,813		Indian Education -- Special Programs for Indian Children
84.305	651,876	220,705	Education Research, Development and Dissemination
84.315	405,449		Capacity Building for Traditionally Underserved Populations
84.323	1,498,826	1,204,949	Special Education-State Personnel Development
84.324	957,883		Research in Special Education
84.325	2,054,723	12,353	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities
84.326	1,949,041	520,174	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities
84.330	707,079		Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants)
84.331	338,891		Grants to States for Workplace and Community Transition Training for Incarcerated Individuals
84.334	3,282,240	1,296,394	Gaining Early Awareness and Readiness for Undergraduate Programs
84.335	32,013		Child Care Access Means Parents in School
84.350	453,845	136,597	Transition to Teaching
84.358	6,529,324	6,249,438	Rural Education
84.363	702,420		School Leadership
84.365	13,168,864	12,349,332	English Language Acquisition State Grants
84.366	7,611,953	5,986,781	Mathematics and Science Partnerships
84.367	54,718,462	53,128,242	Improving Teacher Quality State Grants
84.368	453,670	20,000	Grants for Enhanced Assessment Instruments
84.369	8,026,781		Grants for State Assessments and Related Activities
84.371	41,329		Striving Readers
84.373	529,345	10,854	Special Education-Technical Assistance on State Data Collection
84.375	-488		Academic Competitiveness Grants
84.378	3,198,515	2,387,285	College Access Challenge Grant Program
84.382	1,616,140		Strengthening Minority-Serving Institutions
84.390	-452		ARRA - Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act
84.394	20,804	20,804	ARRA - State Fiscal Stabilization Fund (SFSF)-Education State Grants, Recovery Act
84.395	103,343,915	59,706,593	ARRA - State Fiscal Stabilization Fund (SFSF)-Race-to-the-Top Incentive Grants, Recovery Act
84.407	460,862	78,704	Transition Programs for Students with Intellectual Disabilities into Higher Education
84.410	35,052,913	35,052,913	ARRA - Education Jobs Fund
84.411	320,816		Investing in Innovation (i3) Fund
84.412	4,432,835	1,263,089	Race to the Top - Early Learning Challenge
84.413	100,143		ARRA - Race to the Top
84.928	22,864		National Writing Project
89.003	51,283		National Historical Publications and Records Grants
90.401	1,144,559	977,655	Help America Vote Act Requirements Payments
91	2,000		United States Institute of Peace
91.004	2,000		Public Education for Peacebuilding Awards Program
93	1,257,757	9,972	U. S. Department of Health and Human Services
93.041	120,639	120,639	Special Programs for the Aging-Title VII, Chapter 3-Programs for Prevention of Elder Abuse, Neglect, and Exploitation
93.042	322,161	322,161	Special Programs for the Aging-Title VII, Chapter 2-Long Term Care Ombudsman Services for Older Individuals
93.043	578,631	578,631	Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services
93.048	1,000,494	307,144	Special Programs for the Aging-Title IV-and Title II-Discretionary Projects
93.051	639,485	447,567	Alzheimer's Disease Demonstration Grants to States
93.052	4,950,896	3,852,150	National Family Caregiver Support, Title III, Part E
93.069	3,240,372	618,366	Public Health Emergency Preparedness
93.070	815,570	65,006	Environmental Public Health and Emergency Response
93.072	252,503		Lifespan Respite Care Program
93.074	16,544,258	4,742,238	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements
93.086	4,649		Healthy Marriage Promotion and Responsible Fatherhood Grants
93.087	434,410	291,875	Enhance Safety of Children Affected by Substance Abuse
93.089	48,031		Emergency System for Advance Registration of Volunteer Health Professionals
93.092	1,624,546	980,988	Affordable Care Act (ACA) Personal Responsibility Education Program



**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
93.103	801,945		Food and Drug Administration-Research
93.110	413,441	10,505	Maternal and Child Health Federal Consolidated Programs
93.113	1,308,612	52,066	Environmental Health
93.116	1,965,294	709,036	Project Grants and Cooperative Agreements for Tuberculosis Control Programs
93.121	29,866		Oral Diseases and Disorders Research
93.124	103,798		Nurse Anesthetist Traineeships
93.127	59,950		Emergency Medical Services for Children
93.130	239,959		Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices
93.136	1,010,222	312,345	Injury Prevention and Control Research and State and Community Based Programs
93.137	24,473		Community Programs to Improve Minority Health Grant Program
93.150	864,427	861,049	Projects for Assistance in Transition from Homelessness (PATH)
93.153	545,698		Coordinated Services and Access to Research for Women, Infants, Children, and Youth
93.172	4,271		Human Genome Research
93.173	105,286	28,610	Research Related to Deafness and Communication Disorders
93.191	166,449		Graduate Psychology Education Program and Patient Navigator and Chronic Disease Prevention Program
93.204	23,159		Surveillance of Hazardous Substance Emergency Events
93.213	466,358	66,230	Research and Training in Complementary and Alternative Medicine
93.217	5,760,692	4,646,850	Family Planning-Services
93.226	40,582		Research on Healthcare Costs, Quality and Outcomes
93.234	216,040	163,294	Traumatic Brain Injury State Demonstration Grant Program
93.235	1,821,225	919,723	Affordable Care Act (ACA) Abstinence Education Program
93.236	1,304,424		Grants to States to Support Oral Health Workforce Activities
93.240	262,159		State Capacity Building
93.241	543,009	75,581	State Rural Hospital Flexibility Program
93.242	2,060,318	365,423	Mental Health Research Grants
93.243	2,903,998	2,332,250	Substance Abuse and Mental Health Services-Projects of Regional and National Significance
93.247	538,378		Advanced Nursing Education Grant Program
93.250	70,211		Geriatric Academic Career Awards
93.251	206,399		Universal Newborn Hearing Screening
93.256	1,225,434	916,588	State Health Access Program
93.262	142,985		Occupational Safety and Health Program
93.265	90,709		Comprehensive Geriatric Education Program(CGEP)
93.268	114,687,098	2,359,306	Immunization Cooperative Agreements
93.270	60,041		Adult Viral Hepatitis Prevention and Control
93.273	2,356,636	25,938	Alcohol Research Programs
93.276	18,417		Drug-Free Communities Support Program Grants
93.279	748,526	140,701	Drug Abuse and Addiction Research Programs
93.283	8,018,534	2,168,313	Centers for Disease Control and Prevention-Investigations and Technical Assistance
93.286	368		Discovery and Applied Research for Technological Innovations to Improve Human Health
93.296	87,020		State Partnership Grant Program to Improve Minority Health
93.301	178,725	156,313	Small Rural Hospital Improvement Grant Program
93.307	1,474,273	33,513	Minority Health and Health Disparities Research
93.351	922,245		Research Infrastructure Programs
93.358	1,355,394		Advanced Education Nursing Traineeships
93.359	23,607		Nurse Education, Practice Quality and Retention Grants
93.360	1,145,371		Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development
93.361	166,486	26,538	Nursing Research
93.389	314,118		National Center for Research Resources
93.393	589,588	35,224	Cancer Cause and Prevention Research
93.394	71,474		Cancer Detection and Diagnosis Research
93.395	504,560	34,014	Cancer Treatment Research
93.396	141,864		Cancer Biology Research
93.397	1,586,484	80,104	Cancer Centers Support Grants
93.399	17,576		Cancer Control
93.405	7,136		ARRA - Public Health Traineeship Program
93.449	273,164		Ruminant Feed Ban Support Project
93.500	1,901,101	1,384,942	Pregnancy Assistance Fund Program
93.505	3,042,007	2,385,441	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program
93.507	1,447,725	19,000	PPHF 2012 National Public Health Improvement Initiative
93.509	19,285		Affordable Care Act (ACA) State Health Care Workforce Development Grants
93.512	635,705		Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST)
93.517	200,122		Affordable Care Act - Aging and Disability Resource Center

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
93.518	412,569	301,201	Affordable Care Act - Medicare Improvements for Patients and Providers
93.519	775,653		Affordable Care Act (ACA) - Consumer Assistance Program Grants
93.520	1,225,607	768,417	Centers for Disease Control and Prevention - Affordable Care Act (ACA) - Communities Putting Prevention to Work
93.521	1,181,522		The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements
93.525	13,050,975	10,471,457	State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges
93.531	8,909,399	6,860,629	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants-financed solely by 2012 Prevention and Public Health Funds
93.539	168,365		PPHF 2012-Prevention and Public Health Fund (Affordable Care Act)-Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds
93.544	191,304		The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program
93.548	818,656	230,088	PPHF2013: State Nutrition, Physical Activity, and Obesity Programs-financed in part by 2013 PPHF
93.556	11,492,077	10,038,565	Promoting Safe and Stable Families
93.560	-71,400		Family Support Payments to States-Assistance Payments
93.563	90,613,852	76,575,772	Child Support Enforcement
93.564	18,356		Child Support Enforcement Research
93.566	5,407,627	1,489,501	Refugee and Entrant Assistance-State Administered Programs
93.568	88,004,703	76,884,729	Low-Income Home Energy Assistance
93.569	15,328,770	14,505,785	Community Services Block Grant
93.576	772,973	552,923	Refugee and Entrant Assistance-Discretionary Grants
93.584	833,619	711,214	Refugee and Entrant Assistance-Targeted Assistance Grants
93.586	739,438		State Court Improvement Program
93.590	1,606,800	1,441,869	Community-Based Child Abuse Prevention Grants
93.597	268,136		Grants to States for Access and Visitation Programs
93.599	919,393	919,393	Chafee Education and Training Vouchers Program (ETV)
93.600	221,890		Head Start
93.603	1,287,001	412,838	Adoption Incentive Payments
93.617	339,953	339,953	Voting Access for Individuals with Disabilities-Grants to States
93.630	1,691,947	527,009	Developmental Disabilities Basic Support and Advocacy Grants
93.643	385,912	69,382	Children's Justice Grants to States
93.645	10,413,584	4,775,919	Stephanie Tubbs Jones Child Welfare Services Program
93.648	41,193		Child Welfare Research Training or Demonstration
93.652	691,338	334,319	Adoption Opportunities
93.658	70,402,753	69,050,911	Foster Care-Title IV-E
93.658	1,727	1,727	ARRA - Foster Care-Title IV-E
93.659	48,337,311	1,986,660	Adoption Assistance
93.659	-4,233	(304)	ARRA - Adoption Assistance
93.667	64,013,725	55,688,436	Social Services Block Grant
93.669	702,086	260,077	Child Abuse and Neglect State Grants
93.671	1,903,053	1,800,702	Family Violence Prevention and Services/Grants for Battered Women's Shelters-Grants to States and Indian Tribes
93.674	3,032,345	2,901,448	Chafee Foster Care Independence Program
93.701	69,060		Trans-NIH Recovery Act Research Support
93.701	390,603	73,438	ARRA - Trans-NIH Recovery Act Research Support
93.708	1,615,187	1,218,677	ARRA - Head Start
93.715	82,240		ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ
93.717	-35		ARRA - Preventing Healthcare-Associated Infections
93.721	5,323,855	5,047,459	ARRA - Health Information Technology Professionals in Health Care
93.723	47,340	(840)	ARRA - Prevention and Wellness-State, Territories and Pacific Islands
93.725	128,207	86,909	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program
93.735	259,040		State Public Health Approaches for Ensuring Qutline Capacity - Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012)
93.744	142,588	140,145	PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds
93.745	64,567		PPHF-2012: Health Care Surveillance/Health Statistics - Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by 2012 Prevention and Public Health Funds (PPHF-2012)
93.767	323,044,250	8,193,594	Children's Health Insurance Program
93.768	196,067		Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities
93.769	29		ARRA - Demonstration to Maintain Independence and Employment
93.773	7,663,795		Medicare-Hospital Insurance

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
93.779	2,092,246	458,955	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations
93.780	28,591,562		Grants to States for Operation of Qualified High-Risk Pools
93.791	2,570,062		Money Follows the Person Rebalancing Demonstration
93.793	-9,451		Medicaid Transformation Grants
93.837	730,537		Cardiovascular Diseases Research
93.839	79,853		Blood Diseases and Resources Research
93.846	1,274,118	62,650	Arthritis, Musculoskeletal and Skin Diseases Research
93.847	1,529,767		Diabetes, Digestive, and Kidney Diseases Extramural Research
93.848	107,974		Digestive Diseases and Nutrition Research
93.849	8,634		Kidney Diseases, Urology and Hematology Research
93.853	1,399,323	352,853	Extramural Research Programs in the Neurosciences and Neurological Disorders
93.855	927,438		Allergy, Immunology and Transplantation Research
93.859	2,819,115	37,810	Biomedical Research and Research Training
93.859	52,680		ARRA - Biomedical Research and Research Training
93.865	1,587,724	100	Child Health and Human Development Extramural Research
93.866	250,364	18,505	Aging Research
93.884	679,059	3,079	Grants for Primary Care Training and Enhancement
93.888	29,029		Specially Selected Health Projects
93.889	4,557,427	419,127	National Bioterrorism Hospital Preparedness Program
93.913	175,159		Grants to States for Operation of Offices of Rural Health
93.917	35,132,985	8,284,297	HIV Care Formula Grants
93.918	490,495		Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease
93.919	3,192,820	2,288,269	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs
93.926	2,586,559	1,631,264	Healthy Start Initiative
93.928	692,882	30,039	ARRA - Special Projects of National Significance
93.938	657,831		Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems
93.940	6,404,124	2,506,083	HIV Prevention Activities-Health Department Based
93.941	230,435	132,787	HIV Demonstration, Research, Public and Professional Education Projects
93.944	1,407,215		Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance
93.958	9,254,598	8,695,076	Block Grants for Community Mental Health Services
93.959	29,599,259	27,515,492	Block Grants for Prevention and Treatment of Substance Abuse
93.964	8,000		Prevention and Public Health Fund (PPHF) Public Health Traineeships
93.977	2,830,927	383,325	Preventive Health Services-Sexually Transmitted Diseases Control Grants
93.982	167,598	130,157	Mental Health Disaster Assistance and Emergency Mental Health
93.991	1,575,252	1,140,091	Preventive Health and Health Services Block Grant
93.994	16,271,438	14,379,782	Maternal and Child Health Services Block Grant to the States
94.002	145,382		Retired and Senior Volunteer Program
94.003	204,095		State Commissions
94.005	57,069		Learn and Serve America-Higher Education
94.006	3,353,592	3,296,202	AmeriCorps
94.007	65,522		Program Development and Innovation Grants
94.009	36,631		Training and Technical Assistance
96.008	-11,665		Social Security-Work Incentives Planning and Assistance Program
97	1,274		U. S. Department of Homeland Security
97.001	776,704		Pilot Demonstration or Earmarked Projects
97.005	122,769		State and Local Homeland Security National Training Program
97.008	75,000	75,000	Non-Profit Security Program
97.012	2,020,615		Boating Safety Financial Assistance
97.017	250,083	18,231	Pre-Disaster Mitigation (PDM) Competitive Grants
97.018	39,036		National Fire Academy Training Assistance
97.023	378,853		Community Assistance Program State Support Services Element (CAP-SSSE)
97.029	21,085		Flood Mitigation Assistance
97.032	230,139		Crisis Counseling
97.036	24,665,444	14,665,250	Disaster Grants-Public Assistance (Presidentially Declared Disasters)
97.039	938,344	665,608	Hazard Mitigation Grant
97.041	370,033		National Dam Safety Program
97.042	9,374,262	4,355,362	Emergency Management Performance Grants
97.044	622,094	186,076	Assistance to Firefighters Grant
97.045	9,631,371		Cooperating Technical Partners
97.046	914,558	14,524	Fire Management Assistance Grant
97.047	1,090,562	920,863	Pre-Disaster Mitigation
97.052	215,876	215,876	Emergency Operations Center

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (SUMMARY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Program Expenditures</b>	<b>Subrecipient Expenditures</b>	<b>CFDA Program Title or Cluster Title</b>
97.055	1,461,102	842,305	Interoperable Emergency Communications
97.056	3,546,999	1,556,887	Port Security Grant Program
97.061	115,039	27,396	Centers for Homeland Security
97.067	21,682,862	16,038,349	Homeland Security Grant Program
97.075	521,807	521,807	Rail and Transit Security Grant Program
97.078	927,111	617,831	Buffer Zone Protection Program (BZPP)
97.082	21,817	16,049	Earthquake Consortium
97.089	1,772,772		Driver's License Security Grant Program
97.092	212,315	205,769	Repetitive Flood Claims
97.104	120,623		Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program
97.110	268,295	264,366	Severe Repetitive Loss Program
98	83,783		United States Agency for International Development
98.001	92,233	36,376	USAID Foreign Assistance for Programs Overseas
98.012	79,736		USAID Development Partnerships for University Cooperation and Development
99	476,457	85,594	Other Federal Assistance
	<b>\$22,272,449,584</b>	<b>\$3,337,899,144</b>	<b>Total Expenditures of Federal Awards</b>

The accompanying notes are an integral part of this schedule.

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
<b><i>Aging—Cluster:</i></b>						
<b><u>U. S. Department of Health and Human Services</u></b>						
93.044	D	N	15,049,433	Special Programs for the Aging-Title III, Part B-Grants for Supportive Services and Senior Centers		
93.045	D	N	13,683,176	Special Programs for the Aging-Title III, Part C-Nutrition Services		
93.053	D	N	2,849,884	Nutrition Services Incentive Program		
			<u>31,582,493</u>	Total — Aging Cluster — U. S. Department of Health and Human Services		
			<u>31,582,493</u>	Total — Aging		
<b><i>CCDF-Cluster:</i></b>						
<b><u>U. S. Department of Health and Human Services</u></b>						
93.575	D	N	151,250,350	Child Care and Development Block Grant		
93.596	D	N	120,203,721	Child Care Mandatory and Matching Funds of the Child Care and Development Fund		
			<u>271,454,071</u>	Total — CCDF Cluster — U. S. Department of Health and Human Services		
			<u>271,454,071</u>	Total — CCDF		
<b><i>CDBG-Entitlement Grants Cluster:</i></b>						
<b><u>U. S. Department of Housing and Urban Development</u></b>						
14.218	D	N	1,462	Community Development Block Grants/Entitlement Grants	A120103001CDBGHUD	Town of Snowhill
14.218	I	N	1,644	Community Development Block Grants/Entitlement Grants	A120108001	City of Kinston
14.218	I	N	11,224	Community Development Block Grants/Entitlement Grants	A120108001/4NAC19L	Town of Garysburg
14.218	I	N	2,659	Community Development Block Grants/Entitlement Grants	CDBG FISHER CENTER	Town of Winfall
14.218	I	N	13,597	Community Development Block Grants/Entitlement Grants	CDBGHUDABERDEEN	Town of Aberdeen
14.218	I	N	21,178	Community Development Block Grants/Entitlement Grants	CDBGHUDHOOKERTON	Town of Hookerton
14.218	I	N	10,451	Community Development Block Grants/Entitlement Grants	CDBGHUDROSEBORO	Town of Roseboro
14.218	I	N	3,680	Community Development Block Grants/Entitlement Grants	CDBGHUDWASHINGTON	Washington County
14.218	I	N	3,940	Community Development Block Grants/Entitlement Grants	CDBGLEWISTONWOODVILLE	Town of Lewiston
14.218	I	N	5,649	Community Development Block Grants/Entitlement Grants	IGCC CITY	City of Greenville
14.218	I	N	8,224	Community Development Block Grants/Entitlement Grants	WASHCDBGHUD	City of Washington
14.218	I	N	4,932	Community Development Block Grants/Entitlement Grants	YANCEYCDBGHUD	Town of Yanceyville
14.218	I	N	4,361	Community Development Block Grants/Entitlement Grants		
			<u>93,001</u>	and Urban Development		
			<u>93,001</u>	Total — CDBG-State-Administered CDBG		
<b><i>Child Nutrition Cluster:</i></b>						
<b><u>U. S. Department of Agriculture</u></b>						
10.553	D	N	113,895,389	School Breakfast Program		
10.555	D	N	385,714,766	National School Lunch Program		
10.556	D	N	190,126	Special Milk Program for Children		
10.559	D	N	8,835,452	Summer Food Service Program for Children		
			<u>508,635,733</u>	Total — Child Nutrition Cluster — U. S. Department of Agriculture		
			<u>508,635,733</u>	Total — Child Nutrition		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
<b><i>Disability Insurance/SSI—Cluster:</i></b>						
<b><u>Social Security Administration</u></b>						
96.001	D	N	59,293,907	Social Security-Disability Insurance		
			59,293,907	Total — Disability Insurance/SSI Cluster — Social Security Administration		
			59,293,907	Total — Disability Insurance/SSI		
<b><i>Food Distribution—Cluster:</i></b>						
<b><u>U. S. Department of Agriculture</u></b>						
10.565	D	N	386,691	Commodity Supplemental Food Program		
10.568	D	N	1,611,041	Emergency Food Assistance Program (Administrative Costs)		
10.569	D	N	17,129,234	Emergency Food Assistance Program (Food Commodities)		
			19,126,966	Total — Food Distribution Cluster — U. S. Department of Agriculture		
			19,126,966	Total — Food Distribution		
<b><i>Employment Service—Cluster:</i></b>						
<b><u>U. S. Department of Labor</u></b>						
17.207	D	N	22,947,647	Employment Service/Wagner-Peyser Funded Activities		
17.801	D	N	1,533,431	Disabled Veterans' Outreach Program (DVOP)		
17.804	D	N	4,331,169	Local Veterans' Employment Representative Program		
			28,812,247	Total — Employment Service Cluster — U. S. Department of Labor		
			28,812,247	Total — Employment Service		
<b><i>Educational Technology State Grants—Cluster:</i></b>						
<b><u>U. S. Department of Education</u></b>						
84.318	D	N	2,041,546	Educational Technology State Grants		
84.386	D	Y	370,322	ARRA - Education Technology State Grants, Recovery Act		
			2,411,868	Total — Educational Technology State Grants Cluster — U. S. Department of Education		
			2,411,868	Total — Educational Technology State Grants		
<b><i>Fish and Wildlife—Cluster:</i></b>						
<b><u>U. S. Department of Interior</u></b>						
15.605	D	N	9,844,255	Sport Fish Restoration Program		
15.605	I	N	73,859	Sport Fish Restoration Program	2009-001483-B	Puerto Rico Dept NR
15.611	D	N	8,239,474	Wildlife Restoration and Basic Hunter Education		
			18,157,588	Total — Fish and Wildlife Cluster — U. S. Department of Interior		
			18,157,588	Total — Fish and Wildlife		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
<b><i>Foster Grandparent/Senior Companion —Cluster:</i></b>						
<b><u>Corporation for National and Community Service</u></b>						
94.011	D	N	391,000	Foster Grandparent Program		
94.016	D	N	236,600	Senior Companion Program		
			627,600	Total — Foster Grandparent/Senior Companion Cluster — Corporation for National and Community Service		
			627,600	Total — Foster Grandparent/Senior Companion		
<b><i>Health Center Cluster:</i></b>						
<b><u>U. S. Department of Health and Human Services</u></b>						
93.224	D	N	1,669,954	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)		
93.224	I	N	168,973	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)	0057/0070/0074	Greene Co Health
			1,838,927	Total — Health Center Cluster — U. S. Department of Health and Human Services		
			1,838,927	Total — Health Center		
<b><i>Highway Planning and Construction—Cluster:</i></b>						
<b><u>U. S. Department of Transportation</u></b>						
20.205	D	N	1,109,127,025	Highway Planning and Construction		
20.205	D	Y	19,940,009	ARRA - Highway Planning and Construction		
20.205	I	N	32,518	Highway Planning and Construction	11-026	University of New Hampshire
20.205	I	N	557	Highway Planning and Construction	2010-2076	City of Raleigh
20.205	I	N	116,839	Highway Planning and Construction	476360 00002	Texas A&M Research Found.
20.205	I	N	100,072	Highway Planning and Construction	553206 HUEGY., J.	City of Durham
20.205	I	N	99,593	Highway Planning and Construction	PO #15179	City of Raleigh
20.219	D	N	941,917	Recreational Trails Program		
			1,130,358,530	Total — Highway Planning and Construction Cluster — U. S. Department of Transportation		
<b><u>U. S. Appalachian Regional Commission</u></b>						
23.003	D	N	2,917,330	Appalachian Development Highway System		
			2,917,330	Total — Highway Planning and Construction Cluster — U. S. Appalachian Regional Commission		
			1,133,275,860	Total — Highway Planning and Construction		
<b><i>Housing Voucher—Cluster:</i></b>						
<b><u>U. S. Department of Housing and Urban Development</u></b>						
14.871	D	N	4,325,442	Section 8 Housing Choice Vouchers		
			4,325,442	Total — Housing Voucher Cluster — U. S. Department of Housing and Urban Development		
			4,325,442	Total — Housing Voucher		

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<b>Highway Safety—Cluster:</b>						
<b>U. S. Department of Transportation</b>						
20.600	D	N	6,225,787	State and Community Highway Safety		
20.601	D	N	2,937,492	Alcohol Impaired Driving Countermeasures Incentive Grants I		
20.602	D	N	704,489	Occupant Protection Incentive Grants		
20.609	D	N	626,505	Safety Belt Performance Grants		
20.610	D	N	775,362	State Traffic Safety Information System Improvement Grants		
20.612	D	N	131,996	Incentive Grant Program to Increase Motorcyclist Safety		
20.613	D	N	423,108	Child Safety and Child Booster Seats Incentive Grants		
			<u>11,824,739</u>	Total — Highway Safety Cluster — U. S. Department of Transportation		
			<u>11,824,739</u>	Total — Highway Safety		
<b>JAG Program Cluster:</b>						
<b>U. S. Department of Justice</b>						
16.738	D	N	5,783,657	Edward Byrne Memorial Justice Assistance Grant Program		
16.738	I	N	63,051	Edward Byrne Memorial Justice Assistance Grant Program	2010-DJ-BX-0281	City of Durham
16.803	D	Y	4,474,326	ARRA - Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories		
16.804	D	Y	44,751	ARRA - Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government		
			<u>10,365,785</u>	Total — JAG Program Cluster — U. S. Department of Justice		
			<u>10,365,785</u>	Total —JAG Program		
<b>Medicaid—Cluster:</b>						
<b>U. S. Department of Health and Human Services</b>						
93.720	D	Y	3,472	ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative		
93.775	D	N	5,689,625	State Medicaid Fraud Control Units		
93.777	D	N	5,869,539	State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare		
93.778	D	N	8,021,749,621	Medical Assistance Program		
93.778	D	Y	-50,444	ARRA - Medical Assistance Program		
			<u>8,033,261,813</u>	Total — Medicaid Cluster — U. S. Department of Health and Human Services		
			<u>8,033,261,813</u>	Total —Medicaid		
<b>Economic Development Cluster:</b>						
<b>U. S. Department of Commerce</b>						
11.307	D	N	27,247	Economic Adjustment Assistance		
11.307	I	N	6,566	Economic Adjustment Assistance	04-79-06686	NC Rural Econ Dev Ct
			<u>33,813</u>	Total — Economic Development Cluster — U. S. Department of Commerce		
			<u>33,813</u>	Total —Economic Development		



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<b>Research and Development—Cluster:</b>						
<b><u>U. S. Department of Agriculture</u></b>						
10	D	N	2,400,834	U. S. Department of Agriculture		
10	I	N	37,931	U. S. Department of Agriculture	1201-005	Nat'l Wildlife Fed.
10	I	N	101,602	U. S. Department of Agriculture	163RFP#2010-001	U. S. Endow For Forest & Comm.
10	I	N	12,725	U. S. Department of Agriculture	2012-1592	Farm Pilot Proj Coord
10	I	N	917	U. S. Department of Agriculture	2013-1717	National Honey Board
10	I	N	415	U. S. Department of Agriculture	N/A	Nat'l Watermelon Promo Board
10	I	N	10,110	U. S. Department of Agriculture	PO1300213398	Univ of Florida
10.001	D	N	1,796,696	Agricultural Research-Basic and Applied Research		
10.001	I	N	59,163	Agricultural Research-Basic and Applied Research	N/A	Biotechnology Res. & Dev. Corp
10.025	D	N	2,953,353	Plant and Animal Disease, Pest Control, and Animal Care		
10.025	I	N	10,804	Plant and Animal Disease, Pest Control, and Animal Care	2012-NC01-BB-IVORS	Rutgers University
10.200	D	N	716,733	Grants for Agricultural Research, Special Research Grants		
10.200	I	N	3,498	Grants for Agricultural Research, Special Research Grants	12-EPP-373017-NCSU	Auburn University
10.200	I	N	11	Grants for Agricultural Research, Special Research Grants	1300214027	Univ of Florida
10.200	I	N	22,047	Grants for Agricultural Research, Special Research Grants	1441-207-2008008	Clemson University
10.200	I	N	5,715	Grants for Agricultural Research, Special Research Grants	62094-9576	Cornell University
10.200	I	N	2,654	Grants for Agricultural Research, Special Research Grants	PO #1200159588	Univ of Florida
10.200	I	N	99,502	Grants for Agricultural Research, Special Research Grants	PO 1200139956	Univ of Florida
10.200	I	N	2,022	Grants for Agricultural Research, Special Research Grants	PO 1200141216	Univ of Florida
10.200	I	N	2,227	Grants for Agricultural Research, Special Research Grants	PO 1200141217	Univ of Florida
10.200	I	N	18,617	Grants for Agricultural Research, Special Research Grants	PO 1300213235	Univ of Florida
10.200	I	N	3,402	Grants for Agricultural Research, Special Research Grants	PO# 1000184269	Univ of Florida
10.200	I	N	9,678	Grants for Agricultural Research, Special Research Grants	PO# 1300213390	Univ of Florida
10.200	I	N	13,895	Grants for Agricultural Research, Special Research Grants	PO# 1300213395	Univ of Florida
10.200	I	N	170	Grants for Agricultural Research, Special Research Grants	PO1200140798	Univ of Florida
10.200	I	N	1,935	Grants for Agricultural Research, Special Research Grants	R01319	Florida State Univ
10.200	I	N	13,622	Grants for Agricultural Research, Special Research Grants	RF330-451/4893136	Univ of Georgia
10.200	I	N	12,167	Grants for Agricultural Research, Special Research Grants	UM-S856	University of Maine
10.200	I	N	13,127	Grants for Agricultural Research, Special Research Grants	UMS-900	Univ of Maine
10.202	D	N	1,058,978	Cooperative Forestry Research		
10.203	D	N	5,261,494	Payments to Agricultural Experiment Stations Under the Hatch Act		
10.205	D	N	4,069,853	Payments to 1890 Land-Grant Colleges and Tuskegee University		
10.206	D	N	2,922,839	Grants for Agricultural Research-Competitive Research Grants		
10.207	D	N	157,468	Animal Health and Disease Research		
10.210	D	N	38,675	Food and Agricultural Sciences National Needs Graduate Fellowship Grants		
10.212	I	N	47,957	Small Business Innovation Research	2012-0483	Biolink Life Sciences, Inc.
10.212	I	N	41,947	Small Business Innovation Research	N/A	Innovative Educ Reso
10.215	I	N	62,631	Sustainable Agriculture Research and Education	RD309-105/4690188	Univ of Georgia
10.215	I	N	835	Sustainable Agriculture Research and Education	RD309-105/4786536	Univ of Georgia
10.215	I	N	3,504	Sustainable Agriculture Research and Education	RD309-105/4786556	Univ of Georgia
10.215	I	N	856	Sustainable Agriculture Research and Education	RD309-105/4786636	Univ of Georgia
10.215	I	N	1,425	Sustainable Agriculture Research and Education	RD309-105/4786686	Univ of Georgia
10.215	I	N	32,474	Sustainable Agriculture Research and Education	RD309-109/4786256	Univ of Georgia
10.215	I	N	6,303	Sustainable Agriculture Research and Education	RD309-109/4892096	Univ of Georgia
10.215	I	N	6,295	Sustainable Agriculture Research and Education	RD309-109/4892106	Univ of Georgia
10.215	I	N	3,037	Sustainable Agriculture Research and Education	RD309-109/4892146	Univ of Georgia
10.215	I	N	2,528	Sustainable Agriculture Research and Education	RD309-117/4940216	Univ of Georgia Res Fdn
10.215	I	N	14,215	Sustainable Agriculture Research and Education	RD309-122/4940076	Univ of Georgia

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10.215	I	N	13,340	Sustainable Agriculture Research and Education	RD309-122/4940246	Univ of Georgia
10.216	D	N	719,202	1890 Institution Capacity Building Grants		
10.216	I	N	13,612	1890 Institution Capacity Building Grants	2010-20571-NCA&T	University of Maryland Eastern Shore
10.216	I	N	9,168	1890 Institution Capacity Building Grants	6-61731	Kentucky State Univ
10.217	D	N	80,558	Higher Education Challenge Grants		
10.219	D	N	242,529	Biotechnology Risk Assessment Research		
10.227	I	N	25,497	1994 Institutions Research Program	2010-1632	United Tribes Tech College
10.250	D	N	135,049	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations		
10.253	I	N	26,445	Food Assistance and Nutrition Research Programs (FANRP)	62140-9609	Cornell University
10.255	I	N	13,230	Research Innovation and Development Grants in Economic (RIDGE)	018000-321470-0	Mississippi State University
10.303	D	N	1,888,702	Integrated Programs		
10.303	I	N	20	Integrated Programs	1274-7558-207-2007	Clemson University
10.303	I	N	38,363	Integrated Programs	1300213234	Univ of Florida
10.303	I	N	45,725	Integrated Programs	347K060	Univ of Wisconsin
10.303	I	N	49,939	Integrated Programs	60025287 PO: RF0	Ohio State Univ
10.303	I	N	32,864	Integrated Programs	61-4299B	Michigan State University
10.303	I	N	1,389	Integrated Programs	BFK500-SB-003	University of Idaho
10.303	I	N	195,939	Integrated Programs	RF330-411/3843858	Univ of Georgia
10.303	I	N	32,438	Integrated Programs	S-000372	Univ of Cal-Riverside
10.303	I	N	49,051	Integrated Programs	US AES 91032-01	Univ of Arkansas
10.304	I	N	799	Homeland Security-Agricultural	RC06413NCSU	Michigan State University
10.304	I	N	6,935	Homeland Security-Agricultural	UF IFAS 00069562	Univ of Florida
10.305	D	N	69,686	International Science and Education Grants		
10.306	I	N	45,199	Biodiesel	416-40-39B	Iowa State University
10.307	D	N	461,799	Organic Agriculture Research and Extension Initiative		
10.307	I	N	5,930	Organic Agriculture Research and Extension Initiative	4077-NCSU-USDA-565	Pennsylvania State Univ.
10.307	I	N	13,825	Organic Agriculture Research and Extension Initiative	4821	Rutgers University
10.307	I	N	35,011	Organic Agriculture Research and Extension Initiative	67835-9950	Cornell University
10.307	I	N	36,079	Organic Agriculture Research and Extension Initiative	8500028703	Univ of Tennessee
10.307	I	N	83,479	Organic Agriculture Research and Extension Initiative	C0421A-A	Oregon State Univ
10.309	D	N	1,108,081	Specialty Crop Research Initiative		
10.309	I	N	89,704	Specialty Crop Research Initiative	350K836	Univ of Wisconsin
10.309	I	N	98,877	Specialty Crop Research Initiative	422179-19071	Virginia Polytech Inst.
10.309	I	N	189,724	Specialty Crop Research Initiative	43897	Louisiana State Univ
10.309	I	N	53,503	Specialty Crop Research Initiative	61314-9364	Cornell University
10.309	I	N	395,708	Specialty Crop Research Initiative	G-1414-1	Colorado State Univ
10.309	I	N	103,887	Specialty Crop Research Initiative	N/A	Virginia Polytech Inst.
10.309	I	N	48,599	Specialty Crop Research Initiative	PO:6605009 AGRI EC	Univ of Arkansas
10.309	I	N	47,108	Specialty Crop Research Initiative	RC299-380/3503828	Univ of Georgia
10.309	I	N	61,434	Specialty Crop Research Initiative	S110021 2011-5118	Texas A&M Univ
10.309	I	N	40,987	Specialty Crop Research Initiative	UF10226	Univ of Florida
10.310	D	N	6,463,331	Agriculture and Food Research Initiative (AFRI)		
10.310	I	N	29,051	Agriculture and Food Research Initiative (AFRI)	115334 G002890	Washington State University
10.310	I	N	16,758	Agriculture and Food Research Initiative (AFRI)	2000041386-AG	Purdue University
10.310	I	N	290	Agriculture and Food Research Initiative (AFRI)	201223090-03	Univ of Cal-Davis
10.310	I	N	8,297	Agriculture and Food Research Initiative (AFRI)	238371A	University of Oregon
10.310	I	N	19,205	Agriculture and Food Research Initiative (AFRI)	25-6238-0581-002	Univ of Nebraska-Lincoln
10.310	I	N	107,739	Agriculture and Food Research Initiative (AFRI)	25574 2011-6700	University of Delaware
10.310	I	N	21,303	Agriculture and Food Research Initiative (AFRI)	416-40-90B / PO#13	Iowa State Univ

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10.310	I	N	42,479	Agriculture and Food Research Initiative (AFRI)	422192-19071	Virginia Polytechnic
10.310	I	N	17,442	Agriculture and Food Research Initiative (AFRI)	8000033673-AG	Purdue University
10.310	I	N	56,017	Agriculture and Food Research Initiative (AFRI)	8000053331-AG	Perdue University
10.310	I	N	733,857	Agriculture and Food Research Initiative (AFRI)	8500022696	Univ of Tennessee
10.310	I	N	74,753	Agriculture and Food Research Initiative (AFRI)	PROJ #3266 PO #450	Emory University
10.310	I	N	113,613	Agriculture and Food Research Initiative (AFRI)	S-000472	Univ of Cal-Riverside
10.310	I	N	17,263	Agriculture and Food Research Initiative (AFRI)	S12182	Kansas State University
10.312	D	N	192,857	Biomass Research and Development Initiative Competitive Grants Program (BRDI)		
10.312	I	N	30,318	Biomass Research and Development Initiative Competitive Grants Program (BRDI)	3048108243-12-454	Univ of Kentucky
10.312	I	N	101,128	Biomass Research and Development Initiative Competitive Grants Program (BRDI)	3048109826-058	Univ of Kentucky Res. Found.
10.312	I	N	301,629	Biomass Research and Development Initiative Competitive Grants Program (BRDI)	UFSSVSVC201274	Domtar Paper Company, LLC
10.312	I	N	131,631	Biomass Research and Development Initiative Competitive Grants Program (BRDI)	UFSSVSVC201280	Domtar Paper Company, LLC
10.313	I	N	65,289	Veterinary Medicine Loan Repayment Program	SUBAWARD AGMT 62524.9499	Cornell University
10.319	I	N	1,247	Farm Business Management and Benchmarking Competitive Grants Program	H001856213	Univ of Minnesota
10.319	I	N	19,534	Farm Business Management and Benchmarking Competitive Grants Program	RE670-421/4943126	Univ of Georgia
10.320	I	N	8,020	Sun Grant Program	S13001	Kansas State University
10.443	D	N	89,162	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers		
10.459	D	N	38,425	Commodity Partnerships for Small Agricultural Risk Management Education Sessions		
10.500	D	N	322,562	Cooperative Extension Service		
10.500	I	N	44,564	Cooperative Extension Service	24060	Univ of Arizona
10.500	I	N	248,025	Cooperative Extension Service	RE580-377/49405	Univ of Georgia
10.500	I	N	57,455	Cooperative Extension Service	RE675-161/4786336	University of Georgia
10.500	I	N	112,567	Cooperative Extension Service	RR580-375/47855	Univ of Georgia
10.604	D	N	842	Technical Assistance for Specialty Crops Program		
10.652	D	N	502,939	Forestry Research		
10.680	D	N	156,116	Forest Health Protection		
10.680	I	N	14,063	Forest Health Protection	CAES-VL-2011-02	Connecticut Agr'l Exp Station
10.680	I	N	22,182	Forest Health Protection	CAES-VL-2012-01	Connecticut Agr'l Exp Station
10.902	D	N	23,415	Soil and Water Conservation		
10.903	D	N	22,203	Soil Survey		
10.905	D	N	36,735	Plant Materials for Conservation		
10.912	D	N	113,187	Environmental Quality Incentives Program		
10.961	D	N	68,738	Scientific Cooperation and Research		
10.999	I	N	3,936	Long Term Standing Agreements For Storage, Transportation And Lease	00074813	Univ of Florida
			<u>39,118,467</u>	Total — Research and Development — U. S. Department of Agriculture		

**U. S. Department of Commerce**

11	D	N	12,597	U. S. Department of Commerce		
11	I	N	18,710	U. S. Department of Commerce	CCU NO. 2011.01	Coastal Carolina Univ
11	I	N	22,841	U. S. Department of Commerce	CCU NO. 2012.02/SA	Coastal Carolina University
11	I	N	98,766	U. S. Department of Commerce	IOOS.11(033)UNC	Secoora-Se Coasta
11	I	N	2,888	U. S. Department of Commerce	PO 4600232822	SC DNR
11	I	N	-1	U. S. Department of Commerce	RU 3234/4-21463	Rutgers
11	I	N	8,555	U. S. Department of Commerce	S674	Secoora
11.012	I	N	-1,451	Integrated Ocean Observing System (IOOS)	2010-011	SURA(Se Univ Rsch)
11.012	I	N	38,225	Integrated Ocean Observing System (IOOS)	2011-001	SURA(Se Univ Rsch)
11.012	I	N	13,212	Integrated Ocean Observing System (IOOS)	433894/S1574936	Rutgers
11.012	I	N	23,490	Integrated Ocean Observing System (IOOS)	IOOS.11 (033)UNCW.LL.E&O.1	SECOORA
11.012	I	N	312,231	Integrated Ocean Observing System (IOOS)	IOOS.11 (33) UNCW.LL.OBS.1	SECOORA
11.012	I	N	37,010	Integrated Ocean Observing System (IOOS)	IOOS.11(033)NCSU.L	Secoora

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11.012	I	N	140,863	Integrated Ocean Observing System (IOOS)	IOOS.11(033)UNC	Secoora-Se Coasta
11.012	I	N	8,190	Integrated Ocean Observing System (IOOS)	IOOS.11(033)UNCW.JD.COMM.2	SECOORA
11.012	I	N	18,734	Integrated Ocean Observing System (IOOS)	IOOS.11(33)UNCW.LL.OBS.2	SECOORA
11.113	I	N	10	ITA Special Projects	ITA-08-07400	National Textile Center
11.303	D	N	444,413	Economic Development-Technical Assistance		
11.407	D	N	9,698	Interjurisdictional Fisheries Act of 1986		
11.417	D	N	784,385	Sea Grant Support		
11.417	I	N	14,702	Sea Grant Support	18172	University of Delaware
11.419	D	N	2,275,223	Coastal Zone Management Administration Awards		
11.420	D	N	569,480	Coastal Zone Management Estuarine Research Reserves		
11.427	D	N	26,435	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program		
11.430	D	N	833,026	Undersea Research		
11.430	I	N	2,349	Undersea Research	08-10-032	Univ of Mississippi
11.431	D	N	246,272	Climate and Atmospheric Research		
11.431	I	N	28,581	Climate and Atmospheric Research	12-2017 13540-FA93	Univ of South Carolina
11.431	I	N	715	Climate and Atmospheric Research	31290 (13540-FA44)	University of South Carolina
11.431	I	N	6,907	Climate and Atmospheric Research	41653/13540-FA9	Univ of South Carolina
11.431	I	N	161,560	Climate and Atmospheric Research	UF-EIES-1100031-NC	Univ of Florida
11.431	I	N	8,002	Climate and Atmospheric Research	Z12-96952	University Corporation for Atmospheric Research
11.432	I	N	192,638	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	URH35-040130	Florida Atlantic University
11.432	I	N	91,975	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	URH35-040130 (5.5.3)	Florida Atlantic University
11.434	D	N	144,719	Cooperative Fishery Statistics		
11.439	D	N	131,469	Marine Mammal Data Program		
11.439	I	N	4,254	Marine Mammal Data Program	VAQF 582 SC-01	Vams Ctr Fdn
11.440	D	N	84,785	Environmental Sciences, Applications, Data, and Education		
11.440	I	N	96,901	Environmental Sciences, Applications, Data, and Education	2009-1380-01	Univ of Maryland
11.440	I	N	109,794	Environmental Sciences, Applications, Data, and Education	2009-1380-02	Univ of Maryland
11.440	I	N	6,768,664	Environmental Sciences, Applications, Data, and Education	Z763701	Univ of Maryland
11.452	I	N	9,341	Unallied Industry Projects	2592	New England Aquarium
11.459	D	N	59,078	Weather and Air Quality Research		
11.463	D	N	27,767	Habitat Conservation		
11.467	I	N	5,321	Meteorologic and Hydrologic Modernization Development	NA10NWS4670015	East Tennessee State University
11.467	I	N	4,929	Meteorologic and Hydrologic Modernization Development	Z11-91838	Univ Corp Atmos Res
11.468	D	N	134,074	Applied Meteorological Research		
11.472	I	N	31,463	Unallied Science Program	sub NA10NMF4720402	Partnership for Mid Atlantic Fisheries Science
11.473	D	N	284,928	Coastal Services Center		
11.473	I	N	-196	Coastal Services Center	3001003710	Univ of Michigan
11.473	I	N	2,004	Coastal Services Center	TO 20/432336	Rutgers
11.474	D	N	400,388	Atlantic Coastal Fisheries Cooperative Management Act		
11.478	D	N	100,138	Center for Sponsored Coastal Ocean Research-Coastal Ocean Program		
11.478	I	N	103,068	Center for Sponsored Coastal Ocean Research-Coastal Ocean Program	A100517 / 24024502	Woods Hole Oceanographic Inst.
11.478	I	N	96,920	Center for Sponsored Coastal Ocean Research-Coastal Ocean Program	A100897	Wood Hole Oceanographic Inst
11.481	D	N	307,022	Educational Partnership Program		
11.550	D	N	384	Public Telecommunications Facilities Planning and Construction		
11.609	D	N	4,193	Measurement and Engineering Research and Standards		
11.609	I	N	89,016	Measurement and Engineering Research and Standards	26-2401-3561	Univ of Texas-Arlington
11.611	D	N	254,901	Manufacturing Extension Partnership		

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11.618	D	N	26,205	National Institute of Standards and Technology Construction Grant Program		
11.618	D	Y	8,282,553	ARRA - National Institute of Standards and Technology Construction Grant Program		
			<u>24,015,314</u>	Total — Research and Development — U. S. Department of Commerce		

**U. S. Department of Defense**

12	D	N	3,775,156	U. S. Department of Defense		
12	I	N	-9,312	U. S. Department of Defense	0000198797	Henry M Jackson Fdn
12	I	N	280,385	U. S. Department of Defense	1-321-0210294	RTI
12	I	N	30,040	U. S. Department of Defense	1-340-0211720	RTI International
12	I	N	5,519	U. S. Department of Defense	10-340-0211720	RTI International
12	I	N	63,892	U. S. Department of Defense	111000088	Concurrent Technologies
12	I	N	10,463	U. S. Department of Defense	1176733	Sandia National Labs
12	I	N	30,000	U. S. Department of Defense	12-0416S	ADA Technologies, Inc.
12	I	N	33,410	U. S. Department of Defense	12084021/980402	MD Anderson Canc Ctr
12	I	N	6,757	U. S. Department of Defense	121219CAAW	Atherton Quantum Insight, LLC
12	I	N	740	U. S. Department of Defense	166125	Duke Univ Med Ctr
12	I	N	8,951	U. S. Department of Defense	17118	Advanced Cooling Technologies
12	I	N	35,338	U. S. Department of Defense	2-340-0210933	RTI International
12	I	N	5,423	U. S. Department of Defense	2-340-0213337/8	RTI Rsch Triangle In
12	I	N	9,323	U. S. Department of Defense	20-88172	Benedict College
12	I	N	30,000	U. S. Department of Defense	20090925	Real Time Innovation
12	I	N	226	U. S. Department of Defense	2010-1784	NJ Microsystems, Inc.
12	I	N	291,785	U. S. Department of Defense	2010-2745	Hexa Tech, Inc
12	I	N	30,248	U. S. Department of Defense	2012-1349	Tai-yang Research Co.
12	I	N	1,890	U. S. Department of Defense	2012-2080	NJ Microsystems, Inc.
12	I	N	45,283	U. S. Department of Defense	2012-2194	NIA Diagnostics, LLC
12	I	N	96,038	U. S. Department of Defense	20120711-NCSU-4002	The Corbin Company
12	I	N	29,544	U. S. Department of Defense	2013-0257	Troxler Elect. Labs
12	I	N	27,142	U. S. Department of Defense	2013-0265	Adroit Materials, Inc.
12	I	N	2,918	U. S. Department of Defense	2013-0392	Control Vision, Inc.
12	I	N	8,072	U. S. Department of Defense	2013-1477	SA Photonics
12	I	N	93,091	U. S. Department of Defense	206-000062	SRI International
12	I	N	80,100	U. S. Department of Defense	25-0521-156-003	Univ of Nebraska
12	I	N	4,061	U. S. Department of Defense	3061-DPA-1T/NC STA	Luna Innovations, Inc.
12	I	N	38,667	U. S. Department of Defense	313-0111	Duke Univ Med Ctr
12	I	N	525,942	U. S. Department of Defense	316091	DUPREC-University Of Maryland
12	I	N	100,251	U. S. Department of Defense	4-340-0210933	RTI International
12	I	N	7,053	U. S. Department of Defense	4035-1	Maxentric Tech. LLC
12	I	N	86,536	U. S. Department of Defense	69-200910	Ntl Ctr Manufa Science
12	I	N	1	U. S. Department of Defense	716082/712683	Virginia Inst. of Marine Sci.
12	I	N	14,043	U. S. Department of Defense	7500-2	Hi-Z Technology Inc.
12	I	N	9,862	U. S. Department of Defense	801668-BS	HRL Laboratories
12	I	N	162,845	U. S. Department of Defense	888-11-16-04/1-	RTI Rsch Triangle In
12	I	N	168,632	U. S. Department of Defense	888-11-16-04/5	RTI Rsch Triangle In
12	I	N	34,385	U. S. Department of Defense	941-1	Intelligent Automation, Inc.
12	I	N	52,926	U. S. Department of Defense	A100953	Wood Hole Oceanographic Inst.
12	I	N	40,736	U. S. Department of Defense	CW197748 PO# DP152	E. I. Dupont
12	I	N	315,853	U. S. Department of Defense	N/A	Entegriion, Inc
12	I	N	2,163	U. S. Department of Defense	N00014-1-1-0204	Nat Marrow Donor Prg

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12	I	N	39,865	U. S. Department of Defense	N00014-10-C-033	Entegron, Inc
12	I	N	442,434	U. S. Department of Defense	N41756-12-C-4763	CTTSO
12	I	N	369	U. S. Department of Defense	P000015327	Serco-N America
12	I	N	235,915	U. S. Department of Defense	PO NO.: 4400324500	Raytheon
12	I	N	-491	U. S. Department of Defense	PO# ML05000858	Serco-N America
12	I	N	17,273	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	10,842	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	73,682	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	6,767	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	222,585	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	70,852	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	50,474	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	33,800	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	196	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	86,441	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	31,137	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	26,986	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	1,466	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	136,710	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	6,100	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12.300	D	N	4,303,122	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	300	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	144,547	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	68,352	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	8,653	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	27,432	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	-8,167	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	123,835	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	183,442	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	32,693	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	35,160	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.300	I	N	73,437	Basic and Applied Scientific Research	PO10-14267	DZSP 21 LLC
12.351	D	N	1,576,602	Basic Scientific Research-Combating Weapons of Mass Destruction	PO10-14267	DZSP 21 LLC
12.360	D	N	20,652	Research on Chemical and Biological Defense	PO10-14267	DZSP 21 LLC
12.420	D	N	3,159,851	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.420	I	N	-4,930	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.420	I	N	37,000	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.420	I	N	-331	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.420	I	N	144,838	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.420	I	N	70,718	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.420	I	N	47,109	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.420	I	N	72,127	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.420	I	N	7,315	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.420	I	N	10,709	Military Medical Research and Development	PO10-14267	DZSP 21 LLC
12.431	D	N	9,883,913	Basic Scientific Research	PO10-14267	DZSP 21 LLC
12.431	I	N	-2	Basic Scientific Research	PO10-14267	DZSP 21 LLC
12.431	I	N	405	Basic Scientific Research	PO10-14267	DZSP 21 LLC
12.431	I	N	78,101	Basic Scientific Research	PO10-14267	DZSP 21 LLC
12.431	I	N	96,614	Basic Scientific Research	PO10-14267	DZSP 21 LLC
12.431	I	N	19,608	Basic Scientific Research	PO10-14267	DZSP 21 LLC

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12.431	I	N	49,180	Basic Scientific Research	515120	Columbia University
12.431	I	N	20,273	Basic Scientific Research	60154614-51142-	Stanford University
12.431	I	N	80,090	Basic Scientific Research	N/A	Pennsylvania State Univ
12.431	I	N	91,264	Basic Scientific Research	SA1107012	Univ of Arkansas
12.431	I	N	-23	Basic Scientific Research	W911NF-10-1-038	Pulmatrix
12.431	I	N	58,955	Basic Scientific Research	Z845802	DUPREC-University Of Maryland
12.556	I	N	16,173	Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools	DODEA	Harnett County School
12.630	D	N	117,299	Basic, Applied, and Advanced Research in Science and Engineering		
12.630	I	N	150,703	Basic, Applied, and Advanced Research in Science and Engineering	4056-NCSU-BBN-0053	Pennsylvania State Univ
12.630	I	N	67	Basic, Applied, and Advanced Research in Science and Engineering	A666B1	Texas A&M University
12.630	I	N	252,901	Basic, Applied, and Advanced Research in Science and Engineering	W9132T-11-2-0007	Co-op Ecosystem Studies Unit
12.800	D	N	1,240,322	Air Force Defense Research Sciences Program		
12.800	I	N	81,053	Air Force Defense Research Sciences Program	12-2113	Univ of South Carolina
12.800	I	N	524	Air Force Defense Research Sciences Program	18131-S3	Vanderbilt University
12.800	I	N	79,896	Air Force Defense Research Sciences Program	2000933690	Johns Hopkins Univ
12.800	I	N	89,624	Air Force Defense Research Sciences Program	26473	University of Delaware
12.800	I	N	31,486	Air Force Defense Research Sciences Program	4104-34945	Purdue University
12.800	I	N	53,585	Air Force Defense Research Sciences Program	421-20-31B	Iowa State Univ
12.800	I	N	111,178	Air Force Defense Research Sciences Program	450169-19071	Virginia Polytech Inst.
12.800	I	N	40,773	Air Force Defense Research Sciences Program	800002123-01	Florida Int'l Univ
12.800	I	N	135,431	Air Force Defense Research Sciences Program	GG11221-133958	Univ of Virginia
12.800	I	N	16,783	Air Force Defense Research Sciences Program	GG11604-141694	Univ of Virginia
12.800	I	N	61,547	Air Force Defense Research Sciences Program	RSC12035	Univ of Dayton
12.900	D	N	13,753	Language Grant Program		
12.901	D	N	135,743	Mathematical Sciences Grants Program		
12.910	D	N	1,251,915	Research and Technology Development		
12.910	I	N	95,968	Research and Technology Development	450124-19467	VA Polytechnic Inst
12.910	I	N	57,435	Research and Technology Development	G2457-1	Colorado State Univ
12.910	I	N	58,460	Research and Technology Development	PO# 5104327	Triquint Semiconductor TX LP
12.910	I	N	106,241	Research and Technology Development	UTA10-000426	Univ of Texas-Austin
			32,791,485	Total — Research and Development — U. S. Department of Defense		
<b><u>U. S. Central Intelligence Agency</u></b>						
13	D	N	61,016	U. S. Central Intelligence Agency		
			61,016	Total — Research and Development — U. S. Central Intelligence Agency		
<b><u>U. S. Department of Housing and Urban Development</u></b>						
14	D	N	847	U. S. Department of Housing and Urban Development		
14	I	N	72,982	U. S. Department of Housing and Urban Development	30060	ABT Assoc Inc
14.516	D	N	13,762	Doctoral Dissertation Research Grants		
14.906	D	N	152,983	Healthy Homes Technical Studies Grants		
			240,574	Total — Research and Development — U. S. Department of Housing and Urban Development		
<b><u>U. S. Department of Interior</u></b>						
15	D	N	612,822	U. S. Department of Interior		
15	I	N	10,711	U. S. Department of Interior	1001594	Univ of Wyoming
15	I	N	2,896	U. S. Department of Interior	133-08-001322-B	Puerto Rico Dept. NR
15	I	N	5,865	U. S. Department of Interior	2012-05044-02	Univ of Illinois

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15	I	N	15,888	U. S. Department of Interior	CJC2006-2012-SVS-2	Louis Berger Group, Inc.
15.232	D	N	74,526	Wildland Fire Research and Studies Program		
15.423	D	N	52,835	Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)		
15.608	I	N	22,988	Fish and Wildlife Management Assistance	11/08-B	National Audubon Society, Inc
15.616	D	N	66,560	Clean Vessel Act		
15.630	D	N	23,157	Coastal Program		
15.650	D	N	57,717	Research Grants (Generic)		
15.657	D	N	9,599	Endangered Species Conservation - Recovery Implementation Funds		
15.660	D	N	36,983	Endangered Species-Candidate Conservation Action Funds		
15.664	I	N	66,057	Fish and Wildlife Coordination and Assistance Programs	2011-11	Wildlife Mgmt Inst
15.805	D	N	55,379	Assistance to State Water Resources Research Institutes		
15.808	D	N	497,592	U. S. Geological Survey- Research and Data Collection		
15.810	D	N	14,234	National Cooperative Geologic Mapping Program		
15.812	D	N	2,046,659	Cooperative Research Units Program		
15.820	D	N	656,362	National Climate Change and Wildlife Science Center		
15.875	D	N	84,027	Economic, Social, and Political Development of the Territories		
15.904	I	N	4,364	Historic Preservation Fund Grants-In-Aid	54105-A	Western Washington University
15.945	D	N	18,234	Cooperative Research and Training Programs - Resources of the National Park System		
15.945	I	N	1,371	Cooperative Research and Training Programs - Resources of the National Park System	1108612001 PO#P120	Stephen F. Austin State Univ
15.945	I	N	25,276	Cooperative Research and Training Programs - Resources of the National Park System	SUBAWARD 13-2214	University of South Carolina
			4,462,102	Total — Research and Development — U. S. Department of Interior		
<b><u>U. S. Department of Justice</u></b>						
16	D	N	315,420	U. S. Department of Justice		
16	I	N	18,581	U. S. Department of Justice	13-DOJ-1022	Duke University
16.525	D	N	45,034	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus		
16.560	D	N	161,275	National Institute of Justice Research, Evaluation, and Development Project Grants		
16.560	I	N	35,913	National Institute of Justice Research, Evaluation, and Development Project Grants	4000101498	UT-Battelle LLC-Oak Ridge National Laboratory
16.560	I	N	10,000	National Institute of Justice Research, Evaluation, and Development Project Grants	4000121918	UT-Battelle LLC-Oak Ridge National Laboratory
16.753	D	N	1,504	Congressionally Recommended Awards		
			587,727	Total — Research and Development — U. S. Department of Justice		
<b><u>U. S. Department of State</u></b>						
19	I	N	542	U. S. Department of State	EP912595	Virginia Commonwealth Univ
19	I	N	15,696	U. S. Department of State	N/A	Alvarez & Associates
19.040	D	N	9,832	Public Diplomacy Programs		
			26,070	Total — Research and Development — U. S. Department of State		
<b><u>U. S. Department of Transportation</u></b>						
20	D	N	2,351,764	U. S. Department of Transportation		
20	I	N	74,482	U. S. Department of Transportation	07-NCSU-E1 #2	Univ of Tennessee
20	I	N	103,656	U. S. Department of Transportation	07-UNCCH-E1	Univ of Tennessee
20	I	N	44,980	U. S. Department of Transportation	106423-712000	Mississippi Archiv Hi
20	I	N	50,254	U. S. Department of Transportation	1201259 TO 0003	Transanalytics
20	I	N	68,473	U. S. Department of Transportation	17264	Kittelson & Assoc., Inc.



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20	I	N	14,550	U. S. Department of Transportation	37769.00	Vanasse Hangen Brust
20	I	N	407,280	U. S. Department of Transportation	38049-01	Vanasse Hangen Brust
20	I	N	-3,659	U. S. Department of Transportation	5710002072	MA Insti Technology
20	I	N	7,374	U. S. Department of Transportation	CKB1059A-2012-SVS-	Louis Berger Group, Inc.
20	I	N	19,364	U. S. Department of Transportation	CKB1059B-2012-SVS-	Louis Berger Group, Inc
20	I	N	356	U. S. Department of Transportation	DTNH22-05-D-050	Transanalytics
20	I	N	60	U. S. Department of Transportation	DTNH22-09-D-001	Transanalytics
20	I	N	6,905	U. S. Department of Transportation	DTNH22-10-D-001	Transanalytics
20	I	N	45,686	U. S. Department of Transportation	HR 09-50	Nat'l Acad. of Sci.
20	I	N	192,326	U. S. Department of Transportation	HR 25-36	Natl Acad of Science
20	I	N	80,810	U. S. Department of Transportation	HR 3-62	Natl Acad of Science
20	I	N	75,957	U. S. Department of Transportation	HR-17-48	Natl Acad of Science
20	I	N	47	U. S. Department of Transportation	HR-20-07 (314)	Natl Acad of Science
20	I	N	34,109	U. S. Department of Transportation	N/A	Dunlap Assoc
20	I	N	178,882	U. S. Department of Transportation	SHRP L-02	Natl Acad. of Sci.
20	I	N	60,632	U. S. Department of Transportation	SUB0000104/HR17	Natl Acad of Science
20	I	N	47,058	U. S. Department of Transportation	UF-EIES-1200008	Stride
20	I	N	153,167	U. S. Department of Transportation	UF-EIES-1200025-NC	Univ of Florida
20.200	D	N	721,087	Highway Research and Development Program		
20.200	I	N	8,422	Highway Research and Development Program	437K135	Univ of Wisconsin
20.313	D	N	86,234	Railroad Research and Development		
20.701	I	N	8,242	University Transportation Centers Program	246431A	Univ of Oregon
20.701	I	N	2,827	University Transportation Centers Program	UAF 11-0032 PO FP1	University of Alaska
20.701	I	N	30,222	University Transportation Centers Program	UAF 11-0033 PO FP1	University of Alaska
20.701	I	N	83,997	University Transportation Centers Program	UAF12-0006 PO FP20	University of Alaska Fairbanks
20.701	I	N	139,836	University Transportation Centers Program	Z981001	Univ of Maryland
20.761	I	N	27,062	Biobased Transportation Research	8500014161	Univ of Tennessee
20.761	I	N	44,984	Biobased Transportation Research	8500014164	Univ of Tennessee
20.761	I	N	7,645	Biobased Transportation Research	8500014165	Univ of Tennessee
20.761	I	N	14,603	Biobased Transportation Research	8500022312	Univ of Tennessee
20.761	I	N	35,924	Biobased Transportation Research	8500022318	Univ of Tennessee
20.761	I	N	73,456	Biobased Transportation Research	8500022319	Univ of Tennessee
20.761	I	N	26,718	Biobased Transportation Research	S12260	Kansas State University
			<u>5,325,772</u>	Total — Research and Development — U. S. Department of Transportation		
<b><u>U. S. Library of Congress</u></b>						
42	I	N	141,492	Library of Congress	12-PO-680-00002	Smithsonian Institute
42.002	I	N	518	Copyright Service	sub GA GA08C0016	Waynesburg College
			<u>142,010</u>	Total — Research and Development — U. S. Library of Congress		
<b><u>National Aeronautics and Space Administration</u></b>						
43	D	N	2,520,331	National Aeronautics and Space Administration		
43	I	N	11,341	National Aeronautics and Space Administration	1001-1	X-Wave Innovations, Inc.
43	I	N	5,052	National Aeronautics and Space Administration	1436032	Calif Inst Technolog
43	I	N	4,483	National Aeronautics and Space Administration	1436036	Calif Inst Technolog
43	I	N	94,007	National Aeronautics and Space Administration	2012-1749	Intelligent Fiber Optic System
43	I	N	32,040	National Aeronautics and Space Administration	3001477342	Univ of Michigan
43	I	N	760	National Aeronautics and Space Administration	9-58-461/MA0000	Natl Space Biom Rsc
43	I	N	118,803	National Aeronautics and Space Administration	GO1-12098A	Smithsonian Astrophys Observ
43	I	N	8,913	National Aeronautics and Space Administration	HST-AR-12147.01	Space Telescope Sci

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43	I	N	332,206	National Aeronautics and Space Administration	N/A	CT Advance Scien Spa
43	I	N	508,114	National Aeronautics and Space Administration	NCAT-03-01	Virginia Polytechnic Institute and State University
43	I	N	-15,308	National Aeronautics and Space Administration	NCC 9-58-461	Baylor Col Med
43	I	N	37,052	National Aeronautics and Space Administration	NCSU-03-01-2892-NC	Nat'l Inst. of Aerospace
43	I	N	198,947	National Aeronautics and Space Administration	NCSU-03-01-3771-00	Nat'l Inst. of Aerospace
43	I	N	35,974	National Aeronautics and Space Administration	NCSU-03-01-4720-00	Nat'l Inst. of Aerospace
43	I	N	32,728	National Aeronautics and Space Administration	PF03003	Natl Space Biom Rsc
43	I	N	-2,351	National Aeronautics and Space Administration	PO#5600400523/N	Baylor Col Med
43	I	N	88,429	National Aeronautics and Space Administration	SUB2012-113	Univ Ala-Huntsville
43	I	N	33,000	National Aeronautics and Space Administration	T09-6200-NC / 6224	Nat'l Inst. of Aerospace
43	I	N	148,172	National Aeronautics and Space Administration	USM-GR04146-003	Univ of Southern Mississippi
43	I	N	9,669	National Aeronautics and Space Administration	X13-7097-NC	Nat'l Inst. of Aerospace
43.001	D	N	456,438	Science		
43.001	I	N	5,483	Science	13305	Univ of Massachusetts
43.001	I	N	16,716	Science	57314A P3391 78	San Diego St Univ Fdn
43.001	I	N	18,957	Science	G02-13066C	Smithsonian Astrophys Observ
43.001	I	N	5,621	Science	GRT00021391	Ohio State Univ
43.002	D	N	117,055	Aeronautics		
43.002	I	N	3,530	Aeronautics	RR185-388/4689408	Univ of Georgia
43.007	D	N	24,884	Space Operations		
43.008	D	N	309,117	Education		
43.008	I	N	45,658	Education	4103-0583	Purdue University
43.009	D	N	113,663	Cross Agency Support		
			5,319,484	Total — Research and Development — National Aeronautics and Space Administration		

**National Endowment for the Arts and Humanities**

45	D	N	81,656	Federal Council on the Arts and the Humanities		
45	I	N	-350	Federal Council on the Arts and the Humanities	N/A	EPG Consulting
45.024	D	N	154,179	Promotion of the Arts-Grants to Organizations and Individuals		
45.129	I	N	12,366	Promotion of the Humanities-Federal/State Partnership	2013-0775	Friedrich Schiller University
45.149	D	N	164,149	Promotion of the Humanities-Division of Preservation and Access		
45.161	D	N	193,097	Promotion of the Humanities-Research		
45.163	D	N	29,953	Promotion of the Humanities-Professional Development		
45.164	I	N	8,971	Promotion of the Humanities-Public Programs	MW-50007-12	American Bar Assoc
45.169	D	N	24,485	Promotion of the Humanities-Office of Digital Humanities		
45.301	D	N	44,356	Museums for America		
45.303	D	N	22,645	Conservation Project Support		
45.312	D	N	220,498	National Leadership Grants		
45.312	I	N	57,761	National Leadership Grants	00007896/200009	Univ Calif-Berkeley
45.312	I	N	29,064	National Leadership Grants	137263-02	Harvard Univ
45.313	D	N	914,319	Laura Bush 21st Century Librarian Program		
			1,957,149	Total — Research and Development — National Endowment for the Arts and Humanities		

**National Science Foundation**

47	D	N	792,264	National Science Foundation		
47	I	N	-1,091	National Science Foundation	1003507	Tacoma Commu College
47	I	N	10,842	National Science Foundation	11-033	Univ of New Hampshire
47	I	N	66,003	National Science Foundation	2010-2669	Industrial Research Inst.

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47	I	N	223,805	National Science Foundation	55800-8949	Cornell University
47	I	N	80,286	National Science Foundation	EMAIL DATE 09022010	Product Innovation and Engineering
47	I	N	3,490	National Science Foundation	N/A	American Sociological Assoc
47	I	N	94,307	National Science Foundation	PO#9500009445	Global Envir Network I
47.041	D	N	16,671,982	Engineering Grants		
47.041	I	N	28,035	Engineering Grants	1159-7558-206-2	Clemson University
47.041	I	N	324	Engineering Grants	2008-01625-01	Univ of Illinois
47.041	I	N	35,545	Engineering Grants	2009-1212	Valencell Inc.
47.041	I	N	35,444	Engineering Grants	2012-1149	Polymer Braille Inc.
47.041	I	N	39,014	Engineering Grants	2012-1274	Physical Devices LLC
47.041	I	N	6,154	Engineering Grants	2012-2904	Advanced Hydrogen Technologies
47.041	I	N	32,540	Engineering Grants	2013-0749	Altaeros Engeries
47.041	I	N	274	Engineering Grants	554442 SAVELIEV, A	Innovative Energy Solutions
47.041	I	N	24,976	Engineering Grants	986029-871H	Univ of New Mexico
47.041	I	N	176,849	Engineering Grants	EEC-0540834	University of Minnesota
47.049	D	N	17,764,209	Mathematical and Physical Sciences		
47.049	I	N	326,342	Mathematical and Physical Sciences	08-SC-NSF-1025	Duke University
47.049	I	N	265,926	Mathematical and Physical Sciences	08-SC-NSF-1026	Duke University
47.049	I	N	49,663	Mathematical and Physical Sciences	12-NSF-1027	Duke University
47.049	I	N	599,258	Mathematical and Physical Sciences	12-NSF-1029	Duke University
47.049	I	N	6,983	Mathematical and Physical Sciences	12-NSF-1093	Duke University
47.049	I	N	71,731	Mathematical and Physical Sciences	201556UNC	Univ of Notre Dame
47.049	I	N	3,306	Mathematical and Physical Sciences	3001310127	Univ of Michigan
47.049	I	N	40,770	Mathematical and Physical Sciences	431140	Univ of Washington-Seattle
47.049	I	N	177,126	Mathematical and Physical Sciences	61-2482NC	Michigan St Univ
47.049	I	N	19,483	Mathematical and Physical Sciences	627093	Univ of Washington
47.049	I	N	216,150	Mathematical and Physical Sciences	744885	Univ of Washington-Seattle
47.049	I	N	98,615	Mathematical and Physical Sciences	744903	Univ of Washington
47.049	I	N	171,681	Mathematical and Physical Sciences	PT106955-SC103754	Virginia Commonwealth Univ
47.049	I	N	70,164	Mathematical and Physical Sciences	RC100639UNC	Michigan St Univ
47.050	D	N	5,661,390	Geosciences		
47.050	I	N	34,621	Geosciences	161533	Univ Southern California
47.050	I	N	1,666	Geosciences	208991G	Univ of Oregon
47.050	I	N	9,412	Geosciences	37169010	Univ Southern California
47.050	I	N	9,449	Geosciences	420-40--53A	Iowa State Univ
47.050	I	N	39,475	Geosciences	420-41-01A	Iowa State Univ
47.050	I	N	98,696	Geosciences	45-25162	Columbia University
47.050	I	N	4,629	Geosciences	8 GG002806	Columbia Univ
47.050	I	N	19,034	Geosciences	80-25	IRIS
47.050	I	N	20,860	Geosciences	A100983	Woods Hole Oceanographic Institution
47.050	I	N	11,085	Geosciences	PO: 10307099	Univ of Cal-San Diego
47.050	I	N	1,258	Geosciences	RR100-508/35065	Univ of Georgia
47.050	I	N	31,968	Geosciences	Y82253	Univ Southern California
47.050	I	N	18,690	Geosciences	Y83238	Univ Southern California
47.070	D	N	8,219,236	Computer and Information Science and Engineering		
47.070	D	Y	246,723	ARRA - Computer and Information Science and Engineering		
47.070	I	N	35,237	Computer and Information Science and Engineering	00008062	Univ of Cal-Berkeley
47.070	I	N	565,000	Computer and Information Science and Engineering	1872/PO# 950001	Raytheon
47.070	I	N	7,568	Computer and Information Science and Engineering	9500011110/ 188	Raytheon
47.070	I	N	120,412	Computer and Information Science and Engineering	PO#9500011110/1	Raytheon

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47.074	D	N	14,519,567	Biological Sciences		
47.074	I	N	15	Biological Sciences	05-SC-NSF-1041	Duke University
47.074	I	N	12,887	Biological Sciences	09-072	Univ of Alabama-Tusc
47.074	I	N	683	Biological Sciences	09-NSF-1035	Duke University
47.074	I	N	7,548	Biological Sciences	09-NSF-1037	Duke University
47.074	I	N	-417	Biological Sciences	10-001	Wake Forest University
47.074	I	N	186,645	Biological Sciences	10-NSF-1060	Duke University
47.074	I	N	256,910	Biological Sciences	10-NSF-1061	Duke University
47.074	I	N	116,178	Biological Sciences	12-NSF-1081	Duke University
47.074	I	N	3,844	Biological Sciences	12-NSF-1082	Duke University
47.074	I	N	97,830	Biological Sciences	27169	Univ of Delaware
47.074	I	N	29,497	Biological Sciences	27184	University of Delaware
47.074	I	N	46,981	Biological Sciences	3161/200201411	Inst Ecosystem Studi
47.074	I	N	174,386	Biological Sciences	4101-25830 / 08224	Purdue University
47.074	I	N	388	Biological Sciences	478778-19467	Virginia Polytech In
47.074	I	N	3,457	Biological Sciences	51200-8396	Cornell University
47.074	I	N	533,594	Biological Sciences	61-2075NC	Michigan State University
47.074	I	N	99,966	Biological Sciences	61406-9504	Cornell University
47.074	I	N	9,215	Biological Sciences	717511-712683	Virginia Institute of Marine Science
47.074	I	N	14,577	Biological Sciences	721826	Univ of Washington-Seattle
47.074	I	N	47,406	Biological Sciences	RR272-210/46896	Univ of Georgia
47.074	I	N	35,089	Biological Sciences	RR272-210/4895116	Univ of Georgia
47.074	I	N	194	Biological Sciences	S-000208	Univ of Cal-Riverside
47.074	I	N	140,001	Biological Sciences	UTA10-000936	Univ of Texas
47.074	I	N	65,498	Biological Sciences	Y483309	University of Arizona
47.075	D	N	1,878,235	Social, Behavioral, and Economic Sciences		
47.075	I	N	62,215	Social, Behavioral, and Economic Sciences	10321092-SUB	Univ of California
47.075	I	N	8,669	Social, Behavioral, and Economic Sciences	11-51	Suny-Research Foundation
47.075	I	N	7,997	Social, Behavioral, and Economic Sciences	4532-NCATSU-NSF-5327	Pennsylvania State University
47.075	I	N	-6,699	Social, Behavioral, and Economic Sciences	RR335-044/38421	Univ of Georgia
47.076	D	N	10,307,929	Education and Human Resources		
47.076	I	N	2,835	Education and Human Resources	00006843	Univ of Cal-Berkeley
47.076	I	N	13,066	Education and Human Resources	10055601	Utah State University
47.076	I	N	76,295	Education and Human Resources	4101-39134	Purdue University
47.076	I	N	13,591	Education and Human Resources	4554-UNCW-NSF-4670	Pennsylvania State University
47.076	I	N	250,102	Education and Human Resources	478639-19071	Virginia Polytech Inst.
47.076	I	N	300	Education and Human Resources	930243	Prairie View A&M University
47.076	I	N	22,356	Education and Human Resources	DUE0525433	University of New Hampshire
47.076	I	N	87,730	Education and Human Resources	N/A	Carleton College
47.076	I	N	4,763	Education and Human Resources	PRIME: DUE-1022844	Carleton College
47.076	I	N	17,293	Education and Human Resources	SUBC-2685	Florida A & M Univ
47.078	D	N	569,573	Polar Programs		
47.078	I	N	560,187	Polar Programs	ANT-0424589	University of Kansas
47.078	I	N	12,579	Polar Programs	FP32463	University of Alaska Fairbanks
47.079	D	N	155,432	Office of International and Integrative Activities		
47.079	I	N	10,822	Office of International and Integrative Activities	2009-1160	Catawba-wateree Water Mgmt
47.079	I	N	99,609	Office of International and Integrative Activities	3000835643	Univ of Michigan
47.079	I	N	128,335	Office of International and Integrative Activities	B10537	Rensselaer Polytechnic Inst.
47.080	D	N	4,759,969	Office of Cyberinfrastructure		
47.080	I	N	29,612	Office of Cyberinfrastructure	063024-871T	Univ of New Mexico

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47.080	I	N	41,896	Office of Cyberinfrastructure	10778	Univ of Arizona
47.080	I	N	-17,326	Office of Cyberinfrastructure	10779	Univ of Arizona
47.080	I	N	52,727	Office of Cyberinfrastructure	180K121	Univ Wisconsin
47.081	D	Y	504,384	ARRA - Office of Experimental Program to Stimulate Competitive Research		
47.082	D	Y	6,515,780	ARRA - Trans-NSF Recovery Act Research Support		
47.082	I	Y	40,649	ARRA - Trans-NSF Recovery Act Research Support	3048106073-10-3	Univ of Kentucky
47.082	I	Y	90,423	ARRA - Trans-NSF Recovery Act Research Support	60443	Louisiana State Univ
47.082	I	Y	29,713	ARRA - Trans-NSF Recovery Act Research Support	DEB-0842364	University of Oklahoma
47.082	I	Y	2,086	ARRA - Trans-NSF Recovery Act Research Support	N/A	Global Envir Network I
47.082	I	Y	45,130	ARRA - Trans-NSF Recovery Act Research Support	PO#9500010119	Global Envir Network I
47.082	I	Y	45,555	ARRA - Trans-NSF Recovery Act Research Support	RES504243	Case Western Reserve
			96,184,610	Total — Research and Development — National Science Foundation		
<b><u>U. S. Department of Veterans Affairs</u></b>						
64	D	N	183,194	U. S. Department of Veterans Affairs		
			183,194	Total — Research and Development — U. S. Department of Veterans Affairs		
<b><u>U. S. Environmental Protection Agency</u></b>						
66	D	N	4,213,131	U. S. Environmental Protection Agency		
66	I	N	6,825	U. S. Environmental Protection Agency	4019	AWWA Research Found.
66	I	N	26,681	U. S. Environmental Protection Agency	4930-RFA11-2/13	Health Effects Inst
66	I	N	-1,984	U. S. Environmental Protection Agency	910207	Sonoma Technology
66	I	N	3,615	U. S. Environmental Protection Agency	910302	Sonoma Technology
66	I	N	4,643	U. S. Environmental Protection Agency	FY2012-001	Mid-Atlantic Reg Air
66	I	N	3,185	U. S. Environmental Protection Agency	N/A	Health Effects Inst
66.001	D	N	2,217,124	Air Pollution Control Program Support		
66.202	D	N	13,214	Congressionally Mandated Projects		
66.202	I	N	206,882	Congressionally Mandated Projects	04366	Water Research Fdn
66.202	I	N	21	Congressionally Mandated Projects	1547014 (PO# 00000	Univ of Colorado-Boulder
66.202	I	N	16,376	Congressionally Mandated Projects	EPA83438801	Research, Inc
66.203	D	N	193,734	Environmental Finance Center Grants		
66.419	D	N	7,189,002	Water Pollution Control State, Interstate, and Tribal Program Support		
66.424	I	N	301,551	Surveys, Studies, Investigations, Demonstrations, and Training Grants-Section 1442 of the Safe Drinking Water Act	N/A	Newmexico Mining Tec
66.436	D	N	26,394	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements-Section 104(b)(3) of the Clean Water Act		
66.461	D	N	642,334	Regional Wetland Program Development Grants		
66.461	I	N	3,303	Regional Wetland Program Development Grants	429-20-06	Iowa State University
66.469	I	N	37,825	Great Lakes Program	2012-06075-05 / A0	Univ of Illinois
66.500	D	N	-59,473	Environmental Protection-Consolidated Research		
66.500	I	N	226,578	Environmental Protection-Consolidated Research	4902-RFA10-1/11	Health Effects Inst
66.509	D	N	1,664,289	Science To Achieve Results (STAR) Research Program		
66.509	I	N	7,672	Science To Achieve Results (STAR) Research Program	5710003200	Mass. Inst. of Tech.
66.511	D	N	1,334,932	Office of Research and Development Consolidated Research/Training/Fellowships		
66.514	D	N	81,267	Science To Achieve Results (STAR) Fellowship Program		
66.516	D	N	61,225	P3 Award: National Student Design Competition for Sustainability		
66.517	I	N	21,797	Regional Applied Research Efforts (RARE)	Z982801	Univ MD College Park
66.611	D	N	25,222	Environmental Policy and Innovation Grants		

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66.708	D	N	96,943	Pollution Prevention Grants Program		
66.714	D	N	4,528	Regional Agricultural IPM Grants		
66.809	D	N	294,979	Superfund State and Indian Tribe Core Program Cooperative Agreements		
			<u>18,863,815</u>	Total — Research and Development — U. S. Environmental Protection Agency		
<b><u>U. S. Nuclear Regulatory Commission</u></b>						
77	D	N	171,292	Nuclear Regulatory Commission		
77	I	N	33,899	Nuclear Regulatory Commission	342-0059	Duke University
77.007	D	N	31,616	U. S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP)		
77.008	D	N	74,728	U. S. Nuclear Regulatory Commission Scholarship and Fellowship Program		
			<u>311,535</u>	Total — Research and Development — Nuclear Regulatory Commission		
<b><u>U. S. Department of Energy</u></b>						
81	D	N	582,324	U. S. Department of Energy		
81	I	N	41,504	U. S. Department of Energy	0000028087	Savannah River Nuclea
81	I	N	48,314	U. S. Department of Energy	0000094000	Savannah River Nuclea
81	I	N	58,294	U. S. Department of Energy	00042959 #00001	Battelle Energy Alliance, LLC
81	I	N	35,894	U. S. Department of Energy	00042959 #00001 AC	Battelle Energy Alliance, LLC
81	I	N	46,371	U. S. Department of Energy	00042959 #00001 IN	Battelle Energy Alliance, LLC
81	I	N	1,221	U. S. Department of Energy	00042959 #12	Battelle Energy Alliance, LLC
81	I	N	328,634	U. S. Department of Energy	00042959 #21	Battelle Energy Alliance, LLC
81	I	N	203,090	U. S. Department of Energy	00042959 #23	Battelle Energy Alliance, LLC
81	I	N	105,909	U. S. Department of Energy	00042959 #26	Battelle Energy Alliance, LLC
81	I	N	241,903	U. S. Department of Energy	00042959 #27	Battelle Energy Alliance, LLC
81	I	N	68,820	U. S. Department of Energy	00042959 #28	Battelle Energy Alliance, LLC
81	I	N	99,972	U. S. Department of Energy	00042959 #29	Battelle Energy Alliance, LLC
81	I	N	131,315	U. S. Department of Energy	00042959 #30	Battelle Energy Alliance, LLC
81	I	N	64,090	U. S. Department of Energy	00042959 #31	Battelle Energy Alliance, LLC
81	I	N	170,268	U. S. Department of Energy	00042959 #35	Battelle Energy Alliance, LLC
81	I	N	92,674	U. S. Department of Energy	00042959 #45	Battelle Energy Alliance, LLC
81	I	N	288,236	U. S. Department of Energy	00042959 40	Battelle Energy Alliance, LLC
81	I	N	10,846	U. S. Department of Energy	00042959 42	Battelle Energy Alliance, LLC
81	I	N	45,083	U. S. Department of Energy	00042959 RELEASE N	Battelle Energy Alliance, LLC
81	I	N	80,322	U. S. Department of Energy	00042959-00032	Idaho National Laboratory
81	I	N	61,900	U. S. Department of Energy	00042959-00048	Battelle Energy Alliance, LLC
81	I	N	37,685	U. S. Department of Energy	00042959-00049	Battelle Energy Alliance, LLC
81	I	N	64,204	U. S. Department of Energy	00042959-00050	Battelle Energy Alliance, LLC
81	I	N	15,337	U. S. Department of Energy	00042959-00052	Battelle Energy Alliance, LLC
81	I	N	111,485	U. S. Department of Energy	00042959-47	Battelle Energy Alliance, LLC
81	I	N	149,504	U. S. Department of Energy	00119867	Battelle
81	I	N	78,258	U. S. Department of Energy	00126482	Battelle Energy Alli
81	I	N	132,967	U. S. Department of Energy	00127981	Battelle Energy Alliance, LLC
81	I	N	32,128	U. S. Department of Energy	1198788	Sandia National Lab
81	I	N	41,076	U. S. Department of Energy	1213550	Sandia National Lab
81	I	N	24,411	U. S. Department of Energy	130740-1	Los Alamos Nat'l Lab
81	I	N	26,081	U. S. Department of Energy	172092-1	Los Alamos Nat'l Lab
81	I	N	136,617	U. S. Department of Energy	256K222	Univ of Wisconsin
81	I	N	36,090	U. S. Department of Energy	3F-30721	Argonne Nat'L Labs
81	I	N	63,583	U. S. Department of Energy	4000028105	UT Battelle LLC
81	I	N	78,202	U. S. Department of Energy	4000040729	UT Battelle LLC

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81	I	N	43,246	U. S. Department of Energy	4000056870	UT Battelle LLC
81	I	N	68,738	U. S. Department of Energy	4000058620	UT Battelle LLC
81	I	N	12,384	U. S. Department of Energy	4000059924	UT Battelle LLC
81	I	N	76,668	U. S. Department of Energy	4000061675	UT Battelle LLC
81	I	N	83,936	U. S. Department of Energy	4000063401	UT Battelle LLC
81	I	N	9,258	U. S. Department of Energy	4000063955	UT Battelle LLC
81	I	N	178,317	U. S. Department of Energy	4000089282	UT Battelle LLC
81	I	N	-1,544	U. S. Department of Energy	4000089381	UT-Battelle/Ornl
81	I	N	556,115	U. S. Department of Energy	4000096612	UT-Battelle/Ornl
81	I	N	54,346	U. S. Department of Energy	4000097399	UT-Battelle/Ornl
81	I	N	45,714	U. S. Department of Energy	4000099327	UT Battelle LLC
81	I	N	80,449	U. S. Department of Energy	4000110308	UT Battelle LLC
81	I	N	7,401	U. S. Department of Energy	4000112247	Oak Ridge National Lab
81	I	N	63,055	U. S. Department of Energy	4000114671	Oak Ridge National Lab
81	I	N	82,940	U. S. Department of Energy	4000114809	UT Battelle LLC
81	I	N	46,642	U. S. Department of Energy	4000119694	UT Battelle LLC
81	I	N	17,832	U. S. Department of Energy	4000120069	UT Battelle LLC
81	I	N	218,551	U. S. Department of Energy	4000120148	UT-Battelle/Ornl
81	I	N	10,968	U. S. Department of Energy	4000121049	UT Battelle LLC
81	I	N	27,851	U. S. Department of Energy	4000121986	Oak Ridge National Lab
81	I	N	22,181	U. S. Department of Energy	400119532	GE Global Research
81	I	N	45,697	U. S. Department of Energy	4200000036-4000120	UT Battelle LLC
81	I	N	25,192	U. S. Department of Energy	42959 #38	Battelle Energy Alliance, LLC
81	I	N	15,621	U. S. Department of Energy	42959-37	Battelle Energy Alliance, LLC
81	I	N	31,180	U. S. Department of Energy	456470	Duke Energy
81	I	N	137,455	U. S. Department of Energy	68393-02	Battelle Energy Alliance, LLC
81	I	N	4,973	U. S. Department of Energy	68393-03	Idaho National Laboratory
81	I	N	112,042	U. S. Department of Energy	6879279	L. Berkeley Nat'l Lab
81	I	N	22,198	U. S. Department of Energy	81279-001-10	Los Alamos Nat'l Lab
81	I	N	58,524	U. S. Department of Energy	85000-001-10	Los Alamos Nat'l Lab
81	I	N	38,783	U. S. Department of Energy	86665-002-10	Los Alamos Nat'l Lab
81	I	N	3,692	U. S. Department of Energy	AC70110 O	Savannah River Nuclear Sol.
81	I	N	19	U. S. Department of Energy	AFT-1-11320-01	National Renewable Energy Lab
81	I	N	62,860	U. S. Department of Energy	B598487	U Cal Lawrence Liver
81	I	N	411,414	U. S. Department of Energy	N/A	Krell Institute
81	I	N	16,875	U. S. Department of Energy	PO# 5-17171	Oak Ridge Assoc Univ
81	I	N	65,900	U. S. Department of Energy	PO4500305158 BRIAN	First Energy Corp (Allegheny)
81	I	N	9,014	U. S. Department of Energy	XGB-1-11444-01	National Renewable Energy Lab.
81	I	Y	115,722	ARRA - U. S. Department of Energy	1-340-0212662	RTI International
81	I	Y	41,125	ARRA - U. S. Department of Energy	2010MCA-0410-08	Ctr Climate Strategi
81	I	Y	30,482	ARRA - U. S. Department of Energy	2012-0511	Grid Logic, Inc.
81	I	Y	3,900	ARRA - U. S. Department of Energy	40640	Florida Solar Energy Center
81	I	Y	117,473	ARRA - U. S. Department of Energy	DE-EE-0002628	Progress Energy Carolinas
81	I	Y	483	ARRA - U. S. Department of Energy	N/A	Town of Cary
81.049	D	N	8,225,354	Office of Science Financial Assistance Program		
81.049	D	Y	3,859,414	ARRA - Office of Science Financial Assistance Program		
81.049	I	N	102,466	Office of Science Financial Assistance Program	#4030 P.O. NO: 104	Supercon Inc.
81.049	I	N	-20	Office of Science Financial Assistance Program	10-NICCR-1108	Duke University
81.049	I	N	123,958	Office of Science Financial Assistance Program	12-DOE-1077	Duke University
81.049	I	N	32,648	Office of Science Financial Assistance Program	2010-0054	Muons, Incorporated

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81.049	I	N	558	Office of Science Financial Assistance Program	2010-0453 PO NO.	Supercon Inc.
81.049	I	N	103,020	Office of Science Financial Assistance Program	2010-1026	Calabazas Creek Research, Inc.
81.049	I	N	221,742	Office of Science Financial Assistance Program	2012-0171	Ngimat Co.
81.049	I	N	119,204	Office of Science Financial Assistance Program	2013-0134	Muons, Incorporated
81.049	I	N	5,091	Office of Science Financial Assistance Program	2013-1608	Ngimat Co.
81.049	I	N	49,015	Office of Science Financial Assistance Program	5-000521	Univ of Calif-Riverside
81.049	I	N	130,325	Office of Science Financial Assistance Program	B6681	Texas A&M Univ
81.049	I	N	224,238	Office of Science Financial Assistance Program	GQ10044-133949	Univ of Virginia
81.049	I	N	51,659	Office of Science Financial Assistance Program	PO: 0000141077	Univ of Utah
81.049	I	N	474,227	Office of Science Financial Assistance Program	WU-HT-10-09 PO: 29	Washington University-St Louis
81.049	I	Y	610,350	ARRA - Office of Science Financial Assistance Program	4005-NCSU-DOE-1090	Pennsylvania State Univ
81.086	D	N	20,699	Conservation Research and Development		
81.086	I	N	131,611	Conservation Research and Development	400119429	GE Global Research
81.087	D	N	2,544,106	Renewable Energy Research and Development		
81.087	I	N	268	Renewable Energy Research and Development	21E008-03	Texas Tech University
81.087	I	N	4,032	Renewable Energy Research and Development	3TG153	South Dakota State Univ
81.087	I	N	29,221	Renewable Energy Research and Development	DE-EE0004420.001	AWS Truepower (AWST)
81.087	I	N	17,209	Renewable Energy Research and Development	DOE-SPEC-FE11-012	Biodiversity Research Inst.
81.087	I	N	2,639	Renewable Energy Research and Development	F-2013-31	Univ of Toledo Toled
81.087	I	N	48,011	Renewable Energy Research and Development	GO12026-330	Consortium For Plant Biotech
81.087	I	N	73,045	Renewable Energy Research and Development	GO12026-331	Consortium For Plant Biotech
81.089	D	N	29,018	Fossil Energy Research and Development		
81.089	I	N	26,156	Fossil Energy Research and Development	S388	Gas Technology Institute
81.112	D	N	237,106	Stewardship Science Grant Program		
81.113	D	N	506,541	Defense Nuclear Nonproliferation Research		
81.117	D	N	136,644	Energy Efficiency and Renewable Energy Information Dissemination, Outreach Training and Technical Analysis/Assistance		
81.121	D	N	535,147	Nuclear Energy Research, Development and Demonstration		
81.121	I	N	155,482	Nuclear Energy Research, Development and Demonstration	00042959 #24	Battelle Energy Alliance, LLC
81.121	I	N	10,453	Nuclear Energy Research, Development and Demonstration	00042959 RELEASE #	Idaho National Laboratory
81.121	I	N	780	Nuclear Energy Research, Development and Demonstration	OR10531-001.01	Univ of Tennessee
81.123	D	N	227,894	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program		
81.123	I	N	17,372	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program	HU-130002	Hampton University
81.135	D	N	2,002,078	Advanced Research and Projects Agency - Energy Financial Assistance Program		
81.135	D	Y	842,553	ARRA - Advanced Research and Projects Agency - Energy Financial Assistance Program		
81.135	I	N	73,714	Advanced Research and Projects Agency - Energy Financial Assistance Program	12-910	Arizona State Univ
81.135	I	N	2,672	Advanced Research and Projects Agency - Energy Financial Assistance Program	2013-0069	Grid Logic, Inc.
81.135	I	N	57,977	Advanced Research and Projects Agency - Energy Financial Assistance Program	2013-0070	Tai-yang Research Co.
81.135	I	N	35,276	Advanced Research and Projects Agency - Energy Financial Assistance Program	DE-AR0000327	Bio2electric, LLC
81.135	I	N	70,044	Advanced Research and Projects Agency - Energy Financial Assistance Program	N/A	Hexa Tech, Inc
81.135	I	Y	110,087	ARRA - Advanced Research and Projects Agency - Energy Financial Assistance Program	0130 G QA717	U Cal Los Angeles
81.135	I	Y	1,104	ARRA - Advanced Research and Projects Agency - Energy Financial Assistance Program	10-359	Arizona State Univ
81.135	I	Y	372,207	ARRA - Advanced Research and Projects Agency - Energy Financial Assistance Program	11-001 / DE-FOA-00	Cree, Inc.
			<u>29,564,679</u>	Total — Research and Development — U. S. Department of Energy		



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<b><u>U. S. Department of Education</u></b>						
84	D	N	15,917,844	U. S. Department of Education		
84	I	N	20,484	U. S. Department of Education	12/13-006	Nc Partnership Child
84	I	N	22,106	U. S. Department of Education	LPO-02013 L6043 T	American Inst. For Research
84	I	N	187,626	U. S. Department of Education	LPO-02013 L6043 TA	American Inst. For Research
84	I	N	22,809	U. S. Department of Education	R01615	Florida Sta
84.015	D	N	1,909,215	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program		
84.015	I	N	-12,338	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	05-SC-DOE-1027	Duke University
84.015	I	N	-1,674	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	07-SC-DED-1046	Duke University
84.015	I	N	-171	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	08-DED-1080	Duke University
84.015	I	N	98,428	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	11-DED-1019	Duke University
84.015	I	N	31,664	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	12-FLAS-1006	Duke University
84.015	I	N	278,414	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	12-FLAS-1103	Duke Univ Med Ctr
84.022	D	N	82,677	Overseas Programs-Doctoral Dissertation Research Abroad		
84.116	D	N	78,582	Fund for the Improvement of Postsecondary Education		
84.129	D	N	97,178	Rehabilitation Long-Term Training		
84.133	D	N	276,709	National Institute on Disability and Rehabilitation Research		
84.133	I	N	7,425	National Institute on Disability and Rehabilitation Research	000500057-003	Univ of Alabama-Birm
84.153	D	N	-2,787	Business and International Education Projects		
84.170	D	N	442,087	Javits Fellowships		
84.200	D	N	227,237	Graduate Assistance in Areas of National Need		
84.220	D	N	151,268	Centers for International Business Education		
84.234	I	N	21,712	Projects with Industry	RR242-421/4785206	University of Georgia
84.264	I	N	-8,016	Rehabilitation Training-Continuing Education	23482-02063-SQ3	Syracuse Univ
84.283	I	N	2,277	Comprehensive Centers	N/A	Education Northwest
84.305	D	N	2,280,257	Education Research, Development and Dissemination		
84.305	I	N	39,316	Education Research, Development and Dissemination	12-ED-1094	Duke University
84.305	I	N	49,113	Education Research, Development and Dissemination	12-ED-1095	Duke University
84.305	I	N	250,193	Education Research, Development and Dissemination	21372-S4	Vanderbilt UnivMed C
84.305	I	N	84,474	Education Research, Development and Dissemination	4475-UNC-USDE-0	Penn State Univ
84.305	I	N	259,325	Education Research, Development and Dissemination	GM10087-126361	Univ of Virginia
84.305	I	N	397,185	Education Research, Development and Dissemination	PT108483-SC1045	VA Commonwealth Univ
84.323	I	N	21,562	Special Education-State Personnel Development	2011-00204/0037	Minnesota Dept Educ
84.324	D	N	3,613,763	Research in Special Education		
84.324	I	N	17,530	Research in Special Education	224061B	Univ of Oregon
84.324	I	N	172,541	Research in Special Education	BL-4240321-UNC	Indiana Univ

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84.325	D	N	2,358,163	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities		
84.325	I	N	15,453	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities	F-2012-115	Univ of Toledo Toled
84.325	I	N	32,356	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities	N/A	Norhthampt Comm Coll
84.326	D	N	4,078,053	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities		
84.326	I	N	53,065	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	223791B	Univ of Oregon
84.326	I	N	296,876	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	51-001050	SRI International
84.326	I	N	27,268	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	5830-1251-00-E	Univ of So Florida
84.326	I	N	64,448	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	AUM-SA-001-2011	Auburn Univ
84.326	I	N	191,455	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	UKRF 3048106788	Univ of Kentucky
84.327	D	N	292,590	Special Education-Technology and Media Services for Individuals with Disabilities		
84.365	D	N	279,089	English Language Acquisition State Grants		
84.366	I	N	29,602	Mathematics and Science Partnerships	Partner IHE MSP (CE-STEM)	Onslow County Schools
84.373	I	N	303,970	Special Education-Technical Assistance on State Data Collection	51-001279	SRI International
84.373	I	N	379,796	Special Education-Technical Assistance on State Data Collection	FY2011-094	Univ of Kansas
84.378	I	N	149,618	College Access Challenge Grant Program	08538	Texa High Ed Coor Bd
84.381	D	N	156,021	Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages		
84.382	D	N	621,751	Strengthening Minority-Serving Institutions		
84.396	I	Y	314,524	ARRA - State Fiscal Stabilization Fund (SFSF)-Investing in Innovation (i3) Fund, Recovery Act	60033310	Ohio State University(OSU)
84.412	I	N	14,531	Race to the Top - Early Learning Challenge	C13-215/0000183	Delaware Early Learn
			<u>36,694,644</u>	Total — Research and Development — U. S. Department of Education		
<b><u>Delta Regional Authority</u></b>						
90	I	N	26,587	Other Boards and Commissions	NANOMEMS-NSF-IIP-1248165	NanoMems Research, LLC
			<u>26,587</u>	Total — Research and Development — Delta Regional Authority		
<b><u>U. S. Department of Health and Human Services</u></b>						
93	D	N	20,929,516	U. S. Department of Health and Human Services		
93	D	Y	3,033,506	ARRA - U. S. Department of Health and Human Services		
93	I	N	79,682	U. S. Department of Health and Human Services	#547662 DR APPERSO	Tulane University
93	I	N	17,842	U. S. Department of Health and Human Services	#547663 DR SCHAL	Tulane University
93	I	N	27,946	U. S. Department of Health and Human Services	0000680541	Fred Hutchinsn Can
93	I	N	441,380	U. S. Department of Health and Human Services	0000724840	Fred Hutchinsn Can
93	I	N	65,585	U. S. Department of Health and Human Services	0028	Natl Enviro Hlt Asso
93	I	N	436,385	U. S. Department of Health and Human Services	00663-2935.001	Amer Inst For Rsch
93	I	N	13,166	U. S. Department of Health and Human Services	0080.0059/814	Family Health Intl
93	I	N	14,814	U. S. Department of Health and Human Services	0080.0144/955	Family Health Intl
93	I	N	10,441	U. S. Department of Health and Human Services	0080.0145/956	Family Health Intl
93	I	N	66,493	U. S. Department of Health and Human Services	0080.0168/966	Family Health Intl
93	I	N	43,465	U. S. Department of Health and Human Services	0080.0185/983	Family Health Intl
93	I	N	30,829	U. S. Department of Health and Human Services	01025813/5P01HL	Beth Israel Deacon

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93	I	N	45,134	U. S. Department of Health and Human Services	020808-406807-3	Univ of Pit
93	I	N	105,287	U. S. Department of Health and Human Services	0258-3961	Mt Sinai Sch of Med
93	I	N	8,637	U. S. Department of Health and Human Services	06861S01686	Mathematica Pol Res
93	I	N	5,534	U. S. Department of Health and Human Services	08201.100.001	JBS International
93	I	N	61,385	U. S. Department of Health and Human Services	08800-036-00-FP	Urban Institute
93	I	N	-1,580	U. S. Department of Health and Human Services	1-312-0207901	RTI
93	I	N	21,178	U. S. Department of Health and Human Services	1-312-0210837	RTI
93	I	N	158,895	U. S. Department of Health and Human Services	1-312-0213462	RTI Rsch Triangle In
93	I	N	13,156	U. S. Department of Health and Human Services	1-312-0213517	RTI International
93	I	N	143,989	U. S. Department of Health and Human Services	1-N01-CN43302-WA-2	Cornell University
93	I	N	371	U. S. Department of Health and Human Services	10-070/00000005	Univ Texas-Galveston
93	I	N	165	U. S. Department of Health and Human Services	10-14025-05-06-	H Lee Moffitt Cancer
93	I	N	5,458	U. S. Department of Health and Human Services	10-16385-99-01-	H Lee moffitt Cancer
93	I	N	33,391	U. S. Department of Health and Human Services	10-312-0212746	RTI
93	I	N	132,731	U. S. Department of Health and Human Services	10.10.90038	Hebrew Rehab Ctr
93	I	N	63,765	U. S. Department of Health and Human Services	107741	Univ Calif-San Franc
93	I	N	31,272	U. S. Department of Health and Human Services	108311	Univ Calif San Frans
93	I	N	117,482	U. S. Department of Health and Human Services	108861	Univ Calif San Frans
93	I	N	-13	U. S. Department of Health and Human Services	125466	Duke Univ Med Ctr
93	I	N	34,931	U. S. Department of Health and Human Services	129578/203-1116	Duke University
93	I	N	49,112	U. S. Department of Health and Human Services	12XS457	Saic-Frederick Inc
93	I	N	212,366	U. S. Department of Health and Human Services	17654	Natl Chldhd Cnrc Fdn
93	I	N	55,557	U. S. Department of Health and Human Services	178859	Duke Clinical Rsch
93	I	N	206,419	U. S. Department of Health and Human Services	19836-S1	Vanderbilt Univ Med C
93	I	N	205,762	U. S. Department of Health and Human Services	2-312-0213462	RTI Rsch Triangle In
93	I	N	102,667	U. S. Department of Health and Human Services	2011120781	Group Hlth Rsc Inst
93	I	N	14,857	U. S. Department of Health and Human Services	2012-03-0327-01	Asso Matern Chld Hlt
93	I	N	26,028	U. S. Department of Health and Human Services	2012-0518	Nanovector, Inc.
93	I	N	18,579	U. S. Department of Health and Human Services	2012023582/HHSN	Wake Forest Univ
93	I	N	11,239	U. S. Department of Health and Human Services	2012026532/HHSN	Wake Forest Univ
93	I	N	10,916	U. S. Department of Health and Human Services	2013028376	Wake Forest Univ
93	I	N	80,386	U. S. Department of Health and Human Services	213	Family Health Intl
93	I	N	23,947	U. S. Department of Health and Human Services	220187	Mass Gen Hosp
93	I	N	159,590	U. S. Department of Health and Human Services	24982	ABT Assoc Inc
93	I	N	23	U. S. Department of Health and Human Services	24986	ABT Assoc Inc
93	I	N	15,544	U. S. Department of Health and Human Services	25051-08-381	La Jolla Ins Allergy
93	I	N	296,785	U. S. Department of Health and Human Services	29XS126/A59101	Saic-Frederick Inc
93	I	N	380,676	U. S. Department of Health and Human Services	29XS126/A62138	Saic-Frederick Inc
93	I	N	100,439	U. S. Department of Health and Human Services	3-N01-HC-95170	Jackson State Univ
93	I	N	53,236	U. S. Department of Health and Human Services	3002-001	Carter Consulting
93	I	N	15,022	U. S. Department of Health and Human Services	3002-082	Carter Consulting
93	I	N	111,193	U. S. Department of Health and Human Services	30305	Wake Forest Univ
93	I	N	-396	U. S. Department of Health and Human Services	3048104037-09-1	Univ of Kentucky
93	I	N	1,436	U. S. Department of Health and Human Services	31184 WHIMS MRI	Wake Forest Univ
93	I	N	24,301	U. S. Department of Health and Human Services	33001	Wake Forest Univ
93	I	N	6,662	U. S. Department of Health and Human Services	383-4542	Duke University
93	I	N	35,973	U. S. Department of Health and Human Services	40-4113/UNC-3	Nat Bureau Econ Res
93	I	N	4,997	U. S. Department of Health and Human Services	40142S03133	Mathematica Pol Res
93	I	N	-5,202	U. S. Department of Health and Human Services	413390300101.07	Chldrns Hosp Reg Med
93	I	N	-5,703	U. S. Department of Health and Human Services	4216	Am Coll Radiol. Imag

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93	I	N	5,812	U. S. Department of Health and Human Services	431630-19467	VA Polytechnic Inst
93	I	N	160,489	U. S. Department of Health and Human Services	451	Assc Univ Ctr Disab
93	I	N	-2,930	U. S. Department of Health and Human Services	4549	Mcking Consult Corp
93	I	N	2,214	U. S. Department of Health and Human Services	5-P01-HL087203-	Beth Israel Deacon
93	I	N	-97	U. S. Department of Health and Human Services	5215810-5500000	Broad Institute
93	I	N	491,170	U. S. Department of Health and Human Services	576652	Univ of Wash-Seattle
93	I	N	-780	U. S. Department of Health and Human Services	66242L/ PO M117	Univ of Miami
93	I	N	25	U. S. Department of Health and Human Services	6666	Amer Coll Radiology
93	I	N	19,205	U. S. Department of Health and Human Services	6671S00150	Mathematica Pol Res
93	I	N	5,304	U. S. Department of Health and Human Services	79184CBS36	Booz Allen&Hamilton
93	I	N	4,331	U. S. Department of Health and Human Services	79184CBS36/TO2:	Booz Allen&Hamilton
93	I	N	41,716	U. S. Department of Health and Human Services	8-49462	Mcmaster University
93	I	N	231,193	U. S. Department of Health and Human Services	80391	Hlth Res And Educ Tr
93	I	N	7,530	U. S. Department of Health and Human Services	8412-77804-X	Johns Hopkins Univ
93	I	N	12,834	U. S. Department of Health and Human Services	8502-90010-6	Johns Hopkins Univ
93	I	N	185,766	U. S. Department of Health and Human Services	861/0080.0016	Family Health Intrnl
93	I	N	126,142	U. S. Department of Health and Human Services	888-11-16-04	RTI Rsch Triangle In
93	I	N	68,684	U. S. Department of Health and Human Services	888-11-16-04 1-	RTI Rsch Triangle In
93	I	N	16,698	U. S. Department of Health and Human Services	888-11-16-04/2-	RTI Rsch Triangle In
93	I	N	103,316	U. S. Department of Health and Human Services	89369 / HHSN275200	Res. Fdn. For Mental Hygiene
93	I	N	150,101	U. S. Department of Health and Human Services	8977-S-003	Westat
93	I	N	16,783	U. S. Department of Health and Human Services	972	Family Health Intrnl
93	I	N	115,377	U. S. Department of Health and Human Services	987.02935.003	Amer Inst For Rsch
93	I	N	49,269	U. S. Department of Health and Human Services	9920120010	Rand Corporation,Inc
93	I	N	523,253	U. S. Department of Health and Human Services	A56711/29XS126	Saic-Frederick Inc
93	I	N	1,883,519	U. S. Department of Health and Human Services	A56768/29XS126	Saic-Frederick Inc
93	I	N	33,994	U. S. Department of Health and Human Services	A115416	Univ Calif-San Franc
93	I	N	-91,080	U. S. Department of Health and Human Services	BRS-ACURE-Q-06-	Social & Scientific
93	I	N	-28,603	U. S. Department of Health and Human Services	BRS-IMPCT-Q-06-	Social & Scientific
93	I	N	52,099	U. S. Department of Health and Human Services	CA098543	Children's Hosp Phil
93	I	N	-17	U. S. Department of Health and Human Services	CA80098	Am Coll Radiol. Imag
93	I	N	4,958	U. S. Department of Health and Human Services	CRB-UCLA1-S-10-	Social & Scientific
93	I	N	1,561	U. S. Department of Health and Human Services	DC010668	Boston University
93	I	N	-138	U. S. Department of Health and Human Services	DS603/100528	Duke University
93	I	N	123	U. S. Department of Health and Human Services	FD003516	Boston University
93	I	N	12,598	U. S. Department of Health and Human Services	FP00013013-SUB3	Children's Hosp Phil
93	I	N	109,880	U. S. Department of Health and Human Services	FY12.219.003/2-	Univ of Colorado
93	I	N	34,181	U. S. Department of Health and Human Services	GLNE 010	Univ of Michigan
93	I	N	76,397	U. S. Department of Health and Human Services	GMO-010171	UT Southwest Med Ctr
93	I	N	1,153	U. S. Department of Health and Human Services	GS 23F-0024M	Hager Sharp Inc
93	I	N	6,062	U. S. Department of Health and Human Services	HD040492	Duke Univ Med Ctr
93	I	N	42	U. S. Department of Health and Human Services	HHSA29020060001	ABT Assoc Inc
93	I	N	23,282	U. S. Department of Health and Human Services	HHSD2002009F310	Scimetrika
93	I	N	25,524	U. S. Department of Health and Human Services	HHSN26120062200	H Lee Moffitt Cancer
93	I	N	85,447	U. S. Department of Health and Human Services	HHSN272201000053C	Duke University Medical Ctr
93	I	N	13,396	U. S. Department of Health and Human Services	HL27520	Duke University
93	I	N	461	U. S. Department of Health and Human Services	ITN10200-00SC	Univ Calif-San Franc
93	I	N	-5,852	U. S. Department of Health and Human Services	K000038-S01	Kitware Inc
93	I	N	-476	U. S. Department of Health and Human Services	K000038-S02	Kitware Inc
93	I	N	67,391	U. S. Department of Health and Human Services	LSBPO1229	Lifesource Biomedical

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93	I	N	42,114	U. S. Department of Health and Human Services	M60-NC-075-1101	Inst Clinical Rsch
93	I	N	1,973	U. S. Department of Health and Human Services	MH090833	Brain Plasticity
93	I	N	1,986,791	U. S. Department of Health and Human Services	N/A	Academyhealth
93	I	N	-722	U. S. Department of Health and Human Services	N01-WH-4-4221	Wake Forest Univ
93	I	N	-2,978	U. S. Department of Health and Human Services	N01-WH-4-4221/3	Wake Forest Univ Sch Med
93	I	N	19,445	U. S. Department of Health and Human Services	NS026835	Emmes Corporation
93	I	N	66	U. S. Department of Health and Human Services	PHR-NIDA4-S-10-	Social & Scientific
93	I	N	21,529	U. S. Department of Health and Human Services	PHR-SUPS2-S-11-	Social & Scientific
93	I	N	49,510	U. S. Department of Health and Human Services	PO #400031414	GE Global Research
93	I	N	65,004	U. S. Department of Health and Human Services	PO 1568 G NA643	Emmes Corporation
93	I	N	50,636	U. S. Department of Health and Human Services	PO# 7810-96242	Johns Hopkins Univ
93	I	N	-566	U. S. Department of Health and Human Services	PO#3415583/2057	Mass Gen Hosp
93	I	N	33	U. S. Department of Health and Human Services	PO#3416088/2057	Mass Gen Hosp
93	I	N	267,193	U. S. Department of Health and Human Services	PO#P0007321/N01	Jackson State Univ
93	I	N	1,366	U. S. Department of Health and Human Services	RD30000419	TKC Integration Serv
93	I	N	3,920	U. S. Department of Health and Human Services	S20110000017320	Univ Mass
93	I	N	4,476	U. S. Department of Health and Human Services	S683870	Emory University
93	I	N	208	U. S. Department of Health and Human Services	S722093	Emory University
93	I	N	5,996	U. S. Department of Health and Human Services	SR00002217	Univ MD-Baltimore
93	I	N	49,283	U. S. Department of Health and Human Services	TFED29-117	NSABP Fdn
93	I	N	57,267	U. S. Department of Health and Human Services	U01DK065176	Duke Univ Med Ctr
93	I	N	-3,029	U. S. Department of Health and Human Services	UAB-UNC WA 21	Univ of Alabama-Birm
93	I	N	126	U. S. Department of Health and Human Services	UAB-UNC WA 22	Univ of Alabama-Birm
93	I	N	100,856	U. S. Department of Health and Human Services	UNC 01/HHSN2712	Feinstein Inst Med R
93	I	N	112,395	U. S. Department of Health and Human Services	UNC-14005-002-0	Advan Bioscience Lab
93	I	N	23,606	U. S. Department of Health and Human Services	US001-000025616	Battelle
93	I	N	-2,061	U. S. Department of Health and Human Services	WU-10-184/PO291	Wash Univ-St Louis
93	I	N	325,278	U. S. Department of Health and Human Services	WU-12-19/291659	Wash Univ-St Louis
93	I	Y	37,817	ARRA - U. S. Department of Health and Human Services	106073/HHSA2902	Brigham Women's Hosp
93	I	Y	588	ARRA - U. S. Department of Health and Human Services	10ST1086	Saic-Frederick Inc
93	I	Y	494,285	ARRA - U. S. Department of Health and Human Services	5-312-0210837	RTI
93	I	Y	68	ARRA - U. S. Department of Health and Human Services	888-11-16-04/8-	RTI
93	I	Y	58,901	ARRA - U. S. Department of Health and Human Services	CRB-DCR01-S-09-	Social & Scientific
93	I	Y	-2,050	ARRA - U. S. Department of Health and Human Services	UNC 01/HHSN2712	Feinstein Inst Med R
93.043	I	N	117,004	Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services	M60-NC-075-1101	Inst Clinical Rsch
93.048	I	Y	2,427	ARRA - Special Programs for the Aging-Title IV-and Title II-Discretionary Projects	S120025	Texas A&M U
93.051	D	N	305,509	Alzheimer's Disease Demonstration Grants to States		
93.061	D	N	466,797	Innovations in Applied Public Health Research		
93.061	D	Y	5,594	ARRA - Innovations in Applied Public Health Research		
93.061	I	N	-1,114	Innovations in Applied Public Health Research	N/A	Affinergy Inc
93.067	D	N	7,173,691	Global AIDS		
93.067	I	N	2,895,655	Global AIDS	12-4218	Infect Diseas Zambia
93.067	I	N	781,318	Global AIDS	N/A	Univ Malawi Colle Med
93.069	D	N	390,726	Public Health Emergency Preparedness		
93.073	D	N	1,142,708	Birth Defects and Developmental Disabilities-Prevention and Surveillance		
93.077	D	N	288,359	Family Smoking Prevention and Tobacco Control Act Regulatory Research		
93.077	I	N	27,812	Family Smoking Prevention and Tobacco Control Act Regulatory Research	110464	Wake Forest Univ
93.088	D	N	158,786	Advancing System Improvements to Support Targets for Healthy People 2010		
93.103	D	N	592,121	Food and Drug Administration-Research		

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93.107	D	N	874,159	Area Health Education Centers Point of Service Maintenance and Enhancement Awards		
93.110	D	N	2,688,860	Maternal and Child Health Federal Consolidated Programs		
93.110	I	N	15,940	Maternal and Child Health Federal Consolidated Programs	4407-UNC-DHHS-1	Penn State Univ
93.110	I	N	108,650	Maternal and Child Health Federal Consolidated Programs	H30MC24046-01	Hemoph. of Georgia
93.113	D	N	10,856,694	Environmental Health		
93.113	I	N	34,763	Environmental Health	00000542	Brown Univ
93.113	I	N	-862	Environmental Health	000252739-008	Univ of Alabama-Birm
93.113	I	N	3,020	Environmental Health	10-NIH-1100	Duke University
93.113	I	N	84,263	Environmental Health	11-NIH-1002	Duke Univ Med Ctr
93.113	I	N	46,848	Environmental Health	2001627675	Johns Hopkins Univ
93.113	I	N	417,008	Environmental Health	2R01ES014065-06/NC	Mount Desert Island Bio Lab.
93.113	I	N	32,039	Environmental Health	415924-G/5-2886	Univ of Rochester
93.113	I	N	16,110	Environmental Health	H48496	Univ Southern Califo
93.113	I	N	1,435	Environmental Health	N/A	Biodeptronix LLC
93.113	I	N	46,948	Environmental Health	PO 753538/2331	Henry M Jackson Fdn
93.113	I	N	6,597	Environmental Health	PO#50018343	Univ Southern Califo
93.113	I	N	23,767	Environmental Health	SC6555950/02559	Mt Sinai Sch of Med
93.113	I	N	14,363	Environmental Health	UNC-1R43ES02063	Scikon Innovations
93.113	I	N	72,049	Environmental Health	UNCES019672	Penn State Univ
93.117	D	N	39,738	Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Coordinating Center for Integrative Medicine		
93.121	D	N	10,993,070	Oral Diseases and Disorders Research		
93.121	I	N	39,831	Oral Diseases and Disorders Research	000407304-001	Univ of Alabama
93.121	I	N	19,841	Oral Diseases and Disorders Research	018106-UNC2410	Forsyth Institute
93.121	I	N	-870	Oral Diseases and Disorders Research	1000020105/FY10	Univ of Colorado
93.121	I	N	-260	Oral Diseases and Disorders Research	10252SUB	Seattle Chld Hosp
93.121	I	N	3,516	Oral Diseases and Disorders Research	10416SUB	Seattle Chld Hosp
93.121	I	N	15,839	Oral Diseases and Disorders Research	10673SUB	Seattle Chld Hosp
93.121	I	N	16,331	Oral Diseases and Disorders Research	29070010-0003	Methodist Hosp Rsc I
93.121	I	N	9,560	Oral Diseases and Disorders Research	331651	Nova Southeast Univ
93.121	I	N	115,600	Oral Diseases and Disorders Research	549852	Univ of Pennsylvania
93.121	I	N	13,030	Oral Diseases and Disorders Research	85285270X110360	Healthpartners
93.121	I	N	52,268	Oral Diseases and Disorders Research	F6570-05	New York University
93.121	I	N	16,680	Oral Diseases and Disorders Research	F6934-02	New York University
93.121	I	N	135,676	Oral Diseases and Disorders Research	FY13.236.001	Univ of Colorado
93.121	I	N	72,590	Oral Diseases and Disorders Research	HS2356	Tufts University
93.121	I	N	120,843	Oral Diseases and Disorders Research	N/A	3DMD LLC
93.121	I	N	6,336	Oral Diseases and Disorders Research	OGMB101066 UNC	Univ of Louisville
93.121	I	N	15,631	Oral Diseases and Disorders Research	R01DE018184	Beth Israel Deacon
93.127	D	N	270,225	Emergency Medical Services for Children		
93.135	D	N	3,494,992	Centers for Research and Demonstration for Health Promotion and Disease Prevention		
93.135	I	N	-169	Centers for Research and Demonstration for Health Promotion and Disease Prevention	5-899909/PO 415	Univ of Rochester
93.135	I	N	131,116	Centers for Research and Demonstration for Health Promotion and Disease Prevention	99-S132303	Texas A&M U
93.136	D	N	2,892,841	Injury Prevention and Control Research and State and Community Based Programs		
93.136	I	N	54,476	Injury Prevention and Control Research and State and Community Based Programs	0661	Pacific Inst Resch
93.143	D	N	2,957,386	NIEHS Superfund Hazardous Substances-Basic Research and Education		
93.145	I	N	155,090	AIDS Education and Training Centers	S847086	Emory University

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93.145	I	N	19,538	AIDS Education and Training Centers	S847121	Emory University
93.145	I	N	5,312	AIDS Education and Training Centers	S922944	Emory University
93.153	I	N	10,292	Coordinated Services and Access to Research for Women, Infants, Children, and Youth	203-1658	Duke University
93.153	I	N	59,832	Coordinated Services and Access to Research for Women, Infants, Children, and Youth	309-0025	Duke Univ Med Ctr
93.153	I	N	50,144	Coordinated Services and Access to Research for Women, Infants, Children, and Youth	309-0026	Duke Univ Med Ctr
93.155	D	N	1,077,512	Rural Health Research Centers		
93.155	I	N	65,604	Rural Health Research Centers	N/A	Natl Rural Hlth Assn
93.172	D	N	8,647,902	Human Genome Research		
93.172	I	N	69,215	Human Genome Research	001G106004-A	Boise State Univ
93.172	I	N	58,443	Human Genome Research	12-NIH-1104	Duke Univ Med Ctr
93.172	I	N	36,370	Human Genome Research	1440 G PA513	Univ of Cal-LA
93.172	I	N	-2,049	Human Genome Research	203-0254	Duke University
93.172	I	N	36,149	Human Genome Research	203-1625	Duke University
93.172	I	N	23,015	Human Genome Research	203-1628	Duke University
93.172	I	N	47,420	Human Genome Research	64780	Louisiana State Univ
93.173	D	N	3,442,139	Research Related to Deafness and Communication Disorders		
93.173	I	N	281,335	Research Related to Deafness and Communication Disorders	1000664992	Univ of Iowa
93.173	I	N	35,541	Research Related to Deafness and Communication Disorders	2000184642	Johns Hopkins Univ
93.173	I	N	39,308	Research Related to Deafness and Communication Disorders	210-1093687-58164	State University of New York
93.173	I	N	128,783	Research Related to Deafness and Communication Disorders	SP0012457	Northwestern Univ
93.178	D	N	168,459	Nursing Workforce Diversity		
93.184	I	N	8,542	Disabilities Prevention	2011-06586-02-0	Univ of Ill-Chicago
93.184	I	N	223,627	Disabilities Prevention	ATHN2011001-IVN	Am Thromb Hemo Netw
93.185	D	N	1,609	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects		
93.186	D	N	280,516	National Research Service Award in Primary Care Medicine		
93.213	D	N	931,469	Research and Training in Complementary and Alternative Medicine		
93.213	I	N	4,241	Research and Training in Complementary and Alternative Medicine	203-1114	Duke Univ Med Ctr
93.213	I	N	-93	Research and Training in Complementary and Alternative Medicine	FY10.528.001/ 2	Univ of Colorado
93.213	I	N	-100	Research and Training in Complementary and Alternative Medicine	FY11.528.001/ 2	Univ of Colorado
93.217	I	N	116,161	Family Planning-Services	FPHPA046005-01-	Planned Parenthood
93.225	D	N	381,861	National Research Service Awards-Health Services Research Training		
93.226	D	N	3,178,503	Research on Healthcare Costs, Quality and Outcomes		
93.226	I	N	6,609	Research on Healthcare Costs, Quality and Outcomes	2011-05887-10-0	Univ of Ill-Chicago
93.226	I	N	7,507	Research on Healthcare Costs, Quality and Outcomes	A07706 (M10A104	Yale University
93.233	I	N	24,106	National Center on Sleep Disorders Research	106777	Brigham Women's Hosp
93.241	I	N	262,198	State Rural Hospital Flexibility Program	P000577701	Univ of Minnesota
93.242	D	N	21,558,896	Mental Health Research Grants		
93.242	I	N	13,176	Mental Health Research Grants	0580	Pacific Inst Resch
93.242	I	N	145,987	Mental Health Research Grants	1 (GG005538)	Trust Columbia Un Ny
93.242	I	N	242,879	Mental Health Research Grants	10-NIH-1045	Duke University
93.242	I	N	19,746	Mental Health Research Grants	104624	Brigham Women's Hosp
93.242	I	N	13,892	Mental Health Research Grants	11-1851	Univ of S Carolina
93.242	I	N	-3,089	Mental Health Research Grants	1350 G NA662	Univ Cal Los Angeles
93.242	I	N	11,413	Mental Health Research Grants	153844/303-1236	Duke University
93.242	I	N	5,064	Mental Health Research Grants	1R01MH100628-01	Rush Med College
93.242	I	N	552,732	Mental Health Research Grants	2 (GG005538)	Columbia Univ
93.242	I	N	220,028	Mental Health Research Grants	2000 G NF 192	Univ Cal Los Angeles

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93.242	I	N	-4,360	Mental Health Research Grants	2000554784	Johns Hopkins Univ
93.242	I	N	527,903	Mental Health Research Grants	2001590660	Johns Hopkins Univ
93.242	I	N	35,191	Mental Health Research Grants	2001799974	Johns Hopkins Univ
93.242	I	N	25,105	Mental Health Research Grants	2001805606	Johns Hopkins Univ
93.242	I	N	159,144	Mental Health Research Grants	2011-2596	Univ Calif-Irvine
93.242	I	N	8,177	Mental Health Research Grants	203-0140	Duke University
93.242	I	N	53,633	Mental Health Research Grants	203-1144	Duke Univ Med Ctr
93.242	I	N	51,459	Mental Health Research Grants	203-1284	Duke University
93.242	I	N	63,971	Mental Health Research Grants	203-1993	Duke Univ Med Ctr
93.242	I	N	7,796	Mental Health Research Grants	2039425	Duke Univ Med Ctr
93.242	I	N	153,989	Mental Health Research Grants	23650-115782	Harvard Univ
93.242	I	N	163,552	Mental Health Research Grants	60091569-24813-	Stanford University
93.242	I	N	-305	Mental Health Research Grants	6109SC	Univ Calif-San Franc
93.242	I	N	21,000	Mental Health Research Grants	66911R	Univ of Miami
93.242	I	N	50,421	Mental Health Research Grants	BRS-IMPCT-Q-06-	Social & Scientific
93.242	I	N	110,323	Mental Health Research Grants	H39736	Univ Southern Califo
93.242	I	N	25,896	Mental Health Research Grants	IN4687096UNC	Indiana Univ
93.242	I	N	88,138	Mental Health Research Grants	M12A11109 (A082	Yale University
93.242	I	N	157,031	Mental Health Research Grants	N/A	Jericho Sciences
93.242	I	N	24,046	Mental Health Research Grants	PD301397-SC1018	VA Commonwealth Univ
93.242	I	N	23,425	Mental Health Research Grants	PO#2001591772	Johns Hopkins Univ
93.242	I	N	3,128	Mental Health Research Grants	PO#2001624376	Johns Hopkins Univ
93.242	I	N	22,753	Mental Health Research Grants	PO#2001624377	Johns Hopkins Univ
93.242	I	N	78,877	Mental Health Research Grants	PO#2001624432	Johns Hopkins Univ
93.242	I	N	34,138	Mental Health Research Grants	RES505297	Case Western Reserve
93.242	I	N	45,491	Mental Health Research Grants	S940547	Emory University
93.242	I	N	125,168	Mental Health Research Grants	SP0014522-PROJ0	Northwestern U Traf
93.242	I	N	26,190	Mental Health Research Grants	WUHS 10075	Wake Forest Univ Sch Med
93.243	D	N	405,579	Substance Abuse and Mental Health Services-Projects of Regional and National Significance		
93.243	I	N	3,842	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	1065-97640	Wash Dept Soc & Hlth
93.243	I	N	-22	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	11-SAMHSA-1057	Duke University
93.243	I	N	51,678	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	12-SAMHSA-1011	Duke University
93.243	I	N	18,916	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	FY12.525.002	Univ of Colorado
93.243	I	N	43,789	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	M13A11406(A0874	Yale University
93.243	I	N	9,999	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	M13A11521 (A090	Yale University
93.243	I	N	26,644	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	N/A	Cincinnati Behav Hlt
93.247	D	N	213,329	Advanced Nursing Education Grant Program		
93.262	D	N	2,577,375	Occupational Safety and Health Program		
93.262	I	N	27,352	Occupational Safety and Health Program	10-597-UNC	West Virginia Univ
93.262	I	N	15,805	Occupational Safety and Health Program	3049024630-12-6	Univ Kentucky Res Fdn
93.262	I	N	60,919	Occupational Safety and Health Program	3049024994-13-1	Univ Kentucky Res Fdn
93.262	I	N	4,367	Occupational Safety and Health Program	60010415 PO: RF0	Ohio State Univ
93.262	I	N	-22,293	Occupational Safety and Health Program	694727	Univ of Wash-Seattle



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93.262	I	N	26,190	Occupational Safety and Health Program	729856	Univ of Wash-Seattle
93.262	I	N	3,689	Occupational Safety and Health Program	W000217890	Univ of Iowa
93.265	D	N	30,101	Comprehensive Geriatric Education Program(CGEP)		
93.271	D	N	3	Alcohol Research Career Development Awards for Scientists and Clinicians		
93.272	D	N	100,373	Alcohol National Research Service Awards for Research Training		
93.273	D	N	9,870,124	Alcohol Research Programs		
93.273	I	N	44,609	Alcohol Research Programs	1-312-0212210	RTI
93.273	I	N	6,857	Alcohol Research Programs	108942	Loyola Univ-Chicago
93.273	I	N	23,314	Alcohol Research Programs	203-2467	Duke Univ Med Ctr
93.273	I	N	106,061	Alcohol Research Programs	55478	Binghamton Univ
93.273	I	N	-14	Alcohol Research Programs	ABNEU01690	Oregon Hlth Sciences
93.273	I	N	29,149	Alcohol Research Programs	GG005688/539716	Columbia Univ
93.273	I	N	-3	Alcohol Research Programs	R44AA018245	Science Lrng Res Inc
93.276	I	N	10,078	Drug-Free Communities Support Program Grants	UNCW CROSSROADS	Coastal Horizons Center Inc
93.279	D	N	12,308,766	Drug Abuse and Addiction Research Programs		
93.279	I	N	19,571	Drug Abuse and Addiction Research Programs	1-312-0213082/8	RTI Rsch Triangle In
93.279	I	N	-292	Drug Abuse and Addiction Research Programs	1-R43-DA020217-	Flying Bridge Techno
93.279	I	N	17,770	Drug Abuse and Addiction Research Programs	148558/303-7479	Duke University
93.279	I	N	1,937	Drug Abuse and Addiction Research Programs	1549088/1000095	Univ of Colorado
93.279	I	N	961	Drug Abuse and Addiction Research Programs	1550335	Univ of Colorado
93.279	I	N	173,904	Drug Abuse and Addiction Research Programs	1R41DA032293 UN	Algynomics Inc
93.279	I	N	18,058	Drug Abuse and Addiction Research Programs	2001169668	Johns Hopkins Univ
93.279	I	N	264,338	Drug Abuse and Addiction Research Programs	203-1179	Duke University
93.279	I	N	93,846	Drug Abuse and Addiction Research Programs	203-1519	Duke University
93.279	I	N	7,945	Drug Abuse and Addiction Research Programs	57067A P4158 78	San Diego St Univ
93.279	I	N	53,719	Drug Abuse and Addiction Research Programs	710-9833-8985	Miriam Hospital
93.279	I	N	40,789	Drug Abuse and Addiction Research Programs	P24919-00	Texas Christian Univ
93.279	I	N	-3,076	Drug Abuse and Addiction Research Programs	PIRE	Pacific Inst Resch
93.279	I	N	72,977	Drug Abuse and Addiction Research Programs	PO#SR00000878	Univ MD-Baltimore
93.279	I	N	34,306	Drug Abuse and Addiction Research Programs	PO#SR00002140	Univ MD-Baltimore
93.279	I	N	451,879	Drug Abuse and Addiction Research Programs	UF12269	Univ of Florida
93.279	I	N	-8,151	Drug Abuse and Addiction Research Programs	Z028101	Univ MD College Park
93.281	D	N	177,014	Mental Health Research Career/Scientist Development Awards		
93.282	D	N	547,023	Mental Health National Research Service Awards for Research Training		
93.283	D	N	2,586,309	Centers for Disease Control and Prevention-Investigations and Technical Assistance		
93.283	I	N	20,239	Centers for Disease Control and Prevention-Investigations and Technical Assistance	16011-1 55	State&Territ Hlth of
93.283	I	N	639	Centers for Disease Control and Prevention-Investigations and Technical Assistance	16011-1/111	State&Territ Hlth of
93.283	I	N	69,210	Centers for Disease Control and Prevention-Investigations and Technical Assistance	N/A	Morehouse School Med
93.283	I	N	-3,504	Centers for Disease Control and Prevention-Investigations and Technical Assistance	S3554-24/26	Assoc Sch Pub Health
93.283	I	N	1,131	Centers for Disease Control and Prevention-Investigations and Technical Assistance	S3698	Assoc Sch Pub Health
93.283	I	N	412	Centers for Disease Control and Prevention-Investigations and Technical Assistance	S3810	Assoc Sch Pub Health
93.283	I	N	-17,280	Centers for Disease Control and Prevention-Investigations and Technical Assistance	S3810-26/28	Assoc Sch Pub Health
93.286	D	N	3,986,752	Discovery and Applied Research for Technological Innovations to Improve Human Health		

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93.286	I	N	186,578	Discovery and Applied Research for Technological Innovations to Improve Human Health	106368	Brigham Women's Hosp
93.286	I	N	22,336	Discovery and Applied Research for Technological Innovations to Improve Human Health	106370	Brigham Women's Hosp
93.286	I	N	69,683	Discovery and Applied Research for Technological Innovations to Improve Human Health	1106149	Univ NY Stony Brook
93.286	I	N	13,600	Discovery and Applied Research for Technological Innovations to Improve Human Health	1543214	Univ of Colorado
93.286	I	N	88,135	Discovery and Applied Research for Technological Innovations to Improve Human Health	1548043 PO 1000030	Univ of Colorado-Boulder
93.286	I	N	14,711	Discovery and Applied Research for Technological Innovations to Improve Human Health	1550237/PO# 100020	Univ of Colorado-Boulder
93.286	I	N	485	Discovery and Applied Research for Technological Innovations to Improve Human Health	21135-S1	Vanderbilt Univ Med C
93.286	I	N	141,416	Discovery and Applied Research for Technological Innovations to Improve Human Health	4/PO#1346727	ME College of Wi
93.286	I	N	2,114	Discovery and Applied Research for Technological Innovations to Improve Human Health	K000688-00-S03	Kitware Inc
93.286	I	N	12,078	Discovery and Applied Research for Technological Innovations to Improve Human Health	N/A	PDS Biotechnology
93.286	I	N	-6,279	Discovery and Applied Research for Technological Innovations to Improve Human Health	PO#1263805	ME College of Wi
93.286	I	N	80,452	Discovery and Applied Research for Technological Innovations to Improve Human Health	R-12-0122	Univ of Houston
93.286	I	N	34,534	Discovery and Applied Research for Technological Innovations to Improve Human Health	Y554537 / 1R21EB01	Univ of Arizona
93.307	D	N	3,772,903	Minority Health and Health Disparities Research		
93.307	I	N	22,705	Minority Health and Health Disparities Research	WFHUS12793	Wake Forest Bapti Med Ctr
93.307	I	N	-5,592	Minority Health and Health Disparities Research	WFUHS12742	Wake Forest Univ
93.310	D	N	3,324,184	Trans-NIH Research Support		
93.310	I	N	61,147	Trans-NIH Research Support	148614.0002	Harvard Med Sch
93.310	I	N	278,114	Trans-NIH Research Support	148614.5030571.	Harvard Med Sch
93.310	I	N	-17,784	Trans-NIH Research Support	155346	Duke University
93.310	I	N	61,612	Trans-NIH Research Support	557217	Univ of Pennsylvania
93.310	I	N	-1,169	Trans-NIH Research Support	557230	Univ of Pennsylvania
93.350	D	N	11,233,085	National Center for Advancing Translational Sciences		
93.351	D	N	3,153,215	Research Infrastructure Programs		
93.358	D	N	332,375	Advanced Education Nursing Traineeships		
93.359	D	N	362,647	Nurse Education, Practice Quality and Retention Grants		
93.361	D	N	3,247,237	Nursing Research		
93.361	I	N	7,227	Nursing Research	0008736	Univ of Pit
93.361	I	N	207,684	Nursing Research	0255-5071-4609	Mt Sinai Sch of Med
93.361	I	N	22,712	Nursing Research	560124	Univ of Pennsylvania
93.389	D	N	189,136	National Center for Research Resources		
93.389	I	N	5,264	National Center for Research Resources	07-002383-UNC	Univ Calif-Davis
93.389	I	N	1,845	National Center for Research Resources	07193-01	Minn Med Res Fnd
93.389	I	N	-1	National Center for Research Resources	3002052454	Univ of Michigan
93.389	I	N	4,561	National Center for Research Resources	FP22003	University of Alaska Fairbanks
93.392	I	N	-8,637	Cancer Construction	H3155-01	Georgia State Univ
93.393	D	N	15,743,800	Cancer Cause and Prevention Research		
93.393	I	N	57,828	Cancer Cause and Prevention Research	0000721516	Fred Hutchinsn Can
93.393	I	N	56,222	Cancer Cause and Prevention Research	10-16373-99-01-	H Lee moffitt Cancer

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93.393	I	N	2,300	Cancer Cause and Prevention Research	10-1791/PO#30442	Univ of S. Carolina
93.393	I	N	31,492	Cancer Cause and Prevention Research	1156408	Dana-Farber Cancer
93.393	I	N	10,126	Cancer Cause and Prevention Research	12-00223/PO# M1300	NYU School of Medicine
93.393	I	N	17,269	Cancer Cause and Prevention Research	13-194	Arizona State Univ
93.393	I	N	28,781	Cancer Cause and Prevention Research	151256/151249	Univ of Tex San Anto
93.393	I	N	50,417	Cancer Cause and Prevention Research	2012101784	Group Health Cooper
93.393	I	N	22,460	Cancer Cause and Prevention Research	203-0297	Duke University
93.393	I	N	146,915	Cancer Cause and Prevention Research	3001476655	Univ of Michigan
93.393	I	N	17,859	Cancer Cause and Prevention Research	3001801813	Univ of Michigan
93.393	I	N	20,437	Cancer Cause and Prevention Research	34313992	Univ Southern Califo
93.393	I	N	3,790	Cancer Cause and Prevention Research	44706-D	Univ of Chicago
93.393	I	N	100	Cancer Cause and Prevention Research	532	Dartmouth College
93.393	I	N	8,229	Cancer Cause and Prevention Research	55-8001-01	Roswell Park Canc In
93.393	I	N	95,160	Cancer Cause and Prevention Research	55-8002-01	Roswell Park Canc In
93.393	I	N	50,962	Cancer Cause and Prevention Research	55-8003-01	Roswell Park Canc In
93.393	I	N	18,530	Cancer Cause and Prevention Research	55-8004-01	Roswell Park Canc In
93.393	I	N	5,695	Cancer Cause and Prevention Research	55-8005-01	Roswell Park Canc In
93.393	I	N	1,345	Cancer Cause and Prevention Research	55-8006-01	Roswell Park Canc In
93.393	I	N	23,253	Cancer Cause and Prevention Research	570363	Texas A&M Univ
93.393	I	N	17,649	Cancer Cause and Prevention Research	66971E/M174648	Univ of Miami
93.393	I	N	654,183	Cancer Cause and Prevention Research	76-01	Roswell Park Canc In
93.393	I	N	22,409	Cancer Cause and Prevention Research	913	Dartmouth College
93.393	I	N	32,208	Cancer Cause and Prevention Research	BD515011	Sloan-Kettering Inst
93.393	I	N	43,472	Cancer Cause and Prevention Research	BD515047	Sloan-Kettering Inst
93.393	I	N	321,655	Cancer Cause and Prevention Research	CA154292-02-UNC	Group Hlth Rsc Inst
93.393	I	N	16	Cancer Cause and Prevention Research	H49879	Univ Southern Califo
93.393	I	N	32,228	Cancer Cause and Prevention Research	H50657	Univ Southern Califo
93.393	I	N	88,720	Cancer Cause and Prevention Research	N/A	Johns Hopkins Univ
93.393	I	N	33,404	Cancer Cause and Prevention Research	S725434	Emory University
93.393	I	N	85,204	Cancer Cause and Prevention Research	S898350	Emory University
93.394	D	N	5,874,453	Cancer Detection and Diagnosis Research		
93.394	I	N	36,039	Cancer Detection and Diagnosis Research	108415	Brigham Women's Hosp
93.394	I	N	43,837	Cancer Detection and Diagnosis Research	203-1797	Duke Univ Med Ctr
93.394	I	N	93,004	Cancer Detection and Diagnosis Research	3001861664	Univ of Michigan
93.394	I	N	14,098	Cancer Detection and Diagnosis Research	3001988623	Univ of Michigan
93.394	I	N	9,192	Cancer Detection and Diagnosis Research	500220-78050	Northeastern Univ
93.394	I	N	27,773	Cancer Detection and Diagnosis Research	60019881-40885-A	Stanford Univ
93.394	I	N	31,140	Cancer Detection and Diagnosis Research	6970SC	Univ Calif San Frans
93.394	I	N	21,320	Cancer Detection and Diagnosis Research	K000646-00-S01	Kitware Inc
93.394	I	N	71,836	Cancer Detection and Diagnosis Research	N/A	Morphormics Inc
93.394	I	N	42,395	Cancer Detection and Diagnosis Research	VUMC 38860	Vanderbilt Univ Med C
93.394	I	N	71,686	Cancer Detection and Diagnosis Research	WU-12-117/29173	Wash Univ-St Louis
93.394	I	N	281,558	Cancer Detection and Diagnosis Research	WU-13-68	Wash Univ-St Louis
93.395	D	N	8,541,989	Cancer Treatment Research		
93.395	I	N	-884	Cancer Treatment Research	105816	Brigham Women's Hosp
93.395	I	N	67,998	Cancer Treatment Research	107938	Brigham Women's Hosp
93.395	I	N	16,555	Cancer Treatment Research	203-1400	Duke Univ Med Ctr
93.395	I	N	4,406	Cancer Treatment Research	5R01CA133049-04	Mayo Clinic Rocheste
93.395	I	N	11,603	Cancer Treatment Research	5R01CA133049-05	Mayo Clinic Rocheste
93.395	I	N	-4	Cancer Treatment Research	6067359/RFS7000	Univ Mass

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93.395	I	N	708	Cancer Treatment Research	66249P	Univ of Miami
93.395	I	N	95,795	Cancer Treatment Research	9-526-1913	Albert Einstein Coll
93.395	I	N	196,422	Cancer Treatment Research	N/A	Gynecologic Oncology
93.395	I	N	69,490	Cancer Treatment Research	PD300629-SC1012	VA Commonwealth Univ
93.396	D	N	10,689,768	Cancer Biology Research		
93.396	I	N	114,415	Cancer Biology Research	5600599560	Baylor Col Med
93.396	I	N	12,947	Cancer Biology Research	6071SC	Univ of Cal-San Fran
93.396	I	N	189,236	Cancer Biology Research	900807/RGC00000	UT Southwest Med Ctr
93.396	I	N	5,083	Cancer Biology Research	GMO-900807	UT Southwest Med Ctr
93.397	D	N	13,745,685	Cancer Centers Support Grants		
93.397	I	N	36,744	Cancer Centers Support Grants	2000796704	Johns Hopkins Univ
93.397	I	N	-3,919	Cancer Centers Support Grants	3048105880-11-1	Univ Kentucky Res Fdn
93.397	I	N	7,838	Cancer Centers Support Grants	5U54CA142152-04	New Hanover Reg Med
93.398	D	N	5,809,725	Cancer Research Manpower		
93.399	D	N	-5,493	Cancer Control		
93.399	I	N	-1,015	Cancer Control	284K071	Univ Wisconsin-Madis
93.399	I	N	15,342	Cancer Control	355K235	Univ Wisconsin-Madis
93.399	I	N	40,424	Cancer Control	439K331	Univ Wisconsin-Madis
93.399	I	N	-2,004	Cancer Control	531007.5750	Dartmouth College
93.399	I	N	24,750	Cancer Control	N/A	NSABP Fdn
93.399	I	N	15,725	Cancer Control	RES506502	Case Western Reserve
93.399	I	N	61,456	Cancer Control	RES507599	Case Western Reserve
93.405	D	Y	-2,164	ARRA - - Public Health Traineeship Program		
93.510	D	N	494,015	Affordable Care Act (ACA) Primary Care Residency Expansion Program		
93.512	I	N	155,868	Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST)	N/A	Fdn Adv Hlth Prog
93.516	D	N	624,699	Affordable Care Act (ACA) Public Health Training Centers Program, Resources Development and Academic Support to the Public Health Training Centers Program and Public Health Infrastructure and Systems		
93.516	I	N	-512	Affordable Care Act (ACA) Public Health Training Centers Program, Resources Development and Academic Support to the Public Health Training Centers Program and Public Health Infrastructure and Systems	730810	Univ of Wash-Seattle
93.600	D	N	1,559,871	Head Start		
93.600	I	N	170,070	Head Start	719100-NTI	American Academy Pediatrics
93.600	I	N	99,730	Head Start	719100-UNC	American Academy Pediatrics
93.607	D	N	532,107	Affordable Care Act - Preparedness and Emergency Response Research Centers: A Public Health Systems Approach		
93.610	I	N	8,822	Health Care Innovation Awards (HCIA)	500559-UNC-1	Feinstein Inst Med R
93.631	D	N	98,750	Developmental Disabilities Projects of National Significance		
93.632	D	N	550,067	University Centers for Excellence in Developmental Disabilities Education, Research, and Service		
93.647	I	N	43,826	Social Services Research and Demonstration	UA12-052	Univ of Alabama-Tusc
93.648	D	N	513,424	Child Welfare Research Training or Demonstration		
93.670	D	N	-20,949	Child Abuse and Neglect Discretionary Activities		
93.670	I	N	311	Child Abuse and Neglect Discretionary Activities	N/A	Ch Trn/Outreach Proj
93.671	I	N	44,263	Family Violence Prevention and Services/Grants for Battered Women's Shelters-Grants to States and Indian Tribes	27574-13	Family Services, Inc.
93.701	D	N	43,100	Trans-NIH Recovery Act Research Support		
93.701	D	Y	2,636,260	ARRA - Trans-NIH Recovery Act Research Support		
93.701	I	Y	11,703	ARRA - Trans-NIH Recovery Act Research Support	0000712036	Fred Hutchinsn Can
93.701	I	Y	5,395	ARRA - Trans-NIH Recovery Act Research Support	000259927-015	Univ of Alabama-Birm

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93.701	I	Y	15	ARRA - Trans-NIH Recovery Act Research Support	0007006-A	Univ of Tex Houston
93.701	I	Y	6,991	ARRA - Trans-NIH Recovery Act Research Support	09-46	Research Fdn of Suny
93.701	I	Y	22,164	ARRA - Trans-NIH Recovery Act Research Support	1-312-0212797	RTI
93.701	I	Y	157,916	ARRA - Trans-NIH Recovery Act Research Support	1-312-0212799	RTI
93.701	I	Y	667	ARRA - Trans-NIH Recovery Act Research Support	10-085	Univ of Texas (UTMB)
93.701	I	Y	11,287	ARRA - Trans-NIH Recovery Act Research Support	100052-D	Univ of Rochester
93.701	I	Y	222	ARRA - Trans-NIH Recovery Act Research Support	1000746008/W000	Univ of Iowa
93.701	I	Y	-1	ARRA - Trans-NIH Recovery Act Research Support	1000831828/W000	Univ of Iowa
93.701	I	Y	149	ARRA - Trans-NIH Recovery Act Research Support	10047SUB	Seattle Chld Rsch In
93.701	I	Y	-4,228	ARRA - Trans-NIH Recovery Act Research Support	115-9607-02	Kaiser Fdn Res Insti
93.701	I	Y	3,334	ARRA - Trans-NIH Recovery Act Research Support	157130/303-5508	Duke University
93.701	I	Y	-276	ARRA - Trans-NIH Recovery Act Research Support	163360	Duke University
93.701	I	Y	10,537	ARRA - Trans-NIH Recovery Act Research Support	163997/303-4618	Duke University
93.701	I	Y	42,042	ARRA - Trans-NIH Recovery Act Research Support	2000784930	Johns Hopkins Univ
93.701	I	Y	5,256	ARRA - Trans-NIH Recovery Act Research Support	2010116374	Group Health Cooper
93.701	I	Y	13,763	ARRA - Trans-NIH Recovery Act Research Support	2012-1086	Bruce Technologies, Inc
93.701	I	Y	12,842	ARRA - Trans-NIH Recovery Act Research Support	203-0115	Duke University
93.701	I	Y	74,902	ARRA - Trans-NIH Recovery Act Research Support	203-0662	Duke Univ Med Ctr
93.701	I	Y	17,475	ARRA - Trans-NIH Recovery Act Research Support	203-2295	Duke University
93.701	I	Y	18,250	ARRA - Trans-NIH Recovery Act Research Support	203-9432	Duke University
93.701	I	Y	33,706	ARRA - Trans-NIH Recovery Act Research Support	23789-16	Georgia Hlth Sci Uni
93.701	I	Y	91,817	ARRA - Trans-NIH Recovery Act Research Support	24-6231-0186-00	Univ of Nebraska
93.701	I	Y	1,847	ARRA - Trans-NIH Recovery Act Research Support	24271-02-314	Wistar Institute
93.701	I	Y	796	ARRA - Trans-NIH Recovery Act Research Support	3001729478	Univ of Michigan
93.701	I	Y	37,819	ARRA - Trans-NIH Recovery Act Research Support	42989-D	Univ of Chicago
93.701	I	Y	71	ARRA - Trans-NIH Recovery Act Research Support	56028B 7806 AMG	San Diego St Univ Fdn
93.701	I	Y	12,782	ARRA - Trans-NIH Recovery Act Research Support	60028259	Ohio State Univ
93.701	I	Y	38,154	ARRA - Trans-NIH Recovery Act Research Support	60028291	Ohio State Univ
93.701	I	Y	11,929	ARRA - Trans-NIH Recovery Act Research Support	6020061-5500000	Broad Institute
93.701	I	Y	5,440	ARRA - Trans-NIH Recovery Act Research Support	701140Z	Univ of Wash-Seattle
93.701	I	Y	10,175	ARRA - Trans-NIH Recovery Act Research Support	815510	Rsch Ins Natwide Chl
93.701	I	Y	6,206	ARRA - Trans-NIH Recovery Act Research Support	950700RSUB	Children's Hosp Phil
93.701	I	Y	3,252	ARRA - Trans-NIH Recovery Act Research Support	951049RSUB	Children's Hosp Phil
93.701	I	Y	-3,688	ARRA - Trans-NIH Recovery Act Research Support	A001020701	Univ of Minnesota
93.701	I	Y	219	ARRA - Trans-NIH Recovery Act Research Support	BD513125	Sloan-Kettering Inst
93.701	I	Y	-26,496	ARRA - Trans-NIH Recovery Act Research Support	H-UONC-2	Sepulveda Rsch Corp
93.701	I	Y	3,491	ARRA - Trans-NIH Recovery Act Research Support	K00024-S1	Kitware Inc
93.701	I	Y	51,391	ARRA - Trans-NIH Recovery Act Research Support	N/A	Marquette Univ
93.701	I	Y	24,949	ARRA - Trans-NIH Recovery Act Research Support	P001015303	Univ of Minnesota
93.701	I	Y	-3,302	ARRA - Trans-NIH Recovery Act Research Support	VUMC35939	Vanderbilt Univ Med C
93.701	I	Y	5	ARRA - Trans-NIH Recovery Act Research Support	VUMC36089	Vanderbilt Univ Med C
93.701	I	Y	68,722	ARRA - Trans-NIH Recovery Act Research Support	ZC10075-139371	Univ of Virginia
93.708	I	Y	202,327	ARRA - Head Start	46900-621-V12UN	Georgia Early Care L
93.715	D	Y	995,300	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ		
93.715	I	Y	3,772	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	108219	Children's Hosp/Cinn
93.715	I	Y	3,471	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	108219/31002385	Children's Hosp/Cinn
93.715	I	Y	19,648	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	203-0681	Duke University
93.715	I	Y	163,041	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	N/A	NC Comm Care Netwo
93.718	D	Y	3,457,930	ARRA - Health Information Technology Regional Extension Centers Program		
93.721	I	Y	285,439	ARRA - Health Information Technology Professionals in Health Care	302-9787	Duke University

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93.726	I	Y	195,487	ARRA - Accelerating Adoption of Comparative Effectiveness Research (CER)	171193/2039064	Duke University
93.726	I	Y	400,132	ARRA - Accelerating Adoption of Comparative Effectiveness Research (CER)	4-0601	Palo Alto Med Fdn H
93.727	I	Y	157,533	ARRA - Health Information Technology - Beacon Communities	90BC0001/01	So Piedm Comm Care P
93.730	D	Y	670,101	ARRA - Prevention Research Centers Comparative Effectiveness Research Program		
93.822	D	N	400,254	Health Careers Opportunity Program		
93.837	D	N	25,825,359	Cardiovascular Diseases Research		
93.837	I	N	-12,011	Cardiovascular Diseases Research	0000724528	Fred Hutchinsn Can
93.837	I	N	153,232	Cardiovascular Diseases Research	0000745975	Fred Hutchinsn Can
93.837	I	N	5,997	Cardiovascular Diseases Research	0000772441	Fred Hutchinsn Can
93.837	I	N	77,357	Cardiovascular Diseases Research	0000926 (112946	Univ of Pit
93.837	I	N	63,802	Cardiovascular Diseases Research	0005	Gramercy Rsch Group
93.837	I	N	-451	Cardiovascular Diseases Research	0255-2751-4609	Mt Sinai Sch of Med
93.837	I	N	6,701	Cardiovascular Diseases Research	0255-2754-4609	Mt Sinai Sch of Med
93.837	I	N	4,201	Cardiovascular Diseases Research	10023252-01	Univ of Utah
93.837	I	N	27,878	Cardiovascular Diseases Research	13-2193	Univ of S Carolina
93.837	I	N	133,052	Cardiovascular Diseases Research	153742	Duke University
93.837	I	N	-299	Cardiovascular Diseases Research	157351	Univ Southern Califo
93.837	I	N	38,945	Cardiovascular Diseases Research	165014	Univ Southern Califo
93.837	I	N	26,116	Cardiovascular Diseases Research	1R41HL084857-02	Vascular Pharmaceuti
93.837	I	N	55,091	Cardiovascular Diseases Research	1R43DK093345-01	Southeast Tech Inventures
93.837	I	N	93,670	Cardiovascular Diseases Research	2010-1128	Lifescitech, LLC
93.837	I	N	13,176	Cardiovascular Diseases Research	203-2282	Duke Univ Med Ctr
93.837	I	N	10,988	Cardiovascular Diseases Research	26723	Georgia Hlth Sci Uni
93.837	I	N	112,749	Cardiovascular Diseases Research	3001303804	Univ of Michigan
93.837	I	N	160,432	Cardiovascular Diseases Research	302-0442	Duke Univ Med Ctr
93.837	I	N	74,109	Cardiovascular Diseases Research	303-4533	Duke Univ Med Ctr
93.837	I	N	18,122	Cardiovascular Diseases Research	431746-19467	Virginia Polytech In
93.837	I	N	15,282	Cardiovascular Diseases Research	5-29746/415311-	Univ of Rochester
93.837	I	N	6,745	Cardiovascular Diseases Research	60028980	Ohio State Univ
93.837	I	N	3,404	Cardiovascular Diseases Research	60036989	Ohio State Univ
93.837	I	N	24,776	Cardiovascular Diseases Research	6763SC	Univ Calif-San Franc
93.837	I	N	508,061	Cardiovascular Diseases Research	710-9694	Miriam Hospital
93.837	I	N	-9,788	Cardiovascular Diseases Research	726240	Univ of Wash-Seattle
93.837	I	N	66,125	Cardiovascular Diseases Research	739597	Univ of Wash-Seattle
93.837	I	N	111,689	Cardiovascular Diseases Research	740622	Univ of Wash-Seattle
93.837	I	N	7,304	Cardiovascular Diseases Research	9-526-4424	Albert Einstein Coll
93.837	I	N	7,131	Cardiovascular Diseases Research	9-526-5496	Albert Einstein Coll
93.837	I	N	205	Cardiovascular Diseases Research	9-526-5552	Albert Einstein Coll
93.837	I	N	462	Cardiovascular Diseases Research	9920070130	Rand Corporation,Inc
93.837	I	N	187,272	Cardiovascular Diseases Research	9920080096	Rand Corporation,Inc
93.837	I	N	180,060	Cardiovascular Diseases Research	N/A	Exemplar Genetics
93.837	I	N	-2,320	Cardiovascular Diseases Research	N000550801	Univ of Minnesota
93.837	I	N	38,767	Cardiovascular Diseases Research	P000640503	Univ of Minnesota
93.837	I	N	47,129	Cardiovascular Diseases Research	P001897402	Univ of Minnesota
93.837	I	N	22,313	Cardiovascular Diseases Research	P663626606	Univ of Minnesota
93.837	I	N	16,998	Cardiovascular Diseases Research	RES505771	Case Western Reserve
93.837	I	N	8,897	Cardiovascular Diseases Research	SC280158UNC	Chld Hosp New Orlean
93.837	I	N	-35,513	Cardiovascular Diseases Research	SR00001778	Univ MD-Baltimore
93.837	I	N	91,696	Cardiovascular Diseases Research	SR00002297	Univ MD-Baltimore

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93.837	I	N	785	Cardiovascular Diseases Research	W000203043	Univ of Iowa
93.837	I	N	-188	Cardiovascular Diseases Research	W000262096	Univ of Iowa
93.837	I	N	20,456	Cardiovascular Diseases Research	W000362057/1001	Univ of Iowa
93.837	I	N	-2,290	Cardiovascular Diseases Research	WUHS 10385	Wake Forest Univ Sch Med
93.837	I	N	32,162	Cardiovascular Diseases Research	WUHS 10853	Wake Forest Univ Sch Med
93.838	D	N	12,241,728	Lung Diseases Research		
93.838	I	N	34,965	Lung Diseases Research	000378244-001	Univ of Alabama-Birm
93.838	I	N	109,228	Lung Diseases Research	0016623/116438-	Univ of Pitt
93.838	I	N	2,694	Lung Diseases Research	10364SUB	Seattle Chld Hosp
93.838	I	N	-3,508	Lung Diseases Research	1R41HL09529301A	Theralogics
93.838	I	N	57,832	Lung Diseases Research	2011-2546	Univ Calif-Irvine
93.838	I	N	144,644	Lung Diseases Research	203-0394	Duke University
93.838	I	N	44,425	Lung Diseases Research	203-1647	Duke University
93.838	I	N	90,945	Lung Diseases Research	203-2603	Duke University
93.838	I	N	191,618	Lung Diseases Research	2032351	Duke Univ Med Ctr
93.838	I	N	36,338	Lung Diseases Research	4915SC	Univ Calif-San Franc
93.838	I	N	4,498	Lung Diseases Research	557172/PO#27619	Univ of Pennsylvania
93.838	I	N	51,891	Lung Diseases Research	558891	Univ of Pennsylvania
93.838	I	N	-5,394	Lung Diseases Research	GC12067-138351	Univ of Virginia
93.838	I	N	-918	Lung Diseases Research	GC12067-138360	Univ of Virginia
93.838	I	N	15,070	Lung Diseases Research	GC12067-140628	Univ of Virginia
93.838	I	N	7,381	Lung Diseases Research	GC12067-140632	Univ of Virginia
93.838	I	N	10,807	Lung Diseases Research	IN-4086445-UNC	Trustees Indiana Uni
93.838	I	N	30,641	Lung Diseases Research	IN-4686685-UNC	Indiana Univ
93.838	I	N	58,099	Lung Diseases Research	N/A	Radikal Therapeutics
93.838	I	N	96,306	Lung Diseases Research	RES507797	Case Western Reserve
93.839	D	N	7,037,033	Blood Diseases and Resources Research		
93.839	I	N	315	Blood Diseases and Resources Research	09-SC-NIH-1028	Duke University
93.839	I	N	-5,616	Blood Diseases and Resources Research	120902	Duke University
93.839	I	N	-2,097	Blood Diseases and Resources Research	137187	Duke Univ Med Ctr
93.839	I	N	-409	Blood Diseases and Resources Research	139354/302-6004	Duke Univ Med Ctr
93.839	I	N	-912	Blood Diseases and Resources Research	145041/139006	Duke Univ Med Ctr
93.839	I	N	60,038	Blood Diseases and Resources Research	203-0276	Duke Univ Med Ctr
93.839	I	N	37,244	Blood Diseases and Resources Research	20469-01-01	Children's Hosp Phil
93.839	I	N	322,319	Blood Diseases and Resources Research	2046X-01-02/960	Children's Hosp Phil
93.839	I	N	67,694	Blood Diseases and Resources Research	24-1106-0002-20	Univ of Nebraska
93.839	I	N	-2,434	Blood Diseases and Resources Research	303-6160/DS746	Duke Univ Med Ctr
93.839	I	N	-6,561	Blood Diseases and Resources Research	303-6651	Duke University
93.839	I	N	199	Blood Diseases and Resources Research	303-6843/139006	Duke Univ Med Ctr
93.839	I	N	131,207	Blood Diseases and Resources Research	A12099	Rensselaer Polytechn
93.839	I	N	72,439	Blood Diseases and Resources Research	A12470	Rensselaer Polytechn
93.846	D	N	9,740,433	Arthritis, Musculoskeletal and Skin Diseases Research		
93.846	I	N	234,834	Arthritis, Musculoskeletal and Skin Diseases Research	1122-001	Maine Medical Center
93.846	I	N	14,717	Arthritis, Musculoskeletal and Skin Diseases Research	12-01091/101776	New York University
93.846	I	N	28,898	Arthritis, Musculoskeletal and Skin Diseases Research	238899 UNC-CHAP	Temple Univ
93.846	I	N	36,748	Arthritis, Musculoskeletal and Skin Diseases Research	7744	University of Cincinnati
93.846	I	N	-968	Arthritis, Musculoskeletal and Skin Diseases Research	9000582/112640-	Univ of Pitt
93.846	I	N	12,052	Arthritis, Musculoskeletal and Skin Diseases Research	MUSC08-127	Med Univ of SC
93.846	I	N	16,076	Arthritis, Musculoskeletal and Skin Diseases Research	MUSC08-128	Med Univ of SC
93.847	D	N	23,268,429	Diabetes, Digestive, and Kidney Diseases Extramural Research		

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93.847	I	N	-2,013	Diabetes, Digestive, and Kidney Diseases Extramural Research	0000359544	Children's Hosp/Bost
93.847	I	N	10,367	Diabetes, Digestive, and Kidney Diseases Extramural Research	0000424928	Children's Hosp/Bost
93.847	I	N	207,030	Diabetes, Digestive, and Kidney Diseases Extramural Research	0000479892	Children's Hosp/Bost
93.847	I	N	4,458	Diabetes, Digestive, and Kidney Diseases Extramural Research	0000931474	Cedars-Sinai Med Ctr
93.847	I	N	57,192	Diabetes, Digestive, and Kidney Diseases Extramural Research	11091636-2	Cornell Medical Col
93.847	I	N	169,457	Diabetes, Digestive, and Kidney Diseases Extramural Research	12-023-58090	Calif Polytech St Un
93.847	I	N	545,405	Diabetes, Digestive, and Kidney Diseases Extramural Research	12-179296-06	Connecticut Chld Med
93.847	I	N	13,838	Diabetes, Digestive, and Kidney Diseases Extramural Research	13070883-2	Cornell Medical Col
93.847	I	N	-1,303	Diabetes, Digestive, and Kidney Diseases Extramural Research	1319655	ME College of Wi
93.847	I	N	58,469	Diabetes, Digestive, and Kidney Diseases Extramural Research	197SUB/283SUB	Cleveland Clinic
93.847	I	N	131,876	Diabetes, Digestive, and Kidney Diseases Extramural Research	1R43DK093119-01	Novan Inc.
93.847	I	N	65,130	Diabetes, Digestive, and Kidney Diseases Extramural Research	2011-0322	Theralogics, Inc.
93.847	I	N	70,863	Diabetes, Digestive, and Kidney Diseases Extramural Research	2011-1170	Nutrasorb LLC
93.847	I	N	138,642	Diabetes, Digestive, and Kidney Diseases Extramural Research	203-0621	Duke Univ Med Ctr
93.847	I	N	2,088	Diabetes, Digestive, and Kidney Diseases Extramural Research	203-2789	Duke University
93.847	I	N	75,828	Diabetes, Digestive, and Kidney Diseases Extramural Research	221566	Mass Gen Hosp
93.847	I	N	6,356	Diabetes, Digestive, and Kidney Diseases Extramural Research	25034-4	Med College of GA
93.847	I	N	41,099	Diabetes, Digestive, and Kidney Diseases Extramural Research	29474870-48907-	Stanford University
93.847	I	N	34,775	Diabetes, Digestive, and Kidney Diseases Extramural Research	3	ME College of Wi
93.847	I	N	95,267	Diabetes, Digestive, and Kidney Diseases Extramural Research	3001310929	Univ of Michigan
93.847	I	N	12,859	Diabetes, Digestive, and Kidney Diseases Extramural Research	3001321183	Univ of Michigan
93.847	I	N	1,366	Diabetes, Digestive, and Kidney Diseases Extramural Research	3002498182	Univ of Michigan
93.847	I	N	35,659	Diabetes, Digestive, and Kidney Diseases Extramural Research	3100159441/1053	Children's Hosp/Cinn
93.847	I	N	1,362	Diabetes, Digestive, and Kidney Diseases Extramural Research	7279SC	Univ Calif San Frans
93.847	I	N	9,476	Diabetes, Digestive, and Kidney Diseases Extramural Research	805973	Cedars-Sinai Med Ctr
93.847	I	N	216	Diabetes, Digestive, and Kidney Diseases Extramural Research	951229RSUB	Children's Hosp Phil
93.847	I	N	8,929	Diabetes, Digestive, and Kidney Diseases Extramural Research	951230RSUB	Children's Hosp Phil
93.847	I	N	40,653	Diabetes, Digestive, and Kidney Diseases Extramural Research	960474RSUB/3301	Children's Hosp Phil
93.847	I	N	2,783	Diabetes, Digestive, and Kidney Diseases Extramural Research	960475RSUB/3301	Children's Hosp Phil
93.847	I	N	1,273	Diabetes, Digestive, and Kidney Diseases Extramural Research	DK095745	Connecticut Chld Med
93.847	I	N	129,521	Diabetes, Digestive, and Kidney Diseases Extramural Research	IN4685579UNC	Indiana Univ
93.847	I	N	73,115	Diabetes, Digestive, and Kidney Diseases Extramural Research	N/A	Connecticut Chld Med
93.847	I	N	2,250	Diabetes, Digestive, and Kidney Diseases Extramural Research	PO#M000085107/1	New York University
93.847	I	N	147,225	Diabetes, Digestive, and Kidney Diseases Extramural Research	R42DK074193-02A	Theralogics
93.847	I	N	4,127	Diabetes, Digestive, and Kidney Diseases Extramural Research	RES504795	Case Western Reserve
93.847	I	N	132,493	Diabetes, Digestive, and Kidney Diseases Extramural Research	S-GRD1213-KR31	George Washington Un
93.847	I	N	30,724	Diabetes, Digestive, and Kidney Diseases Extramural Research	S630622	Emory University
93.847	I	N	168,081	Diabetes, Digestive, and Kidney Diseases Extramural Research	UNCDK095078	Penn State Univ
93.847	I	N	179,485	Diabetes, Digestive, and Kidney Diseases Extramural Research	WU-10-172	Wash Univ-St Louis
93.847	I	N	166,823	Diabetes, Digestive, and Kidney Diseases Extramural Research	WU-11-230/29116	Wash Univ-St Louis
93.848	D	N	9,133	Digestive Diseases and Nutrition Research		
93.848	I	N	19,337	Digestive Diseases and Nutrition Research	CR-19467-431526	VA Polytechnic Inst
93.849	I	N	263,871	Kidney Diseases, Urology and Hematology Research	5006842 SERV	Tufts University
93.849	I	N	27,822	Kidney Diseases, Urology and Hematology Research	951193RSUB	Children's Hosp Phil
93.853	D	N	9,934,666	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.853	I	N	23,200	Extramural Research Programs in the Neurosciences and Neurological Disorders	0027698 (121489	Univ of Pit
93.853	I	N	34,894	Extramural Research Programs in the Neurosciences and Neurological Disorders	0314301/2259	Boston Med Ctr
93.853	I	N	124,372	Extramural Research Programs in the Neurosciences and Neurological Disorders	11061135	Cornell University
93.853	I	N	1,452	Extramural Research Programs in the Neurosciences and Neurological Disorders	136398	Duke University
93.853	I	N	12,030	Extramural Research Programs in the Neurosciences and Neurological Disorders	19449/G100121-1	Univ Cincinnati



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93.853	I	N	1,362	Extramural Research Programs in the Neurosciences and Neurological Disorders	2758588/551947	Univ of Pennsylvania
93.853	I	N	69,979	Extramural Research Programs in the Neurosciences and Neurological Disorders	2921/2259	Boston Med Ctr
93.853	I	N	314	Extramural Research Programs in the Neurosciences and Neurological Disorders	550900	Univ of Pennsylvania
93.853	I	N	-3,690	Extramural Research Programs in the Neurosciences and Neurological Disorders	551947	Univ of Pennsylvania
93.853	I	N	2,676	Extramural Research Programs in the Neurosciences and Neurological Disorders	60030884	Ohio State Univ
93.853	I	N	-897	Extramural Research Programs in the Neurosciences and Neurological Disorders	A07868 (M10A104	Yale University
93.853	I	N	8,708	Extramural Research Programs in the Neurosciences and Neurological Disorders	K000841-00-S02	Kitware Inc
93.853	I	N	11,906	Extramural Research Programs in the Neurosciences and Neurological Disorders	N/A	Univ of Miami
93.853	I	N	49,439	Extramural Research Programs in the Neurosciences and Neurological Disorders	UNC NS060722	Penn State Univ
93.853	I	N	4,711	Extramural Research Programs in the Neurosciences and Neurological Disorders	WU-06-236	Wash Univ-St Louis
93.853	I	N	13,984	Extramural Research Programs in the Neurosciences and Neurological Disorders	WU-12-110/PO 29	Wash Univ-St Louis
93.853	I	N	177,380	Extramural Research Programs in the Neurosciences and Neurological Disorders	WU-13-04/PO 291	Wash Univ-St Louis
93.853	I	N	9,880	Extramural Research Programs in the Neurosciences and Neurological Disorders	WU-13-54	Wash Univ-St Louis
93.855	D	N	58,374,645	Allergy, Immunology and Transplantation Research		
93.855	I	N	7,180	Allergy, Immunology and Transplantation Research	0000737103	Fred Hutchinsn Can
93.855	I	N	10,984	Allergy, Immunology and Transplantation Research	0000737206	Fred Hutchinsn Can
93.855	I	N	31,114	Allergy, Immunology and Transplantation Research	0000739317	Fred Hutchinsn Can
93.855	I	N	8,320	Allergy, Immunology and Transplantation Research	0000739817	Fred Hutchinsn Can
93.855	I	N	34	Allergy, Immunology and Transplantation Research	0000739819	Fred Hutchinsn Can
93.855	I	N	4,922	Allergy, Immunology and Transplantation Research	0000754179-2120	Fred Hutchinsn Can
93.855	I	N	13,567	Allergy, Immunology and Transplantation Research	0000754180-2120	Fred Hutchinsn Can
93.855	I	N	4,922	Allergy, Immunology and Transplantation Research	0000754469-2120	Fred Hutchinsn Can
93.855	I	N	8,799	Allergy, Immunology and Transplantation Research	0000769695	Fred Hutchinsn Can
93.855	I	N	15,595	Allergy, Immunology and Transplantation Research	000256946-002	Univ of Alabama-Birm
93.855	I	N	15,718	Allergy, Immunology and Transplantation Research	000372529-001	Univ of Alabama-Birm
93.855	I	N	97,582	Allergy, Immunology and Transplantation Research	000397076-004	Univ of Alabama-Birm
93.855	I	N	353,373	Allergy, Immunology and Transplantation Research	000397076-011	Univ of Alabama-Birm
93.855	I	N	61,392	Allergy, Immunology and Transplantation Research	000421524-004	Univ of Alabama-Birm
93.855	I	N	75,597	Allergy, Immunology and Transplantation Research	0254-6997-4609	Mt Sinai Sch of Med
93.855	I	N	504,262	Allergy, Immunology and Transplantation Research	0254-6998-4609	Mt Sinai Sch of Med
93.855	I	N	51,101	Allergy, Immunology and Transplantation Research	1-340-0213085	RTI Rsch Triangle In
93.855	I	N	18,282	Allergy, Immunology and Transplantation Research	10-NIH-1113	Duke University
93.855	I	N	15,177	Allergy, Immunology and Transplantation Research	10466	Wake Forest Univ Sch Med
93.855	I	N	482,588	Allergy, Immunology and Transplantation Research	108084	Brigham Women's Hosp
93.855	I	N	12,193	Allergy, Immunology and Transplantation Research	108085	Brigham Women's Hosp
93.855	I	N	251,123	Allergy, Immunology and Transplantation Research	108088	Brigham Women's Hosp
93.855	I	N	95,573	Allergy, Immunology and Transplantation Research	108090	Brigham Women's Hosp
93.855	I	N	90,679	Allergy, Immunology and Transplantation Research	108273	Brigham Women's Hosp
93.855	I	N	31,081	Allergy, Immunology and Transplantation Research	108402	Brigham Women's Hosp
93.855	I	N	-321	Allergy, Immunology and Transplantation Research	11-039/00000006	Univ Texas-Galveston
93.855	I	N	17,088	Allergy, Immunology and Transplantation Research	114621-5067469	Harvard Sch Pub Hlth
93.855	I	N	217,245	Allergy, Immunology and Transplantation Research	1186603	Dana-Farber Cancer
93.855	I	N	9,824	Allergy, Immunology and Transplantation Research	130219	Children's Hosp/Cinn
93.855	I	N	8,279	Allergy, Immunology and Transplantation Research	130219/PO#31002	Children's Hosp/Cinn
93.855	I	N	25,642	Allergy, Immunology and Transplantation Research	1928-11-02	Childrens Research Institute
93.855	I	N	85,422	Allergy, Immunology and Transplantation Research	1R43A1096569-01	Novan Inc.
93.855	I	N	34,591	Allergy, Immunology and Transplantation Research	2	Trust Columbia Un NY
93.855	I	N	-1,250	Allergy, Immunology and Transplantation Research	2000056553	Johns Hopkins Univ
93.855	I	N	6,063,659	Allergy, Immunology and Transplantation Research	2000098352	Johns Hopkins Univ
93.855	I	N	24,714	Allergy, Immunology and Transplantation Research	2001057406	Johns Hopkins Univ

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93.855	I	N	364,671	Allergy, Immunology and Transplantation Research	2009-2312	Univ Calif-Irvine
93.855	I	N	514	Allergy, Immunology and Transplantation Research	203-0868	Duke University
93.855	I	N	-10,424	Allergy, Immunology and Transplantation Research	203-0869	Duke University
93.855	I	N	640,392	Allergy, Immunology and Transplantation Research	203-0870	Duke University
93.855	I	N	11,621	Allergy, Immunology and Transplantation Research	203-0871	Duke University
93.855	I	N	8,868	Allergy, Immunology and Transplantation Research	203-0872	Duke University
93.855	I	N	19,996	Allergy, Immunology and Transplantation Research	203-0873	Duke University
93.855	I	N	239,267	Allergy, Immunology and Transplantation Research	203-1099	Duke University
93.855	I	N	117,243	Allergy, Immunology and Transplantation Research	203-1380	Duke University
93.855	I	N	99,004	Allergy, Immunology and Transplantation Research	203-2315	Duke Univ Med Ctr
93.855	I	N	122,718	Allergy, Immunology and Transplantation Research	203-2319/203-13	Duke Univ Med Ctr
93.855	I	N	262,698	Allergy, Immunology and Transplantation Research	2031965	Duke Univ Med Ctr
93.855	I	N	85	Allergy, Immunology and Transplantation Research	212022-S4475	Fred Hutchinsn Can
93.855	I	N	921	Allergy, Immunology and Transplantation Research	2835409/P40669	Imperia Coleg London
93.855	I	N	14,334	Allergy, Immunology and Transplantation Research	2R44AI084284-03	G-Zero Therapeutics
93.855	I	N	41,402	Allergy, Immunology and Transplantation Research	302-0478	Duke Univ Med Ctr
93.855	I	N	-891	Allergy, Immunology and Transplantation Research	303-1082	Duke University
93.855	I	N	-4,635	Allergy, Immunology and Transplantation Research	303-1083	Duke University
93.855	I	N	83	Allergy, Immunology and Transplantation Research	303-1379	Duke University
93.855	I	N	-6,904	Allergy, Immunology and Transplantation Research	303-5612	Duke University
93.855	I	N	-3,670	Allergy, Immunology and Transplantation Research	303-5613	Duke University
93.855	I	N	-22,161	Allergy, Immunology and Transplantation Research	303-5892	Duke University
93.855	I	N	-29	Allergy, Immunology and Transplantation Research	303-5894	Duke University
93.855	I	N	-1,091	Allergy, Immunology and Transplantation Research	303-5896	Duke University
93.855	I	N	-5,589	Allergy, Immunology and Transplantation Research	412A775	Univ Wisconsin
93.855	I	N	137,480	Allergy, Immunology and Transplantation Research	559406	Univ of Pennsylvania
93.855	I	N	97,225	Allergy, Immunology and Transplantation Research	5R44AI084284-04	G-Zero Therapeutics
93.855	I	N	110,927	Allergy, Immunology and Transplantation Research	6119-1236-00-A	Univ of South Florida
93.855	I	N	141,688	Allergy, Immunology and Transplantation Research	638915	Univ of Wash-Seattle
93.855	I	N	-1,585	Allergy, Immunology and Transplantation Research	7228	Magee Women Rsh Inst
93.855	I	N	40,723	Allergy, Immunology and Transplantation Research	7264	Magee Women Rsh Inst
93.855	I	N	245,486	Allergy, Immunology and Transplantation Research	7281	Magee Women Rsh Inst
93.855	I	N	263,828	Allergy, Immunology and Transplantation Research	736772	Univ of Wash-Seattle
93.855	I	N	92,715	Allergy, Immunology and Transplantation Research	749398	Univ of Wash-Seattle
93.855	I	N	170	Allergy, Immunology and Transplantation Research	9-526-2885	Albert Einstein Coll
93.855	I	N	56,060	Allergy, Immunology and Transplantation Research	9-526-6257	Albert Einstein Coll
93.855	I	N	139,960	Allergy, Immunology and Transplantation Research	9004157 UNCCH B	Oregon Hlth Sciences
93.855	I	N	3,962	Allergy, Immunology and Transplantation Research	9500241409	Boston University
93.855	I	N	3,680	Allergy, Immunology and Transplantation Research	9500301035	Boston University
93.855	I	N	132,894	Allergy, Immunology and Transplantation Research	9500301461	Boston University
93.855	I	N	50,127	Allergy, Immunology and Transplantation Research	9500301463	Boston University
93.855	I	N	7,903	Allergy, Immunology and Transplantation Research	AI068641	Inst Clinical Rsch
93.855	I	N	433,412	Allergy, Immunology and Transplantation Research	AVGTIO103	Oregon Hlth Sciences
93.855	I	N	76,062	Allergy, Immunology and Transplantation Research	BRS-ACURE-Q-06-	Social & Scientific
93.855	I	N	-510	Allergy, Immunology and Transplantation Research	BRS-ACURE-S-11-	Social & Scientific
93.855	I	N	25,096	Allergy, Immunology and Transplantation Research	GC12065-138407	Univ of Virginia
93.855	I	N	327,568	Allergy, Immunology and Transplantation Research	N/A	Brigham Women's Hosp
93.855	I	N	720	Allergy, Immunology and Transplantation Research	P661628544	Univ of Minnesota
93.855	I	N	-18,891	Allergy, Immunology and Transplantation Research	P66162854R	Univ of Minnesota
93.855	I	N	-3,175	Allergy, Immunology and Transplantation Research	PO# 2000056553	Johns Hopkins Univ

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93.855	I	N	70,830	Allergy, Immunology and Transplantation Research	PO#2001317148	Johns Hopkins Univ
93.855	I	N	57,479	Allergy, Immunology and Transplantation Research	R41A1098192	Agile Sciences Inc
93.855	I	N	135,255	Allergy, Immunology and Transplantation Research	UF11263	Univ of Florida
93.856	D	N	-10,389	Microbiology and Infectious Diseases Research		
93.856	I	N	-185	Microbiology and Infectious Diseases Research	303-0936	Duke Univ Med Ctr
93.859	D	N	32,975,734	Biomedical Research and Research Training		
93.859	I	N	54,455	Biomedical Research and Research Training	0023300(122281-	Univ of Pit
93.859	I	N	20,520	Biomedical Research and Research Training	12-NIH-10009	Duke University
93.859	I	N	29,646	Biomedical Research and Research Training	154181	Duke University
93.859	I	N	18,337	Biomedical Research and Research Training	1P50GM09450301A1PO	Med College of Wisconsin, Inc.
93.859	I	N	61	Biomedical Research and Research Training	2009-1043	Syntrix Biosystems, Inc.
93.859	I	N	5,297	Biomedical Research and Research Training	203-0510	Duke University
93.859	I	N	56,779	Biomedical Research and Research Training	203-1083	Duke University
93.859	I	N	26,990	Biomedical Research and Research Training	203-1990	Duke Univ Med Ctr
93.859	I	N	72,881	Biomedical Research and Research Training	212851A	Univ of Oregon
93.859	I	N	260,137	Biomedical Research and Research Training	412K285	Univ Wisconsin
93.859	I	N	36,939	Biomedical Research and Research Training	431745-19071	Virginia Polytech Inst.
93.859	I	N	7,652	Biomedical Research and Research Training	44178-C	Univ of Chicago
93.859	I	N	165,085	Biomedical Research and Research Training	5 U01 GM094663-	Burnham Inst
93.859	I	N	141,864	Biomedical Research and Research Training	5P50GM094503-02	Medical College of Wisconsin
93.859	I	N	676	Biomedical Research and Research Training	5U01GM09466302/	Burnham Inst
93.859	I	N	90,206	Biomedical Research and Research Training	61-0859UNC	Michigan St Univ
93.859	I	N	109	Biomedical Research and Research Training	6114557/RFS9002	Univ Mass
93.859	I	N	101	Biomedical Research and Research Training	6126037/RFS2011	Univ Mass
93.859	I	N	51,060	Biomedical Research and Research Training	6134813/RFS2012	Univ Mass
93.859	I	N	328,755	Biomedical Research and Research Training	659298	Jackson Laboratory
93.859	I	N	9,930	Biomedical Research and Research Training	9-526-2457	Albert Einstein Coll
93.859	I	N	-3,389	Biomedical Research and Research Training	9-526-4689	Albert Einstein Coll
93.859	I	N	78,757	Biomedical Research and Research Training	9004929	Univ of Pit
93.859	I	N	1,777	Biomedical Research and Research Training	FY2012-096	Univ of Kansas
93.859	I	N	89,272	Biomedical Research and Research Training	M8446041102	Univ of Minnesota
93.859	I	N	79,883	Biomedical Research and Research Training	MUSC12-032	Med Univ of SC
93.859	I	N	92,180	Biomedical Research and Research Training	PO#200103	Jackson Laboratory
93.859	I	N	164	Biomedical Research and Research Training	PO#642466	Jackson Laboratory
93.859	I	N	9,393	Biomedical Research and Research Training	PO#659181	Jackson Laboratory
93.859	I	N	72,171	Biomedical Research and Research Training	R-11-0032	Univ of Houston
93.859	I	N	44,500	Biomedical Research and Research Training	S0182729	Univ of Cal-Santa Cruz
93.859	I	N	24,205	Biomedical Research and Research Training	T36gm095335	Carnegie Mellon University
93.859	I	N	51,626	Biomedical Research and Research Training	UA 12-009	Univ of Alabama
93.865	D	N	26,351,235	Child Health and Human Development Extramural Research		
93.865	I	N	7,424	Child Health and Human Development Extramural Research	000312141-002	Univ of Alabama-Birm
93.865	I	N	120,496	Child Health and Human Development Extramural Research	000378020-001	Univ of Alabama-Birm
93.865	I	N	61,385	Child Health and Human Development Extramural Research	000388010-011	Univ of Alabama-Birm
93.865	I	N	32,226	Child Health and Human Development Extramural Research	000426855-001	Univ of Alabama-Birm
93.865	I	N	66,437	Child Health and Human Development Extramural Research	1-312-0213113	RTI Rsch Triangle In
93.865	I	N	-83	Child Health and Human Development Extramural Research	1071750-3-46797	Research Fdn of Suny
93.865	I	N	84,029	Child Health and Human Development Extramural Research	1547811	Univ of Colorado
93.865	I	N	3,659	Child Health and Human Development Extramural Research	2012-2745	Univ Calif-Irvine
93.865	I	N	25,688	Child Health and Human Development Extramural Research	203-1390	Duke University
93.865	I	N	17,819	Child Health and Human Development Extramural Research	203-1829	Duke Univ Med Ctr

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93.865	I	N	95,833	Child Health and Human Development Extramural Research	3001141253	Univ of Michigan
93.865	I	N	160,304	Child Health and Human Development Extramural Research	40-4131/UNC	Nat Bureau Econ Res
93.865	I	N	26,803	Child Health and Human Development Extramural Research	4243-UNC-DHHS-0	Penn State Univ
93.865	I	N	8,648	Child Health and Human Development Extramural Research	554599	Univ of Wash-Seattle
93.865	I	N	-44	Child Health and Human Development Extramural Research	60028528	Northwestern Univ
93.865	I	N	62,484	Child Health and Human Development Extramural Research	60032241 UNC	Northwestern U Traf
93.865	I	N	8,166	Child Health and Human Development Extramural Research	60032241 UNCH	Northwestern U Traf
93.865	I	N	5,003	Child Health and Human Development Extramural Research	6707SC	University of California at San Francisco
93.865	I	N	135,914	Child Health and Human Development Extramural Research	727265	Univ of Wash-Seattle
93.865	I	N	562	Child Health and Human Development Extramural Research	F6207-01	New York University
93.865	I	N	16,790	Child Health and Human Development Extramural Research	GC12123-139438	Univ of Virginia
93.865	I	N	136,287	Child Health and Human Development Extramural Research	N/A	Southeast Technivent
93.865	I	N	75,885	Child Health and Human Development Extramural Research	PD301855-SC1024	VA Commonwealth Univ
93.865	I	N	25,443	Child Health and Human Development Extramural Research	S826076	Emory University
93.865	I	N	24,996	Child Health and Human Development Extramural Research	UA12-051	Univ of Alabama-Tusc
93.865	I	N	179,475	Child Health and Human Development Extramural Research	VUMC35301	Vanderbilt Univ Med C
93.866	D	N	4,888,759	Aging Research		
93.866	I	N	-9,278	Aging Research	0000004732	Univ MD-Baltimore
93.866	I	N	32,730	Aging Research	10-NIH-1034	Duke University
93.866	I	N	18,807	Aging Research	2001442722	Johns Hopkins Univ
93.866	I	N	19,284	Aging Research	23030.914940.66	Cty Hope Nat Med Ctr
93.866	I	N	50,275	Aging Research	5-31230	The Trustees of Columbia University
93.866	I	N	162,165	Aging Research	57884-9061	Cornell Univ
93.866	I	N	18,717	Aging Research	90055	Hebrew Rehab Ctr
93.866	I	N	57,746	Aging Research	A12418	Rensselaer Polytechn
93.866	I	N	8,572	Aging Research	N/A	Vortant Technologies
93.866	I	N	81,632	Aging Research	PO#50025514	Univ Southern Califo
93.867	D	N	3,639,074	Vision Research		
93.867	I	N	55,272	Vision Research	0255-5081-4609	Mt Sinai Sch of Med
93.867	I	N	7,996	Vision Research	10018990-01	Univ of Utah
93.867	I	N	66,344	Vision Research	120109-01	Blatek, Inc.
93.867	I	N	64,057	Vision Research	1R41EY021943-01	Vascular Pharmaceuti
93.867	I	N	-1,630	Vision Research	2000133834	Johns Hopkins Univ
93.867	I	N	182,343	Vision Research	2000540260	Johns Hopkins Univ
93.867	I	N	25,126	Vision Research	2001536656	Johns Hopkins Univ
93.867	I	N	20,841	Vision Research	2001590661	Johns Hopkins University
93.867	I	N	54,026	Vision Research	25 7010170 / EY012	West Michigan Univ
93.867	I	N	1,606	Vision Research	R073286137	Univ of Tennessee
93.879	D	N	81,362	Medical Library Assistance		
93.884	D	N	291,687	Grants for Primary Care Training and Enhancement		
93.918	D	N	706,413	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease		
93.924	D	N	14,968	Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants		
93.928	D	N	463,634	Special Projects of National Significance		
93.931	D	N	-644	Demonstration Grants to States for Community Scholarship		
93.936	I	N	-20,488	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program	0080.0126/830	Family Health Intrnl
93.941	D	N	122,579	HIV Demonstration, Research, Public and Professional Education Projects		
93.941	I	N	12,085	HIV Demonstration, Research, Public and Professional Education Projects	115793	Wake Forest Univ

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93.943	D	N	855,542	Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups		
93.945	D	N	730,982	Assistance Programs for Chronic Disease Prevention and Control		
93.964	D	N	137,976	Prevention and Public Health Fund (PPHF) Public Health Traineeships		
93.969	D	N	429,764	PPHF-2012 Geriatric Education Centers		
93.989	D	N	2,970,488	International Research and Research Training		
93.989	I	N	38,852	International Research and Research Training	5-R25TW008981-0	Stellenbosch Univer
93.989	I	N	9,879	International Research and Research Training	61-0012UNC	Michigan St Univ
93.989	I	N	-1,984	International Research and Research Training	IR25TW008981-01	Stellenbosch Univer
93.989	I	N	73,188	International Research and Research Training	N/A	Univ of Zambia
93.989	I	N	53,495	International Research and Research Training	VUMC 34750	Vanderbilt Univ Med C
93.989	I	N	5,675	International Research and Research Training	VUMC 35711	Vanderbilt Univ Med C
93.989	I	N	3,753	International Research and Research Training	VUMC 38423	Vanderbilt Univ Med C
93.989	I	N	7,359	International Research and Research Training	VUMC 38477	Vanderbilt Univ Med C
93.989	I	N	7,101	International Research and Research Training	VUMC 38480	Vanderbilt Univ Med C
93.989	I	N	24,762	International Research and Research Training	VUMC 39590	Vanderbilt Univ Med C
93.989	I	N	-847	International Research and Research Training	VUMC34750	Vanderbilt Univ Med C
93.989	I	N	29,522	International Research and Research Training	VUMC35568	Vanderbilt Univ Med C
93.989	I	N	-2,293	International Research and Research Training	VUMC35711	Vanderbilt Univ Med C
93.989	I	N	-18,473	International Research and Research Training	VUMC36767	Vanderbilt Univ Med C
93.991	I	N	38,606	Preventive Health and Health Services Block Grant	N/A	NE Dept SS
93.999	D	N	199,297	Test for Suppression Effects of Advanced Energy		
			520,809,299	Total — Research and Development — U. S. Department of Health and Human Services		
<b>Corporation for National and Community Service</b>						
94.006	D	N	332,554	AmeriCorps		
			332,554	Total — Research and Development — Corporation for National and Community Service		
<b>Social Security Administration</b>						
96.007	I	N	120	Social Security-Research and Demonstration	5001537-7 SANDE	Boston College
			120	Total — Research and Development — Social Security Administration		
<b>U. S. Department of Homeland Security</b>						
97	I	N	131,958	U. S. Department of Homeland Security	12-DHS-1035	Duke University
97	I	N	55,923	U. S. Department of Homeland Security	13-215017110 FA45	Univ of South Carolina
97	I	N	48,196	U. S. Department of Homeland Security	4-312-0212782	RTI
97	I	N	60,432	U. S. Department of Homeland Security	N/A	Natrl Hazard Mitigat
97.044	D	N	1,065,946	Assistance to Firefighters Grant		
97.061	D	N	2,243,427	Centers for Homeland Security		
97.061	I	N	4,838	Centers for Homeland Security	X9106025121	U. S. Dept of Commerce
97.061	I	N	2,860	Centers for Homeland Security	Z955901	Univ MD College Park
97.062	D	N	201,301	Scientific Leadership Awards		
97.077	D	N	14,955	Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection		
97.122	D	N	4,346,915	Bio-Preparedness Collaboratory		
			8,176,751	Total — Research and Development — U. S. Department of Homeland Security		

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<b><u>U. S. Agency for International Development</u></b>						
98	D	N	39,448,956	United States Agency for International Development		
98	I	N	550,624	United States Agency for International Development	0437.0158/80527	Family Health Intrnl
98	I	N	148,570	United States Agency for International Development	08-UNC-97	Kenan Institute Asia
98	I	N	7,727	United States Agency for International Development	09-002945-54	Univ of Cal-Davis
98	I	N	26,182	United States Agency for International Development	2007-1200-03	Oregon State Univ
98	I	N	304	United States Agency for International Development	2007-1200-04	Oregon State Univ
98	I	N	48,611	United States Agency for International Development	41060000-800004426	Purdue University
98	I	N	-16,505	United States Agency for International Development	5305/2001208509	Johns Hopkins Univ
98	I	N	447,752	United States Agency for International Development	6015-S03	Westat
98	I	N	-1,146	United States Agency for International Development	631548-8S-1204	Macro
98	I	N	49,527	United States Agency for International Development	AID-623-A-12-00	Intrahealth
98	I	N	-230	United States Agency for International Development	GHS-I-00-07-000	John Snow Institute
98	I	N	3,186	United States Agency for International Development	MSA-04-392	Eastern VA Med Sch
98	I	N	108,734	United States Agency for International Development	N/A	Right To Care
98	I	N	134,758	United States Agency for International Development	PPC-11-119	Eastern VA Med Sch
98	I	N	15,004	United States Agency for International Development	PPC-11-128	Eastern VA Med Sch
98	I	N	-182	United States Agency for International Development	PSA-06-09	Eastern VA Med Sch
98	I	N	238,485	United States Agency for International Development	RD011G-C	Oregon State Univ
98	I	N	71,963	United States Agency for International Development	UNC001	Witkoppen Hlth Welfa
98.001	D	N	882,518	USAID Foreign Assistance for Programs Overseas		
98.001	I	N	130,891	USAID Foreign Assistance for Programs Overseas	4-330-0212934	RTI International
98.001	I	N	327,060	USAID Foreign Assistance for Programs Overseas	425955-19070	Virginia Polytechnic Institute and State University
98.001	I	N	12,634	USAID Foreign Assistance for Programs Overseas	451008-19071	Virginia Polytechnic
98.012	I	N	97,797	USAID Development Partnerships for University Cooperation and Development	HED060-9748-LAC	Higher Educat Develo
			<u>42,733,220</u>	Total — Research and Development — U. S. Agency for International Development		
<b><u>Other Federal Assistance</u></b>						
99	D	N	36,960	Other Federal Assistance		
99	I	N	25,154	Other Federal Assistance		
			<u>62,114</u>	Total — Research and Development — Other Federal Assistance	SUBCONTRACT # 3-312-0212782	RTI International
			<u>867,990,292</u>	Total — Research and Development		
<b><u>CDBG-State Administered CDBG Cluster:</u></b>						
<b><u>U. S. Department of Housing and Urban Development</u></b>						
14.228	D	N	56,692,829	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii		
14.255	D	Y	34,193	ARRA - Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii - (Recovery Act Funded)		
			<u>56,727,022</u>	Total — CDBG-State Administered CDBG Cluster — U. S. Department of Housing and Urban Development		
			<u>56,727,022</u>	Total — CDBG -State Administered CDBG		

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<b>Statewide Data Systems—Cluster:</b>						
<b><u>U. S. Department of Education</u></b>						
84.372	D	N	235,200	Statewide Data Systems		
			235,200	Total — Statewide Data Systems Cluster — U. S. Department of Education		
			235,200	Total —Statewide Data Systems		
<b>Student Financial Assistance—Cluster:</b>						
<b><u>U. S. Department of Education</u></b>						
84.007	D	N	11,747,455	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	13,699,309	Federal Work-Study Program		
84.038	D	N	96,913,534	Federal Perkins Loan Program-Federal Capital Contributions		
84.063	D	N	774,441,548	Federal Pell Grant Program		
84.268	D	N	1,452,828,520	Federal Direct Student Loans		
84.379	D	N	655,790	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
84.408	D	N	2,775	Postsecondary Education Scholarships for Veteran's Dependents		
			2,350,288,931	Total — Student Financial Assistance Programs Cluster — U. S. Department of Education		
<b><u>U. S. Department of Health and Human Services</u></b>						
93.264	D	N	543,394	Nurse Faculty Loan Program (NFLP)		
93.342	D	N	3,710,376	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students		
93.364	D	N	410,204	Nursing Student Loans		
93.925	D	N	2,123,097	Scholarships for Health Professions Students from Disadvantaged Backgrounds		
			6,787,071	Total — Student Financial Assistance Programs Cluster — U. S. Department of Health and Human Services		
			2,357,076,002	Total —Student Financial Assistance		
<b>School Improvement Grants—Cluster:</b>						
<b><u>U. S. Department of Education</u></b>						
84.377	D	N	16,396,817	School Improvement Grants		
84.377	I	N	42,945	School Improvement Grants	C1U0156	State of Alabama Dept of Education
84.377	I	N	33,603	School Improvement Grants	C240564	State of Alabama Dept of Education
84.388	D	Y	20,257,697	ARRA - School Improvement Grants, Recovery Act		
			36,731,062	Total — School Improvement Grants Cluster — U. S. Department of Education		
			36,731,062	Total —School Improvement Grants		
<b>Supplemental Nutrition Assistance Program (SNAP) - Cluster:</b>						
<b><u>U. S. Department of Agriculture</u></b>						
10.551	D	N	2,476,935,617	Supplemental Nutrition Assistance Program		
10.561	D	N	85,437,500	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program		
			2,562,373,117	Total — Supplemental Nutrition Assistance Program (SNAP) — U. S. Department of Agriculture		
			2,562,373,117	Total —Supplemental Nutrition Assistance Program (SNAP)		

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<b><i>Special Education - Cluster (IDEA)</i></b>						
<b><u>U. S. Department of Education</u></b>						
84.027	D	N	342,957,277	Special Education-Grants to States		
84.173	D	N	11,172,038	Special Education-Preschool Grants		
84.173	I	N	29,356	Special Education-Preschool Grants	OCR 678-201438/CFM	Louisiana Dept of Education
			<u>354,158,671</u>	Total — Special Education Cluster (IDEA) — U. S. Department of Education		
			<u>354,158,671</u>	Total —Special Education (IDEA)		
<b><i>TANF - Cluster:</i></b>						
<b><u>U. S. Department of Health and Human Services</u></b>						
93.558	D	N	245,345,920	Temporary Assistance for Needy Families		
93.714	D	Y	704	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program		
			<u>245,346,624</u>	Total — TANF Cluster — U. S. Department of Health and Human Services		
			<u>245,346,624</u>	Total —TANF		
<b><i>Teacher Incentive Fund Cluster:</i></b>						
<b><u>U. S. Department of Education</u></b>						
84.374	I	N	7,322	Teacher Incentive Fund	FD 205548	Evidence Based Education Res & Evaluation
			<u>7,322</u>	Total — Teacher Incentive Fund Cluster — U. S. Department of Education		
			<u>7,322</u>	Total —Teacher Incentive Fund		
<b><i>Title I, Part A - Cluster:</i></b>						
<b><u>U. S. Department of Education</u></b>						
84.010	D	N	390,016,628	Title I Grants to Local Educational Agencies		
84.389	D	Y	171,116	ARRA - Title I Grants to Local Educational Agencies, Recovery Act		
			<u>390,187,744</u>	Total — Title I, Part A Cluster — U. S. Department of Education		
			<u>390,187,744</u>	Total —Title I, Part A		
<b><i>Teacher Quality Partnership Grants - Cluster:</i></b>						
<b><u>U. S. Department of Education</u></b>						
84.336	D	N	1,602,789	Teacher Quality Partnership Grants		
84.405	D	Y	1,094,676	ARRA - Teacher Quality Partnerships, Recovery Act		
			<u>2,697,465</u>	Total — Teacher Quality Partnership Grants Cluster — U. S. Department of Education		
			<u>2,697,465</u>	Total —Teacher Quality Partnership Grants		
<b><i>TRIO - Cluster:</i></b>						
<b><u>U. S. Department of Education</u></b>						
84.042	D	N	8,442,573	TRIO-Student Support Services		
84.044	D	N	2,494,311	TRIO-Talent Search		
84.047	D	N	4,601,818	TRIO-Upward Bound		



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84.066	D	N	1,537,351	TRIO-Educational Opportunity Centers		
84.217	D	N	479,591	TRIO-McNair Post-Baccalaureate Achievement		
			17,555,644	Total — TRIO Cluster — U. S. Department of Education		
			17,555,644	Total —TRIO		

***Transit Services Programs—Cluster:*****U. S. Department of Transportation**

20.513	D	N	3,476,138	Capital Assistance Program for Elderly Persons and Persons with Disabilities		
20.516	D	N	1,163,766	Job Access-Reverse Commute		
20.521	D	N	386,245	New Freedom Program		
			5,026,149	Total — Transit Services Programs Cluster — U. S. Department of Transportation		
			5,026,149	Total —Transit Services Programs		

***WIA—Cluster:*****U. S. Department of Labor**

17.258	D	N	22,972,174	WIA Adult Program		
17.258	I	N	271,197	WIA Adult Program	0-2020-33	Cape Fear COG
17.258	I	N	24	WIA Adult Program	11-2020-28-9900	Region Q
17.258	I	N	-738	WIA Adult Program	11-2020-33-9900	Cape Fear
17.258	I	N	1,308	WIA Adult Program	11-2020-34-9900	Capital Area
17.258	I	N	8,817	WIA Adult Program	11-2020-36-9900	Centralina
17.258	I	N	-1,032	WIA Adult Program	11-2020-37-9900	Charlotte
17.258	I	N	293,023	WIA Adult Program	11-2020-40-6169	Eastern Carolina Workforce Dev Board
17.258	I	N	-120	WIA Adult Program	11-2020-40-9900	Eastern Carolina
17.258	I	N	8,578	WIA Adult Program	11-2020-44-9900	Lumber River
17.258	I	N	2,086	WIA Adult Program	11-2020-49-1533	High Country COG
17.258	I	N	-2,371	WIA Adult Program	11-2020-54-9900	Reg Partnership
17.258	I	N	1,135	WIA Adult Program	11-2020-55-9900	Southwestern
17.258	I	N	1,067	WIA Adult Program	11-2020-60-9900	Triangle South
17.258	I	N	1,800	WIA Adult Program	12-2010-49-1380	Region D
17.258	I	N	209,161	WIA Adult Program	12-2020-28-9900	Region Q
17.258	I	N	10,796	WIA Adult Program	12-2020-29-9900	Mountain Area
17.258	I	N	260,182	WIA Adult Program	12-2020-33-9900	Cape Fear
17.258	I	N	30,215	WIA Adult Program	12-2020-34-9900	Capital Area
17.258	I	N	1,171,466	WIA Adult Program	12-2020-36-9900	Centralina
17.258	I	N	176,162	WIA Adult Program	12-2020-40-6035-07	East Carolina Workforce Development Board
17.258	I	N	52,494	WIA Adult Program	12-2020-40-9900	Eastern Carolina
17.258	I	N	981,945	WIA Adult Program	12-2020-44-9900	Lumber River
17.258	I	N	243,759	WIA Adult Program	12-2020-49-1380	Region D
17.258	I	N	207,018	WIA Adult Program	12-2020-49-1533	High Country COG
17.258	I	N	301,184	WIA Adult Program	12-2020-54-9900	Reg Partnership
17.258	I	N	211,804	WIA Adult Program	12-2020-55-9900	Southwestern
17.258	I	N	253,665	WIA Adult Program	12-2020-60-9900	Triangle South
17.258	I	N	40,668	WIA Adult Program	2020	ECWDB
17.258	I	N	134,377	WIA Adult Program	44-12-5227-Adl/DW/Yth	Lumber River Council of Government
17.258	I	N	122,596	WIA Adult Program	9-2020/2040-21-4597-03	Turning Point Workforce Development Board
17.258	I	N	110,000	WIA Adult Program	N/A	Council of Governments
17.258	I	N	359	WIA Adult Program	WIA-2011-0412-61-11-02	Mountain Area Workforce Development

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
17.258	I	N	86,439	WIA Adult Program	WIA-2011-0421-61-45-01	Buncombe County
17.258	I	N	39,741	WIA Adult Program	WIA-2012-0412-61-11-02	Mountain Area Workforce Development
17.258	I	N	39,462	WIA Adult Program	WIA-2012-0412-61-57-02	Mountain Area Workforce Development
17.258	I	N	24,746	WIA Adult Program	WIA-Aspire	Mountain Area Workforce Development
17.259	D	N	23,145,797	WIA Youth Activities		
17.259	I	N	167,435	WIA Youth Activities	0-0240-33	Cape Fear COG
17.259	I	N	79,014	WIA Youth Activities	11-2020-40-6169	Eastern Carolina Workforce Dev Board
17.259	I	N	4,886	WIA Youth Activities	11-2040-49-1533	High Country COG
17.259	I	N	267,842	WIA Youth Activities	12-2040-49-1380	Region D
17.259	I	N	181,118	WIA Youth Activities	12-2040-49-1533	High Country COG
17.259	I	N	123,472	WIA Youth Activities	2040 44 5465	Council of Government
17.259	I	N	98,208	WIA Youth Activities	44-12-5227-Adl/DW/Yth	Lumber River Council of Government
17.259	I	N	264,196	WIA Youth Activities	44-12-5467-Yth	LRCOG
17.259	I	N	71,063	WIA Youth Activities	9-2020/2040-21-4597-03	Turning Point Workforce Development Board
17.259	I	N	146,500	WIA Youth Activities	N/A	Council of Governments
17.278	D	N	32,570,498	WIA Dislocated Worker Formula Grants		
17.278	I	N	445,712	WIA Dislocated Worker Formula Grants	11-2020-40-6169	Eastern Carolina Workforce Dev Board
17.278	I	N	-26	WIA Dislocated Worker Formula Grants	11-2030-28-9900	Region Q
17.278	I	N	2,454	WIA Dislocated Worker Formula Grants	11-2030-33-9900	Cape Fear
17.278	I	N	-1,317	WIA Dislocated Worker Formula Grants	11-2030-34-9900	Capital Area
17.278	I	N	4,432	WIA Dislocated Worker Formula Grants	11-2030-36-9900	Centralina
17.278	I	N	4	WIA Dislocated Worker Formula Grants	11-2030-37-9900	Charlotte
17.278	I	N	-234	WIA Dislocated Worker Formula Grants	11-2030-40-9900	Eastern Carolina
17.278	I	N	-3,021	WIA Dislocated Worker Formula Grants	11-2030-43-9900	Kerr Tar
17.278	I	N	6,929	WIA Dislocated Worker Formula Grants	11-2030-44-9900	Lumber River
17.278	I	N	5,472	WIA Dislocated Worker Formula Grants	11-2030-49-1533	High Country COG
17.278	I	N	-165	WIA Dislocated Worker Formula Grants	11-2030-54-9900	Reg Partnership
17.278	I	N	369	WIA Dislocated Worker Formula Grants	11-2030-55-9900	Southwestern
17.278	I	N	4,209	WIA Dislocated Worker Formula Grants	11-2030-60-9900	Triangle South
17.278	I	N	156,541	WIA Dislocated Worker Formula Grants	12-2030-28-9900	Region Q
17.278	I	N	17,063	WIA Dislocated Worker Formula Grants	12-2030-29-9900	Mountain Area
17.278	I	N	350,601	WIA Dislocated Worker Formula Grants	12-2030-33-9900	Cape Fear
17.278	I	N	125,118	WIA Dislocated Worker Formula Grants	12-2030-34-9900	Capital Area
17.278	I	N	2,100,457	WIA Dislocated Worker Formula Grants	12-2030-36-9900	Centralina
17.278	I	N	381,762	WIA Dislocated Worker Formula Grants	12-2030-40-9900	Eastern Carolina
17.278	I	N	169,035	WIA Dislocated Worker Formula Grants	12-2030-43-9900	Kerr Tar
17.278	I	N	511,465	WIA Dislocated Worker Formula Grants	12-2030-44-9900	Lumber River
17.278	I	N	202,821	WIA Dislocated Worker Formula Grants	12-2030-49-1380	Region D
17.278	I	N	201,202	WIA Dislocated Worker Formula Grants	12-2030-49-1533	High Country COG
17.278	I	N	274,764	WIA Dislocated Worker Formula Grants	12-2030-54-9900	Reg Partnership
17.278	I	N	303,584	WIA Dislocated Worker Formula Grants	12-2030-55-9900	Southwestern
17.278	I	N	281,899	WIA Dislocated Worker Formula Grants	12-2030-60-9900	Triangle South
17.278	I	N	77,599	WIA Dislocated Worker Formula Grants	12-2031-1533OJT	High Country COG
17.278	I	N	39,156	WIA Dislocated Worker Formula Grants	12-2031-49-1380	Region D
17.278	I	N	168,598	WIA Dislocated Worker Formula Grants	12-2031-49-1533	High Country COG
17.278	I	N	59,437	WIA Dislocated Worker Formula Grants	2020	ECWDB
17.278	I	Y	-4,248	ARRA - WIA Dislocated Worker Formula Grants	11-6010-40-9900	Eastern Carolina
			<u>91,266,888</u>	Total — WIA Cluster — U. S. Department of Labor		
			<u>91,266,888</u>	Total —WIA		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

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Federal CFDA Number	Direct/ Indirect	ARRA	Program Expenditures	CFDA Program Title	Pass-Through Number	Pass-Through Entity Name
<b>Other Programs:</b>						
<b><u>U. S. Department of Agriculture</u></b>						
10	D	N	1,150,737	U. S. Department of Agriculture		
10	I	N	12,663	U. S. Department of Agriculture	018000.340452.19	Mississippi State Univ
10	I	N	49,879	U. S. Department of Agriculture	2011-1529	Carolina Farm Stewardship
10	I	N	2,515	U. S. Department of Agriculture	2012-0577	Farm Pilot Proj Coord
10	I	N	10,415	U. S. Department of Agriculture	392124409666122 P	Tuskegee University
10	I	N	23,219	U. S. Department of Agriculture	RC100236NC	The Regents of the Univeristy of Michigan
10.001	D	N	44,312	Agricultural Research-Basic and Applied Research		
10.025	D	N	2,424,418	Plant and Animal Disease, Pest Control, and Animal Care		
10.153	D	N	5,000	Market News		
10.163	D	N	163,169	Market Protection and Promotion		
10.168	D	N	59,284	Farmers' Market Promotion Program		
10.169	D	N	9,333	Specialty Crop Block Grant Program		
10.170	D	N	1,050,855	Specialty Crop Block Grant Program-Farm Bill		
10.170	I	N	1,219	Specialty Crop Block Grant Program-Farm Bill	2013-2155	NC Nursery & Landscape Assoc.
10.200	D	N	114,252	Grants for Agricultural Research, Special Research Grants		
10.200	I	N	20,674	Grants for Agricultural Research, Special Research Grants	1300230958	University of Florida
10.200	I	N	914	Grants for Agricultural Research, Special Research Grants	NCSU-DANIELS, SRAC	Mississippi State
10.203	D	N	163,403	Payments to Agricultural Experiment Stations Under the Hatch Act		
10.206	D	N	1,944,966	Grants for Agricultural Research-Competitive Research Grants		
10.210	D	N	320,240	Food and Agricultural Sciences National Needs Graduate Fellowship Grants		
10.212	I	N	6,953	Small Business Innovation Research	2013-0100	Farmhand Foods
10.212	I	N	8,000	Small Business Innovation Research	FD 205515	Mycosynthetix Inc
10.215	I	N	9,800	Sustainable Agriculture Research and Education	RD309-101/4894886	Univ of Georgia
10.215	I	N	5,369	Sustainable Agriculture Research and Education	RD309-105/4692998	Univ of Georgia
10.215	I	N	3,663	Sustainable Agriculture Research and Education	RD309-117/4893496	Univ of Georgia
10.215	I	N	18,333	Sustainable Agriculture Research and Education	RD309-122/4941316	Univ of Georgia
10.215	I	N	19,694	Sustainable Agriculture Research and Education	RE675-116/4892326	Univ of Georgia
10.215	I	N	14,547	Sustainable Agriculture Research and Education	UF10247	Univ of Florida
10.216	D	N	309,930	1890 Institution Capacity Building Grants		
10.216	I	N	17,837	1890 Institution Capacity Building Grants	28300-2012-0022	Southern Univ A&M
10.217	D	N	129,499	Higher Education Challenge Grants		
10.217	I	N	33,811	Higher Education Challenge Grants	320699-19071	Virginia Polytechnic
10.217	I	N	1	Higher Education Challenge Grants	4692678	Univ of Georgia
10.217	I	N	41,172	Higher Education Challenge Grants	63630	Ohio State University
10.217	I	N	16,115	Higher Education Challenge Grants	RH150-127/3840028	Univ of Georgia
10.217	I	N	92,832	Higher Education Challenge Grants	UF11254	Univ of Florida
10.220	D	N	36,253	Higher Education Multicultural Scholars Program		
10.224	D	N	65,194	Fund for Rural America-Research, Education, and Extension Activities		
10.250	D	N	299,484	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations		
10.255	I	N	14,426	Research Innovation and Development Grants in Economic (RIDGE)	018000.321470.17	Mississippi State University
10.303	D	N	154,790	Integrated Programs		
10.303	I	N	63,254	Integrated Programs	1590-207-2008693	Clemson University
10.303	I	N	267,011	Integrated Programs	451008	Texas A&M Univ
10.303	I	N	6,437	Integrated Programs	545850-19071	Virginia Polytechnic
10.303	I	N	3,287	Integrated Programs	SUBAWARD NO 451012	Texas A&M University
10.304	I	N	17,035	Homeland Security-Agricultural	RC101676NCSU	Michigan State University
10.304	I	N	21,781	Homeland Security-Agricultural	UF12232	Univ of Florida

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
10.305	D	N	97,766	International Science and Education Grants		
10.308	I	N	3,406	Resident Instruction Grants for Insular Area Activities	CR-2007-38820-18574	Virginia State University
10.309	I	N	4,775	Specialty Crop Research Initiative	H001545603	Univ of Minnesota
10.310	D	N	905,682	Agriculture and Food Research Initiative (AFRI)		
10.310	I	N	31,977	Agriculture and Food Research Initiative (AFRI)	25-6239-0235-305	Univ of Nebraska-Lincoln
10.310	I	N	60,460	Agriculture and Food Research Initiative (AFRI)	62524-9497	Cornell University
10.310	I	N	50,109	Agriculture and Food Research Initiative (AFRI)	S110031	Texas A&M Univ
10.310	I	N	27,582	Agriculture and Food Research Initiative (AFRI)	UF11100	University of Florida
10.310	I	N	602,256	Agriculture and Food Research Initiative (AFRI)	UF11102	Univ of Florida
10.310	I	N	106,882	Agriculture and Food Research Initiative (AFRI)	Z552806	Univ of Maryland
10.311	D	N	201,264	Beginning Farmer and Rancher Development Program		
10.311	I	N	2,932	Beginning Farmer and Rancher Development Program	2012-0276	Inter-faith Food Shuttle
10.311	I	N	106,634	Beginning Farmer and Rancher Development Program	2140-2	Nat'l Ctr For Appropriate Tech
10.319	I	N	11,326	Farm Business Management and Benchmarking Competitive Grants Program	#FAR-0020967	North Dakota State Univ
10.435	D	N	96,478	State Mediation Grants		
10.455	D	N	48,044	Community Outreach and Assistance Partnership Program		
10.459	D	N	70,887	Commodity Partnerships for Small Agricultural Risk Management Education Sessions		
10.475	D	N	4,079,299	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection		
10.479	D	N	155,583	Food Safety Cooperative Agreements		
10.500	D	N	13,939,215	Cooperative Extension Service		
10.500	I	N	1,625	Cooperative Extension Service	012000-340471-02	Mississippi State
10.500	I	N	1,160	Cooperative Extension Service	10-ACES-374584-NCS	Auburn University
10.500	I	N	322	Cooperative Extension Service	12-006968H 00	Univ of Massachusetts
10.500	I	N	7,349	Cooperative Extension Service	12-057	Univ of New Hampshire
10.500	I	N	25,753	Cooperative Extension Service	21662-05	Univ of Arkansas
10.500	I	N	1,802	Cooperative Extension Service	23492-01	Univ of Arkansas
10.500	I	N	9,532	Cooperative Extension Service	25-3635-0030-101	Univ of Nebraska-Lincoln
10.500	I	N	2,193	Cooperative Extension Service	25-6329-0059-809	Univ of Nebraska-Lincoln
10.500	I	N	65,547	Cooperative Extension Service	25-6365-0030-101	Univ of Nebraska-Lincoln
10.500	I	N	25,542	Cooperative Extension Service	25-6365-0030-103	Univ of Nebraska-Lincoln
10.500	I	N	453,482	Cooperative Extension Service	25-6365-0040-005	Univ of Nebraska-Lincoln
10.500	I	N	9,023	Cooperative Extension Service	25-6365-0040-115	Univ of Nebraska-Lincoln
10.500	I	N	8,270	Cooperative Extension Service	25-6365-0040-132	Univ of Nebraska-Lincoln
10.500	I	N	5,074	Cooperative Extension Service	26-6365-0001-357	Univ of Nebraska-Lincoln
10.500	I	N	161,375	Cooperative Extension Service	26-6365-0001-401	Univ of Nebraska
10.500	I	N	97,944	Cooperative Extension Service	26-6365-0001-407	Univ of Nebraska-Lincoln
10.500	I	N	1,219	Cooperative Extension Service	3048106543-10-119	Univ of Kentucky Res. Found.
10.500	I	N	19,864	Cooperative Extension Service	3048107987-09-439	Univ of Kentucky Res. Found.
10.500	I	N	8,323	Cooperative Extension Service	52473	Louisiana State Univ
10.500	I	N	40,746	Cooperative Extension Service	H000756404	Univ of Minnesota
10.500	I	N	2,509	Cooperative Extension Service	RE-675-167/4941466	Univ of Georgia
10.500	I	N	28	Cooperative Extension Service	S08046	Kansas State University
10.500	I	N	3,040	Cooperative Extension Service	S11085	Kansas State University
10.500	I	N	109,873	Cooperative Extension Service	S11218	Kansas State University
10.500	I	N	88,618	Cooperative Extension Service	S12020	Kansas State University
10.500	I	N	28,195	Cooperative Extension Service	S12065	Kansas State University
10.500	I	N	7,585	Cooperative Extension Service	UF-12073	University of Florida
10.557	D	N	198,728,608	Special Supplemental Nutrition Program for Women, Infants, and Children		
10.558	D	N	91,506,316	Child and Adult Care Food Program		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
10.558	I	N	18,015	Child and Adult Care Food Program	N/A	USDA
10.560	D	N	7,836,835	State Administrative Expenses for Child Nutrition		
10.567	D	N	631,476	Food Distribution Program on Indian Reservations		
10.572	D	N	144,545	WIC Farmers' Market Nutrition Program (FMNP)		
10.576	D	N	73,832	Senior Farmers Market Nutrition Program		
10.578	D	N	1,021,212	WIC Grants To States (WGS)		
10.578	D	Y	7,765,802	ARRA - WIC Grants To States (WGS)		
10.579	D	N	365,994	Child Nutrition Discretionary Grants Limited Availability		
10.582	D	N	3,601,193	Fresh Fruit and Vegetable Program		
10.588	D	N	29,884	Assessment of Alternatives to Face-to-Face Interviews in SNAP		
10.600	D	N	24,898	Foreign Market Development Cooperator Program		
10.652	D	N	563,114	Forestry Research		
10.664	D	N	3,516,356	Cooperative Forestry Assistance		
10.664	I	N	6,606	Cooperative Forestry Assistance	RE353-420/4786576	Univ of Georgia
10.664	I	N	1,700	Cooperative Forestry Assistance	RE353-420/478658	USDA Forest Service
10.676	D	N	5,144	Forest Legacy Program		
10.678	D	N	99,883	Forest Stewardship Program		
10.680	D	N	583,625	Forest Health Protection		
10.688	D	Y	442,363	ARRA - Recovery Act of 2009: Wildland Fire Management		
10.771	D	N	153,114	Rural Cooperative Development Grants		
10.777	D	N	12,341	Norman E. Borlaug International Agricultural Science and Technology Fellowship		
10.855	D	N	85,818	Distance Learning and Telemedicine Loans and Grants		
10.902	D	N	653,733	Soil and Water Conservation		
10.903	D	N	45,254	Soil Survey		
10.912	D	N	173,679	Environmental Quality Incentives Program		
10.912	I	N	204	Environmental Quality Incentives Program	2008-0116-028	Nat'l Fish & Wildlife Found.
10.912	I	N	21,095	Environmental Quality Incentives Program	RI-100-304-NC-STAT	Rodale Institute
10.962	D	N	34,080	Cochran Fellowship Program-International Training-Foreign Participant		
			<u>349,183,244</u>	Total — Other Programs — U. S. Department of Agriculture		

**U. S. Department of Commerce**

11	D	N	133,560	U. S. Department of Commerce		
11	I	N	169,967	U. S. Department of Commerce	627574-11S-1665	Macro International Inc
11	I	N	40,134	U. S. Department of Commerce	EA-133F-13-SE-0237	National Marine Fisheries Service
11	I	N	35,565	U. S. Department of Commerce	EA-133F-13-SE-0512	National Marine Fisheries Service
11	I	N	54,454	U. S. Department of Commerce	SA-13-03-NC	South Atlantic Fishery Management Council
11.008	I	N	18,595	NOAA Mission-Related Education Awards	4800-206-01	Oak Management Inc
11.303	D	N	158,171	Economic Development-Technical Assistance		
11.303	I	N	3,498	Economic Development-Technical Assistance	14.228	Town of Roseboro
11.417	D	N	951,577	Sea Grant Support		
11.417	I	N	3,144	Sea Grant Support	12-NCSF-1079	Duke University
11.417	I	N	6,293	Sea Grant Support	UF-12130	Univ of Florida
11.419	I	N	16,350	Coastal Zone Management Administration Awards	2012-2707	Chagrin River Watershed
11.431	I	N	23,408	Climate and Atmospheric Research	10029211/557723	Univ Pennsylvania
11.431	I	N	10,850	Climate and Atmospheric Research	13-2315/13540-FA93	University South Carolina
11.431	I	N	4,806	Climate and Atmospheric Research	NA09NMF4720414	Commercan Fisheries Fdn
11.431	I	N	1,675	Climate and Atmospheric Research	NO. NA221A-C	Oregon State Univ
11.431	I	N	37,679	Climate and Atmospheric Research	NOAA0034/05	Eastern Research Group
11.431	I	N	6,560	Climate and Atmospheric Research	PO41656/13540FA93/12020	University South Carolina
11.435	D	N	111,622	Southeast Area Monitoring and Assessment Program		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
11.439	D	N	129,474	Marine Mammal Data Program		
11.440	D	N	35,566	Environmental Sciences, Applications, Data, and Education		
11.459	D	N	12,991	Weather and Air Quality Research		
11.459	I	N	19,127	Weather and Air Quality Research	NNC201213-NOAA	Nurture Nature Ctr
11.460	D	N	2,308	Special Oceanic and Atmospheric Projects		
11.469	D	N	173,344	Congressionally Identified Awards and Projects		
11.472	D	N	45,000	Unallied Science Program		
11.472	I	N	59,364	Unallied Science Program	FY2011-002	South Carolina Dept of Natural Resources
11.473	I	N	32,687	Coastal Services Center	93392/0000020121	Univ Georgia
11.550	D	N	356,941	Public Telecommunications Facilities Planning and Construction		
11.555	D	N	397,706	Public Safety Interoperable Communications Grant Program		
11.557	D	N	154,687	Broadband Technology Opportunities Program (BTOP)		
11.557	D	Y	1,479,941	ARRA - Broadband Technology Opportunities Program (BTOP)		
11.557	I	Y	64,934	ARRA - Broadband Technology Opportunities Program (BTOP)	37-42-B10529	WinstonNet Inc
11.609	D	N	255,292	Measurement and Engineering Research and Standards		
11.609	I	Y	3,507	ARRA - Measurement and Engineering Research and Standards	22536/60NANB10D016	Univ Delaware
11.611	D	N	2,290,826	Manufacturing Extension Partnership		
			7,301,603	Total — Other Programs — U. S. Department of Commerce		

**U. S. Department of Defense**

12	D	N	3,099,694	U. S. Department of Defense		
12	I	N	511,362	U. S. Department of Defense	2011-LTC-U634009-N	Institute of Int'l Education
12	I	N	59,265	U. S. Department of Defense	2011-ROTCU634009-N	Institute of Nat'l Education
12	I	N	3,792	U. S. Department of Defense	2012 REAP IN STATI	Academy of Applied Science
12	I	N	29,765	U. S. Department of Defense	FWL-SC-11201-01	Foresight Wireless, LLC
12	I	N	51,637	U. S. Department of Defense	N/A	MCCYN
12	I	N	42,635	U. S. Department of Defense	SUBCONTRACT MP-NC A&T SU-SC	Binary Group, Inc
12	I	N	5,116	U. S. Department of Defense	SUBCONTRACT NO. 29	ABT Associates
12.002	D	N	31,497	Procurement Technical Assistance For Business Firms		
12.114	D	N	110,625	Collaborative Research and Development		
12.300	D	N	1,030,377	Basic and Applied Scientific Research		
12.300	I	N	153,861	Basic and Applied Scientific Research	1140165/PO224208	Carnegie Mellon Univ
12.300	I	N	16,714	Basic and Applied Scientific Research	201323A	Florida Institute of Tech
12.300	I	N	181,130	Basic and Applied Scientific Research	W9126G-12-2-0051	Co-op Ecosystem Studies Unit
12.357	I	N	322,667	ROTC Language and Culture Training Grants	2012-GO-NCSTATE	Institute of Int'l Education
12.400	D	N	3,331,769	Military Construction, National Guard		
12.400	D	Y	517,925	ARRA - Military Construction, National Guard		
12.401	D	N	22,866,242	National Guard Military Operations and Maintenance (O&M) Projects		
12.404	D	N	3,239,873	National Guard ChalleNGe Program		
12.420	D	N	1,611,366	Military Medical Research and Development		
12.431	D	N	1,837,561	Basic Scientific Research		
12.431	I	N	159,140	Basic Scientific Research	2010-04989-01	University of Illinois
12.431	I	N	244,736	Basic Scientific Research	UHC628537981	Univ Connecticut
12.579	I	N	582,807	Language Training Center	2012-LTC-NCSU	Institute of Int'l Education
12.630	D	N	221,043	Basic, Applied, and Advanced Research in Science and Engineering		
12.630	I	N	17,139	Basic, Applied, and Advanced Research in Science and Engineering	DOE SEP-5-12/W911NF-10-2-0076	Academy of Applied Science
12.750	D	N	9,032	Uniformed Services University Medical Research Projects		
12.800	D	N	586,416	Air Force Defense Research Sciences Program		
12.800	I	N	29,660	Air Force Defense Research Sciences Program	S10198C	Ball Aeorospace & Tech
12.800	I	N	6,694	Air Force Defense Research Sciences Program	UF-EIES-1202005-UNC	University of Florida

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
12.900	D	N	84,785	Language Grant Program		
12.901	D	N	91,433	Mathematical Sciences Grants Program		
12.901	I	N	3,506	Mathematical Sciences Grants Program	245153	Simons Foundation
12.902	D	N	49,020	Information Security Grant Program		
12.910	I	N	16,938	Research and Technology Development	64016304-01	University of Central Florida
			<u>41,157,222</u>	Total — Other Programs — U. S. Department of Defense		

**U. S. Department of Housing and Urban Development**

14	D	N	8,035	U. S. Department of Housing and Urban Development		
14	I	N	42,106	U. S. Department of Housing and Urban Development	AGREEMENT DATED 4-1-11	City of Greensboro
14.169	D	N	21,497	Housing Counseling Assistance Program		
14.171	D	N	38,040	Manufactured Home Dispute Resolution		
14.231	D	N	2,387,227	Emergency Solutions Grant Program		
14.241	D	N	2,092,269	Housing Opportunities for Persons with AIDS		
14.401	D	N	126,237	Fair Housing Assistance Program-State and Local		
14.520	D	N	1,350,676	Historically Black Colleges and Universities Program		
14.703	I	N	85,986	Sustainable Communities Regional Planning Grant Program	5811-02/NCRIP0058-11	Centralina Council of Gov
14.703	I	N	37,344	Sustainable Communities Regional Planning Grant Program	FD 205441	Piedmont Authority for Regional Transportation
14.704	I	N	14,270	Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants	FD 205507	City of High Point
14.900	D	N	278,406	Lead-Based Paint Hazard Control in Privately-Owned Housing		
14.900	I	N	17,533	Lead-Based Paint Hazard Control in Privately-Owned Housing	2012-5156	City of Greensboro
14.900	I	N	3,111	Lead-Based Paint Hazard Control in Privately-Owned Housing	2013-5268	City of Greensboro
14.906	D	N	256,715	Healthy Homes Technical Studies Grants		
			<u>6,759,452</u>	Total — Other Programs — U. S. Department of Housing and Urban Development		

**U. S. Department of Interior**

15	D	N	5,175	U. S. Department of Interior		
15	I	N	17,827	U. S. Department of Interior	2012-000069	Puerto Rico Dept. NR
15.225	I	N	30,123	Recreation Resource Management	13005078A00	Univ Massachusetts
15.235	I	N	36,880	Southern Nevada Public Land Management	11784400	Univ Nevada Las Vegas
15.423	D	N	91,430	Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)		
15.608	I	N	12,934	Fish and Wildlife Management Assistance	191000.331301.07/4018	Mississippi State Univer
15.615	D	N	296,506	Cooperative Endangered Species Conservation Fund		
15.616	D	N	18,906	Clean Vessel Act		
15.622	D	N	6,767	Sportfishing and Boating Safety Act		
15.626	D	N	231,545	Enhanced Hunter Education and Safety Program		
15.634	D	N	2,433,093	State Wildlife Grants		
15.637	D	N	29,879	Migratory Bird Joint Ventures		
15.637	I	N	588	Migratory Bird Joint Ventures	191000.331301.06/40181	Mississippi State Univ
15.649	I	N	310	Service Training and Technical Assistance (Generic Training)	9014	Missouri State Univ
15.649	I	N	6,709	Service Training and Technical Assistance (Generic Training)	F12AC01055SUB13009	Missouri State Univ
15.650	D	N	21,754	Research Grants (Generic)		
15.657	D	N	173,531	Endangered Species Conservation - Recovery Implementation Funds		
15.660	D	N	28,799	Endangered Species-Candidate Conservation Action Funds		
15.669	D	N	16,892	Cooperative Landscape Conservation		
15.805	D	N	22,554	Assistance to State Water Resources Research Institutes		
15.808	D	N	110,413	U. S. Geological Survey- Research and Data Collection		
15.808	I	N	16,857	U. S. Geological Survey- Research and Data Collection	AV08NC01/08HQGR0157	America View

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
15.809	D	N	7,604	National Spatial Data Infrastructure Cooperative Agreements Program		
15.810	D	N	9,822	National Cooperative Geologic Mapping Program		
15.811	D	N	14,203	Gap Analysis Program		
15.816	D	N	19,827	Minerals Resources External Research Program		
15.904	D	N	956,155	Historic Preservation Fund Grants-In-Aid		
15.916	D	N	1,327,301	Outdoor Recreation-Acquisition, Development and Planning		
15.923	D	N	1,365	National Center for Preservation Technology and Training		
15.923	D	Y	14,104	ARRA - National Center for Preservation Technology and Training		
15.926	D	N	3,041	American Battlefield Protection		
15.931	D	N	33,596	Conservation Activities by Youth Service Organizations		
15.945	D	N	207,346	Cooperative Research and Training Programs - Resources of the National Park System		
			<u>6,203,836</u>	Total — Other Programs — U. S. Department of Interior		
<b>U. S. Department of Justice</b>						
16	D	N	722,289	U. S. Department of Justice		
16.013	D	N	54,273	Violence Against Women Act Court Training and Improvement Grants		
16.017	D	N	265,953	Sexual Assault Services Formula Program		
16.523	D	N	1,005,508	Juvenile Accountability Block Grants		
16.525	D	N	58,957	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus		
16.540	D	N	803,330	Juvenile Justice and Delinquency Prevention-Allocation to States		
16.548	D	N	9,610	Title V-Delinquency Prevention Program		
16.550	D	N	100,271	State Justice Statistics Program for Statistical Analysis Centers		
16.554	D	N	44,635	National Criminal History Improvement Program (NCHIP)		
16.560	D	N	169,590	National Institute of Justice Research, Evaluation, and Development Project Grants		
16.575	D	N	13,153,293	Crime Victim Assistance		
16.576	D	N	2,896,810	Crime Victim Compensation		
16.580	D	N	31,324	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program		
16.582	D	N	76,220	Crime Victim Assistance/Discretionary Grants		
16.585	D	N	170,774	Drug Court Discretionary Grant Program		
16.585	I	N	89,610	Drug Court Discretionary Grant Program	2010-DC-BX-0086	Wake County Finance
16.588	D	N	3,582,748	Violence Against Women Formula Grants		
16.588	I	N	107,477	Violence Against Women Formula Grants	2011-WE-AX-0066	Forsyth County
16.590	I	N	63,175	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program	2008-WE-AX-0014	Haywood County
16.590	I	N	103,065	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program	2011-WE-AX-0038	City of Durham
16.593	D	N	490,403	Residential Substance Abuse Treatment for State Prisoners		
16.606	D	N	3,009,157	State Criminal Alien Assistance Program		
16.607	D	N	82,505	Bulletproof Vest Partnership Program		
16.609	D	N	468,287	Project Safe Neighborhoods		
16.710	D	N	33,629	Public Safety Partnership and Community Policing Grants		
16.726	I	N	86,968	Juvenile Mentoring Program	554949 ALLEN, K.	National 4-H Council
16.726	I	N	21,282	Juvenile Mentoring Program	N/A	National 4-H Council
16.727	D	N	292,472	Enforcing Underage Drinking Laws Program		
16.731	I	N	20,809	Tribal Youth Program	2011-TY-FX-0031	National 4-H Council
16.735	D	N	271,240	Protecting Inmates and Safeguarding Communities Discretionary Grant Program		
16.740	D	N	328,573	Statewide Automated Victim Information Notification (SAVIN) Program		
16.741	D	N	3,036,376	DNA Backlog Reduction Program		



**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
16.742	D	N	256,541	Paul Coverdell Forensic Sciences Improvement Grant Program		
16.746	D	N	54,421	Capital Case Litigation		
16.751	D	N	23,680	Edward Byrne Memorial Competitive Grant Program		
16.751	I	N	266,931	Edward Byrne Memorial Competitive Grant Program	12-SA-161-1873	The Council of State Governments
16.753	D	N	126,145	Congressionally Recommended Awards		
16.758	I	N	5,666	Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers	14GREENNCSA12	Natl Children's Alliance
16.758	I	N	4,440	Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers	14GREENNCSA13	Childeren's Advocacy Center
16.800	D	Y	180,601	ARRA - Recovery Act - Internet Crimes against Children Task Force Program (ICAC)		
16.801	D	Y	68,889	ARRA - Recovery Act - State Victim Assistance Formula Grant Program		
16.816	D	N	141,051	John R. Justice Prosecutors and Defenders Incentive Act		
			<u>32,778,978</u>	Total — Other Programs — U. S. Department of Justice		

**U. S. Department of Labor**

17.002	D	N	1,845,936	Labor Force Statistics		
17.005	D	N	157,465	Compensation and Working Conditions		
17.225	D	N	2,551,163,343	Unemployment Insurance		
17.225	D	Y	723,215	ARRA - Unemployment Insurance		
17.235	D	N	2,361,374	Senior Community Service Employment Program		
17.245	D	N	9,839,430	Trade Adjustment Assistance		
17.260	D	N	271,459	WIA Dislocated Workers		
17.260	D	Y	201,689	ARRA - WIA Dislocated Workers		
17.260	I	N	149,237	WIA Dislocated Workers	0-2030-33	Cape Fear COG
17.260	I	N	2,431	WIA Dislocated Workers	12-2030-40-6035-07	East Carolina Workforce Development Board
17.260	I	N	73,846	WIA Dislocated Workers	44-12-5227-Adl/DW/Yth	Lumber River Council of Government
17.260	I	N	110,000	WIA Dislocated Workers	N/A	Council of Governments
17.260	I	N	127,567	WIA Dislocated Workers	WIA-2011-0421-61-45-01	Buncombe County
17.260	I	N	14,314	WIA Dislocated Workers	WIA-2012-0412-61-11-02	Mountain Area Workforce Development
17.260	I	N	24,742	WIA Dislocated Workers	WIA-2012-0412-61-57-02	Mountain Area Workforce Development
17.261	D	N	164,783	WIA Pilots, Demonstrations, and Research Projects		
17.265	D	N	263,983	Native American Employment and Training		
17.268	D	N	994,888	H-1B Job Training Grants		
17.271	D	N	542,888	Work Opportunity Tax Credit Program (WOTC)		
17.273	D	N	127,453	Temporary Labor Certification for Foreign Workers		
17.275	D	Y	1,807,507	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors		
17.275	I	N	150,966	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	SESP Grant 5015	LRCOG
17.275	I	Y	3,354	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	12-5015-49-1380	Region D
17.275	I	Y	47,083	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	12-5015-49-1533	High Country COG
17.275	I	Y	35,508	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	GJ-19871-10-60-A-11	Comm Workers of America
17.275	I	Y	26,559	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	N/A	MDC, Inc.
17.276	D	Y	739,579	ARRA - Health Care Tax Credit (HCTC) National Emergency Grants (NEGs)		
17.277	D	N	40,075	Workforce Investment Act (WIA) National Emergency Grants		
17.277	I	N	-548	Workforce Investment Act (WIA) National Emergency Grants	11-3736-28-9900	Region Q

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
17.277	I	N	941	Workforce Investment Act (WIA) National Emergency Grants	11-3736-40-9900	Eastern Carolina
17.277	I	Y	10,956	ARRA - Workforce Investment Act (WIA) National Emergency Grants	11-6015-49-1533	High Country COG
17.277	I	Y	18,000	ARRA - Workforce Investment Act (WIA) National Emergency Grants	12-6015-49-1380	Region D
17.277	I	Y	40,481	ARRA - Workforce Investment Act (WIA) National Emergency Grants	12-6015-49-1533	High Country COG
17.280	D	N	115,328	Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants		
17.281	D	N	2,198,526	Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training		
17.282	D	N	10,373,679	Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants		
17.282	I	N	2,263	Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants	TC-23794-12-60-A-20	Wichita Area Technical College
17.502	D	N	25,950	Occupational Safety and Health-Susan Harwood Training Grants		
17.503	D	N	6,170,840	Occupational Safety and Health-State Program		
17.504	D	N	1,679,288	Consultation Agreements		
17.600	D	N	148,310	Mine Health and Safety Grants		
			<u>2,592,794,688</u>	Total — Other Programs — U. S. Department of Labor		
<b><u>U. S. Department of State</u></b>						
19	I	N	62,526	U. S. Department of State	HOSTING AGREEMENT	Institute of Int'l Education
19.040	D	N	62,883	Public Diplomacy Programs		
19.501	D	N	203,726	Public Diplomacy Programs for Afghanistan and Pakistan		
			<u>329,135</u>	Total — Other Programs — U. S. Department of State		
<b><u>U. S. Department of Transportation</u></b>						
20	D	N	159,767	U. S. Department of Transportation		
20	I	N	556	U. S. Department of Transportation	11-031	Triangle Trans. Auth
20	I	N	3,912	U. S. Department of Transportation	173418	PB Americas, Inc.
20	I	N	11,871	U. S. Department of Transportation	2007-098-0230	Applied Pavement Technology
20	I	N	135,683	U. S. Department of Transportation	2012-2940	Triangle Transit Authority
20	I	N	16,530	U. S. Department of Transportation	DTRT-07-G-0004	University Of Tennessee-Knoxville
20.106	D	N	27,570,120	Airport Improvement Program		
20.200	I	N	24,847	Highway Research and Development Program	CJA2829C	Louis Berger Group, Inc.
20.215	D	N	9,955	Highway Training and Education		
20.218	D	N	9,512,787	National Motor Carrier Safety		
20.231	D	N	122,670	Performance and Registration Information Systems Management		
20.232	D	N	528,789	Commercial Driver's License Program Improvement Grant		
20.234	D	N	505,387	Safety Data Improvement Program		
20.237	D	N	905,116	Commercial Vehicle Information Systems and Networks		
20.313	D	N	38,703	Railroad Research and Development		
20.314	D	N	2,917,640	Railroad Development		
20.319	D	Y	38,565,766	ARRA - High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants		
20.505	D	N	2,016,714	Metropolitan Transportation Planning		
20.505	I	N	23,157	Metropolitan Transportation Planning	12-01115	City of Charlotte
20.509	D	N	22,128,649	Formula Grants for Rural Areas		
20.509	D	Y	11,806,008	ARRA - Formula Grants for Rural Areas		
20.604	D	N	278,514	Safety Incentive Grants for Use of Seatbelts		
20.614	D	N	5,707	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants		
20.700	D	N	323,127	Pipeline Safety Program State Base Grant		

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20.701	D	N	115,051	University Transportation Centers Program		
20.703	D	N	466,352	Interagency Hazardous Materials Public Sector Training and Planning Grants		
20.721	D	N	6,831	PHMSA Pipeline Safety Program One Call Grant		
20.931	D	N	74,932	Transportation Planning, Research and Education		
			<u>118,275,141</u>	Total — Other Programs — U. S. Department of Transportation		
<b><u>U. S. Appalachian Regional Commission</u></b>						
23.001	D	N	82,194	Appalachian Regional Development (See individual Appalachian Programs)		
23.011	D	N	670,850	Appalachian Research, Technical Assistance, and Demonstration Projects		
			<u>753,044</u>	Total — Other Programs — U. S. Appalachian Regional Commission		
<b><u>U. S. General Services Administration</u></b>						
39.003	D	N	9,020,690	Donation of Federal Surplus Personal Property		
			<u>9,020,690</u>	Total — Other Programs — U. S. General Services Administration		
<b><u>National Aeronautics and Space Administration</u></b>						
43	D	N	481,086	National Aeronautics and Space Administration		
43	I	N	842,454	National Aeronautics and Space Administration		
43	I	N	122,234	National Aeronautics and Space Administration	2011-0259	NC Smt Educ Ctr
43	I	N	81,885	National Aeronautics and Space Administration	LETTER DATED 9-23-03	Engineering Inc
43	I	N	7,344	National Aeronautics and Space Administration	SA7528121-B (CA)	Univ of Maryland
43.001	D	N	283,376	Science		
43.001	I	N	59,945	Science	12-002JNA	Delaware State University
43.001	I	N	25,056	Science	16228099	Univ of Central FL
43.001	I	N	56,761	Science	4100674052	Carnegie Inst Washington
43.001	I	N	29,905	Science	Z6477101/Z648601	Univ Maryland
43.003	D	N	23,171	Exploration		
43.008	D	N	3,920	Education		
			<u>2,017,137</u>	Total — Other Programs — National Aeronautics and Space Administration		
<b><u>National Endowment for the Arts and Humanities</u></b>						
45	I	N	4,433	Federal Council on the Arts and the Humanities	4202/2013	South Arts
45	I	N	15,555	Federal Council on the Arts and the Humanities	N/A	Chicago Zoological Society
45.024	D	N	22,279	Promotion of the Arts-Grants to Organizations and Individuals		
45.024	I	N	2,400	Promotion of the Arts-Grants to Organizations and Individuals	2012-13 Southern Circuit	Southern Arts
45.024	I	N	6,789	Promotion of the Arts-Grants to Organizations and Individuals	4095/4116/SARPAS	South Arts
45.025	D	N	935,656	Promotion of the Arts-Partnership Agreements		
45.129	I	N	2,153	Promotion of the Humanities-Federal/State Partnership	12-08	NC Humanities Council
45.129	I	N	7,656	Promotion of the Humanities-Federal/State Partnership	13-02	NC Humanities Coun.
45.149	D	N	2,918	Promotion of the Humanities-Division of Preservation and Access		
45.160	D	N	99,405	Promotion of the Humanities-Fellowships and Stipends		
45.160	I	N	54,168	Promotion of the Humanities-Fellowships and Stipends	FD 205491	Institute for Advanced Study
45.160	I	N	33,514	Promotion of the Humanities-Fellowships and Stipends	FD 205502	City of Chicago Newberry Library
45.161	D	N	246,308	Promotion of the Humanities-Research		
45.162	D	N	53,900	Promotion of the Humanities-Teaching and Learning Resources and Curriculum Development		
45.163	D	N	7,655	Promotion of the Humanities-Professional Development		
45.169	D	N	6,980	Promotion of the Humanities-Office of Digital Humanities		
45.309	D	N	27,392	Museum Grants for African American History and Culture		
45.310	D	N	4,208,381	Grants to States		

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45.312	D	N	84,514	National Leadership Grants		
45.312	I	N	75,056	National Leadership Grants	LG-0710-0235-10	Inst of Museum & Lib Svcs
45.313	D	N	440,175	Laura Bush 21st Century Librarian Program		
			<u>6,337,287</u>	Total — Other Programs — National Endowment for the Arts and Humanities		
<b>National Science Foundation</b>						
47	D	N	457,466	National Science Foundation		
47	I	N	7,369	National Science Foundation	C602	South Carolina Sea Grant Cnsrt
47	I	N	74,208	National Science Foundation	C802	South Carolina Sea Grant Cnsrt
47	I	N	1,486	National Science Foundation	LETTER 3.20.2012	Georgia State University
47	I	N	31,584	National Science Foundation	N/A	Council of Graduate Schools
47.041	D	N	2,668,589	Engineering Grants		
47.041	I	N	96,200	Engineering Grants	00007541/00006051	Univ of California-Berkeley
47.041	I	N	8,466	Engineering Grants	2012-90-01	Clemson University
47.041	I	N	47,345	Engineering Grants	21P19601	Texas Tech Univ
47.041	I	N	35,426	Engineering Grants	40B31-A	Research Fnd of CUNY
47.041	I	N	27,623	Engineering Grants	478496-19773/CMMI-1132373	Virginia Polytechnic Institute
47.041	I	N	13,112	Engineering Grants	SUB082112/0003361-CMMI-1234780	University of Rhode Island
47.041	I	N	15,398	Engineering Grants	SUB375-32565-1/CMMI-1055669	Clarkson University
47.049	D	N	1,364,559	Mathematical and Physical Sciences		
47.049	I	N	181,591	Mathematical and Physical Sciences	12-NSF-1026	Duke University
47.049	I	N	2,600	Mathematical and Physical Sciences	FD 205516	Mathematical Association of America
47.049	I	N	15,637	Mathematical and Physical Sciences	TSC-1034-34141	University of Wyoming
47.050	D	N	729,050	Geosciences		
47.050	I	N	10,205	Geosciences	07-081	University of New Hampshire
47.050	I	N	5,130	Geosciences	157560/OCDO939564	Univ So California
47.050	I	N	2,580	Geosciences	9055PO101018	Inst Global Environmental
47.050	I	N	5,019	Geosciences	RR167-564/4895706	Univ of GA
47.050	I	N	32,873	Geosciences	Y89526	Univ So California
47.070	D	N	3,115,315	Computer and Information Science and Engineering		
47.070	I	N	43,803	Computer and Information Science and Engineering	00000195(PO#P254493)	Brown University
47.070	I	N	24,031	Computer and Information Science and Engineering	09-059	Arizona State University
47.070	I	N	23,373	Computer and Information Science and Engineering	CCF-0729161	Rensselaer Polytechnic Institute
47.070	I	N	4,415	Computer and Information Science and Engineering	RD199-G2/CNS-1228352	Georgia Institute of Technology
47.074	D	N	1,429,481	Biological Sciences		
47.074	I	N	22,017	Biological Sciences	09-NSF-1033	Duke University
47.074	I	N	344,652	Biological Sciences	1475-206-2007430	Clemson University
47.074	I	N	38,794	Biological Sciences	BL-4824384-UNCC	Indiana University
47.074	I	N	42,118	Biological Sciences	FGA10618127098	Univ Virginia
47.074	I	N	72,898	Biological Sciences	PSA6458DEB0830024	Univ Connecticut
47.074	I	N	58,899	Biological Sciences	RC371-226-4941666	Univ of Georgia Res Fnd
47.075	D	N	1,016,167	Social, Behavioral, and Economic Sciences		
47.076	D	N	11,058,878	Education and Human Resources		
47.076	I	N	46,553	Education and Human Resources	# 3002578934	University of Michigan
47.076	I	N	4,078	Education and Human Resources	007797/L13-4500066	University of Cincinnati
47.076	I	N	14,799	Education and Human Resources	08-004	Wake Forest University
47.076	I	N	6,525	Education and Human Resources	08-SC-NSF-1031	Duke University
47.076	I	N	16,463	Education and Human Resources	2-312-0213140	Research Triangle Institute International
47.076	I	N	9,161	Education and Human Resources	20003901AS	Macalester College
47.076	I	N	12,983	Education and Human Resources	40783-00-01B	Research Fdn of CUNY

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47.076	I	N	104,426	Education and Human Resources	4101-48922	Purdue University
47.076	I	N	39,750	Education and Human Resources	61-2168UNCG	Michigan State University
47.076	I	N	70,234	Education and Human Resources	C00032406-1	Univ of Missouri-Columbia
47.076	I	N	1,721	Education and Human Resources	DELAT37G	Cleveland State University
47.076	I	N	2,493	Education and Human Resources	DRL 0929109	Nat'l Council For Sci & Envr
47.076	I	N	4,798	Education and Human Resources	G001103-7505	Southern Methodist Univ
47.076	I	N	14,739	Education and Human Resources	GA10652-128694	University of Virginia
47.076	I	N	11,103	Education and Human Resources	RF01170813/60018933	Ohio State University
47.076	I	N	17,890	Education and Human Resources	UF-EIES-1109030-NCA	University of Florida
47.076	I	N	12,504	Education and Human Resources	UF08119	Univ Florida
47.078	D	N	204,072	Polar Programs		
47.079	D	N	113,863	Office of International and Integrative Activities		
47.079	I	N	5,279	Office of International and Integrative Activities	13-NSF-1035	Duke University
47.079	I	N	44,064	Office of International and Integrative Activities	202200545-02	Florida International University
47.079	I	N	1,641	Office of International and Integrative Activities	3027-NCATS-NSF-0062	Pennsylvania State University
47.079	I	N	4,849	Office of International and Integrative Activities	RUB1-2984-IR-10	U. S. Civilian Rsch & Dev
47.080	D	N	89,147	Office of Cyberinfrastructure		
47.080	I	N	2,562	Office of Cyberinfrastructure	DUE-09027-47	Prince George Community College
47.081	D	N	81,090	Office of Experimental Program to Stimulate Competitive Research		
47.082	D	Y	1,702,423	ARRA - Trans-NSF Recovery Act Research Support		
47.082	I	Y	26,460	ARRA - Trans-NSF Recovery Act Research Support	BBN PO# 9500010135	Global Envir For Network Innov
47.082	I	Y	38,819	ARRA - Trans-NSF Recovery Act Research Support	CNS-0940805	Global Envir For Network Innov
			<u>25,832,846</u>	Total — Other Programs — National Science Foundation		
<b><u>U. S. Small Business Administration</u></b>						
59	D	N	87,749	U. S. Small Business Administration		
59.037	D	N	3,420,787	Small Business Development Centers		
59.044	D	N	179,907	Veterans Business Development		
59.058	D	N	37,733	Federal and State Technology Partnership Program		
59.061	D	N	787,298	State Trade and Export Promotion Pilot Grant Program		
			<u>4,513,474</u>	Total — Other Programs — U. S. Small Business Administration		
<b><u>U. S. Department of Veterans Affairs</u></b>						
64	D	N	18,752	U. S. Department of Veterans Affairs		
64.005	D	N	177,854	Grants to States for Construction of State Home Facilities		
64.005	D	Y	2,567,316	ARRA - Grants to States for Construction of State Home Facilities		
64.018	D	N	3,723	Sharing Specialized Medical Resources		
64.028	D	N	292,336	Post-9/11 Veterans Educational Assistance		
64.124	D	N	366,296	All-Volunteer Force Educational Assistance		
			<u>3,426,277</u>	Total — Other Programs — U. S. Department of Veterans Affairs		
<b><u>U. S. Environmental Protection Agency</u></b>						
66	D	N	86,690	U. S. Environmental Protection Agency		
66	I	N	6,049	U. S. Environmental Protection Agency	N/A	Oak Ridge Inst For Sci & Ed
66.032	D	N	218,117	State Indoor Radon Grants		
66.034	D	N	208,108	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act		
66.040	D	N	482,465	State Clean Diesel Grant Program		
66.127	D	N	1,161	Southeastern U. S. Regional Targeted Watershed Initiative		
66.202	D	N	743,233	Congressionally Mandated Projects		

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66.202	I	N	14,739	Congressionally Mandated Projects	1-321-0210976	RTI International
66.432	D	N	2,210,617	State Public Water System Supervision		
66.433	D	N	76,237	State Underground Water Source Protection		
66.454	D	N	312,414	Water Quality Management Planning		
66.456	D	N	613,495	National Estuary Program		
66.458	D	N	82,775,227	Capitalization Grants for Clean Water State Revolving Funds		
66.458	D	Y	15,153,774	ARRA - Capitalization Grants for Clean Water State Revolving Funds		
66.460	D	N	4,903,381	Nonpoint Source Implementation Grants		
66.460	I	N	33,110	Nonpoint Source Implementation Grants	BOLIN CRK WATERSHE	Town of Chapel Hill
66.460	I	N	42,790	Nonpoint Source Implementation Grants	N/A	Town of Carrboro, NC
66.461	I	N	30,698	Regional Wetland Program Development Grants	1114-01	Environmental Law Inst.
66.468	D	N	36,694,282	Capitalization Grants for Drinking Water State Revolving Funds		
66.468	D	Y	12,188,562	ARRA - Capitalization Grants for Drinking Water State Revolving Funds		
66.472	D	N	304,756	Beach Monitoring and Notification Program Implementation Grants		
66.474	D	N	54,342	Water Protection Grants to the States		
66.479	D	N	18,943	Wetland Program Grants- State/Tribal Environmental Outcome Wetland Demonstration Program		
66.509	I	N	25,348	Science To Achieve Results (STAR) Research Program	8000001508	Texas State Univ-San Marcos
66.514	D	N	6,470	Science To Achieve Results (STAR) Fellowship Program		
66.516	D	N	59,613	P3 Award: National Student Design Competition for Sustainability		
66.605	D	N	618,945	Performance Partnership Grants		
66.608	D	N	32,040	Environmental Information Exchange Network Grant Program and Related Assistance		
66.611	D	N	80,825	Environmental Policy and Innovation Grants		
66.701	D	N	75,929	Toxic Substances Compliance Monitoring Cooperative Agreements		
66.707	D	N	57,761	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals		
66.709	D	N	990	Multi-Media Capacity Building Grants for States and Tribes		
66.716	D	N	64,233	Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies		
66.717	D	N	61,218	Source Reduction Assistance		
66.801	D	N	2,279,942	Hazardous Waste Management State Program Support		
66.802	D	N	1,657,945	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements		
66.804	D	N	710,936	Underground Storage Tank Prevention, Detection and Compliance Program		
66.805	D	N	2,609,693	Leaking Underground Storage Tank Trust Fund Corrective Action Program		
			<u>165,515,078</u>	Total — Other Programs — U. S. Environmental Protection Agency		
<b><u>Nuclear Regulatory Commission</u></b>						
77	D	N	74,915	Nuclear Regulatory Commission		
77.008	D	N	34,489	U. S. Nuclear Regulatory Commission Scholarship and Fellowship Program		
			<u>109,404</u>	Total — Other Programs — Nuclear Regulatory Commission		
<b><u>U. S. Department of Energy</u></b>						
81	D	N	197,735	U. S. Department of Energy		
81	I	N	26,594	U. S. Department of Energy	12-2013 / PO NO. 4	University of South Carolina
81	I	N	2,345	U. S. Department of Energy	4000084130	UT-Battelle LLC
81	I	N	126	U. S. Department of Energy	N/A	Krell Institute
81	I	N	550,376	U. S. Department of Energy	XEU-0-99515-01	Alliance For Sustainable Energ
81	I	Y	158,054	ARRA - U. S. Department of Energy	CONTRACT DATED 10/12/11	City of Greensboro
81.041	D	N	865,811	State Energy Program		
81.041	D	Y	3,795,063	ARRA - State Energy Program		

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81.041	I	Y	1	ARRA - State Energy Program	20120054	Wilkes Co. Tax Collector
81.042	D	N	3,037,948	Weatherization Assistance for Low-Income Persons		
81.042	D	Y	16,735,809	ARRA - Weatherization Assistance for Low-Income Persons		
81.049	D	N	329,290	Office of Science Financial Assistance Program		
81.086	D	N	63,465	Conservation Research and Development		
81.086	D	Y	161,838	ARRA - Conservation Research and Development		
81.086	I	Y	399,352	ARRA - Conservation Research and Development	2009-2513-05	Triangle J Coun Govt
81.086	I	Y	72,994	ARRA - Conservation Research and Development	TJCOG-CBS-037 / 15	Triangle J Coun Govt
81.087	D	N	1,031,917	Renewable Energy Research and Development		
81.117	D	N	324,279	Energy Efficiency and Renewable Energy Information Dissemination, Outreach Training and Technical Analysis/Assistance		
81.117	I	N	62,741	Energy Efficiency and Renewable Energy Information Dissemination, Outreach Training and Technical Analysis/Assistance	SOLAR AMERICA CITI	ICLEI
81.117	I	N	9,097	Energy Efficiency and Renewable Energy Information Dissemination, Outreach Training and Technical Analysis/Assistance	Z713101	Univ of Maryland, College Park
81.119	D	N	29,770	State Energy Program Special Projects		
81.119	I	N	98,142	State Energy Program Special Projects	GT12-0237-001	Mississippi Development Auth.
81.121	D	N	70,669	Nuclear Energy Research, Development and Demonstration		
81.122	D	Y	431,691	ARRA - Electricity Delivery and Energy Reliability, Research, Development and Analysis		
81.128	D	Y	4,233,623	ARRA - Energy Efficiency and Conservation Block Grant Program (EECBG)		
81.129	D	N	17,364	Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization		
81.214	D	N	79,295	Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis		
			<u>32,785,389</u>	Total — Other Programs — U. S. Department of Energy		

**U. S. Department of Education**

84	D	N	1,693,698	U. S. Department of Education		
84.002	D	N	17,987,201	Adult Education-Basic Grants to States		
84.011	D	N	5,923,560	Migrant Education-State Grant Program		
84.011	I	N	39,966	Migrant Education-State Grant Program	# 120600	Texas State University San Marcos
84.011	I	N	57,795	Migrant Education-State Grant Program	# 8000121000	Texas State University San Marcos
84.011	I	N	68,932	Migrant Education-State Grant Program	PO 4500060115	Texas State University San Marcos
84.013	D	N	1,104,185	Title I State Agency Program for Neglected and Delinquent Children and Youth		
84.015	I	N	8,630	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	HC12717	East-West Center
84.016	D	N	72,492	Undergraduate International Studies and Foreign Language Programs		
84.031	D	N	41,968,333	Higher Education-Institutional Aid		
84.048	D	N	35,118,120	Career and Technical Education -- Basic Grants to States		
84.116	D	N	259,770	Fund for the Improvement of Postsecondary Education	144-907422	Univ of Wisconsin
84.116	I	N	30,099	Fund for the Improvement of Postsecondary Education	B-3	National Commission on Teaching and Americas Future
84.116	I	N	10,877	Fund for the Improvement of Postsecondary Education	P116B100202	CAEL
84.116	I	N	9,373	Fund for the Improvement of Postsecondary Education	UF IFAS 00070915	Univ of Florida
84.116	I	N	254	Fund for the Improvement of Postsecondary Education		
84.120	D	N	795,716	Minority Science and Engineering Improvement		
84.126	D	N	108,829,391	Rehabilitation Services-Vocational Rehabilitation Grants to States		
84.129	D	N	796,866	Rehabilitation Long-Term Training		
84.141	D	N	527,880	Migrant Education-High School Equivalency Program		
84.144	D	N	183,686	Migrant Education-Coordination Program		

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84.153	D	N	2,280	Business and International Education Projects		
84.161	D	N	319,396	Rehabilitation Services-Client Assistance Program		
84.169	D	N	407,335	Independent Living-State Grants		
84.177	D	N	680,339	Rehabilitation Services-Independent Living Services for Older Individuals Who are Blind		
84.181	D	N	12,452,225	Special Education-Grants for Infants and Families		
84.186	D	N	2,137	Safe and Drug-Free Schools and Communities-State Grants		
84.187	D	N	812,370	Supported Employment Services for Individuals with the Most Significant Disabilities		
84.196	D	N	1,804,635	Education for Homeless Children and Youth		
84.200	D	N	531,049	Graduate Assistance in Areas of National Need		
84.213	D	N	46,633	Even Start-State Educational Agencies		
84.215	D	N	110,195	Fund for the Improvement of Education		
84.224	D	N	359,051	Assistive Technology		
84.243	D	N	24,704	Tech-Prep Education		
84.265	D	N	217,738	Rehabilitation Training-State Vocational Rehabilitation Unit In-Service Training		
84.283	I	N	34,240	Comprehensive Centers	S-45000-05-013/P00	Edvantia, Inc
84.287	D	N	26,178,605	Twenty-First Century Community Learning Centers		
84.299	D	N	269,813	Indian Education -- Special Programs for Indian Children		
84.305	D	N	622,016	Education Research, Development and Dissemination		
84.305	I	N	224	Education Research, Development and Dissemination	2049-S1 R0305A080621	Vanderbilt University
84.305	I	N	29,636	Education Research, Development and Dissemination	GM 10087-125783	University of Virginia
84.315	D	N	405,449	Capacity Building for Traditionally Underserved Populations		
84.323	D	N	1,498,826	Special Education-State Personnel Development		
84.324	D	N	561,209	Research in Special Education		
84.324	I	N	134,069	Research in Special Education	224311A	University of Oregon
84.324	I	N	30,696	Research in Special Education	5-000561	Univ of California-Riverside
84.324	I	N	231,909	Research in Special Education	GM 10118-136450	University of Virginia
84.325	D	N	2,030,357	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities		
84.325	I	N	24,366	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities	# 83401	Salus University
84.326	D	N	1,895,011	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities		
84.326	I	N	54,030	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	FY2013-039/H326Y120005	Univ of Kansas Cntr Rsch
84.330	D	N	707,079	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants)		
84.331	D	N	338,891	Grants to States for Workplace and Community Transition Training for Incarcerated Individuals		
84.334	D	N	3,282,240	Gaining Early Awareness and Readiness for Undergraduate Programs		
84.335	D	N	32,013	Child Care Access Means Parents in School		
84.350	D	N	430,062	Transition to Teaching		
84.350	I	N	23,783	Transition to Teaching	SRV09TA-018	Guilford County School
84.358	D	N	6,529,324	Rural Education		
84.363	D	N	702,420	School Leadership		
84.365	D	N	13,168,864	English Language Acquisition State Grants		
84.366	D	N	7,048,471	Mathematics and Science Partnerships		
84.366	I	N	20,356	Mathematics and Science Partnerships	2011-2482	Catawba County Schools
84.366	I	N	478,039	Mathematics and Science Partnerships	553993 RAGAN, N.	Duplin County Schools
84.366	I	N	65,087	Mathematics and Science Partnerships	S366B110034	Beaufort Schools
84.367	D	N	54,649,322	Improving Teacher Quality State Grants		



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84.367	I	N	13,549	Improving Teacher Quality State Grants	07NC11SEED2012	Natl Writing Project
84.367	I	N	55,591	Improving Teacher Quality State Grants	92-NC08-SEED2012	National Writing Project
84.368	D	N	194,680	Grants for Enhanced Assessment Instruments		
84.368	I	N	197,501	Grants for Enhanced Assessment Instruments	ED11-0003-1	Arizona Department of Education
84.368	I	N	61,489	Grants for Enhanced Assessment Instruments	ED11-0003-2	Arizona Dept of Education
84.369	D	N	8,026,781	Grants for State Assessments and Related Activities		
84.371	D	N	41,329	Striving Readers		
84.373	I	N	529,345	Special Education-Technical Assistance on State Data Collection	A001945802	University of Minnesota
84.375	D	N	-488	Academic Competitiveness Grants		
84.378	D	N	3,198,515	College Access Challenge Grant Program		
84.382	D	N	1,616,140	Strengthening Minority-Serving Institutions		
84.390	D	Y	-452	ARRA - Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act		
84.394	D	Y	20,804	ARRA - State Fiscal Stabilization Fund (SFSF)-Education State Grants, Recovery Act		
84.395	D	Y	103,226,139	ARRA - State Fiscal Stabilization Fund (SFSF)-Race-to-the-Top Incentive Grants, Recovery Act		
84.395	I	Y	117,776	ARRA - State Fiscal Stabilization Fund (SFSF)-Race-to-the-Top Incentive Grants, Recovery Act	3-5400-157-311	Winston Salem Forsyth County Schools
84.407	D	N	460,862	Transition Programs for Students with Intellectual Disabilities into Higher Education		
84.410	D	Y	35,052,913	ARRA - Education Jobs Fund		
84.411	I	N	6,161	Investing in Innovation (i3) Fund	PO 41000001228	Guilford County Schools
84.411	I	N	48,083	Investing in Innovation (i3) Fund	SRV11TA-025	NC New Schools Project Inc
84.411	I	N	210,643	Investing in Innovation (i3) Fund	SRV12TA-026	NC New Schools Project Inc
84.411	I	N	55,929	Investing in Innovation (i3) Fund	U411B120049	Jobs for the Future
84.412	D	N	4,432,835	Race to the Top - Early Learning Challenge		
84.413	I	Y	100,143	ARRA - Race to the Top	2975-2012-0731/EP4822655	Southwest Educ Alliance
84.928	I	N	22,864	National Writing Project	07NC11SEED2012	Natl Writing Project
			<u>512,422,450</u>	Total — Other Programs — U. S. Department of Education		
<b><u>National Archives and Records Administration</u></b>						
89.003	D	N	51,283	National Historical Publications and Records Grants		
			<u>51,283</u>	Total — Other Programs — National Archives and Records		
<b><u>Other Boards and Commissions</u></b>						
90.401	D	N	1,144,559	Help America Vote Act Requirements Payments		
			<u>1,144,559</u>	Total — Other Programs — Other Boards and Commissions		
<b><u>U. S. Institute of Peace</u></b>						
91	I	N	2,000	United States Institute of Peace	2013-1574	U. S. Institute of Peace
91.004	D	N	2,000	Public Education for Peacebuilding Awards Program		
			<u>4,000</u>	Total — Other Programs — U. S. Institute of Peace		
<b><u>U. S. Department of Health and Human Services</u></b>						
93	D	N	570,479	U. S. Department of Health and Human Services		
93	I	N	1,607	U. S. Department of Health and Human Services	157805/188594	Duke University
93	I	N	24,895	U. S. Department of Health and Human Services	200-2008-27889	SciMetrika, LLC
93	I	N	119,051	U. S. Department of Health and Human Services	23120212356	Research Triangle Inst
93	I	N	4,587	U. S. Department of Health and Human Services	300001911301/08371001	Children's Research Inst
93	I	N	412,298	U. S. Department of Health and Human Services	30209/30215	Wake Forest Univ

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93	I	N	4,230	U. S. Department of Health and Human Services	70305	Univ Chicago
93	I	N	27,042	U. S. Department of Health and Human Services	7U01HL088942	MT SINAI SOM
93	I	N	10,185	U. S. Department of Health and Human Services	821/0080.0066	Family Health Inst
93	I	N	10,655	U. S. Department of Health and Human Services	ASPREE	Wake Forest Univ
93	I	N	2,742	U. S. Department of Health and Human Services	ECIGADOLESCENT	Amer Acad Child Psychiatry
93	I	N	64,573	U. S. Department of Health and Human Services	HHSF223201210130C	Food & Drug Administration
93	I	N	274	U. S. Department of Health and Human Services	LETTER DATED 7-27-11	The American Society for Cell Biology
93	I	N	625	U. S. Department of Health and Human Services	SR00002580	Univ Maryland
93	I	N	4,514	U. S. Department of Health and Human Services	SWOG0500	MT SINAI SOM
93.041	D	N	120,639	Special Programs for the Aging-Title VII, Chapter 3-Programs for Prevention of Elder Abuse, Neglect, and Exploitation		
93.042	D	N	322,161	Special Programs for the Aging-Title VII, Chapter 2-Long Term Care Ombudsman Services for Older Individuals		
93.043	D	N	578,631	Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services		
93.048	D	N	1,000,494	Special Programs for the Aging-Title IV-and Title II-Discretionary Projects		
93.051	D	N	639,485	Alzheimer's Disease Demonstration Grants to States		
93.052	D	N	4,950,896	National Family Caregiver Support, Title III, Part E		
93.069	D	N	3,240,372	Public Health Emergency Preparedness		
93.070	D	N	815,570	Environmental Public Health and Emergency Response		
93.072	D	N	252,503	Lifespan Respite Care Program		
93.074	D	N	16,544,258	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements		
93.086	I	N	4,649	Healthy Marriage Promotion and Responsible Fatherhood Grants	RE580-018/4940236	Univ of Georgia
93.087	D	N	434,410	Enhance Safety of Children Affected by Substance Abuse		
93.089	D	N	48,031	Emergency System for Advance Registration of Volunteer Health Professionals		
93.092	D	N	1,624,546	Affordable Care Act (ACA) Personal Responsibility Education Program		
93.103	D	N	664,314	Food and Drug Administration-Research		
93.103	I	N	117,819	Food and Drug Administration-Research	11-AUFISI-360490-NC	Auburn Univ
93.103	I	N	12,142	Food and Drug Administration-Research	13-AUFISI-360490	Auburn University
93.103	I	N	7,670	Food and Drug Administration-Research	13-AUFISI-360490-NC	Auburn University
93.110	D	N	304,985	Maternal and Child Health Federal Consolidated Programs		
93.110	I	N	9,764	Maternal and Child Health Federal Consolidated Programs	MCHB1H30MC24046	Hemophilia of Georgia
93.110	I	N	53,385	Maternal and Child Health Federal Consolidated Programs	RS20110719-02	University of Oklahoma
93.110	I	N	45,307	Maternal and Child Health Federal Consolidated Programs	S854432	Emory University
93.113	D	N	818,047	Environmental Health		
93.113	I	N	123,795	Environmental Health	314301	Boston Med Center
93.113	I	N	119,300	Environmental Health	34002124974/0212794	Research Triangle Inst
93.113	I	N	247,470	Environmental Health	A120038003	Research Triangle Inst
93.116	D	N	1,965,294	Project Grants and Cooperative Agreements for Tuberculosis Control Programs		
93.121	I	N	18,257	Oral Diseases and Disorders Research	022272ECU2385	Harvard Univ
93.121	I	N	11,609	Oral Diseases and Disorders Research	WFUHS 56413	Wake Forest University Health Sciences
93.124	D	N	103,798	Nurse Anesthetist Traineeships		
93.127	D	N	59,950	Emergency Medical Services for Children		
93.130	D	N	239,959	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices		
93.136	D	N	1,010,222	Injury Prevention and Control Research and State and Community Based Programs		
93.137	I	N	24,473	Community Programs to Improve Minority Health Grant Program	20090188	Greene Co Health
93.150	D	N	864,427	Projects for Assistance in Transition from Homelessness (PATH)		
93.153	D	N	545,698	Coordinated Services and Access to Research for Women, Infants, Children, and Youth		

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93.172	I	N	4,271	Human Genome Research	1090321-265147	Carnegie-Mellon University
93.173	D	N	105,286	Research Related to Deafness and Communication Disorders		
93.191	D	N	166,449	Graduate Psychology Education Program and Patient Navigator and Chronic Disease Prevention Program		
93.204	D	N	23,159	Surveillance of Hazardous Substance Emergency Events		
93.213	D	N	306,042	Research and Training in Complementary and Alternative Medicine		
93.213	I	N	140,391	Research and Training in Complementary and Alternative Medicine	# 724787	University of Washington
93.213	I	N	17,375	Research and Training in Complementary and Alternative Medicine	219820-5R21AT006123-02	Mass General Hospital
93.213	I	N	2,550	Research and Training in Complementary and Alternative Medicine	2R44AT003365-02-01	OrganiPharm, LLC
93.217	D	N	5,760,692	Family Planning-Services		
93.226	I	N	40,582	Research on Healthcare Costs, Quality and Outcomes	Agreement DD 9/24/09	Carolinas Healthcare Sys
93.234	D	N	216,040	Traumatic Brain Injury State Demonstration Grant Program		
93.235	D	N	1,821,225	Affordable Care Act (ACA) Abstinence Education Program		
93.236	D	N	1,304,424	Grants to States to Support Oral Health Workforce Activities		
93.240	D	N	262,159	State Capacity Building		
93.241	D	N	543,009	State Rural Hospital Flexibility Program		
93.242	D	N	1,919,027	Mental Health Research Grants		
93.242	I	N	1,248	Mental Health Research Grants	# 639	Dartmouth College
93.242	I	N	140,043	Mental Health Research Grants	303-1237	Duke University
93.243	D	N	2,893,532	Substance Abuse and Mental Health Services-Projects of Regional and National Significance		
93.243	I	N	1,851	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	5-56062-40144-502440-160	Morehouse School of Medicine
93.243	I	N	8,615	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	T1023447	Morehouse School of Medicine
93.247	D	N	538,378	Advanced Nursing Education Grant Program		
93.250	D	N	70,211	Geriatric Academic Career Awards		
93.251	D	N	206,399	Universal Newborn Hearing Screening		
93.256	D	N	1,225,434	State Health Access Program		
93.262	D	N	100,387	Occupational Safety and Health Program		
93.262	I	N	7,965	Occupational Safety and Health Program	3048109702	Univ KY Research Fdn
93.262	I	N	11,186	Occupational Safety and Health Program	3049024962-13-107	Univ of Kentucky Res. Found.
93.262	I	N	14,632	Occupational Safety and Health Program	500836003	Univ Alabama Birmingham
93.262	I	N	8,815	Occupational Safety and Health Program	WUHS 11210	Wake Forest University Health Sciences
93.265	D	N	90,709	Comprehensive Geriatric Education Program(CGEP)		
93.268	D	N	114,687,098	Immunization Cooperative Agreements		
93.270	D	N	60,041	Adult Viral Hepatitis Prevention and Control		
93.273	D	N	2,275,745	Alcohol Research Programs		
93.273	I	N	80,891	Alcohol Research Programs	# 20130028	Prevention Strategies LLC
93.276	I	N	18,417	Drug-Free Communities Support Program Grants	130380001	Coastal Coalition
93.279	D	N	451,711	Drug Abuse and Addiction Research Programs		
93.279	I	N	103,450	Drug Abuse and Addiction Research Programs	# 20110207	Prevention Strategies LLC
93.279	I	N	11,635	Drug Abuse and Addiction Research Programs	36-0507-371/PO#cc	Temple University
93.279	I	N	122,037	Drug Abuse and Addiction Research Programs	360782-04760-02	Temple University
93.279	I	N	11,336	Drug Abuse and Addiction Research Programs	541750P03683	Northeastern University
93.279	I	N	561	Drug Abuse and Addiction Research Programs	542650P0902021	Northeastern University
93.279	I	N	47,796	Drug Abuse and Addiction Research Programs	B6296386201	University of Minnesota
93.283	D	N	8,001,765	Centers for Disease Control and Prevention-Investigations and Technical Assistance		
93.283	I	N	13,653	Centers for Disease Control and Prevention-Investigations and Technical Assistance	12095U50CDC300860	Assoc Prev Teach & Res

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93.283	I	N	3,116	Centers for Disease Control and Prevention-Investigations and Technical Assistance	FD 205499	Oak Ridge Institute for Science and Education
93.286	D	N	368	Discovery and Applied Research for Technological Innovations to Improve Human Health		
93.296	D	N	87,020	State Partnership Grant Program to Improve Minority Health		
93.301	D	N	178,725	Small Rural Hospital Improvement Grant Program		
93.307	D	N	1,359,201	Minority Health and Health Disparities Research		
93.307	I	N	115,072	Minority Health and Health Disparities Research	5RO1MD006127-02	Carolinas Healthcare Sys
93.351	D	N	922,245	Research Infrastructure Programs		
93.358	D	N	1,355,394	Advanced Education Nursing Traineeships		
93.359	I	N	13,757	Nurse Education, Practice Quality and Retention Grants	158595	Duke University
93.359	I	N	9,850	Nurse Education, Practice Quality and Retention Grants	158595-D08 HP11272-02-00	Duke University
93.360	D	N	1,145,371	Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development		
93.361	D	N	166,486	Nursing Research		
93.389	D	N	314,118	National Center for Research Resources		
93.393	D	N	533,098	Cancer Cause and Prevention Research		
93.393	I	N	56,490	Cancer Cause and Prevention Research	# 729536	University of Washington
93.394	I	N	54,421	Cancer Detection and Diagnosis Research	26-1601-83-61	Univ of Texas-Arlington
93.394	I	N	17,053	Cancer Detection and Diagnosis Research	RF012984056003114369	Ohio State Univ
93.395	D	N	350,580	Cancer Treatment Research		
93.395	I	N	127,519	Cancer Treatment Research	# 60014860	Ohio State University
93.395	I	N	4,519	Cancer Treatment Research	40601	Univ Chicago
93.395	I	N	1,207	Cancer Treatment Research	B43/TFED35194	NSABBP
93.395	I	N	16,997	Cancer Treatment Research	M12A11243 (A08642)	Yale University
93.395	I	N	3,738	Cancer Treatment Research	U10CA021661	Amer Coll Radiology
93.396	D	N	141,864	Cancer Biology Research		
93.397	D	N	1,586,484	Cancer Centers Support Grants		
93.399	I	N	813	Cancer Control	70604	Cancer & Leukemia B
93.399	I	N	16,763	Cancer Control	TFED41S2/PFED21	NSABBP
93.405	D	Y	7,136	ARRA - Public Health Traineeship Program		
93.449	D	N	273,164	Ruminant Feed Ban Support Project		
93.500	D	N	1,901,101	Pregnancy Assistance Fund Program		
93.505	D	N	3,042,007	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program		
93.507	D	N	1,447,725	PPHF 2012 National Public Health Improvement Initiative		
93.509	D	N	19,285	Affordable Care Act (ACA) State Health Care Workforce Development Grants		
93.512	D	N	633,689	Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST)		
93.512	I	N	2,016	Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST)	FD 205487	NC Foundation for Advanced Health Program
93.517	D	N	200,122	Affordable Care Act - Aging and Disability Resource Center		
93.518	D	N	412,569	Affordable Care Act - Medicare Improvements for Patients and Providers		
93.519	D	N	775,653	Affordable Care Act (ACA) - Consumer Assistance Program Grants		
93.520	D	N	1,220,480	Centers for Disease Control and Prevention - Affordable Care Act (ACA) - Communities Putting Prevention to Work		
93.520	I	N	830	Centers for Disease Control and Prevention - Affordable Care Act (ACA) - Communities Putting Prevention to Work	1U58DP003053	Appalachian Health Dept
93.520	I	N	4,297	Centers for Disease Control and Prevention - Affordable Care Act (ACA) - Communities Putting Prevention to Work	A11019502	Pitt Co Health Dept

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93.521	D	N	1,181,522	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements		
93.525	D	N	13,050,975	State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges		
93.531	D	N	8,906,981	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants-financed solely by 2012 Prevention and Public Health Funds		
93.531	I	N	2,418	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants-financed solely by 2012 Prevention and Public Health Funds	2013-2775	Person County Health Dept
93.539	D	N	168,365	PPHF 2012-Prevention and Public Health Fund (Affordable Care Act)-Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds		
93.544	D	N	191,304	The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program		
93.548	D	N	818,656	PPHF2013: State Nutrition, Physical Activity, and Obesity Programs-financed in part by 2013 PPHF		
93.556	D	N	11,492,077	Promoting Safe and Stable Families		
93.560	D	N	-71,400	Family Support Payments to States-Assistance Payments		
93.563	D	N	90,613,852	Child Support Enforcement		
93.564	D	N	18,356	Child Support Enforcement Research		
93.566	D	N	5,407,627	Refugee and Entrant Assistance-State Administered Programs		
93.568	D	N	88,004,703	Low-Income Home Energy Assistance		
93.569	D	N	15,328,770	Community Services Block Grant		
93.576	D	N	772,973	Refugee and Entrant Assistance-Discretionary Grants		
93.584	D	N	833,619	Refugee and Entrant Assistance-Targeted Assistance Grants		
93.586	D	N	739,438	State Court Improvement Program		
93.590	D	N	1,606,800	Community-Based Child Abuse Prevention Grants		
93.597	D	N	268,136	Grants to States for Access and Visitation Programs		
93.599	D	N	919,393	Chafee Education and Training Vouchers Program (ETV)		
93.600	D	N	213,522	Head Start		
93.600	I	N	8,368	Head Start	FD 205555	Guilford Child Development
93.603	D	N	1,287,001	Adoption Incentive Payments		
93.617	D	N	339,953	Voting Access for Individuals with Disabilities-Grants to States		
93.630	D	N	1,691,947	Developmental Disabilities Basic Support and Advocacy Grants		
93.643	D	N	385,912	Children's Justice Grants to States		
93.645	D	N	10,413,584	Stephanie Tubbs Jones Child Welfare Services Program		
93.648	I	N	125	Child Welfare Research Training or Demonstration	E00030648-3	Univ of Missouri-Columbia
93.648	I	N	27,328	Child Welfare Research Training or Demonstration	E00034524-2	Univ of Missouri-Columbia
93.648	I	N	13,740	Child Welfare Research Training or Demonstration	E00038591-2	Univ of Missouri-Columbia
93.652	D	N	691,338	Adoption Opportunities		
93.658	D	N	70,402,753	Foster Care-Title IV-E		
93.658	D	Y	1,727	ARRA - Foster Care-Title IV-E		
93.659	D	N	48,337,311	Adoption Assistance		
93.659	D	Y	-4,233	ARRA - Adoption Assistance		
93.667	D	N	64,013,725	Social Services Block Grant		
93.669	D	N	702,086	Child Abuse and Neglect State Grants		
93.671	D	N	1,903,053	Family Violence Prevention and Services/Grants for Battered Women's Shelters-Grants to States and Indian Tribes		

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93.674	D	N	3,032,345	Chafee Foster Care Independence Program		
93.701	D	N	69,060	Trans-NIH Recovery Act Research Support		
93.701	D	Y	354,763	ARRA - Trans-NIH Recovery Act Research Support		
93.701	I	Y	21,733	ARRA - Trans-NIH Recovery Act Research Support	1R24MD00493001	Carolinas Healthcare Sys
93.701	I	Y	4,379	ARRA - Trans-NIH Recovery Act Research Support	1RC4AG038635-01	University of Iowa
93.701	I	Y	9,728	ARRA - Trans-NIH Recovery Act Research Support	8771-S17	Westat Inc
93.708	D	Y	1,615,187	ARRA - Head Start		
93.715	I	Y	82,240	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	Agreement Dated 2/27/12	Carolinas Healthcare Sys
93.717	D	Y	-35	ARRA - Preventing Healthcare-Associated Infections		
93.721	D	Y	5,323,855	ARRA - Health Information Technology Professionals in Health Care		
93.723	D	Y	47,340	ARRA - Prevention and Wellness-State, Territories and Pacific Islands		
93.725	D	Y	128,207	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program		
93.735	D	N	259,040	State Public Health Approaches for Ensuring Quitline Capacity - Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012)		
93.744	D	N	142,588	PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds		
93.745	D	N	64,567	PPHF-2012: Health Care Surveillance/Health Statistics - Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by 2012 Prevention and Public Health Funds (PPHF-2012)		
93.767	D	N	323,044,250	Children's Health Insurance Program		
93.768	D	N	196,067	Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities		
93.769	D	Y	29	ARRA - Demonstration to Maintain Independence and Employment		
93.773	D	N	7,663,795	Medicare-Hospital Insurance		
93.779	D	N	2,092,246	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations		
93.780	D	N	28,591,562	Grants to States for Operation of Qualified High-Risk Pools		
93.791	D	N	2,570,062	Money Follows the Person Rebalancing Demonstration		
93.793	D	N	-9,451	Medicaid Transformation Grants		
93.837	D	N	591,582	Cardiovascular Diseases Research		
93.837	I	N	7,573	Cardiovascular Diseases Research	148732	Duke University
93.837	I	N	45,864	Cardiovascular Diseases Research	2031147	Duke University
93.837	I	N	85,518	Cardiovascular Diseases Research	M12A11364	Yale University
93.839	I	N	79,536	Blood Diseases and Resources Research	5600719776	Baylor Univ Com
93.839	I	N	317	Blood Diseases and Resources Research	5R01HL095647	Cincinnati Child Hosp
93.846	D	N	1,169,665	Arthritis, Musculoskeletal and Skin Diseases Research		
93.846	I	N	43,902	Arthritis, Musculoskeletal and Skin Diseases Research	# 2039384	Duke University
93.846	I	N	10,759	Arthritis, Musculoskeletal and Skin Diseases Research	12002	Wake Forest Univ
93.846	I	N	40,669	Arthritis, Musculoskeletal and Skin Diseases Research	3048108355-12-317	Univ of Kentucky Rsch Fnd
93.846	I	N	9,123	Arthritis, Musculoskeletal and Skin Diseases Research	PO 1000749056	University of Iowa
93.847	D	N	1,493,393	Diabetes, Digestive, and Kidney Diseases Extramural Research		
93.847	I	N	6,794	Diabetes, Digestive, and Kidney Diseases Extramural Research	1R43DK091143	Cara Cairns Design
93.847	I	N	18,249	Diabetes, Digestive, and Kidney Diseases Extramural Research	5U01DK066174	Childs Hospital Philidelphia
93.847	I	N	11,331	Diabetes, Digestive, and Kidney Diseases Extramural Research	BMC2820	Boston Med Center
93.848	I	N	107,974	Digestive Diseases and Nutrition Research	9000613	Univ Pittsburgh
93.849	D	N	8,634	Kidney Diseases, Urology and Hematology Research		
93.853	D	N	1,382,563	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.853	I	N	7,261	Extramural Research Programs in the Neurosciences and Neurological Disorders	11118	Georgia Southern University
93.853	I	N	9,499	Extramural Research Programs in the Neurosciences and Neurological Disorders	A07186/A08383	Yale University
93.855	D	N	705,143	Allergy, Immunology and Transplantation Research		

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93.855	I	N	82,196	Allergy, Immunology and Transplantation Research	0000739328	Fred Hutchinson Cancer Center
93.855	I	N	13,675	Allergy, Immunology and Transplantation Research	302-0338	Duke University
93.855	I	N	37,734	Allergy, Immunology and Transplantation Research	302-0446	Duke University
93.855	I	N	23,844	Allergy, Immunology and Transplantation Research	5159701	Albany Medical Coll
93.855	I	N	64,846	Allergy, Immunology and Transplantation Research	7439A	Univ Texas Houston
93.859	D	N	2,812,705	Biomedical Research and Research Training		
93.859	I	N	2,502	Biomedical Research and Research Training	16582009178	Clemson Univ
93.859	I	N	3,908	Biomedical Research and Research Training	2012-URF-6108	NC Biotechnology Center
93.859	I	Y	52,680	ARRA - Biomedical Research and Research Training	9000613	Univ Pittsburgh
93.865	D	N	1,135,740	Child Health and Human Development Extramural Research		
93.865	I	N	24,859	Child Health and Human Development Extramural Research	000388010-007	University of Alabama
93.865	I	N	14,730	Child Health and Human Development Extramural Research	431500-19728	Virginia Polytechnic Institute and State Univ
93.865	I	N	360,139	Child Health and Human Development Extramural Research	AA-5-44452-01	Oklahoma State University
93.865	I	N	30,391	Child Health and Human Development Extramural Research	K12-HD043446-11	Duke University
93.865	I	N	7,924	Child Health and Human Development Extramural Research	NICHD200518	Duke University
93.865	I	N	13,242	Child Health and Human Development Extramural Research	UTA11-000808	University of Texas at Austin
93.865	I	N	699	Child Health and Human Development Extramural Research	WFUHS 10055	Wake Forest University Health Sciences
93.866	D	N	231,164	Aging Research		
93.866	I	N	19,200	Aging Research	2011-23	Davidson College
93.884	D	N	660,691	Grants for Primary Care Training and Enhancement		
93.884	I	N	18,368	Grants for Primary Care Training and Enhancement	WFUHS 16173	Wake Forest University
93.888	D	N	29,029	Specially Selected Health Projects		
93.889	D	N	4,557,427	National Bioterrorism Hospital Preparedness Program		
93.913	D	N	175,159	Grants to States for Operation of Offices of Rural Health		
93.917	D	N	35,132,985	HIV Care Formula Grants		
93.918	D	N	490,495	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease		
93.919	D	N	3,192,820	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs		
93.926	D	N	2,586,559	Healthy Start Initiative		
93.928	D	Y	692,882	ARRA - Special Projects of National Significance		
93.938	D	N	657,831	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems		
93.940	D	N	6,404,124	HIV Prevention Activities-Health Department Based		
93.941	D	N	230,435	HIV Demonstration, Research, Public and Professional Education Projects		
93.944	D	N	1,407,215	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance		
93.958	D	N	9,254,598	Block Grants for Community Mental Health Services		
93.959	D	N	29,544,738	Block Grants for Prevention and Treatment of Substance Abuse		
93.959	I	N	54,521	Block Grants for Prevention and Treatment of Substance Abuse	32432/332433	Wake Forest Univ
93.964	D	N	8,000	Prevention and Public Health Fund (PPHF) Public Health Traineeships		
93.977	D	N	2,830,927	Preventive Health Services-Sexually Transmitted Diseases Control Grants		
93.982	D	N	167,598	Mental Health Disaster Assistance and Emergency Mental Health		
93.991	D	N	1,575,252	Preventive Health and Health Services Block Grant		
93.994	D	N	16,271,438	Maternal and Child Health Services Block Grant to the States		
			<u>1,144,260,633</u>	Total — Other Programs — U. S. Department of Health & Human Services		
<b>Corporation for National and Community Service</b>						
94.002	D	N	145,382	Retired and Senior Volunteer Program		
94.003	D	N	204,095	State Commissions		
94.005	D	N	57,069	Learn and Serve America-Higher Education		

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<b>Federal CFDA Number</b>	<b>Direct/Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
94.006	D	N	3,353,592	AmeriCorps		
94.007	D	N	65,172	Program Development and Innovation Grants		
94.007	I	N	350	Program Development and Innovation Grants	N/A	Elon University
94.009	D	N	36,631	Training and Technical Assistance		
			<u>3,862,291</u>	Total — Other Programs — Corporation for National and Community Service		
<b><u>Social Security Administration</u></b>						
96.008	D	N	-11,665	Social Security-Work Incentives Planning and Assistance Program		
			<u>-11,665</u>	Total — Other Programs — Social Security Administration		
<b><u>U. S. Department of Homeland Security</u></b>						
97	I	N	1,274	U. S. Department of Homeland Security	2011 DONALDS	Donald's Flying Service
97.001	D	N	776,704	Pilot Demonstration or Earmarked Projects		
97.005	I	N	35,851	State and Local Homeland Security National Training Program	451759-09-158	Eastern Kentucky University
97.005	I	N	49,113	State and Local Homeland Security National Training Program	452026-10-243	Eastern Kentucky University
97.005	I	N	37,805	State and Local Homeland Security National Training Program	452284-12-256	Eastern Kentucky University
97.008	D	N	75,000	Non-Profit Security Program		
97.012	D	N	1,999,965	Boating Safety Financial Assistance		
97.012	I	N	20,650	Boating Safety Financial Assistance	2011110226	U. S. Power Squadrons
97.017	D	N	250,083	Pre-Disaster Mitigation (PDM) Competitive Grants		
97.018	D	N	39,036	National Fire Academy Training Assistance		
97.023	D	N	378,853	Community Assistance Program State Support Services Element (CAP-SSSE)		
97.029	D	N	21,085	Flood Mitigation Assistance		
97.032	D	N	230,139	Crisis Counseling		
97.036	D	N	24,665,444	Disaster Grants-Public Assistance (Presidentially Declared Disasters)		
97.039	D	N	938,344	Hazard Mitigation Grant		
97.041	D	N	370,033	National Dam Safety Program		
97.042	D	N	9,374,262	Emergency Management Performance Grants		
97.044	D	N	622,094	Assistance to Firefighters Grant		
97.045	D	N	9,631,371	Cooperating Technical Partners		
97.046	D	N	914,558	Fire Management Assistance Grant		
97.047	D	N	1,090,562	Pre-Disaster Mitigation		
97.052	D	N	215,876	Emergency Operations Center		
97.055	D	N	1,461,102	Interoperable Emergency Communications		
97.056	D	N	3,546,999	Port Security Grant Program		
97.061	I	N	73,823	Centers for Homeland Security	4112-31739/4112-51775	Purdue University
97.061	I	N	41,216	Centers for Homeland Security	B586747	Los Alamos National Laboratory
97.067	D	N	21,682,862	Homeland Security Grant Program		
97.075	D	N	521,807	Rail and Transit Security Grant Program		
97.078	D	N	927,111	Buffer Zone Protection Program (BZPP)		
97.082	D	N	21,817	Earthquake Consortium		
97.089	D	N	1,772,772	Driver's License Security Grant Program		
97.092	D	N	212,315	Repetitive Flood Claims		
97.104	D	N	113,504	Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program		
97.104	I	N	7,119	Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program	R9847-G1	Georgia Institute of Technology
97.110	D	N	268,295	Severe Repetitive Loss Program		
			<u>82,388,844</u>	Total — Other Programs — U. S. Department of Homeland Security		



**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (DETAIL)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Expenditures</b>	<b>CFDA Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
<b><u>United States Agency for International Development</u></b>						
98	I	N	17,189	United States Agency for International Development	016258-62	Univ of Cal-Davis
98	I	N	66,594	United States Agency for International Development	RC100599	Michigan State University
98.001	I	N	4,752	USAID Foreign Assistance for Programs Overseas	2010-07200-04	University of Illinois-Urbana-Champaign
98.001	I	N	2,197	USAID Foreign Assistance for Programs Overseas	25-6805-0016-062	Univ of Nebraska-Lincoln
98.001	I	N	14,183	USAID Foreign Assistance for Programs Overseas	61-3886B	Michigan State University
98.001	I	N	71,101	USAID Foreign Assistance for Programs Overseas	98.001	Family Health International
98.012	I	N	79,736	USAID Development Partnerships for University Cooperation and Development	12-716	Arizona State University
			<u>255,752</u>	Total — Other Programs — United States Agency for International Development		
<b><u>Other Federal Assistance</u></b>						
99	D	N	224,853	Other Federal Assistance		
99	I	N	251,604	Other Federal Assistance	1545	Corporation for Public Broadcasting
			<u>476,457</u>	Total — Other Programs — Other Federal Assistance		
			<u>5,149,948,529</u>	Total — Other Programs		
			<u><b>\$22,272,449,584</b></u>	<b>TOTAL EXPENDITURES OF FEDERAL AWARDS</b>		

N/A = Not Available

D = Direct Federal Assistance – Assistance received directly from the federal government or received in a pass-through relationship from other State entities.

I = Indirect Federal Assistance – Assistance received in a pass-through relationship from entities other than the federal government or other State entities.

The accompanying notes are an integral part of this schedule.

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
<i>Primary Government</i>						
<b><u>N. C. Administrative Office of the Courts</u></b>						
16.013	D	N	54,273	Violence Against Women Act Court Training and Improvement Grants		
16.560	D	N	102,973	National Institute of Justice Research, Evaluation, and Development Project Grants		
16.585	D	N	170,774	Drug Court Discretionary Grant Program		
16.585	I	N	89,610	Drug Court Discretionary Grant Program	2010-DC-BX-0086	Wake County Finance
16.588	I	N	107,477	Violence Against Women Formula Grants	2011-WE-AX-0066	Forsyth County
16.590	I	N	63,175	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program	2008-WE-AX-0014	Haywood County
16.590	I	N	103,065	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program	2011-WE-AX-0038	City of Durham
16.738	I	N	63,051	Edward Byrne Memorial Justice Assistance Grant Program	2010-DJ-BX-0281	City of Durham
16.746	D	N	54,421	Capital Case Litigation		
93.586	D	N	739,438	State Court Improvement Program		
			<u>1,548,257</u>	Total — N. C. Administrative Office of the Courts		
<b><u>N. C. Department of Administration</u></b>						
14.401	D	N	126,237	Fair Housing Assistance Program-State and Local		
14.871	D	N	4,325,442	Section 8 Housing Choice Vouchers		
17.265	D	N	263,983	Native American Employment and Training		
39.003	D	N	9,020,690	Donation of Federal Surplus Personal Property		
64.005	D	N	177,854	Grants to States for Construction of State Home Facilities		
64.005	D	Y	2,567,316	ARRA - Grants to States for Construction of State Home Facilities		
84.044	D	N	290,362	TRIO-Talent Search		
			<u>16,771,884</u>	Total — N. C. Department of Administration		
<b><u>N. C. Department of Agriculture and Consumer Services</u></b>						
10	D	N	552,482	U. S. Department of Agriculture		
10.025	D	N	2,307,870	Plant and Animal Disease, Pest Control, and Animal Care		
10.153	D	N	5,000	Market News		
10.163	D	N	163,169	Market Protection and Promotion		
10.170	D	N	1,050,855	Specialty Crop Block Grant Program-Farm Bill		
10.203	D	N	163,403	Payments to Agricultural Experiment Stations Under the Hatch Act		
10.250	D	N	276,770	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations		
10.475	D	N	4,079,299	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection		
10.479	D	N	155,583	Food Safety Cooperative Agreements		
10.555	D	N	38,257,229	National School Lunch Program		
10.559	D	N	12,751	Summer Food Service Program for Children		
10.560	D	N	865,313	State Administrative Expenses for Child Nutrition		
10.565	D	N	386,691	Commodity Supplemental Food Program		
10.567	D	N	631,476	Food Distribution Program on Indian Reservations		
10.568	D	N	1,611,041	Emergency Food Assistance Program (Administrative Costs)		
10.569	D	N	17,129,234	Emergency Food Assistance Program (Food Commodities)		
10.652	D	N	481,803	Forestry Research		
10.664	D	N	3,495,926	Cooperative Forestry Assistance		
10.676	D	N	5,144	Forest Legacy Program		
10.678	D	N	99,883	Forest Stewardship Program		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
10.680	D	N	583,625	Forest Health Protection		
10.688	D	Y	442,363	ARRA - Recovery Act of 2009: Wildland Fire Management		
10.902	D	N	583,439	Soil and Water Conservation		
10.912	D	N	60,830	Environmental Quality Incentives Program		
12	D	N	1,114,015	U. S. Department of Defense		
15.615	D	N	145,275	Cooperative Endangered Species Conservation Fund		
66	D	N	5,852	U. S. Environmental Protection Agency		
66.605	D	N	618,945	Performance Partnership Grants		
93	D	N	517,237	U. S. Department of Health and Human Services		
93.103	D	N	649,599	Food and Drug Administration-Research		
93.449	D	N	273,164	Ruminant Feed Ban Support Project		
			<u>76,725,266</u>	Total — N. C. Department of Agriculture and Consumer Services		

**N. C. Department of Commerce**

11.557	D	Y	1,338,656	ARRA - Broadband Technology Opportunities Program (BTOP)		
14.228	D	N	56,692,829	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii		
14.255	D	Y	34,193	ARRA - Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii - (Recovery Act Funded)		
17.002	D	N	1,845,936	Labor Force Statistics		
17.207	D	N	22,947,647	Employment Service/Wagner-Peyser Funded Activities		
17.225	D	N	2,551,163,343	Unemployment Insurance		
17.225	D	Y	723,215	ARRA - Unemployment Insurance		
17.245	D	N	9,751,429	Trade Adjustment Assistance		
17.258	D	N	22,079,481	WIA Adult Program		
17.258	I	N	24	WIA Adult Program	11-2020-28-9900	Region Q
17.258	I	N	-738	WIA Adult Program	11-2020-33-9900	Cape Fear
17.258	I	N	1,308	WIA Adult Program	11-2020-34-9900	Capital Area
17.258	I	N	8,817	WIA Adult Program	11-2020-36-9900	Centralina
17.258	I	N	-1,032	WIA Adult Program	11-2020-37-9900	Charlotte
17.258	I	N	-120	WIA Adult Program	11-2020-40-9900	Eastern Carolina
17.258	I	N	8,578	WIA Adult Program	11-2020-44-9900	Lumber River
17.258	I	N	-2,371	WIA Adult Program	11-2020-54-9900	Reg Partnership
17.258	I	N	1,135	WIA Adult Program	11-2020-55-9900	Southwestern
17.258	I	N	1,067	WIA Adult Program	11-2020-60-9900	Triangle South
17.258	I	N	209,161	WIA Adult Program	12-2020-28-9900	Region Q
17.258	I	N	10,796	WIA Adult Program	12-2020-29-9900	Mountain Area
17.258	I	N	260,182	WIA Adult Program	12-2020-33-9900	Cape Fear
17.258	I	N	30,215	WIA Adult Program	12-2020-34-9900	Capital Area
17.258	I	N	1,171,466	WIA Adult Program	12-2020-36-9900	Centralina
17.258	I	N	52,494	WIA Adult Program	12-2020-40-9900	Eastern Carolina
17.258	I	N	981,945	WIA Adult Program	12-2020-44-9900	Lumber River
17.258	I	N	301,184	WIA Adult Program	12-2020-54-9900	Reg Partnership
17.258	I	N	211,804	WIA Adult Program	12-2020-55-9900	Southwestern
17.258	I	N	253,665	WIA Adult Program	12-2020-60-9900	Triangle South
17.259	D	N	22,978,672	WIA Youth Activities		
17.260	D	Y	201,689	ARRA - WIA Dislocated Workers		
17.268	D	N	53,265	H-1B Job Training Grants		
17.271	D	N	542,888	Work Opportunity Tax Credit Program (WOTC)		
17.273	D	N	127,453	Temporary Labor Certification for Foreign Workers		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
17.275	D	Y	1,807,507	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors		
17.276	D	Y	739,579	ARRA - Health Care Tax Credit (HCTC) National Emergency Grants (NEGs)		
17.277	D	N	40,075	Workforce Investment Act (WIA) National Emergency Grants		
17.277	I	N	-548	Workforce Investment Act (WIA) National Emergency Grants	11-3736-28-9900	Region Q
17.277	I	N	941	Workforce Investment Act (WIA) National Emergency Grants	11-3736-40-9900	Eastern Carolina
17.278	D	N	32,570,498	WIA Dislocated Worker Formula Grants		
17.278	I	N	-26	WIA Dislocated Worker Formula Grants	11-2030-28-9900	Region Q
17.278	I	N	2,454	WIA Dislocated Worker Formula Grants	11-2030-33-9900	Cape Fear
17.278	I	N	-1,317	WIA Dislocated Worker Formula Grants	11-2030-34-9900	Capital Area
17.278	I	N	4,432	WIA Dislocated Worker Formula Grants	11-2030-36-9900	Centralina
17.278	I	N	4	WIA Dislocated Worker Formula Grants	11-2030-37-9900	Charlotte
17.278	I	N	-234	WIA Dislocated Worker Formula Grants	11-2030-40-9900	Eastern Carolina
17.278	I	N	-3,021	WIA Dislocated Worker Formula Grants	11-2030-43-9900	Kerr Tar
17.278	I	N	6,929	WIA Dislocated Worker Formula Grants	11-2030-44-9900	Lumber River
17.278	I	N	-165	WIA Dislocated Worker Formula Grants	11-2030-54-9900	Reg Partnership
17.278	I	N	369	WIA Dislocated Worker Formula Grants	11-2030-55-9900	Southwestern
17.278	I	N	4,209	WIA Dislocated Worker Formula Grants	11-2030-60-9900	Triangle South
17.278	I	N	156,541	WIA Dislocated Worker Formula Grants	12-2030-28-9900	Region Q
17.278	I	N	17,063	WIA Dislocated Worker Formula Grants	12-2030-29-9900	Mountain Area
17.278	I	N	350,601	WIA Dislocated Worker Formula Grants	12-2030-33-9900	Cape Fear
17.278	I	N	125,118	WIA Dislocated Worker Formula Grants	12-2030-34-9900	Capital Area
17.278	I	N	2,100,457	WIA Dislocated Worker Formula Grants	12-2030-36-9900	Centralina
17.278	I	N	381,762	WIA Dislocated Worker Formula Grants	12-2030-40-9900	Eastern Carolina
17.278	I	N	169,035	WIA Dislocated Worker Formula Grants	12-2030-43-9900	Kerr Tar
17.278	I	N	511,465	WIA Dislocated Worker Formula Grants	12-2030-44-9900	Lumber River
17.278	I	N	274,764	WIA Dislocated Worker Formula Grants	12-2030-54-9900	Reg Partnership
17.278	I	N	303,584	WIA Dislocated Worker Formula Grants	12-2030-55-9900	Southwestern
17.278	I	N	281,899	WIA Dislocated Worker Formula Grants	12-2030-60-9900	Triangle South
17.278	I	Y	-4,248	ARRA - WIA Dislocated Worker Formula Grants	11-6010-40-9900	Eastern Carolina
17.280	D	N	115,328	Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants		
17.281	D	N	2,198,526	Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training		
17.801	D	N	1,533,431	Disabled Veterans' Outreach Program (DVOP)		
17.804	D	N	4,320,689	Local Veterans' Employment Representative Program		
20.700	D	N	323,127	Pipeline Safety Program State Base Grant		
20.721	D	N	6,831	PHMSA Pipeline Safety Program One Call Grant		
23.011	D	N	575,498	Appalachian Research, Technical Assistance, and Demonstration Projects		
59.061	D	N	787,298	State Trade and Export Promotion Pilot Grant Program		
81.041	D	N	865,811	State Energy Program		
81.041	D	Y	3,795,063	ARRA - State Energy Program		
81.042	D	N	2,093,386	Weatherization Assistance for Low-Income Persons		
81.042	D	Y	16,735,809	ARRA - Weatherization Assistance for Low-Income Persons		
81.119	D	N	29,770	State Energy Program Special Projects		
81.128	D	Y	4,233,623	ARRA - Energy Efficiency and Conservation Block Grant Program (EECBG)		
93.509	D	N	19,285	Affordable Care Act (ACA) State Health Care Workforce Development Grants		
			<u>2,771,453,478</u>	Total — N. C. Department of Commerce		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
<b><u>N. C. Community College System</u></b>						
84.002	D	N	17,782,072	Adult Education-Basic Grants to States		
			<u>17,782,072</u>	Total — N. C. Community College System		
<b><u>N. C. Department of Cultural Resources</u></b>						
15.904	D	N	956,155	Historic Preservation Fund Grants-In-Aid		
15.926	D	N	3,041	American Battlefield Protection		
45.025	D	N	935,656	Promotion of the Arts-Partnership Agreements		
45.309	D	N	27,392	Museum Grants for African American History and Culture		
45.310	D	N	4,208,381	Grants to States		
45.312	D	N	84,514	National Leadership Grants		
89.003	D	N	19,138	National Historical Publications and Records Grants		
			<u>6,234,277</u>	Total — N. C. Department of Cultural Resources		
<b><u>N. C. Department of Environment and Natural Resources</u></b>						
11	I	N	169,967	U. S. Department of Commerce	627574-11S-1665	Macro International Inc
11	I	N	40,134	U. S. Department of Commerce	EA-133F-13-SE-0237	National Marine Fisheries Service
11	I	N	35,565	U. S. Department of Commerce	EA-133F-13-SE-0512	National Marine Fisheries Service
11	I	N	54,454	U. S. Department of Commerce	SA-13-03-NC	South Atlantic Fishery Management Council
11.008	I	N	18,595	NOAA Mission-Related Education Awards	4800-206-01	Oak Management Inc
11.407	D	N	9,698	Interjurisdictional Fisheries Act of 1986		
11.419	D	N	2,275,223	Coastal Zone Management Administration Awards		
11.420	D	N	516,254	Coastal Zone Management Estuarine Research Reserves		
11.434	D	N	144,719	Cooperative Fishery Statistics		
11.435	D	N	111,622	Southeast Area Monitoring and Assessment Program		
11.439	D	N	129,474	Marine Mammal Data Program		
11.472	I	N	59,364	Unallied Science Program	FY2011-002	South Carolina Dept of Natural Resources
11.474	D	N	400,388	Atlantic Coastal Fisheries Cooperative Management Act		
15.605	D	N	2,302,100	Sport Fish Restoration Program		
15.616	D	N	66,560	Clean Vessel Act		
15.622	D	N	6,767	Sportfishing and Boating Safety Act		
15.657	D	N	11,615	Endangered Species Conservation - Recovery Implementation Funds		
15.660	D	N	3,590	Endangered Species-Candidate Conservation Action Funds		
15.808	D	N	209,944	U. S. Geological Survey- Research and Data Collection		
15.811	D	N	14,203	Gap Analysis Program		
15.916	D	N	1,327,301	Outdoor Recreation-Acquisition, Development and Planning		
66.001	D	N	2,217,124	Air Pollution Control Program Support		
66.034	D	N	179,033	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act		
66.040	D	N	482,465	State Clean Diesel Grant Program		
66.202	D	N	743,233	Congressionally Mandated Projects		
66.419	D	N	7,189,002	Water Pollution Control State, Interstate, and Tribal Program Support		
66.432	D	N	2,210,617	State Public Water System Supervision		
66.433	D	N	76,237	State Underground Water Source Protection		
66.454	D	N	312,414	Water Quality Management Planning		
66.456	D	N	613,495	National Estuary Program		
66.458	D	N	82,775,227	Capitalization Grants for Clean Water State Revolving Funds		
66.458	D	Y	15,153,774	ARRA - Capitalization Grants for Clean Water State Revolving Funds		
66.460	D	N	4,903,381	Nonpoint Source Implementation Grants		
66.461	D	N	642,334	Regional Wetland Program Development Grants		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
66.468	D	N	36,694,282	Capitalization Grants for Drinking Water State Revolving Funds		
66.468	D	Y	12,188,562	ARRA - Capitalization Grants for Drinking Water State Revolving Funds		
66.472	D	N	304,756	Beach Monitoring and Notification Program Implementation Grants		
66.474	D	N	54,342	Water Protection Grants to the States		
66.479	D	N	18,943	Wetland Program Grants- State/Tribal Environmental Outcome Wetland Demonstration Program		
66.708	D	N	96,943	Pollution Prevention Grants Program		
66.709	D	N	990	Multi-Media Capacity Building Grants for States and Tribes		
66.717	D	N	46,467	Source Reduction Assistance		
66.801	D	N	2,279,942	Hazardous Waste Management State Program Support		
66.802	D	N	1,657,945	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements		
66.804	D	N	710,936	Underground Storage Tank Prevention, Detection and Compliance Program		
66.805	D	N	2,609,693	Leaking Underground Storage Tank Trust Fund Corrective Action Program		
66.809	D	N	294,979	Superfund State and Indian Tribe Core Program Cooperative Agreements		
97.041	D	N	370,033	National Dam Safety Program		
			<u>182,734,686</u>	Total — N. C. Department of Environment and Natural Resources		

**N. C. Department of Environment and Natural Resources - Wildlife**

10	D	N	74,987	U. S. Department of Agriculture		
11.472	D	N	45,000	Unallied Science Program		
15.605	D	N	7,542,155	Sport Fish Restoration Program		
15.611	D	N	8,199,888	Wildlife Restoration and Basic Hunter Education		
15.615	D	N	151,231	Cooperative Endangered Species Conservation Fund		
15.616	D	N	18,906	Clean Vessel Act		
15.626	D	N	231,545	Enhanced Hunter Education and Safety Program		
15.634	D	N	2,433,093	State Wildlife Grants		
15.657	D	N	44,124	Endangered Species Conservation - Recovery Implementation Funds		
97.012	D	N	1,999,965	Boating Safety Financial Assistance		
			<u>20,740,894</u>	Total — N. C. Department of Environment and Natural Resources - Wildlife		

**N. C. Department of Health and Human Services**

10.551	D	N	2,476,935,617	Supplemental Nutrition Assistance Program		
10.557	D	N	198,728,608	Special Supplemental Nutrition Program for Women, Infants, and Children		
10.558	D	N	91,506,316	Child and Adult Care Food Program		
10.559	D	N	8,822,701	Summer Food Service Program for Children		
10.560	D	N	2,675,954	State Administrative Expenses for Child Nutrition		
10.561	D	N	85,437,500	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program		
10.572	D	N	144,545	WIC Farmers' Market Nutrition Program (FMNP)		
10.576	D	N	73,832	Senior Farmers Market Nutrition Program		
10.578	D	N	1,021,212	WIC Grants To States (WGS)		
10.578	D	Y	7,765,802	ARRA - WIC Grants To States (WGS)		
10.579	D	N	365,994	Child Nutrition Discretionary Grants Limited Availability		
10.588	D	N	29,884	Assessment of Alternatives to Face-to-Face Interviews in SNAP		
14.231	D	N	2,387,227	Emergency Solutions Grant Program		
14.241	D	N	2,092,269	Housing Opportunities for Persons with AIDS		
14.900	D	N	278,406	Lead-Based Paint Hazard Control in Privately-Owned Housing		
16.580	D	N	31,324	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program		

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For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
16.727	D	N	292,472	Enforcing Underage Drinking Laws Program		
17.235	D	N	2,361,374	Senior Community Service Employment Program		
66.032	D	N	218,117	State Indoor Radon Grants		
66.701	D	N	75,929	Toxic Substances Compliance Monitoring Cooperative Agreements		
66.707	D	N	57,761	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals		
66.716	D	N	64,233	Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies		
84.126	D	N	108,829,391	Rehabilitation Services-Vocational Rehabilitation Grants to States		
84.161	D	N	319,396	Rehabilitation Services-Client Assistance Program		
84.169	D	N	407,335	Independent Living-State Grants		
84.177	D	N	680,339	Rehabilitation Services-Independent Living Services for Older Individuals Who are Blind		
84.181	D	N	12,452,225	Special Education-Grants for Infants and Families		
84.187	D	N	812,370	Supported Employment Services for Individuals with the Most Significant Disabilities		
84.224	D	N	359,051	Assistive Technology		
84.265	D	N	217,738	Rehabilitation Training-State Vocational Rehabilitation Unit In-Service Training		
84.390	D	Y	-452	ARRA - Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act		
84.412	D	N	3,961,783	Race to the Top - Early Learning Challenge		
93.041	D	N	120,639	Special Programs for the Aging-Title VII, Chapter 3-Programs for Prevention of Elder Abuse, Neglect, and Exploitation		
93.042	D	N	322,161	Special Programs for the Aging-Title VII, Chapter 2-Long Term Care Ombudsman Services for Older Individuals		
93.043	D	N	578,631	Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services		
93.044	D	N	15,049,433	Special Programs for the Aging-Title III, Part B-Grants for Supportive Services and Senior Centers		
93.045	D	N	13,683,176	Special Programs for the Aging-Title III, Part C-Nutrition Services		
93.048	D	N	149,557	Special Programs for the Aging-Title IV-and Title II-Discretionary Projects		
93.051	D	N	639,485	Alzheimer's Disease Demonstration Grants to States		
93.052	D	N	4,950,896	National Family Caregiver Support, Title III, Part E		
93.053	D	N	2,849,884	Nutrition Services Incentive Program		
93.069	D	N	3,240,372	Public Health Emergency Preparedness		
93.070	D	N	815,570	Environmental Public Health and Emergency Response		
93.072	D	N	252,503	Lifespan Respite Care Program		
93.074	D	N	16,544,258	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements		
93.087	D	N	434,410	Enhance Safety of Children Affected by Substance Abuse		
93.089	D	N	48,031	Emergency System for Advance Registration of Volunteer Health Professionals		
93.092	D	N	1,624,546	Affordable Care Act (ACA) Personal Responsibility Education Program		
93.103	D	N	11,500	Food and Drug Administration-Research		
93.110	D	N	304,985	Maternal and Child Health Federal Consolidated Programs		
93.116	D	N	1,965,294	Project Grants and Cooperative Agreements for Tuberculosis Control Programs		
93.127	D	N	59,950	Emergency Medical Services for Children		
93.130	D	N	239,959	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices		
93.136	D	N	1,010,222	Injury Prevention and Control Research and State and Community Based Programs		
93.150	D	N	864,427	Projects for Assistance in Transition from Homelessness (PATH)		
93.204	D	N	23,159	Surveillance of Hazardous Substance Emergency Events		
93.217	D	N	5,760,692	Family Planning-Services		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
93.224	D	N	1,669,954	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)		
93.234	D	N	216,040	Traumatic Brain Injury State Demonstration Grant Program		
93.236	D	N	75,444	Grants to States to Support Oral Health Workforce Activities		
93.240	D	N	262,159	State Capacity Building		
93.241	D	N	543,009	State Rural Hospital Flexibility Program		
93.243	D	N	2,836,049	Substance Abuse and Mental Health Services-Projects of Regional and National Significance		
93.251	D	N	206,399	Universal Newborn Hearing Screening		
93.256	D	N	1,225,434	State Health Access Program		
93.262	D	N	100,387	Occupational Safety and Health Program		
93.268	D	N	114,687,098	Immunization Cooperative Agreements		
93.270	D	N	60,041	Adult Viral Hepatitis Prevention and Control		
93.283	D	N	7,944,680	Centers for Disease Control and Prevention-Investigations and Technical Assistance		
93.296	D	N	87,020	State Partnership Grant Program to Improve Minority Health		
93.301	D	N	178,725	Small Rural Hospital Improvement Grant Program		
93.500	D	N	1,901,101	Pregnancy Assistance Fund Program		
93.505	D	N	3,042,007	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program		
93.507	D	N	1,447,725	PPHF 2012 National Public Health Improvement Initiative		
93.512	D	N	633,689	Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST)		
93.517	D	N	200,122	Affordable Care Act - Aging and Disability Resource Center		
93.518	D	N	412,569	Affordable Care Act - Medicare Improvements for Patients and Providers		
93.520	D	N	1,220,480	Centers for Disease Control and Prevention - Affordable Care Act (ACA) - Communities Putting Prevention to Work		
93.521	D	N	1,181,522	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements		
93.531	D	N	8,906,981	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants-financed solely by 2012 Prevention and Public Health Funds		
93.539	D	N	168,365	PPHF 2012-Prevention and Public Health Fund (Affordable Care Act)-Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds		
93.544	D	N	191,304	The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program		
93.548	D	N	818,656	PPHF2013: State Nutrition, Physical Activity, and Obesity Programs-financed in part by 2013 PPHF		
93.556	D	N	11,492,077	Promoting Safe and Stable Families		
93.558	D	N	245,345,920	Temporary Assistance for Needy Families		
93.560	D	N	-71,400	Family Support Payments to States-Assistance Payments		
93.563	D	N	90,613,852	Child Support Enforcement		
93.564	D	N	18,356	Child Support Enforcement Research		
93.566	D	N	5,407,627	Refugee and Entrant Assistance-State Administered Programs		
93.568	D	N	88,004,703	Low-Income Home Energy Assistance		
93.569	D	N	15,328,770	Community Services Block Grant		
93.575	D	N	151,250,350	Child Care and Development Block Grant		



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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
93.576	D	N	772,973	Refugee and Entrant Assistance-Discretionary Grants		
93.584	D	N	833,619	Refugee and Entrant Assistance-Targeted Assistance Grants		
93.590	D	N	1,606,800	Community-Based Child Abuse Prevention Grants		
93.596	D	N	120,203,721	Child Care Mandatory and Matching Funds of the Child Care and Development Fund		
93.597	D	N	268,136	Grants to States for Access and Visitation Programs		
93.599	D	N	919,393	Chafee Education and Training Vouchers Program (ETV)		
93.603	D	N	1,287,001	Adoption Incentive Payments		
93.630	D	N	1,691,947	Developmental Disabilities Basic Support and Advocacy Grants		
93.645	D	N	10,413,584	Stephanie Tubbs Jones Child Welfare Services Program		
93.652	D	N	562,030	Adoption Opportunities		
93.658	D	N	70,402,753	Foster Care-Title IV-E		
93.658	D	Y	1,727	ARRA - Foster Care-Title IV-E		
93.659	D	N	48,337,311	Adoption Assistance		
93.659	D	Y	-4,233	ARRA - Adoption Assistance		
93.667	D	N	64,013,725	Social Services Block Grant		
93.669	D	N	702,086	Child Abuse and Neglect State Grants		
93.671	D	N	1,903,053	Family Violence Prevention and Services/Grants for Battered Women's Shelters-Grants to States and Indian Tribes		
93.674	D	N	3,032,345	Chafee Foster Care Independence Program		
93.708	D	Y	1,442,761	ARRA - Head Start		
93.714	D	Y	704	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program		
93.717	D	Y	-35	ARRA - Preventing Healthcare-Associated Infections		
93.720	D	Y	3,472	ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative		
93.723	D	Y	47,340	ARRA - Prevention and Wellness-State, Territories and Pacific Islands		
93.725	D	Y	128,207	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program		
93.735	D	N	259,040	State Public Health Approaches for Ensuring Quitline Capacity - Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012)		
93.744	D	N	142,588	PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds		
93.745	D	N	64,567	PPHF-2012: Health Care Surveillance/Health Statistics - Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by 2012 Prevention and Public Health Funds (PPHF-2012)		
93.767	D	N	323,044,250	Children's Health Insurance Program		
93.768	D	N	196,067	Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities		
93.773	D	N	7,663,795	Medicare-Hospital Insurance		
93.777	D	N	5,869,539	State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare		
93.778	D	N	8,021,749,621	Medical Assistance Program		
93.778	D	Y	-50,444	ARRA - Medical Assistance Program		
93.779	D	N	248,737	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations		
93.791	D	N	2,570,062	Money Follows the Person Rebalancing Demonstration		
93.793	D	N	-9,451	Medicaid Transformation Grants		
93.889	D	N	4,552,487	National Bioterrorism Hospital Preparedness Program		
93.913	D	N	175,159	Grants to States for Operation of Offices of Rural Health		
93.917	D	N	35,132,985	HIV Care Formula Grants		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
93.919	D	N	3,192,820	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs		
93.926	D	N	2,200,444	Healthy Start Initiative		
93.928	D	Y	692,882	ARRA - Special Projects of National Significance		
93.940	D	N	6,404,124	HIV Prevention Activities-Health Department Based		
93.944	D	N	1,407,215	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance		
93.958	D	N	9,254,598	Block Grants for Community Mental Health Services		
93.959	D	N	29,544,738	Block Grants for Prevention and Treatment of Substance Abuse		
93.977	D	N	2,830,927	Preventive Health Services-Sexually Transmitted Diseases Control Grants		
93.982	D	N	167,598	Mental Health Disaster Assistance and Emergency Mental Health		
93.991	D	N	1,575,252	Preventive Health and Health Services Block Grant		
93.994	D	N	16,271,438	Maternal and Child Health Services Block Grant to the States		
96.001	D	N	59,293,907	Social Security-Disability Insurance		
96.008	D	N	-11,665	Social Security-Work Incentives Planning and Assistance Program		
97.044	D	N	613,865	Assistance to Firefighters Grant		
99	D	N	224,853	Other Federal Assistance		
			<u>12,702,399,626</u>	Total — N. C. Department of Health and Human Services		

**N. C. Department of Insurance**

14.171	D	N	38,040	Manufactured Home Dispute Resolution		
93.048	D	N	850,937	Special Programs for the Aging-Title IV-and Title II-Discretionary Projects		
93.519	D	N	775,653	Affordable Care Act (ACA) - Consumer Assistance Program Grants		
93.525	D	N	13,050,975	State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges		
93.779	D	N	1,730,679	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations		
97.018	D	N	39,036	National Fire Academy Training Assistance		
97.044	D	N	8,229	Assistance to Firefighters Grant		
			<u>16,493,549</u>	Total — N. C. Department of Insurance		

**N. C. Department of Justice**

16	D	N	722,289	U. S. Department of Justice		
16.710	D	N	33,629	Public Safety Partnership and Community Policing Grants		
16.741	D	N	1,983,781	DNA Backlog Reduction Program		
16.800	D	Y	180,601	ARRA - Recovery Act - Internet Crimes against Children Task Force Program (ICAC)		
93.775	D	N	5,689,625	State Medicaid Fraud Control Units		
			<u>8,609,925</u>	Total — N. C. Department of Justice		

**N. C. Department of Labor**

17.005	D	N	157,465	Compensation and Working Conditions		
17.503	D	N	6,170,840	Occupational Safety and Health-State Program		
17.504	D	N	1,679,288	Consultation Agreements		
17.600	D	N	148,310	Mine Health and Safety Grants		
			<u>8,155,903</u>	Total — N. C. Department of Labor		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
<b><u>N. C. Department of Public Instruction</u></b>						
10.553	D	N	113,895,389	School Breakfast Program		
10.555	D	N	347,457,537	National School Lunch Program		
10.556	D	N	190,126	Special Milk Program for Children		
10.560	D	N	4,295,568	State Administrative Expenses for Child Nutrition		
10.582	D	N	3,601,193	Fresh Fruit and Vegetable Program		
12	D	N	120,577	U. S. Department of Defense		
84	D	N	147,071	U. S. Department of Education		
84.010	D	N	390,016,628	Title I Grants to Local Educational Agencies		
84.011	D	N	5,923,560	Migrant Education-State Grant Program		
84.013	D	N	1,104,185	Title I State Agency Program for Neglected and Delinquent Children and Youth		
84.027	D	N	342,957,277	Special Education-Grants to States		
84.048	D	N	35,036,164	Career and Technical Education -- Basic Grants to States		
84.144	D	N	183,686	Migrant Education-Coordination Program		
84.173	D	N	11,172,038	Special Education-Preschool Grants		
84.186	D	N	2,137	Safe and Drug-Free Schools and Communities-State Grants		
84.196	D	N	1,804,635	Education for Homeless Children and Youth		
84.213	D	N	46,633	Even Start-State Educational Agencies		
84.243	D	N	24,704	Tech-Prep Education		
84.287	D	N	26,178,605	Twenty-First Century Community Learning Centers		
84.318	D	N	2,041,546	Educational Technology State Grants		
84.323	D	N	1,498,826	Special Education-State Personnel Development		
84.326	D	N	351,230	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities		
84.330	D	N	707,079	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants)		
84.358	D	N	6,529,324	Rural Education		
84.365	D	N	13,081,213	English Language Acquisition State Grants		
84.366	D	N	6,301,795	Mathematics and Science Partnerships		
84.367	D	N	53,095,312	Improving Teacher Quality State Grants		
84.368	D	N	194,680	Grants for Enhanced Assessment Instruments		
84.369	D	N	8,026,781	Grants for State Assessments and Related Activities		
84.371	D	N	41,329	Striving Readers		
84.372	D	N	235,200	Statewide Data Systems		
84.377	D	N	16,396,817	School Improvement Grants		
84.386	D	Y	370,322	ARRA - Education Technology State Grants, Recovery Act		
84.388	D	Y	20,257,697	ARRA - School Improvement Grants, Recovery Act		
84.389	D	Y	171,116	ARRA - Title I Grants to Local Educational Agencies, Recovery Act		
93.235	D	N	1,821,225	Affordable Care Act (ACA) Abstinence Education Program		
93.600	D	N	199,970	Head Start		
93.938	D	N	657,831	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems		
			<u>1,416,137,006</u>	Total — N. C. Department of Public Instruction		
<b><u>N. C. Department of Public Safety</u></b>						
11.555	D	N	397,706	Public Safety Interoperable Communications Grant Program		
12.400	D	N	3,331,769	Military Construction, National Guard		
12.400	D	Y	517,925	ARRA - Military Construction, National Guard		
12.401	D	N	22,866,242	National Guard Military Operations and Maintenance (O&M) Projects		
12.404	D	N	3,239,873	National Guard ChalleNGe Program		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
16.017	D	N	265,953	Sexual Assault Services Formula Program		
16.523	D	N	1,005,508	Juvenile Accountability Block Grants		
16.540	D	N	803,330	Juvenile Justice and Delinquency Prevention-Allocation to States		
16.548	D	N	9,610	Title V-Delinquency Prevention Program		
16.550	D	N	100,271	State Justice Statistics Program for Statistical Analysis Centers		
16.554	D	N	44,635	National Criminal History Improvement Program (NCHIP)		
16.575	D	N	13,153,293	Crime Victim Assistance		
16.576	D	N	2,896,810	Crime Victim Compensation		
16.588	D	N	3,582,748	Violence Against Women Formula Grants		
16.593	D	N	490,403	Residential Substance Abuse Treatment for State Prisoners		
16.606	D	N	3,009,157	State Criminal Alien Assistance Program		
16.607	D	N	82,505	Bulletproof Vest Partnership Program		
16.609	D	N	341,007	Project Safe Neighborhoods		
16.735	D	N	271,240	Protecting Inmates and Safeguarding Communities Discretionary Grant Program		
16.738	D	N	5,783,657	Edward Byrne Memorial Justice Assistance Grant Program		
16.740	D	N	328,573	Statewide Automated Victim Information Notification (SAVIN) Program		
16.741	D	N	1,052,595	DNA Backlog Reduction Program		
16.742	D	N	256,541	Paul Coverdell Forensic Sciences Improvement Grant Program		
16.751	I	N	266,931	Edward Byrne Memorial Competitive Grant Program	12-SA-161-1873	The Council of State Governments
16.801	D	Y	68,889	ARRA - Recovery Act - State Victim Assistance Formula Grant Program		
16.803	D	Y	4,474,326	ARRA - Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories		
16.816	D	N	141,051	John R. Justice Prosecutors and Defenders Incentive Act		
20.231	D	N	122,670	Performance and Registration Information Systems Management		
20.237	D	N	450,490	Commercial Vehicle Information Systems and Networks		
20.703	D	N	466,352	Interagency Hazardous Materials Public Sector Training and Planning Grants		
84.331	D	N	338,891	Grants to States for Workplace and Community Transition Training for Incarcerated Individuals		
93.643	D	N	385,912	Children's Justice Grants to States		
97.001	D	N	776,704	Pilot Demonstration or Earmarked Projects		
97.008	D	N	75,000	Non-Profit Security Program		
97.017	D	N	250,083	Pre-Disaster Mitigation (PDM) Competitive Grants		
97.023	D	N	378,853	Community Assistance Program State Support Services Element (CAP-SSSE)		
97.029	D	N	21,085	Flood Mitigation Assistance		
97.032	D	N	230,139	Crisis Counseling		
97.036	D	N	24,665,444	Disaster Grants-Public Assistance (Presidentially Declared Disasters)		
97.039	D	N	938,344	Hazard Mitigation Grant		
97.042	D	N	9,374,262	Emergency Management Performance Grants		
97.045	D	N	9,631,371	Cooperating Technical Partners		
97.046	D	N	914,558	Fire Management Assistance Grant		
97.047	D	N	1,090,562	Pre-Disaster Mitigation		
97.052	D	N	215,876	Emergency Operations Center		
97.055	D	N	1,461,102	Interoperable Emergency Communications		
97.056	D	N	3,546,999	Port Security Grant Program		
97.067	D	N	21,682,862	Homeland Security Grant Program		
97.075	D	N	521,807	Rail and Transit Security Grant Program		
97.078	D	N	927,111	Buffer Zone Protection Program (BZPP)		

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
97.082	D	N	21,817	Earthquake Consortium		
97.092	D	N	212,315	Repetitive Flood Claims		
97.110	D	N	268,295	Severe Repetitive Loss Program		
			147,751,452	Total — N. C. Department of Public Safety		
<b><u>N.C. Secretary of State</u></b>						
16.751	D	N	23,680	Edward Byrne Memorial Competitive Grant Program		
			23,680	Total — N.C. Secretary of State		
<b><u>N. C. Department of Transportation</u></b>						
20.106	D	N	27,552,485	Airport Improvement Program		
20.205	D	N	1,107,383,058	Highway Planning and Construction		
20.205	D	Y	19,940,009	ARRA - Highway Planning and Construction		
20.218	D	N	9,512,787	National Motor Carrier Safety		
20.219	D	N	941,917	Recreational Trails Program		
20.232	D	N	528,789	Commercial Driver's License Program Improvement Grant		
20.234	D	N	505,387	Safety Data Improvement Program		
20.237	D	N	454,626	Commercial Vehicle Information Systems and Networks		
20.313	D	N	38,703	Railroad Research and Development		
20.314	D	N	2,917,640	Railroad Development		
20.319	D	Y	38,565,766	ARRA - High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants		
20.505	D	N	2,016,714	Metropolitan Transportation Planning		
20.509	D	N	22,128,649	Formula Grants for Rural Areas		
20.509	D	Y	11,806,008	ARRA - Formula Grants for Rural Areas		
20.513	D	N	3,476,138	Capital Assistance Program for Elderly Persons and Persons with Disabilities		
20.516	D	N	1,163,766	Job Access-Reverse Commute		
20.521	D	N	386,245	New Freedom Program		
20.600	D	N	6,225,787	State and Community Highway Safety		
20.601	D	N	2,937,492	Alcohol Impaired Driving Countermeasures Incentive Grants I		
20.602	D	N	704,489	Occupant Protection Incentive Grants		
20.604	D	N	278,514	Safety Incentive Grants for Use of Seatbelts		
20.609	D	N	626,505	Safety Belt Performance Grants		
20.610	D	N	775,362	State Traffic Safety Information System Improvement Grants		
20.612	D	N	131,996	Incentive Grant Program to Increase Motorcyclist Safety		
20.613	D	N	423,108	Child Safety and Child Booster Seats Incentive Grants		
20.614	D	N	5,707	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants		
23.003	D	N	2,917,330	Appalachian Development Highway System		
97.089	D	N	1,772,772	Driver's License Security Grant Program		
			1,266,117,749	Total — N. C. Department of Transportation		
<b><u>N. C. Office of the Governor</u></b>						
84.394	D	Y	20,804	ARRA - State Fiscal Stabilization Fund (SFSF)-Education State Grants, Recovery Act		
84.395	D	Y	103,226,139	ARRA - State Fiscal Stabilization Fund (SFSF)-Race-to-the-Top Incentive Grants, Recovery Act		
84.410	D	Y	35,052,913	ARRA - Education Jobs Fund		
84.412	D	N	471,052	Race to the Top - Early Learning Challenge		
93.708	D	Y	172,426	ARRA - Head Start		

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94.003	D	N	204,095	State Commissions		
94.006	D	N	3,353,592	AmeriCorps		
94.007	D	N	64,822	Program Development and Innovation Grants		
94.009	D	N	36,631	Training and Technical Assistance		
			<u>142,602,474</u>	Total — N. C. Office of the Governor		
<b><u>N.C. Office of Information Technology Services</u></b>						
15.808	D	N	19,165	U. S. Geological Survey- Research and Data Collection		
15.809	D	N	7,604	National Spatial Data Infrastructure Cooperative Agreements Program		
66.608	D	N	32,040	Environmental Information Exchange Network Grant Program and Related Assistance		
			<u>58,809</u>	Total — N.C. Office of Information Technology Services		
<b><u>State Board of Elections</u></b>						
90.401	D	N	1,144,559	Help America Vote Act Requirements Payments		
93.617	D	N	339,953	Voting Access for Individuals with Disabilities-Grants to States		
			<u>1,484,512</u>	Total — State Board of Elections		
			<u>18,803,825,499</u>	Total — Primary Government		

*Component Units**University of North Carolina Systems:***Appalachian State University**

10.001	D	N	40,564	Agricultural Research-Basic and Applied Research		
10.217	D	N	26,862	Higher Education Challenge Grants		
10.217	I	N	1	Higher Education Challenge Grants	4692678	Univ of Georgia
11.609	D	N	19,272	Measurement and Engineering Research and Standards		
12.900	D	N	76,787	Language Grant Program		
14.906	D	N	256,715	Healthy Homes Technical Studies Grants		
15.660	D	N	15,129	Endangered Species-Candidate Conservation Action Funds		
15.808	D	N	16,843	U. S. Geological Survey- Research and Data Collection		
15.923	D	N	1,365	National Center for Preservation Technology and Training		
15.931	D	N	33,596	Conservation Activities by Youth Service Organizations		
15.945	D	N	80,652	Cooperative Research and Training Programs - Resources of the National Park System		
19.040	D	N	62,883	Public Diplomacy Programs		
19.501	D	N	203,726	Public Diplomacy Programs for Afghanistan and Pakistan		
23.001	D	N	65,528	Appalachian Regional Development (See individual Appalachian Programs)		
43.001	D	N	161,060	Science		
43.003	D	N	23,171	Exploration		
45.024	D	N	7,290	Promotion of the Arts-Grants to Organizations and Individuals		
45.149	D	N	2,867	Promotion of the Humanities-Division of Preservation and Access		
47.049	D	N	206,621	Mathematical and Physical Sciences		
47.050	D	N	50,565	Geosciences		
47.070	D	N	32,195	Computer and Information Science and Engineering		
47.074	D	N	223,702	Biological Sciences		
47.075	D	N	94,608	Social, Behavioral, and Economic Sciences		
47.076	D	N	482,713	Education and Human Resources		
47.076	I	N	9,161	Education and Human Resources	20003901AS	Macalester College
47.076	I	N	12,983	Education and Human Resources	40783-00-01B	Research Fdn of CUNY

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47.082	D	Y	50,309	ARRA - Trans-NSF Recovery Act Research Support		
59	D	N	32,345	U. S. Small Business Administration		
66.034	D	N	29,075	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act		
66.516	D	N	44,720	P3 Award: National Student Design Competition for Sustainability		
81.041	I	Y	1	ARRA - State Energy Program	20120054	Wilkes Co. Tax Collector
84.007	D	N	220,806	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	585,212	Federal Work-Study Program		
84.038	D	N	4,499,440	Federal Perkins Loan Program-Federal Capital Contributions		
84.042	D	N	298,126	TRIO-Student Support Services		
84.047	D	N	731,429	TRIO-Upward Bound		
84.063	D	N	16,524,697	Federal Pell Grant Program		
84.116	D	N	85,572	Fund for the Improvement of Postsecondary Education		
84.268	D	N	80,951,831	Federal Direct Student Loans		
84.334	D	N	43,060	Gaining Early Awareness and Readiness for Undergraduate Programs		
89.003	D	N	32,145	National Historical Publications and Records Grants		
93.855	D	N	131,803	Allergy, Immunology and Transplantation Research		
93.884	I	N	18,368	Grants for Primary Care Training and Enhancement	WFUHS 16173	Wake Forest University
94.011	D	N	391,000	Foster Grandparent Program		
94.016	D	N	236,600	Senior Companion Program		
98.012	I	N	79,736	USAID Development Partnerships for University Cooperation and Development	12-716	Arizona State University
			<u>107,193,134</u>	Total — Appalachian State University		
<b>East Carolina University</b>						
10.216	I	N	17,837	1890 Institution Capacity Building Grants	28300-2012-0022	Southern Univ A&M
10.305	D	N	44,250	International Science and Education Grants		
10.459	D	N	70,887	Commodity Partnerships for Small Agricultural Risk Management Education Sessions		
10.855	D	N	85,818	Distance Learning and Telemedicine Loans and Grants		
11.303	I	N	3,498	Economic Development-Technical Assistance	14.228	Town of Roseboro
11.417	I	N	3,144	Sea Grant Support	12-NCSF-1079	Duke University
11.431	I	N	23,408	Climate and Atmospheric Research	10029211/557723	Univ Pennsylvania
11.431	I	N	10,850	Climate and Atmospheric Research	13-2315/13540-FA93	University South Carolina
11.431	I	N	4,806	Climate and Atmospheric Research	NA09NMF4720414	Commerican Fisheries Fdn
11.431	I	N	37,679	Climate and Atmospheric Research	NOAA0034/05	Eastern Research Group
11.431	I	N	6,560	Climate and Atmospheric Research	PO41656/13540FA93/12020	University South Carolina
11.459	D	N	12,991	Weather and Air Quality Research		
11.459	I	N	19,127	Weather and Air Quality Research	NNC201213-NOAA	Nurture Nature Ctr
11.460	D	N	2,308	Special Oceanic and Atmospheric Projects		
11.473	I	N	32,687	Coastal Services Center	93392/0000020121	Univ Georgia
11.609	I	Y	3,507	ARRA - Measurement and Engineering Research and Standards	22536/60NANB10D016	Univ Delaware
12.300	I	N	153,861	Basic and Applied Scientific Research	1140165/PO224208	Carnegie Mellon Univ
12.420	D	N	1,454,715	Military Medical Research and Development		
12.431	D	N	288,749	Basic Scientific Research		
12.431	I	N	244,736	Basic Scientific Research	UHC628537981	Univ Connecticut
12.901	D	N	14,156	Mathematical Sciences Grants Program		
12.902	D	N	46,681	Information Security Grant Program		
14.218	D	N	1,462	Community Development Block Grants/Entitlement Grants		
14.218	I	N	1,644	Community Development Block Grants/Entitlement Grants	A120103001CDBGHUD	Town of Snowhill
14.218	I	N	11,224	Community Development Block Grants/Entitlement Grants	A120108001	City of Kinston

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14.218	I	N	2,659	Community Development Block Grants/Entitlement Grants	A120108001/4NAC19L	Town of Garysburg
14.218	I	N	13,597	Community Development Block Grants/Entitlement Grants	CDBG FISHER CENTER	Town of Winfall
14.218	I	N	21,178	Community Development Block Grants/Entitlement Grants	CDBGHUDABERDEEN	Town of Aberdeen
14.218	I	N	10,451	Community Development Block Grants/Entitlement Grants	CDBGHUDHOOKERTON	Town of Hookerton
14.218	I	N	3,680	Community Development Block Grants/Entitlement Grants	CDBGHUDROSEBORO	Town of Roseboro
14.218	I	N	3,940	Community Development Block Grants/Entitlement Grants	CDBGHUDWASHINGTON	Washington County
14.218	I	N	5,649	Community Development Block Grants/Entitlement Grants	CDBGLEWISTONWOODVILLE	Town of Lewiston
14.218	I	N	8,224	Community Development Block Grants/Entitlement Grants	IGCC CITY	City of Greenville
14.218	I	N	4,932	Community Development Block Grants/Entitlement Grants	WASHCDBGHUD	City of Washington
14.218	I	N	4,361	Community Development Block Grants/Entitlement Grants	YANCEYCDGBHUD	Town of Yanceyville
15.225	I	N	30,123	Recreation Resource Management	13005078A00	Univ Massachusetts
15.235	I	N	36,880	Southern Nevada Public Land Management	11784400	Univ Nevada Las Vegas
15.423	D	N	91,430	Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)		
15.611	D	N	39,586	Wildlife Restoration and Basic Hunter Education		
15.637	I	N	588	Migratory Bird Joint Ventures	191000.331301.06/40181	Mississippi State Univ
15.649	I	N	310	Service Training and Technical Assistance (Generic Training)	9014	Missouri State Univ
15.649	I	N	6,709	Service Training and Technical Assistance (Generic Training)	F12AC01055SUB13009	Missouri State Univ
15.650	D	N	16,460	Research Grants (Generic)		
15.657	D	N	11,793	Endangered Species Conservation - Recovery Implementation Funds		
15.660	D	N	773	Endangered Species-Candidate Conservation Action Funds		
15.808	D	N	43,445	U. S. Geological Survey- Research and Data Collection		
15.808	I	N	16,857	U. S. Geological Survey- Research and Data Collection	AV08NC01/08HQGR0157	America View
15.810	D	N	9,822	National Cooperative Geologic Mapping Program		
15.816	D	N	19,827	Minerals Resources External Research Program		
16.560	D	N	11,747	National Institute of Justice Research, Evaluation, and Development Project Grants		
16.758	I	N	5,666	Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers	14GREENNCSA12	Natl Children's Alliance
16.758	I	N	4,440	Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers	14GREENNCSA13	Children's Advocacy Center
43.001	D	N	11,693	Science		
43.001	I	N	56,761	Science	4100674052	Carnegie Inst Washington
43.001	I	N	29,905	Science	Z6477101/Z648601	Univ Maryland
45.024	D	N	14,989	Promotion of the Arts-Grants to Organizations and Individuals		
45.024	I	N	6,789	Promotion of the Arts-Grants to Organizations and Individuals	4095/4116/SARPAS	South Arts
45.161	D	N	79,714	Promotion of the Humanities-Research		
47.041	I	N	47,345	Engineering Grants	21P19601	Texas Tech Univ
47.049	D	N	95,981	Mathematical and Physical Sciences		
47.050	D	N	462,385	Geosciences		
47.050	I	N	5,130	Geosciences	157560/OC0939564	Univ So California
47.050	I	N	2,580	Geosciences	9055PO101018	Inst Global Environmental
47.050	I	N	32,873	Geosciences	Y89526	Univ So California
47.070	D	N	52,218	Computer and Information Science and Engineering		
47.074	D	N	390,404	Biological Sciences		
47.074	I	N	42,118	Biological Sciences	FGA10618127098	Univ Virginia
47.074	I	N	72,898	Biological Sciences	PSA6458DEB0830024	Univ Connecticut
47.075	D	N	272,352	Social, Behavioral, and Economic Sciences		
47.076	D	N	193,531	Education and Human Resources		
47.076	I	N	12,504	Education and Human Resources	UF08119	Univ Florida
47.078	D	N	99,388	Polar Programs		



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47.079	D	N	17,589	Office of International and Integrative Activities		
47.082	D	Y	252,971	ARRA - Trans-NSF Recovery Act Research Support		
64.018	D	N	3,723	Sharing Specialized Medical Resources		
66.717	D	N	14,751	Source Reduction Assistance		
84.007	D	N	645,224	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	376,474	Higher Education-Institutional Aid		
84.033	D	N	950,729	Federal Work-Study Program		
84.038	D	N	10,923,630	Federal Perkins Loan Program-Federal Capital Contributions		
84.063	D	N	28,833,570	Federal Pell Grant Program		
84.268	D	N	141,624,284	Federal Direct Student Loans		
84.325	D	N	233,012	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities		
84.336	D	N	1,602,789	Teacher Quality Partnership Grants		
84.366	I	N	65,087	Mathematics and Science Partnerships	S366B110034	Beaufort Schools
84.367	I	N	13,549	Improving Teacher Quality State Grants	07NC11SEED2012	Natl Writing Project
84.928	I	N	22,864	National Writing Project	07NC11SEED2012	Natl Writing Project
93	I	N	1,607	U. S. Department of Health and Human Services	157805/188594	Duke University
93	I	N	119,051	U. S. Department of Health and Human Services	23120212356	Research Triangle Inst
93	I	N	4,587	U. S. Department of Health and Human Services	300001911301/08371001	Children's Research Inst
93	I	N	412,298	U. S. Department of Health and Human Services	30209/30215	Wake Forest Univ
93	I	N	4,230	U. S. Department of Health and Human Services	70305	Univ Chicago
93	I	N	27,042	U. S. Department of Health and Human Services	7U01HL088942	MT SINAI SOM
93	I	N	10,185	U. S. Department of Health and Human Services	821/0080.0066	Family Health Inst
93	I	N	10,655	U. S. Department of Health and Human Services	ASPREE	Wake Forest Univ
93	I	N	2,742	U. S. Department of Health and Human Services	ECIGADOLESCENT	Amer Acad Child Psychiatry
93	I	N	625	U. S. Department of Health and Human Services	SR00002580	Univ Maryland
93	I	N	4,514	U. S. Department of Health and Human Services	SWOG0500	MT SINAI SOM
93.103	D	N	3,215	Food and Drug Administration-Research		
93.110	I	N	9,764	Maternal and Child Health Federal Consolidated Programs	MCHB1H30MC24046	Hemophilia of Georgia
93.113	D	N	421,290	Environmental Health		
93.113	I	N	123,795	Environmental Health	314301	Boston Med Center
93.113	I	N	119,300	Environmental Health	34002124974/0212794	Research Triangle Inst
93.113	I	N	247,470	Environmental Health	A120038003	Research Triangle Inst
93.121	I	N	18,257	Oral Diseases and Disorders Research	022272ECU2385	Harvard Univ
93.124	D	N	12,516	Nurse Anesthetist Traineeships		
93.137	I	N	24,473	Community Programs to Improve Minority Health Grant Program	20090188	Greene Co Health
93.153	D	N	545,698	Coordinated Services and Access to Research for Women, Infants, Children, and Youth		
93.173	D	N	85,179	Research Related to Deafness and Communication Disorders		
93.224	I	N	168,973	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)	0057/0070/0074	Greene Co Health
93.236	D	N	1,228,980	Grants to States to Support Oral Health Workforce Activities		
93.242	D	N	459,047	Mental Health Research Grants		
93.247	D	N	271,005	Advanced Nursing Education Grant Program		
93.250	D	N	70,211	Geriatric Academic Career Awards		
93.262	I	N	7,965	Occupational Safety and Health Program	3048109702	Univ KY Research Fdn
93.262	I	N	14,632	Occupational Safety and Health Program	500836003	Univ Alabama Birmingham
93.273	D	N	722,472	Alcohol Research Programs		
93.276	I	N	18,417	Drug-Free Communities Support Program Grants	130380001	Coastal Coalition

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93.279	D	N	5,825	Drug Abuse and Addiction Research Programs		
93.283	D	N	57,085	Centers for Disease Control and Prevention-Investigations and Technical Assistance		
93.283	I	N	13,653	Centers for Disease Control and Prevention-Investigations and Technical Assistance	12095U50CDC300860	Assoc Prev Teach & Res
93.342	D	N	100,123	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students		
93.351	D	N	193,109	Research Infrastructure Programs		
93.358	D	N	323,028	Advanced Education Nursing Traineeships		
93.364	D	N	356,141	Nursing Student Loans		
93.393	D	N	322,369	Cancer Cause and Prevention Research		
93.394	I	N	17,053	Cancer Detection and Diagnosis Research	RF012984056003114369	Ohio State Univ
93.395	D	N	168,870	Cancer Treatment Research		
93.395	I	N	4,519	Cancer Treatment Research	40601	Univ Chicago
93.395	I	N	1,207	Cancer Treatment Research	B43/TFED35194	NSABBP
93.395	I	N	3,738	Cancer Treatment Research	U10CA021661	Amer Coll Radiology
93.396	D	N	80,904	Cancer Biology Research		
93.399	I	N	813	Cancer Control	70604	Cancer & Leukemia B
93.399	I	N	16,763	Cancer Control	TFED41S2/PFED21	NSABBP
93.405	D	Y	7,136	ARRA - Public Health Traineeship Program		
93.520	I	N	830	Centers for Disease Control and Prevention - Affordable Care Act (ACA) - Communities Putting Prevention to Work	1U58DP003053	Appalachian Health Dept
93.520	I	N	4,297	Centers for Disease Control and Prevention - Affordable Care Act (ACA) - Communities Putting Prevention to Work	A11019502	Pitt Co Health Dept
93.701	D	Y	147,055	ARRA - Trans-NIH Recovery Act Research Support		
93.837	D	N	591,582	Cardiovascular Diseases Research		
93.837	I	N	7,573	Cardiovascular Diseases Research	148732	Duke University
93.837	I	N	45,864	Cardiovascular Diseases Research	2031147	Duke University
93.837	I	N	85,518	Cardiovascular Diseases Research	M12A11364	Yale University
93.839	I	N	79,536	Blood Diseases and Resources Research	5600719776	Baylor Univ Com
93.839	I	N	317	Blood Diseases and Resources Research	5R01HL095647	Cincinnati Child Hosp
93.846	D	N	1,169,665	Arthritis, Musculoskeletal and Skin Diseases Research		
93.846	I	N	10,759	Arthritis, Musculoskeletal and Skin Diseases Research	12002	Wake Forest Univ
93.847	D	N	1,342,868	Diabetes, Digestive, and Kidney Diseases Extramural Research		
93.847	I	N	6,794	Diabetes, Digestive, and Kidney Diseases Extramural Research	1R43DK091143	Cara Cairns Design
93.847	I	N	18,249	Diabetes, Digestive, and Kidney Diseases Extramural Research	5U01DK066174	Childs Hospital Philadelphia
93.847	I	N	11,331	Diabetes, Digestive, and Kidney Diseases Extramural Research	BMC2820	Boston Med Center
93.848	I	N	107,974	Digestive Diseases and Nutrition Research	9000613	Univ Pittsburgh
93.849	D	N	8,634	Kidney Diseases, Urology and Hematology Research		
93.853	D	N	733,568	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.853	I	N	9,499	Extramural Research Programs in the Neurosciences and Neurological Disorders	A07186/A08383	Yale University
93.855	D	N	525,133	Allergy, Immunology and Transplantation Research		
93.855	I	N	23,844	Allergy, Immunology and Transplantation Research	5159701	Albany Medical Coll
93.855	I	N	64,846	Allergy, Immunology and Transplantation Research	7439A	Univ Texas Houston
93.859	D	N	40,527	Biomedical Research and Research Training		
93.859	I	N	2,502	Biomedical Research and Research Training	16582009178	Clemson Univ
93.859	I	Y	52,680	ARRA - Biomedical Research and Research Training	9000613	Univ Pittsburgh
93.865	D	N	163,546	Child Health and Human Development Extramural Research		
93.865	I	N	7,924	Child Health and Human Development Extramural Research	NICHD200518	Duke University
93.866	D	N	72,640	Aging Research		
93.884	D	N	660,691	Grants for Primary Care Training and Enhancement		

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93.918	D	N	490,495	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease		
93.959	I	N	54,521	Block Grants for Prevention and Treatment of Substance Abuse	32432/332433	Wake Forest Univ
93.964	D	N	8,000	Prevention and Public Health Fund (PPHF) Public Health Traineeships		
97	I	N	1,274	U. S. Department of Homeland Security	2011 DONALDS	Donald's Flying Service
97.012	I	N	20,650	Boating Safety Financial Assistance	2011110226	U. S. Power Squadrons
			<u>203,997,233</u>	Total — East Carolina University		
<b><u>Elizabeth City State University</u></b>						
10.308	I	N	3,406	Resident Instruction Grants for Insular Area Activities	CR-2007-38820-18574	Virginia State University
12.300	D	N	28,637	Basic and Applied Scientific Research		
12.431	D	N	118,611	Basic Scientific Research		
14.169	D	N	21,497	Housing Counseling Assistance Program		
14.520	D	N	393,735	Historically Black Colleges and Universities Program		
15.923	D	Y	14,104	ARRA - National Center for Preservation Technology and Training		
43.001	D	N	13,892	Science		
43.008	D	N	211,164	Education		
45.149	D	N	51	Promotion of the Humanities-Division of Preservation and Access		
47.049	D	N	13,904	Mathematical and Physical Sciences		
47.050	I	N	10,205	Geosciences	07-081	University of New Hampshire
47.070	D	N	54,655	Computer and Information Science and Engineering		
47.074	D	N	14,946	Biological Sciences		
47.076	D	N	532,886	Education and Human Resources	DUE0525433	University of New Hampshire
47.076	I	N	22,356	Education and Human Resources	GA10652-128694	University of Virginia
47.076	I	N	14,739	Education and Human Resources		
47.078	D	N	155,116	Polar Programs		
47.078	I	N	560,187	Polar Programs	ANT-0424589	University of Kansas
47.080	D	N	3,334	Office of Cyberinfrastructure		
81.087	I	N	268	Renewable Energy Research and Development	21E008-03	Texas Tech University
84.007	D	N	359,621	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	4,608,662	Higher Education-Institutional Aid		
84.033	D	N	410,526	Federal Work-Study Program		
84.038	D	N	971,519	Federal Perkins Loan Program-Federal Capital Contributions		
84.042	D	N	290,342	TRIO-Student Support Services		
84.047	D	N	300,973	TRIO-Upward Bound		
84.063	D	N	8,998,712	Federal Pell Grant Program		
84.120	D	N	371,487	Minority Science and Engineering Improvement		
84.217	D	N	66,642	TRIO-McNair Post-Baccalaureate Achievement		
84.268	D	N	15,521,059	Federal Direct Student Loans		
84.363	D	N	702,420	School Leadership		
84.379	D	N	32,000	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
84.382	D	N	621,751	Strengthening Minority-Serving Institutions		
93.307	D	N	413,774	Minority Health and Health Disparities Research		
93.855	D	N	64,475	Allergy, Immunology and Transplantation Research		
93.859	D	N	565,684	Biomedical Research and Research Training		
94.007	D	N	350	Program Development and Innovation Grants		
97.062	D	N	92,223	Scientific Leadership Awards		
98.001	D	N	882,518	USAID Foreign Assistance for Programs Overseas		
			<u>37,462,431</u>	Total — Elizabeth City State University		

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<b><u>Fayetteville State University</u></b>						
10.310	D	N	102,684	Agriculture and Food Research Initiative (AFRI)		
11.303	D	N	34,817	Economic Development-Technical Assistance		
11.557	D	N	154,687	Broadband Technology Opportunities Program (BTOP)		
12.420	D	N	100,328	Military Medical Research and Development		
12.431	D	N	164,388	Basic Scientific Research		
12.630	D	N	28,262	Basic, Applied, and Advanced Research in Science and Engineering		
14.520	D	N	262,672	Historically Black Colleges and Universities Program		
47.076	D	N	765,037	Education and Human Resources		
47.082	D	Y	29,208	ARRA - Trans-NSF Recovery Act Research Support		
59.044	D	N	179,907	Veterans Business Development		
84.007	D	N	712,424	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	6,350,707	Higher Education-Institutional Aid		
84.033	D	N	534,635	Federal Work-Study Program		
84.038	D	N	2,565,337	Federal Perkins Loan Program-Federal Capital Contributions		
84.042	D	N	318,478	TRIO-Student Support Services		
84.044	D	N	260,165	TRIO-Talent Search		
84.047	D	N	383,593	TRIO-Upward Bound		
84.063	D	N	13,967,826	Federal Pell Grant Program		
84.066	D	N	374,681	TRIO-Educational Opportunity Centers		
84.120	D	N	132,872	Minority Science and Engineering Improvement		
84.217	D	N	199,573	TRIO-McNair Post-Baccalaureate Achievement		
84.268	D	N	35,779,450	Federal Direct Student Loans		
84.334	D	N	891,251	Gaining Early Awareness and Readiness for Undergraduate Programs		
84.379	D	N	188,000	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
84.382	D	N	514,461	Strengthening Minority-Serving Institutions		
93.307	D	N	264,738	Minority Health and Health Disparities Research		
93.779	D	N	4	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations		
93.859	D	N	336,230	Biomedical Research and Research Training		
94.005	D	N	57,069	Learn and Serve America-Higher Education		
			65,653,484	Total — Fayetteville State University		

**North Carolina Agricultural & Technical State University**

10	D	N	179,228	U. S. Department of Agriculture		
10	I	N	23,219	U. S. Department of Agriculture	RC100236NC	The Regents of the Univeristy of Michigan
10.169	D	N	9,333	Specialty Crop Block Grant Program		
10.200	D	N	114,252	Grants for Agricultural Research, Special Research Grants		
10.202	D	N	2,228	Cooperative Forestry Research		
10.205	D	N	4,069,853	Payments to 1890 Land-Grant Colleges and Tuskegee University		
10.206	D	N	4,323,862	Grants for Agricultural Research-Competitive Research Grants		
10.210	D	N	25,028	Food and Agricultural Sciences National Needs Graduate Fellowship Grants		
10.216	D	N	1,029,132	1890 Institution Capacity Building Grants		
10.216	I	N	13,612	1890 Institution Capacity Building Grants	2010-20571-NCA&T	University of Maryland Eastern Shore
10.217	I	N	41,172	Higher Education Challenge Grants	63630	Ohio State University
10.220	D	N	36,253	Higher Education Multicultural Scholars Program		
10.224	D	N	65,194	Fund for Rural America-Research, Education, and Extension Activities		
10.250	D	N	115,913	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations		

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10.303	I	N	1,389	Integrated Programs	BFK500-SB-003	University of Idaho
10.303	I	N	3,287	Integrated Programs	SUBAWARD NO 451012	Texas A&M University
10.305	D	N	34,597	International Science and Education Grants		
10.306	I	N	45,199	Biodiesel	416-40-39B	Iowa State University
10.309	D	N	184,193	Specialty Crop Research Initiative		
10.310	D	N	56,266	Agriculture and Food Research Initiative (AFRI)		
10.310	I	N	16,758	Agriculture and Food Research Initiative (AFRI)	2000041386-AG	Purdue University
10.310	I	N	27,582	Agriculture and Food Research Initiative (AFRI)	UF11100	University of Florida
10.311	D	N	201,264	Beginning Farmer and Rancher Development Program		
10.313	I	N	65,289	Veterinary Medicine Loan Repayment Program	SUBAWARD AGMT 62524.9499	Cornell University
10.443	D	N	89,162	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers		
10.455	D	N	48,044	Community Outreach and Assistance Partnership Program		
10.459	D	N	38,425	Commodity Partnerships for Small Agricultural Risk Management Education Sessions		
10.500	D	N	287,570	Cooperative Extension Service		
10.500	I	N	57,455	Cooperative Extension Service	RE675-161/4786336	University of Georgia
10.664	I	N	1,700	Cooperative Forestry Assistance	RE353-420/478658	USDA Forest Service
10.902	D	N	70,294	Soil and Water Conservation		
10.961	D	N	22,403	Scientific Cooperation and Research		
11.481	D	N	307,022	Educational Partnership Program		
12	D	N	686,336	U. S. Department of Defense		
12	I	N	525,942	U. S. Department of Defense	316091	DUPREC-University Of Maryland
12	I	N	42,635	U. S. Department of Defense	SUBCONTRACT MP-NC A&T SU-SC-01	Binary Group, Inc
12.300	D	N	255,843	Basic and Applied Scientific Research		
12.431	D	N	1,708,153	Basic Scientific Research		
12.431	I	N	58,955	Basic Scientific Research	Z845802	DUPREC-University Of Maryland
12.630	D	N	26,753	Basic, Applied, and Advanced Research in Science and Engineering		
14	I	N	42,106	U. S. Department of Housing and Urban Development	AGREEMENT DATED 4-1-11	City of Greensboro
14.520	D	N	369,388	Historically Black Colleges and Universities Program		
16.582	D	N	76,220	Crime Victim Assistance/Discretionary Grants		
16.731	I	N	20,809	Tribal Youth Program	2011-TY-FX-0031	National 4-H Council
20	D	N	87,163	U. S. Department of Transportation		
20	I	N	16,530	U. S. Department of Transportation	DTRT-07-G-0004	University Of Tennessee-Knoxville
20.205	D	N	23,961	Highway Planning and Construction		
43	D	N	1,210,859	National Aeronautics and Space Administration		
43	I	N	81,885	National Aeronautics and Space Administration	LETTER DATED 9-23-03	Engineering Inc
43	I	N	508,114	National Aeronautics and Space Administration	NCAT-03-01	Virginia Polytechnic Institute and State University
43.008	I	N	45,658	Education	4103-0583	Purdue University
47	I	N	80,286	National Science Foundation	EMAIL DATE 09022010	Product Innovation and Engineering
47	I	N	1,486	National Science Foundation	LETTER 3.20.2012	Georgia State University
47.041	D	N	3,014,781	Engineering Grants		
47.041	I	N	176,849	Engineering Grants	EEC-0540834	University of Minnesota
47.049	D	N	205,580	Mathematical and Physical Sciences		
47.050	D	N	2,872	Geosciences		
47.070	D	N	181,346	Computer and Information Science and Engineering		
47.074	D	N	3,356	Biological Sciences		
47.074	I	N	533,594	Biological Sciences	61-2075NC	Michigan State University
47.075	D	N	7,901	Social, Behavioral, and Economic Sciences		
47.075	I	N	7,997	Social, Behavioral, and Economic Sciences	4532-NCATSU-NSF-5327	Pennsylvania State University

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47.076	D	N	3,472,281	Education and Human Resources		
47.076	I	N	300	Education and Human Resources	930243	Prairie View A&M University
47.076	I	N	17,890	Education and Human Resources	UF-EIES-1109030-NCA	University of Florida
47.078	I	N	12,579	Polar Programs	FP32463	University of Alaska Fairbanks
47.079	D	N	9,017	Office of International and Integrative Activities		
47.079	I	N	1,641	Office of International and Integrative Activities	3027-NCATS-NSF-0062	Pennsylvania State University
47.081	D	Y	504,384	ARRA - Office of Experimental Program to Stimulate Competitive Research		
47.082	D	Y	6,000	ARRA - Trans-NSF Recovery Act Research Support		
66	D	N	8,317	U. S. Environmental Protection Agency		
66.202	I	N	16,376	Congressionally Mandated Projects	EPA83438801	Research, Inc
66.461	I	N	3,303	Regional Wetland Program Development Grants	429-20-06	Iowa State University
77.007	D	N	31,616	U. S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP)		
81	D	N	320	U. S. Department of Energy		
81	I	Y	3,900	ARRA - U. S. Department of Energy	40640	Florida Solar Energy Center
81	I	Y	158,054	ARRA - U. S. Department of Energy	CONTRACT DATED 10/12/11	City of Greensboro
81.087	D	N	361,605	Renewable Energy Research and Development		
81.089	D	N	29,018	Fossil Energy Research and Development		
81.123	D	N	227,894	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program		
81.123	I	N	17,372	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program	HU-130002	Hampton University
81.214	D	N	79,295	Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis		
84	D	N	2,670	U. S. Department of Education		
84.007	D	N	462,372	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	15,575,468	Higher Education-Institutional Aid		
84.033	D	N	318,310	Federal Work-Study Program		
84.038	D	N	1,680,221	Federal Perkins Loan Program-Federal Capital Contributions		
84.042	D	N	274,288	TRIO-Student Support Services		
84.047	D	N	549,839	TRIO-Upward Bound		
84.063	D	N	23,974,939	Federal Pell Grant Program		
84.120	D	N	238,575	Minority Science and Engineering Improvement		
84.129	D	N	476,529	Rehabilitation Long-Term Training		
84.268	D	N	75,077,960	Federal Direct Student Loans		
84.315	D	N	211,171	Capacity Building for Traditionally Underserved Populations		
84.325	D	N	80,294	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities		
84.379	D	N	111,500	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
90	I	N	26,587	Other Boards and Commissions	NANOMEMS-NSF-IIP-1248165	NanoMems Research, LLC
93	I	N	274	U. S. Department of Health and Human Services	LETTER DATED 7-27-11	The American Society for Cell Biology
93.061	D	Y	5,594	ARRA - Innovations in Applied Public Health Research		
93.113	D	N	141,456	Environmental Health		
93.173	I	N	39,308	Research Related to Deafness and Communication Disorders	210-1093687-58164	State University of New York
93.243	I	N	8,615	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	T1023447	Morehouse School of Medicine
93.307	D	N	669,330	Minority Health and Health Disparities Research		
93.393	D	N	12,648	Cancer Cause and Prevention Research		
93.395	D	N	65,019	Cancer Treatment Research		
93.397	D	N	211,891	Cancer Centers Support Grants		
93.769	D	Y	29	ARRA - Demonstration to Maintain Independence and Employment		

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93.846	I	N	36,748	Arthritis, Musculoskeletal and Skin Diseases Research	7744	University of Cincinnati
93.853	D	N	43,239	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.859	D	N	620,958	Biomedical Research and Research Training		
93.859	I	N	20,520	Biomedical Research and Research Training	12-NIH-10009	Duke University
93.859	I	N	24,205	Biomedical Research and Research Training	T36gm095335	Carnegie Mellon University
93.865	I	N	5,003	Child Health and Human Development Extramural Research	6707SC	University of California at San Francisco
93.866	I	N	50,275	Aging Research	5-31230	The Trustees of Columbia University
93.888	D	N	17,283	Specially Selected Health Projects		
97.061	I	N	4,838	Centers for Homeland Security	X9106025121	U. S. Dept of Commerce
97.062	D	N	109,078	Scientific Leadership Awards		
98.001	I	N	4,752	USAID Foreign Assistance for Programs Overseas	2010-07200-04	University of Illinois-Urbana-Champaign
98.001	I	N	327,060	USAID Foreign Assistance for Programs Overseas	425955-19070	Virginia Polytechnic Institute and State University
99	I	N	25,154	Other Federal Assistance	SUBCONTRACT # 3-312-0212782	RTI International
			<u>148,074,698</u>	Total — North Carolina Agricultural & Technical State University		

**North Carolina Central University**

11.550	D	N	384	Public Telecommunications Facilities Planning and Construction		
11.557	D	Y	141,285	ARRA - Broadband Technology Opportunities Program (BTOP)		
12.420	D	N	8,517	Military Medical Research and Development		
12.431	D	N	220,306	Basic Scientific Research		
14.520	D	N	540	Historically Black Colleges and Universities Program		
43	I	N	842,454	National Aeronautics and Space Administration		
43.001	I	N	59,945	Science	12-002JNA	Delaware State University
45.313	D	N	1,690	Laura Bush 21st Century Librarian Program		
47.041	D	N	44,105	Engineering Grants		
47.049	I	N	181,591	Mathematical and Physical Sciences	12-NSF-1026	Duke University
47.050	D	N	27,958	Geosciences		
47.050	I	N	19,034	Geosciences	80-25	IRIS
47.074	D	N	42,451	Biological Sciences		
47.074	I	N	22,017	Biological Sciences	09-NSF-1033	Duke University
47.076	D	N	1,361,313	Education and Human Resources		
47.079	I	N	5,279	Office of International and Integrative Activities	13-NSF-1035	Duke University
81.049	D	Y	258,115	ARRA - Office of Science Financial Assistance Program		
81.049	I	N	123,958	Office of Science Financial Assistance Program	12-DOE-1077	Duke University
81.086	D	N	20,699	Conservation Research and Development		
81.087	D	N	101,457	Renewable Energy Research and Development		
84.007	D	N	912,275	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	6,925,196	Higher Education-Institutional Aid		
84.033	D	N	904,046	Federal Work-Study Program		
84.038	D	N	6,708,988	Federal Perkins Loan Program-Federal Capital Contributions		
84.063	D	N	19,439,922	Federal Pell Grant Program		
84.129	D	N	68,820	Rehabilitation Long-Term Training		
84.153	D	N	-225	Business and International Education Projects		
84.268	D	N	86,923,459	Federal Direct Student Loans		
84.325	D	N	1,722	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities		
84.379	D	N	14,000	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
93.121	D	N	39,475	Oral Diseases and Disorders Research		

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93.243	I	N	1,851	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	5-56062-40144-502440-160	Morehouse School of Medicine
93.273	D	N	797,716	Alcohol Research Programs		
93.279	D	N	6,647	Drug Abuse and Addiction Research Programs		
93.307	D	N	1,229,669	Minority Health and Health Disparities Research		
93.364	D	N	27,534	Nursing Student Loans		
93.395	D	N	176,015	Cancer Treatment Research		
93.396	D	N	-2,739	Cancer Biology Research		
93.397	D	N	1,540,441	Cancer Centers Support Grants		
93.701	D	N	43,100	Trans-NIH Recovery Act Research Support		
93.837	D	N	391,632	Cardiovascular Diseases Research		
93.837	I	N	55,091	Cardiovascular Diseases Research	1R43DK093345-01	Southeast Tech Inventures
93.853	D	N	-6,804	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.855	D	N	214,823	Allergy, Immunology and Transplantation Research		
93.855	I	N	18,282	Allergy, Immunology and Transplantation Research	10-NIH-1113	Duke University
93.859	D	N	359,369	Biomedical Research and Research Training		
93.865	I	N	30,391	Child Health and Human Development Extramural Research	K12-HD043446-11	Duke University
93.925	D	N	600,000	Scholarships for Health Professions Students from Disadvantaged Backgrounds		
97.005	I	N	35,851	State and Local Homeland Security National Training Program	451759-09-158	Eastern Kentucky University
97.005	I	N	49,113	State and Local Homeland Security National Training Program	452026-10-243	Eastern Kentucky University
97.005	I	N	37,805	State and Local Homeland Security National Training Program	452284-12-256	Eastern Kentucky University
			131,026,563	Total — North Carolina Central University		

**North Carolina State University**

10	D	N	2,539,281	U. S. Department of Agriculture		
10	I	N	12,663	U. S. Department of Agriculture	018000.340452.19	Mississippi State Univ
10	I	N	37,931	U. S. Department of Agriculture	1201-005	Nat'l Wildlife Fed.
10	I	N	101,602	U. S. Department of Agriculture	163RFP#2010-001	U. S. Endow For Forest & Comm.
10	I	N	49,879	U. S. Department of Agriculture	2011-1529	Carolina Farm Stewardship
10	I	N	2,515	U. S. Department of Agriculture	2012-0577	Farm Pilot Proj Coord
10	I	N	12,725	U. S. Department of Agriculture	2012-1592	Farm Pilot Proj Coord
10	I	N	917	U. S. Department of Agriculture	2013-1717	National Honey Board
10	I	N	10,415	U. S. Department of Agriculture	392124409666122 P	Tuskegee University
10	I	N	415	U. S. Department of Agriculture	N/A	Nat'l Watermelon Promo Board
10	I	N	10,110	U. S. Department of Agriculture	PO1300213398	Univ of Florida
10.001	D	N	1,800,088	Agricultural Research-Basic and Applied Research		
10.001	I	N	59,163	Agricultural Research-Basic and Applied Research	N/A	Biotechnology Res. & Dev. Corp
10.025	D	N	3,069,901	Plant and Animal Disease, Pest Control, and Animal Care		
10.025	I	N	10,804	Plant and Animal Disease, Pest Control, and Animal Care	2012-NC01-BB-IVORS	Rutgers University
10.168	D	N	59,284	Farmers' Market Promotion Program		
10.170	I	N	1,219	Specialty Crop Block Grant Program-Farm Bill	2013-2155	NC Nursery & Landscape Assoc.
10.200	D	N	716,099	Grants for Agricultural Research, Special Research Grants		
10.200	I	N	3,498	Grants for Agricultural Research, Special Research Grants	12-EPP-373017-NCSU	Auburn University
10.200	I	N	11	Grants for Agricultural Research, Special Research Grants	1300214027	Univ of Florida
10.200	I	N	20,674	Grants for Agricultural Research, Special Research Grants	1300230958	University of Florida
10.200	I	N	22,047	Grants for Agricultural Research, Special Research Grants	1441-207-2008008	Clemson University
10.200	I	N	5,715	Grants for Agricultural Research, Special Research Grants	62094-9576	Cornell University
10.200	I	N	914	Grants for Agricultural Research, Special Research Grants	NCSU-DANIELS, SRAC	Mississippi State
10.200	I	N	2,654	Grants for Agricultural Research, Special Research Grants	PO #1200159588	Univ of Florida
10.200	I	N	99,502	Grants for Agricultural Research, Special Research Grants	PO 1200139956	Univ of Florida



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10.200	I	N	2,022	Grants for Agricultural Research, Special Research Grants	PO 1200141216	Univ of Florida
10.200	I	N	2,227	Grants for Agricultural Research, Special Research Grants	PO 1200141217	Univ of Florida
10.200	I	N	18,617	Grants for Agricultural Research, Special Research Grants	PO 1300213235	Univ of Florida
10.200	I	N	3,402	Grants for Agricultural Research, Special Research Grants	PO# 1000184269	Univ of Florida
10.200	I	N	9,678	Grants for Agricultural Research, Special Research Grants	PO# 1300213390	Univ of Florida
10.200	I	N	13,895	Grants for Agricultural Research, Special Research Grants	PO# 1300213395	Univ of Florida
10.200	I	N	170	Grants for Agricultural Research, Special Research Grants	PO1200140798	Univ of Florida
10.200	I	N	1,935	Grants for Agricultural Research, Special Research Grants	R01319	Florida State Univ
10.200	I	N	13,622	Grants for Agricultural Research, Special Research Grants	RF330-451/4893136	Univ of Georgia
10.200	I	N	12,167	Grants for Agricultural Research, Special Research Grants	UM-S856	University of Maine
10.200	I	N	13,127	Grants for Agricultural Research, Special Research Grants	UMS-900	Univ of Maine
10.202	D	N	1,056,750	Cooperative Forestry Research		
10.203	D	N	5,261,494	Payments to Agricultural Experiment Stations Under the Hatch Act		
10.206	D	N	310,505	Grants for Agricultural Research-Competitive Research Grants		
10.207	D	N	157,468	Animal Health and Disease Research		
10.210	D	N	333,887	Food and Agricultural Sciences National Needs Graduate Fellowship Grants		
10.212	I	N	47,957	Small Business Innovation Research	2012-0483	Biolink Life Sciences, Inc.
10.212	I	N	6,953	Small Business Innovation Research	2013-0100	Farmhand Foods
10.215	I	N	9,800	Sustainable Agriculture Research and Education	RD309-101/4894886	Univ of Georgia
10.215	I	N	62,631	Sustainable Agriculture Research and Education	RD309-105/4690188	Univ of Georgia
10.215	I	N	5,369	Sustainable Agriculture Research and Education	RD309-105/4692998	Univ of Georgia
10.215	I	N	835	Sustainable Agriculture Research and Education	RD309-105/4786536	Univ of Georgia
10.215	I	N	3,504	Sustainable Agriculture Research and Education	RD309-105/4786556	Univ of Georgia
10.215	I	N	856	Sustainable Agriculture Research and Education	RD309-105/4786636	Univ of Georgia
10.215	I	N	1,425	Sustainable Agriculture Research and Education	RD309-105/4786686	Univ of Georgia
10.215	I	N	32,474	Sustainable Agriculture Research and Education	RD309-109/4786256	Univ of Georgia
10.215	I	N	6,303	Sustainable Agriculture Research and Education	RD309-109/4892096	Univ of Georgia
10.215	I	N	6,295	Sustainable Agriculture Research and Education	RD309-109/4892106	Univ of Georgia
10.215	I	N	3,037	Sustainable Agriculture Research and Education	RD309-109/4892146	Univ of Georgia
10.215	I	N	3,663	Sustainable Agriculture Research and Education	RD309-117/4893496	Univ of Georgia
10.215	I	N	2,528	Sustainable Agriculture Research and Education	RD309-117/4940216	Univ of Georgia Res Fdn
10.215	I	N	14,215	Sustainable Agriculture Research and Education	RD309-122/4940076	Univ of Georgia
10.215	I	N	13,340	Sustainable Agriculture Research and Education	RD309-122/4940246	Univ of Georgia
10.215	I	N	18,333	Sustainable Agriculture Research and Education	RD309-122/4941316	Univ of Georgia
10.215	I	N	19,694	Sustainable Agriculture Research and Education	RE675-116/4892326	Univ of Georgia
10.215	I	N	14,547	Sustainable Agriculture Research and Education	UF10247	Univ of Florida
10.216	I	N	9,168	1890 Institution Capacity Building Grants	6-61731	Kentucky State Univ
10.217	D	N	80,558	Higher Education Challenge Grants		
10.217	I	N	33,811	Higher Education Challenge Grants	320699-19071	Virginia Polytechnic
10.217	I	N	16,115	Higher Education Challenge Grants	RH150-127/3840028	Univ of Georgia
10.217	I	N	92,832	Higher Education Challenge Grants	UF11254	Univ of Florida
10.219	D	N	242,529	Biotechnology Risk Assessment Research		
10.227	I	N	25,497	1994 Institutions Research Program	2010-1632	United Tribes Tech College
10.250	D	N	19,136	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations		
10.303	D	N	2,043,492	Integrated Programs		
10.303	I	N	20	Integrated Programs	1274-7558-207-2007	Clemson University
10.303	I	N	38,363	Integrated Programs	1300213234	Univ of Florida
10.303	I	N	63,254	Integrated Programs	1590-207-2008693	Clemson University
10.303	I	N	45,725	Integrated Programs	347K060	Univ of Wisconsin

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10.303	I	N	267,011	Integrated Programs	451008	Texas A&M Univ
10.303	I	N	6,437	Integrated Programs	545850-19071	Virginia Polytechnic
10.303	I	N	49,939	Integrated Programs	60025287 PO: RF0	Ohio State Univ
10.303	I	N	32,864	Integrated Programs	61-4299B	Michigan State University
10.303	I	N	195,939	Integrated Programs	RF330-411/3843858	Univ of Georgia
10.303	I	N	32,438	Integrated Programs	S-000372	Univ of Cal-Riverside
10.303	I	N	49,051	Integrated Programs	US AES 91032-01	Univ of Arkansas
10.304	I	N	799	Homeland Security-Agricultural	RC06413NCSU	Michigan State University
10.304	I	N	17,035	Homeland Security-Agricultural	RC101676NCSU	Michigan State University
10.304	I	N	6,935	Homeland Security-Agricultural	UF IFAS 00069562	Univ of Florida
10.304	I	N	21,781	Homeland Security-Agricultural	UF12232	Univ of Florida
10.305	D	N	35,089	International Science and Education Grants		
10.307	D	N	461,799	Organic Agriculture Research and Extension Initiative		
10.307	I	N	5,930	Organic Agriculture Research and Extension Initiative	4077-NCSU-USDA-565	Pennsylvania State Univ.
10.307	I	N	13,825	Organic Agriculture Research and Extension Initiative	4821	Rutgers University
10.307	I	N	35,011	Organic Agriculture Research and Extension Initiative	67835-9950	Cornell University
10.307	I	N	36,079	Organic Agriculture Research and Extension Initiative	8500028703	Univ of Tennessee
10.307	I	N	83,479	Organic Agriculture Research and Extension Initiative	C0421A-A	Oregon State Univ
10.309	D	N	923,888	Specialty Crop Research Initiative		
10.309	I	N	89,704	Specialty Crop Research Initiative	350K836	Univ of Wisconsin
10.309	I	N	98,877	Specialty Crop Research Initiative	422179-19071	Virginia Polytech Inst.
10.309	I	N	189,724	Specialty Crop Research Initiative	43897	Louisiana State Univ
10.309	I	N	53,503	Specialty Crop Research Initiative	61314-9364	Cornell University
10.309	I	N	395,708	Specialty Crop Research Initiative	G-1414-1	Colorado State Univ
10.309	I	N	4,775	Specialty Crop Research Initiative	H001545603	Univ of Minnesota
10.309	I	N	103,887	Specialty Crop Research Initiative	N/A	Virginia Polytech Inst.
10.309	I	N	48,599	Specialty Crop Research Initiative	PO:6605009 AGRI EC	Univ of Arkansas
10.309	I	N	47,108	Specialty Crop Research Initiative	RC299-380/3503828	Univ of Georgia
10.309	I	N	61,434	Specialty Crop Research Initiative	S110021 2011-5118	Texas A&M Univ
10.309	I	N	40,987	Specialty Crop Research Initiative	UF10226	Univ of Florida
10.310	D	N	6,664,111	Agriculture and Food Research Initiative (AFRI)		
10.310	I	N	29,051	Agriculture and Food Research Initiative (AFRI)	115334 G002890	Washington State University
10.310	I	N	290	Agriculture and Food Research Initiative (AFRI)	201223090-03	Univ of Cal-Davis
10.310	I	N	8,297	Agriculture and Food Research Initiative (AFRI)	238371A	University of Oregon
10.310	I	N	19,205	Agriculture and Food Research Initiative (AFRI)	25-6238-0581-002	Univ of Nebraska-Lincoln
10.310	I	N	31,977	Agriculture and Food Research Initiative (AFRI)	25-6239-0235-305	Univ of Nebraska-Lincoln
10.310	I	N	107,739	Agriculture and Food Research Initiative (AFRI)	25574 2011-6700	University of Delaware
10.310	I	N	21,303	Agriculture and Food Research Initiative (AFRI)	416-40-90B / PO#13	Iowa State Univ
10.310	I	N	42,479	Agriculture and Food Research Initiative (AFRI)	422192-19071	Virginia Polytechnic
10.310	I	N	60,460	Agriculture and Food Research Initiative (AFRI)	62524-9497	Cornell University
10.310	I	N	17,442	Agriculture and Food Research Initiative (AFRI)	8000033673-AG	Purdue University
10.310	I	N	56,017	Agriculture and Food Research Initiative (AFRI)	8000053331-AG	Purdue University
10.310	I	N	733,857	Agriculture and Food Research Initiative (AFRI)	8500022696	Univ of Tennessee
10.310	I	N	74,753	Agriculture and Food Research Initiative (AFRI)	PROJ #3266 PO #450	Emory University
10.310	I	N	113,613	Agriculture and Food Research Initiative (AFRI)	S-000472	Univ of Cal-Riverside
10.310	I	N	50,109	Agriculture and Food Research Initiative (AFRI)	S110031	Texas A&M Univ
10.310	I	N	17,263	Agriculture and Food Research Initiative (AFRI)	S12182	Kansas State University
10.310	I	N	602,256	Agriculture and Food Research Initiative (AFRI)	UF11102	Univ of Florida
10.310	I	N	106,882	Agriculture and Food Research Initiative (AFRI)	Z552806	Univ of Maryland
10.311	I	N	2,932	Beginning Farmer and Rancher Development Program	2012-0276	Inter-faith Food Shuttle

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10.311	I	N	106,634	Beginning Farmer and Rancher Development Program	2140-2	Nat'l Ctr For Appropriate Tech
10.312	D	N	192,857	Biomass Research and Development Initiative Competitive Grants Program (BRDI)		
10.312	I	N	30,318	Biomass Research and Development Initiative Competitive Grants Program (BRDI)	3048108243-12-454	Univ of Kentucky
10.312	I	N	101,128	Biomass Research and Development Initiative Competitive Grants Program (BRDI)	3048109826-058	Univ of Kentucky Res. Found.
10.312	I	N	301,629	Biomass Research and Development Initiative Competitive Grants Program (BRDI)	UFSSVSVC201274	Domtar Paper Company, LLC
10.312	I	N	131,631	Biomass Research and Development Initiative Competitive Grants Program (BRDI)	UFSSVSVC201280	Domtar Paper Company, LLC
10.319	I	N	11,326	Farm Business Management and Benchmarking Competitive Grants Program	#FAR-0020967	North Dakota State Univ
10.319	I	N	1,247	Farm Business Management and Benchmarking Competitive Grants Program	H001856213	Univ of Minnesota
10.319	I	N	19,534	Farm Business Management and Benchmarking Competitive Grants Program	RE670-421/4943126	Univ of Georgia
10.320	I	N	8,020	Sun Grant Program	S13001	Kansas State University
10.500	D	N	13,974,207	Cooperative Extension Service		
10.500	I	N	1,625	Cooperative Extension Service	012000-340471-02	Mississippi State
10.500	I	N	1,160	Cooperative Extension Service	10-ACES-374584-NCS	Auburn University
10.500	I	N	322	Cooperative Extension Service	12-006968H 00	Univ of Massachusetts
10.500	I	N	7,349	Cooperative Extension Service	12-057	Univ of New Hampshire
10.500	I	N	25,753	Cooperative Extension Service	21662-05	Univ of Arkansas
10.500	I	N	1,802	Cooperative Extension Service	23492-01	Univ of Arkansas
10.500	I	N	9,532	Cooperative Extension Service	25-3635-0030-101	Univ of Nebraska-Lincoln
10.500	I	N	2,193	Cooperative Extension Service	25-6329-0059-809	Univ of Nebraska-Lincoln
10.500	I	N	65,547	Cooperative Extension Service	25-6365-0030-101	Univ of Nebraska-Lincoln
10.500	I	N	25,542	Cooperative Extension Service	25-6365-0030-103	Univ of Nebraska-Lincoln
10.500	I	N	453,482	Cooperative Extension Service	25-6365-0040-005	Univ of Nebraska-Lincoln
10.500	I	N	9,023	Cooperative Extension Service	25-6365-0040-115	Univ of Nebraska-Lincoln
10.500	I	N	8,270	Cooperative Extension Service	25-6365-0040-132	Univ of Nebraska-Lincoln
10.500	I	N	5,074	Cooperative Extension Service	26-6365-0001-357	Univ of Nebraska-Lincoln
10.500	I	N	161,375	Cooperative Extension Service	26-6365-0001-401	Univ of Nebraska
10.500	I	N	97,944	Cooperative Extension Service	26-6365-0001-407	Univ of Nebraska-Lincoln
10.500	I	N	1,219	Cooperative Extension Service	3048106543-10-119	Univ of Kentucky Res. Found.
10.500	I	N	19,864	Cooperative Extension Service	3048107987-09-439	Univ of Kentucky Res. Found.
10.500	I	N	8,323	Cooperative Extension Service	52473	Louisiana State Univ
10.500	I	N	40,746	Cooperative Extension Service	H000756404	Univ of Minnesota
10.500	I	N	2,509	Cooperative Extension Service	RE-675-167/4941466	Univ of Georgia
10.500	I	N	28	Cooperative Extension Service	S08046	Kansas State University
10.500	I	N	3,040	Cooperative Extension Service	S11085	Kansas State University
10.500	I	N	109,873	Cooperative Extension Service	S11218	Kansas State University
10.500	I	N	88,618	Cooperative Extension Service	S12020	Kansas State University
10.500	I	N	28,195	Cooperative Extension Service	S12065	Kansas State University
10.500	I	N	7,585	Cooperative Extension Service	UF-12073	University of Florida
10.600	D	N	24,898	Foreign Market Development Cooperator Program		
10.604	D	N	842	Technical Assistance for Specialty Crops Program		
10.652	D	N	36,871	Forestry Research		
10.664	I	N	6,606	Cooperative Forestry Assistance	RE353-420/4786576	Univ of Georgia
10.680	D	N	156,116	Forest Health Protection		
10.680	I	N	14,063	Forest Health Protection	CAES-VL-2011-02	Connecticut Agr'l Exp Station
10.680	I	N	22,182	Forest Health Protection	CAES-VL-2012-01	Connecticut Agr'l Exp Station
10.771	D	N	153,114	Rural Cooperative Development Grants		
10.777	D	N	12,341	Norman E. Borlaug International Agricultural Science and Technology Fellowship		
10.903	D	N	67,457	Soil Survey		
10.905	D	N	36,735	Plant Materials for Conservation		
10.912	D	N	226,036	Environmental Quality Incentives Program		

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10.912	I	N	204	Environmental Quality Incentives Program	2008-0116-028	Nat'l Fish & Wildlife Found.
10.912	I	N	21,095	Environmental Quality Incentives Program	RI-100-304-NC-STAT	Rodale Institute
10.961	D	N	46,335	Scientific Cooperation and Research		
10.962	D	N	34,080	Cochran Fellowship Program-International Training-Foreign Participant		
10.999	I	N	3,936	Long Term Standing Agreements For Storage, Transportation And Lease	00074813	Univ of Florida
11	D	N	15,340	U. S. Department of Commerce		
11	I	N	18,710	U. S. Department of Commerce	CCU NO. 2011.01	Coastal Carolina Univ
11	I	N	22,841	U. S. Department of Commerce	CCU NO. 2012.02/SA	Coastal Carolina University
11	I	N	2,888	U. S. Department of Commerce	PO 4600232822	SC DNR
11	I	N	8,555	U. S. Department of Commerce	S674	Secoora
11.012	I	N	37,010	Integrated Ocean Observing System (IOOS)	IOOS.11(033)NCSU.L	Secoora
11.113	I	N	10	ITA Special Projects	ITA-08-07400	National Textile Center
11.307	D	N	27,247	Economic Adjustment Assistance		
11.307	I	N	6,566	Economic Adjustment Assistance	04-79-06686	NC Rural Econ Dev Ct
11.417	D	N	1,735,962	Sea Grant Support		
11.417	I	N	14,702	Sea Grant Support	18172	University of Delaware
11.417	I	N	6,293	Sea Grant Support	UF-12130	Univ of Florida
11.419	I	N	16,350	Coastal Zone Management Administration Awards	2012-2707	Chagrin River Watershed
11.431	D	N	92,865	Climate and Atmospheric Research		
11.431	I	N	28,581	Climate and Atmospheric Research	12-2017 13540-FA93	Univ of South Carolina
11.431	I	N	715	Climate and Atmospheric Research	31290 (13540-FA44)	University of South Carolina
11.431	I	N	1,675	Climate and Atmospheric Research	NO. NA221A-C	Oregon State Univ
11.431	I	N	161,560	Climate and Atmospheric Research	UF-EIES-1100031-NC	Univ of Florida
11.439	I	N	4,254	Marine Mammal Data Program	VAQF 582 SC-01	Vams Ctr Fdn
11.440	D	N	84,785	Environmental Sciences, Applications, Data, and Education		
11.440	I	N	96,901	Environmental Sciences, Applications, Data, and Education	2009-1380-01	Univ of Maryland
11.440	I	N	109,794	Environmental Sciences, Applications, Data, and Education	2009-1380-02	Univ of Maryland
11.440	I	N	6,768,664	Environmental Sciences, Applications, Data, and Education	Z763701	Univ of Maryland
11.467	I	N	4,929	Meteorologic and Hydrologic Modernization Development	Z11-91838	Univ Corp Atmos Res
11.468	D	N	134,074	Applied Meteorological Research		
11.478	D	N	24,167	Center for Sponsored Coastal Ocean Research-Coastal Ocean Program		
11.478	I	N	103,068	Center for Sponsored Coastal Ocean Research-Coastal Ocean Program	A100517 / 24024502	Woods Hole Oceanographic Inst.
11.478	I	N	96,920	Center for Sponsored Coastal Ocean Research-Coastal Ocean Program	A100897	Wood Hole Oceanographic Inst
11.609	D	N	4,193	Measurement and Engineering Research and Standards		
11.609	I	N	89,016	Measurement and Engineering Research and Standards	26-2401-3561	Univ of Texas-Arlington
11.611	D	N	2,545,727	Manufacturing Extension Partnership		
11.618	D	N	26,205	National Institute of Standards and Technology Construction Grant Program		
12	D	N	3,079,279	U. S. Department of Defense		
12	I	N	30,040	U. S. Department of Defense	1-340-0211720	RTI International
12	I	N	5,519	U. S. Department of Defense	10-340-0211720	RTI International
12	I	N	63,892	U. S. Department of Defense	111000088	Concurrent Technologies
12	I	N	30,000	U. S. Department of Defense	12-0416S	ADA Technologies, Inc.
12	I	N	6,757	U. S. Department of Defense	121219CAAW	Atherton Quantum Insight, LLC
12	I	N	8,951	U. S. Department of Defense	17118	Advanced Cooling Technologies
12	I	N	35,338	U. S. Department of Defense	2-340-0210933	RTI International
12	I	N	9,323	U. S. Department of Defense	20-88172	Benedict College
12	I	N	226	U. S. Department of Defense	2010-1784	NJ Microsystems, Inc.
12	I	N	291,785	U. S. Department of Defense	2010-2745	Hexa Tech, Inc
12	I	N	511,362	U. S. Department of Defense	2011-LTC-U634009-N	Institute of Int'l Education
12	I	N	59,265	U. S. Department of Defense	2011-ROTCU634009-N	Institute of Nat'l Education

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12	I	N	3,792	U. S. Department of Defense	2012 REAP IN STATI	Academy of Applied Science
12	I	N	30,248	U. S. Department of Defense	2012-1349	Tai-yang Research Co.
12	I	N	1,890	U. S. Department of Defense	2012-2080	NJ Microsystems, Inc.
12	I	N	45,283	U. S. Department of Defense	2012-2194	NIA Diagnostics, LLC
12	I	N	96,038	U. S. Department of Defense	20120711-NCSU-4002	The Corbin Company
12	I	N	29,544	U. S. Department of Defense	2013-0257	Troxler Elect. Labs
12	I	N	27,142	U. S. Department of Defense	2013-0265	Adroit Materials, Inc.
12	I	N	2,918	U. S. Department of Defense	2013-0392	Control Vision, Inc.
12	I	N	8,072	U. S. Department of Defense	2013-1477	SA Photonics
12	I	N	4,061	U. S. Department of Defense	3061-DPA-1T/NC STA	Luna Innovations, Inc.
12	I	N	100,251	U. S. Department of Defense	4-340-0210933	RTI International
12	I	N	7,053	U. S. Department of Defense	4035-1	Maxentric Tech. LLC
12	I	N	1	U. S. Department of Defense	716082/712683	Virginia Inst. of Marine Sci.
12	I	N	14,043	U. S. Department of Defense	7500-2	Hi-Z Technology Inc.
12	I	N	9,862	U. S. Department of Defense	801668-BS	HRL Laboratories
12	I	N	34,385	U. S. Department of Defense	941-1	Intelligent Automation, Inc.
12	I	N	52,926	U. S. Department of Defense	A100953	Wood Hole Oceanographic Inst.
12	I	N	40,736	U. S. Department of Defense	CW197748 PO# DP152	E. I. Dupont
12	I	N	72,655	U. S. Department of Defense	N/A	Remcom, Inc.
12	I	N	442,434	U. S. Department of Defense	N41756-12-C-4763	CTTSO
12	I	N	235,915	U. S. Department of Defense	PO NO.: 4400324500	Raytheon
12	I	N	10,842	U. S. Department of Defense	PORD212018	Fulcrum It Services, LLC
12	I	N	6,767	U. S. Department of Defense	S-000735.00001.NCS	Appl'd Res. Assoc. Inc.
12	I	N	70,852	U. S. Department of Defense	S12-122802	Caci Trchnologies, Inc.
12	I	N	50,474	U. S. Department of Defense	SA00007008	Univ of Cal-Berkeley
12	I	N	33,800	U. S. Department of Defense	SC 57571-6351	Physical Sciences Inc (PSI)
12	I	N	196	U. S. Department of Defense	SC-09-705	Digital Fusion Solutions, Inc.
12	I	N	86,441	U. S. Department of Defense	SC-NCSU-3207	Performance Polymer Solutions
12	I	N	31,137	U. S. Department of Defense	SP3877	Tno Innovation For Life
12	I	N	5,116	U. S. Department of Defense	SUBCONTRACT NO. 29	ABT Associates
12	I	N	26,986	U. S. Department of Defense	TUL-571-08/09	Tulane University
12	I	N	136,710	U. S. Department of Defense	W912HQ-11-C-0058	Strategic Envir Rsch & Dev Pgm
12.002	D	N	31,497	Procurement Technical Assistance For Business Firms		
12.300	D	N	3,170,980	Basic and Applied Scientific Research		
12.300	I	N	300	Basic and Applied Scientific Research	07-S130735	Texas A&M Univ
12.300	I	N	144,547	Basic and Applied Scientific Research	3030 / PO#835368	Rutgers University
12.300	I	N	68,352	Basic and Applied Scientific Research	421-20-30	Iowa State Univ
12.300	I	N	27,432	Basic and Applied Scientific Research	549001	Texas A&M Univ
12.300	I	N	32,693	Basic and Applied Scientific Research	AE-5-51182	Oklahoma State Univ
12.300	I	N	35,160	Basic and Applied Scientific Research	F7112-03 / PO# UW4	New York University
12.300	I	N	73,437	Basic and Applied Scientific Research	KK8154	Univ of Cal-Santa Barbara
12.300	I	N	181,130	Basic and Applied Scientific Research	W9126G-12-2-0051	Co-op Ecosystem Studies Unit
12.351	D	N	957,710	Basic Scientific Research-Combating Weapons of Mass Destruction		
12.357	I	N	322,667	ROTC Language and Culture Training Grants	2012-GO-NCSTATE	Institute of Int'l Education
12.360	D	N	20,652	Research on Chemical and Biological Defense		
12.420	D	N	112,011	Military Medical Research and Development		
12.431	D	N	4,980,607	Basic Scientific Research		
12.431	I	N	405	Basic Scientific Research	09-SC-NSF-1043	Duke University
12.431	I	N	78,101	Basic Scientific Research	4020-NCSU-USA-0525	Pennsylvania State Univ
12.431	I	N	96,614	Basic Scientific Research	4104-39127	Purdue University

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12.431	I	N	19,608	Basic Scientific Research	4525-NSCU-USARL-00	Pennsylvania State Univ
12.431	I	N	49,180	Basic Scientific Research	515120	Columbia University
12.431	I	N	80,090	Basic Scientific Research	N/A	Pennsylvania State Univ
12.431	I	N	91,264	Basic Scientific Research	SA1107012	Univ of Arkansas
12.579	I	N	582,807	Language Training Center	2012-LTC-NCSU	Institute of Int'l Education
12.630	I	N	150,703	Basic, Applied, and Advanced Research in Science and Engineering	4056-NCSU-BBN-0053	Pennsylvania State Univ
12.630	I	N	252,901	Basic, Applied, and Advanced Research in Science and Engineering	W9132T-11-2-0007	Co-op Ecosystem Studies Unit
12.800	D	N	1,182,483	Air Force Defense Research Sciences Program		
12.800	I	N	524	Air Force Defense Research Sciences Program	18131-S3	Vanderbilt University
12.800	I	N	89,624	Air Force Defense Research Sciences Program	26473	University of Delaware
12.800	I	N	31,486	Air Force Defense Research Sciences Program	4104-34945	Purdue University
12.800	I	N	53,585	Air Force Defense Research Sciences Program	421-20-31B	Iowa State Univ
12.800	I	N	111,178	Air Force Defense Research Sciences Program	450169-19071	Virginia Polytech Inst.
12.800	I	N	40,773	Air Force Defense Research Sciences Program	800002123-01	Florida Int'l Univ
12.800	I	N	135,431	Air Force Defense Research Sciences Program	GG11221-133958	Univ of Virginia
12.800	I	N	16,783	Air Force Defense Research Sciences Program	GG11604-141694	Univ of Virginia
12.800	I	N	61,547	Air Force Defense Research Sciences Program	RSC12035	Univ of Dayton
12.900	D	N	7,998	Language Grant Program		
12.901	D	N	205,355	Mathematical Sciences Grants Program		
12.910	D	N	424,865	Research and Technology Development		
12.910	I	N	57,435	Research and Technology Development	G2457-1	Colorado State Univ
12.910	I	N	58,460	Research and Technology Development	PO# 5104327	Triquint Semiconductor TX LP
13	D	N	61,016	U. S. Central Intelligence Agency		
14.906	D	N	152,983	Healthy Homes Technical Studies Grants		
15	D	N	490,983	U. S. Department of Interior		
15	I	N	10,711	U. S. Department of Interior	1001594	Univ of Wyoming
15	I	N	2,896	U. S. Department of Interior	133-08-001322-B	Puerto Rico Dept. NR
15	I	N	17,827	U. S. Department of Interior	2012-000069	Puerto Rico Dept. NR
15	I	N	5,865	U. S. Department of Interior	2012-05044-02	Univ of Illinois
15	I	N	15,888	U. S. Department of Interior	CJC2006-2012-SVS-2	Louis Berger Group, Inc.
15.232	D	N	74,526	Wildland Fire Research and Studies Program		
15.605	I	N	73,859	Sport Fish Restoration Program	2009-001483-B	Puerto Rico Dept NR
15.608	I	N	22,988	Fish and Wildlife Management Assistance	11/08-B	National Audubon Society, Inc
15.630	D	N	7,841	Coastal Program		
15.650	D	N	63,011	Research Grants (Generic)		
15.657	D	N	30,276	Endangered Species Conservation - Recovery Implementation Funds		
15.660	D	N	46,290	Endangered Species-Candidate Conservation Action Funds		
15.664	I	N	66,057	Fish and Wildlife Coordination and Assistance Programs	2011-11	Wildlife Mgmt Inst
15.805	D	N	77,933	Assistance to State Water Resources Research Institutes		
15.808	D	N	58,882	U. S. Geological Survey- Research and Data Collection		
15.812	D	N	2,046,659	Cooperative Research Units Program		
15.820	D	N	656,362	National Climate Change and Wildlife Science Center		
15.945	I	N	1,371	Cooperative Research and Training Programs - Resources of the National Park System	1108612001 PO#P120	Stephen F. Austin State Univ
16	D	N	249,953	U. S. Department of Justice		
16.525	D	N	58,957	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus		
16.560	D	N	161,275	National Institute of Justice Research, Evaluation, and Development Project Grants		
16.726	I	N	86,968	Juvenile Mentoring Program	554949 ALLEN, K.	National 4-H Council
16.726	I	N	21,282	Juvenile Mentoring Program	N/A	National 4-H Council

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16.753	D	N	1,504	Congressionally Recommended Awards		
19	I	N	542	U. S. Department of State	EP912595	Virginia Commonwealth Univ
19	I	N	62,526	U. S. Department of State	HOSTING AGREEMENT	Institute of Int'l Education
20	D	N	266,182	U. S. Department of Transportation		
20	I	N	74,482	U. S. Department of Transportation	07-NCSU-E1 #2	Univ of Tennessee
20	I	N	556	U. S. Department of Transportation	11-031	Triangle Trans. Auth
20	I	N	68,473	U. S. Department of Transportation	17264	Kittelson & Assoc., Inc.
20	I	N	3,912	U. S. Department of Transportation	173418	PB Americas, Inc.
20	I	N	11,871	U. S. Department of Transportation	2007-098-0230	Applied Pavement Technology
20	I	N	135,683	U. S. Department of Transportation	2012-2940	Triangle Transit Authority
20	I	N	7,374	U. S. Department of Transportation	CKB1059A-2012-SVS-	Louis Berger Group, Inc.
20	I	N	19,364	U. S. Department of Transportation	CKB1059B-2012-SVS-	Louis Berger Group, Inc
20	I	N	45,686	U. S. Department of Transportation	HR 09-50	Nat'l Acad. of Sci.
20	I	N	178,882	U. S. Department of Transportation	SHRP L-02	Nat'l Acad. of Sci.
20	I	N	153,167	U. S. Department of Transportation	UF-EIES-1200025-NC	Univ of Florida
20.200	D	N	305,558	Highway Research and Development Program		
20.200	I	N	8,422	Highway Research and Development Program	437K135	Univ of Wisconsin
20.200	I	N	24,847	Highway Research and Development Program	CJA2829C	Louis Berger Group, Inc.
20.205	I	N	32,518	Highway Planning and Construction	11-026	University of New Hampshire
20.205	I	N	557	Highway Planning and Construction	2010-2076	City of Raleigh
20.205	I	N	116,839	Highway Planning and Construction	476360 00002	Texas A&M Research Found.
20.205	I	N	100,072	Highway Planning and Construction	553206 HUEGY., J.	City of Durham
20.205	I	N	99,593	Highway Planning and Construction	PO #15179	City of Raleigh
20.313	D	N	86,234	Railroad Research and Development		
20.701	D	N	115,051	University Transportation Centers Program		
20.701	I	N	2,827	University Transportation Centers Program	UAF 11-0032 PO FP1	University of Alaska
20.701	I	N	30,222	University Transportation Centers Program	UAF 11-0033 PO FP1	University of Alaska
20.701	I	N	83,997	University Transportation Centers Program	UAF12-0006 PO FP20	University of Alaska Fairbanks
20.701	I	N	139,836	University Transportation Centers Program	Z981001	Univ of Maryland
20.761	I	N	27,062	Biobased Transportation Research	8500014161	Univ of Tennessee
20.761	I	N	44,984	Biobased Transportation Research	8500014164	Univ of Tennessee
20.761	I	N	7,645	Biobased Transportation Research	8500014165	Univ of Tennessee
20.761	I	N	14,603	Biobased Transportation Research	8500022312	Univ of Tennessee
20.761	I	N	35,924	Biobased Transportation Research	8500022318	Univ of Tennessee
20.761	I	N	73,456	Biobased Transportation Research	8500022319	Univ of Tennessee
20.761	I	N	26,718	Biobased Transportation Research	S12260	Kansas State University
43	D	N	1,489,210	National Aeronautics and Space Administration		
43	I	N	11,341	National Aeronautics and Space Administration	1001-1	X-Wave Innovations, Inc.
43	I	N	122,234	National Aeronautics and Space Administration	2011-0259	NC Smt Educ Ctr
43	I	N	94,007	National Aeronautics and Space Administration	2012-1749	Intelligent Fiber Optic System
43	I	N	118,803	National Aeronautics and Space Administration	GO1-12098A	Smithsonian Astrophys Observ
43	I	N	37,052	National Aeronautics and Space Administration	NCSU-03-01-2892-NC	Nat'l Inst. of Aerospace
43	I	N	198,947	National Aeronautics and Space Administration	NCSU-03-01-3771-00	Nat'l Inst. of Aerospace
43	I	N	35,974	National Aeronautics and Space Administration	NCSU-03-01-4720-00	Natl Inst. of Aerospace
43	I	N	7,344	National Aeronautics and Space Administration	SA7528121-B (CA)	Univ of Maryland
43	I	N	33,000	National Aeronautics and Space Administration	T09-6200-NC / 6224	Nat'l Inst. of Aerospace
43	I	N	148,172	National Aeronautics and Space Administration	USM-GR04146-003	Univ of Southern Mississippi
43	I	N	9,669	National Aeronautics and Space Administration	X13-7097-NC	Nat'l Inst. of Aerospace
43.001	D	N	269,409	Science		
43.001	I	N	5,483	Science	13305	Univ of Massachusetts

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43.001	I	N	18,957	Science	G02-13066C	Smithsonian Astrophys Observ
43.001	I	N	5,621	Science	GRT00021391	Ohio State Univ
43.002	D	N	117,055	Aeronautics		
43.002	I	N	3,530	Aeronautics	RR185-388/4689408	Univ of Georgia
43.007	D	N	24,884	Space Operations		
43.009	D	N	113,663	Cross Agency Support		
45	I	N	4,433	Federal Council on the Arts and the Humanities	4202/2013	South Arts
45	I	N	15,555	Federal Council on the Arts and the Humanities	N/A	Chicago Zoological Society
45.129	I	N	7,656	Promotion of the Humanities-Federal/State Partnership	13-02	NC Humanities Coun.
45.129	I	N	12,366	Promotion of the Humanities-Federal/State Partnership	2013-0775	Friedrich Schiller University
45.163	D	N	14,647	Promotion of the Humanities-Professional Development		
45.312	I	N	75,056	National Leadership Grants	LG-0710-0235-10	Inst of Museum & Lib Svcs
47	D	N	874,899	National Science Foundation		
47	I	N	10,842	National Science Foundation	11-033	Univ of New Hampshire
47	I	N	66,003	National Science Foundation	2010-2669	Industrial Research Inst.
47	I	N	223,805	National Science Foundation	55800-8949	Cornell University
47	I	N	7,369	National Science Foundation	C602	South Carolina Sea Grant Cnsrt
47	I	N	74,208	National Science Foundation	C802	South Carolina Sea Grant Cnsrt
47	I	N	35,074	National Science Foundation	N/A	Council of Graduatde Schools
47.041	D	N	12,673,771	Engineering Grants		
47.041	I	N	324	Engineering Grants	2008-01625-01	Univ of Illinois
47.041	I	N	35,545	Engineering Grants	2009-1212	Valencell Inc.
47.041	I	N	35,444	Engineering Grants	2012-1149	Polymer Braille Inc.
47.041	I	N	39,014	Engineering Grants	2012-1274	Physical Devices LLC
47.041	I	N	6,154	Engineering Grants	2012-2904	Advanced Hydrogen Technologies
47.041	I	N	32,540	Engineering Grants	2013-0749	Altaeros Engeries
47.041	I	N	274	Engineering Grants	554442 SAVELIEV, A	Innovative Energy Solutions
47.041	I	N	24,976	Engineering Grants	986029-871H	Univ of New Mexico
47.049	D	N	7,301,901	Mathematical and Physical Sciences		
47.049	I	N	326,342	Mathematical and Physical Sciences	08-SC-NSF-1025	Duke University
47.049	I	N	599,258	Mathematical and Physical Sciences	12-NSF-1029	Duke University
47.049	I	N	19,483	Mathematical and Physical Sciences	627093	Univ of Washington
47.049	I	N	98,615	Mathematical and Physical Sciences	744903	Univ of Washington
47.049	I	N	171,681	Mathematical and Physical Sciences	PT106955-SC103754	Virginia Commonwealth Univ
47.050	D	N	2,126,489	Geosciences		
47.050	I	N	1,666	Geosciences	208991G	Univ of Oregon
47.050	I	N	9,449	Geosciences	420-40--53A	Iowa State Univ
47.050	I	N	39,475	Geosciences	420-41-01A	Iowa State Univ
47.050	I	N	11,085	Geosciences	PO: 10307099	Univ of Cal-San Diego
47.070	D	N	5,050,306	Computer and Information Science and Engineering		
47.070	D	Y	246,723	ARRA - Computer and Information Science and Engineering		
47.070	I	N	35,237	Computer and Information Science and Engineering	00008062	Univ of Cal-Berkeley
47.074	D	N	6,818,999	Biological Sciences		
47.074	I	N	15	Biological Sciences	05-SC-NSF-1041	Duke University
47.074	I	N	683	Biological Sciences	09-NSF-1035	Duke University
47.074	I	N	256,910	Biological Sciences	10-NSF-1061	Duke University
47.074	I	N	3,844	Biological Sciences	12-NSF-1082	Duke University
47.074	I	N	97,830	Biological Sciences	27169	Univ of Delaware
47.074	I	N	29,497	Biological Sciences	27184	University of Delaware
47.074	I	N	174,386	Biological Sciences	4101-25830 / 08224	Purdue University



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47.074	I	N	3,457	Biological Sciences	51200-8396	Cornell University
47.074	I	N	99,966	Biological Sciences	61406-9504	Cornell University
47.074	I	N	35,089	Biological Sciences	RR272-210/4895116	Univ of Georgia
47.074	I	N	194	Biological Sciences	S-000208	Univ of Cal-Riverside
47.074	I	N	140,001	Biological Sciences	UTA10-000936	Univ of Texas
47.075	D	N	140,485	Social, Behavioral, and Economic Sciences		
47.075	I	N	8,669	Social, Behavioral, and Economic Sciences	11-51	Suny-Research Foundation
47.076	D	N	7,517,926	Education and Human Resources		
47.076	I	N	2,835	Education and Human Resources	00006843	Univ of Cal-Berkeley
47.076	I	N	4,078	Education and Human Resources	007797/L13-4500066	University of Cincinnati
47.076	I	N	6,525	Education and Human Resources	08-SC-NSF-1031	Duke University
47.076	I	N	13,066	Education and Human Resources	10055601	Utah State University
47.076	I	N	76,295	Education and Human Resources	4101-39134	Purdue University
47.076	I	N	250,102	Education and Human Resources	478639-19071	Virginia Polytech Inst.
47.076	I	N	70,234	Education and Human Resources	C00032406-1	Univ of Missouri-Columbia
47.076	I	N	2,493	Education and Human Resources	DRL 0929109	Nat'l Council For Sci & Envr
47.076	I	N	87,730	Education and Human Resources	N/A	Carleton College
47.076	I	N	4,763	Education and Human Resources	PRIME: DUE-1022844	Carleton College
47.078	D	N	62,608	Polar Programs		
47.079	D	N	184,298	Office of International and Integrative Activities		
47.079	I	N	10,822	Office of International and Integrative Activities	2009-1160	Catawba-wateree Water Mgmt
47.079	I	N	128,335	Office of International and Integrative Activities	B10537	Rensselaer Polytechnic Inst.
47.080	D	N	470,335	Office of Cyberinfrastructure		
47.082	D	Y	2,964,449	ARRA - Trans-NSF Recovery Act Research Support		
47.082	I	Y	26,460	ARRA - Trans-NSF Recovery Act Research Support	BBN PO# 9500010135	Global Envir For Network Innov
47.082	I	Y	38,819	ARRA - Trans-NSF Recovery Act Research Support	CNS-0940805	Global Envir For Network Innov
47.082	I	Y	29,713	ARRA - Trans-NSF Recovery Act Research Support	DEB-0842364	University of Oklahoma
59	D	N	55,404	U. S. Small Business Administration		
59.037	D	N	2,934,264	Small Business Development Centers		
59.058	D	N	37,733	Federal and State Technology Partnership Program		
64	D	N	117,924	U. S. Department of Veterans Affairs		
66	D	N	136,850	U. S. Environmental Protection Agency		
66	I	N	6,825	U. S. Environmental Protection Agency	4019	AWWA Research Found.
66	I	N	6,049	U. S. Environmental Protection Agency	N/A	Oak Ridge Inst For Sci & Ed
66.127	D	N	1,161	Southeastern U. S. Regional Targeted Watershed Initiative		
66.202	D	N	13,214	Congressionally Mandated Projects		
66.202	I	N	14,739	Congressionally Mandated Projects	1-321-0210976	RTI International
66.202	I	N	21	Congressionally Mandated Projects	1547014 (PO# 00000	Univ of Colorado-Boulder
66.436	D	N	26,394	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements-Section 104(b)(3) of the Clean Water Act		
66.460	I	N	33,110	Nonpoint Source Implementation Grants	BOLIN CRK WATERSHE	Town of Chapel Hill
66.460	I	N	42,790	Nonpoint Source Implementation Grants	N/A	Town of Carrboro, NC
66.461	I	N	30,698	Regional Wetland Program Development Grants	1114-01	Environmental Law Inst.
66.469	I	N	37,825	Great Lakes Program	2012-06075-05 / A0	Univ of Illinois
66.509	D	N	473,225	Science To Achieve Results (STAR) Research Program		
66.509	I	N	7,672	Science To Achieve Results (STAR) Research Program	5710003200	Mass. Inst. of Tech.
66.514	D	N	6,470	Science To Achieve Results (STAR) Fellowship Program		
66.611	D	N	106,611	Environmental Policy and Innovation Grants		
66.714	D	N	4,528	Regional Agricultural IPM Grants		
77	D	N	212,344	Nuclear Regulatory Commission		

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77	I	N	33,899	Nuclear Regulatory Commission	342-0059	Duke University
77.008	D	N	74,728	U. S. Nuclear Regulatory Commission Scholarship and Fellowship Program		
81	D	N	169,626	U. S. Department of Energy		
81	I	N	58,294	U. S. Department of Energy	00042959 #00001	Battelle Energy Alliance, LLC
81	I	N	35,894	U. S. Department of Energy	00042959 #00001 AC	Battelle Energy Alliance, LLC
81	I	N	46,371	U. S. Department of Energy	00042959 #00001 IN	Battelle Energy Alliance, LLC
81	I	N	1,221	U. S. Department of Energy	00042959 #12	Battelle Energy Alliance, LLC
81	I	N	328,634	U. S. Department of Energy	00042959 #21	Battelle Energy Alliance, LLC
81	I	N	203,090	U. S. Department of Energy	00042959 #23	Battelle Energy Alliance, LLC
81	I	N	105,909	U. S. Department of Energy	00042959 #26	Battelle Energy Alliance, LLC
81	I	N	241,903	U. S. Department of Energy	00042959 #27	Battelle Energy Alliance, LLC
81	I	N	68,820	U. S. Department of Energy	00042959 #28	Battelle Energy Alliance, LLC
81	I	N	99,972	U. S. Department of Energy	00042959 #29	Battelle Energy Alliance, LLC
81	I	N	131,315	U. S. Department of Energy	00042959 #30	Battelle Energy Alliance, LLC
81	I	N	64,090	U. S. Department of Energy	00042959 #31	Battelle Energy Alliance, LLC
81	I	N	170,268	U. S. Department of Energy	00042959 #35	Battelle Energy Alliance, LLC
81	I	N	92,674	U. S. Department of Energy	00042959 #45	Battelle Energy Alliance, LLC
81	I	N	288,236	U. S. Department of Energy	00042959 40	Battelle Energy Alliance, LLC
81	I	N	10,846	U. S. Department of Energy	00042959 42	Battelle Energy Alliance, LLC
81	I	N	45,083	U. S. Department of Energy	00042959 RELEASE N	Battelle Energy Alliance, LLC
81	I	N	80,322	U. S. Department of Energy	00042959-00032	Idaho National Laboratory
81	I	N	61,900	U. S. Department of Energy	00042959-00048	Battelle Energy Alliance, LLC
81	I	N	37,685	U. S. Department of Energy	00042959-00049	Battelle Energy Alliance, LLC
81	I	N	64,204	U. S. Department of Energy	00042959-00050	Battelle Energy Alliance, LLC
81	I	N	15,337	U. S. Department of Energy	00042959-00052	Battelle Energy Alliance, LLC
81	I	N	111,485	U. S. Department of Energy	00042959-47	Battelle Energy Alliance, LLC
81	I	N	132,967	U. S. Department of Energy	00127981	Battelle Energy Alliance, LLC
81	I	N	32,128	U. S. Department of Energy	1198788	Sandia National Lab
81	I	N	26,594	U. S. Department of Energy	12-2013 / PO NO. 4	University of South Carolina
81	I	N	41,076	U. S. Department of Energy	1213550	Sandia National Lab
81	I	N	24,411	U. S. Department of Energy	130740-1	Los Alamos Nat'l Lab
81	I	N	26,081	U. S. Department of Energy	172092-1	Los Alamos Nat'l Lab
81	I	N	136,617	U. S. Department of Energy	256K222	Univ of Wisconsin
81	I	N	63,583	U. S. Department of Energy	4000028105	UT Battelle LLC
81	I	N	78,202	U. S. Department of Energy	4000040729	UT Battelle LLC
81	I	N	43,246	U. S. Department of Energy	4000056870	UT Battelle LLC
81	I	N	68,738	U. S. Department of Energy	4000058620	UT Battelle LLC
81	I	N	12,384	U. S. Department of Energy	4000059924	UT Battelle LLC
81	I	N	76,668	U. S. Department of Energy	4000061675	UT Battelle LLC
81	I	N	83,936	U. S. Department of Energy	4000063401	UT Battelle LLC
81	I	N	9,258	U. S. Department of Energy	4000063955	UT Battelle LLC
81	I	N	2,345	U. S. Department of Energy	4000084130	UT-Battelle LLC
81	I	N	178,317	U. S. Department of Energy	4000089282	UT Battelle LLC
81	I	N	45,714	U. S. Department of Energy	4000099327	UT Battelle LLC
81	I	N	80,449	U. S. Department of Energy	4000110308	UT Battelle LLC
81	I	N	7,401	U. S. Department of Energy	4000112247	Oak Ridge National Lab
81	I	N	63,055	U. S. Department of Energy	4000114671	Oak Ridge National Lab
81	I	N	82,940	U. S. Department of Energy	4000114809	UT Battelle LLC
81	I	N	46,642	U. S. Department of Energy	4000119694	UT Battelle LLC
81	I	N	17,832	U. S. Department of Energy	4000120069	UT Battelle LLC

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81	I	N	10,968	U. S. Department of Energy	4000121049	UT Battelle LLC
81	I	N	27,851	U. S. Department of Energy	4000121986	Oak Ridge National Lab
81	I	N	22,181	U. S. Department of Energy	400119532	GE Global Research
81	I	N	45,697	U. S. Department of Energy	4200000036-4000120	UT Battelle LLC
81	I	N	25,192	U. S. Department of Energy	42959 #38	Battelle Energy Alliance, LLC
81	I	N	15,621	U. S. Department of Energy	42959-37	Battelle Energy Alliance, LLC
81	I	N	137,455	U. S. Department of Energy	68393-02	Battelle Energy Alliance, LLC
81	I	N	4,973	U. S. Department of Energy	68393-03	Idaho National Laboratory
81	I	N	112,042	U. S. Department of Energy	6879279	L. Berkeley Natl Lab
81	I	N	22,198	U. S. Department of Energy	81279-001-10	Los Alamos Nat'l Lab
81	I	N	58,524	U. S. Department of Energy	85000-001-10	Los Alamos Nat'l Lab
81	I	N	38,783	U. S. Department of Energy	86665-002-10	Los Alamos Nat'l Lab
81	I	N	3,692	U. S. Department of Energy	AC70110 O	Savannah River Nuclear Sol.
81	I	N	19	U. S. Department of Energy	AFT-1-11320-01	National Renewable Energy Lab
81	I	N	367,522	U. S. Department of Energy	N/A	Krell Institute
81	I	N	65,900	U. S. Department of Energy	PO4500305158 BRIAN	First Energy Corp (Allegheny)
81	I	N	550,376	U. S. Department of Energy	XEU-0-99515-01	Alliance For Sustainable Energ
81	I	N	9,014	U. S. Department of Energy	XGB-1-11444-01	National Renewable Energy Lab.
81	I	Y	115,722	ARRA - U. S. Department of Energy	1-340-0212662	RTI International
81	I	Y	30,482	ARRA - U. S. Department of Energy	2012-0511	Grid Logic, Inc.
81	I	Y	117,473	ARRA - U. S. Department of Energy	DE-EE-0002628	Progress Energy Carolinas
81.049	D	N	4,763,098	Office of Science Financial Assistance Program		
81.049	I	N	102,466	Office of Science Financial Assistance Program	#4030 P.O. NO: 104	Supercon Inc.
81.049	I	N	32,648	Office of Science Financial Assistance Program	2010-0054	Muons, Incorporated
81.049	I	N	558	Office of Science Financial Assistance Program	2010-0453 PO NO.	Supercon Inc.
81.049	I	N	103,020	Office of Science Financial Assistance Program	2010-1026	Calabazas Creek Research, Inc.
81.049	I	N	221,742	Office of Science Financial Assistance Program	2012-0171	Ngimat Co.
81.049	I	N	119,204	Office of Science Financial Assistance Program	2013-0134	Muons, Incorporated
81.049	I	N	5,091	Office of Science Financial Assistance Program	2013-1608	Ngimat Co.
81.049	I	N	49,015	Office of Science Financial Assistance Program	5-000521	Univ of Calif-Riverside
81.049	I	N	130,325	Office of Science Financial Assistance Program	B6681	Texas A&M Univ
81.049	I	N	51,659	Office of Science Financial Assistance Program	PO: 0000141077	Univ of Utah
81.049	I	N	474,227	Office of Science Financial Assistance Program	WU-HT-10-09 PO: 29	Washington University-St Louis
81.049	I	Y	610,350	ARRA - Office of Science Financial Assistance Program	4005-NCSU-DOE-1090	Pennsylvania State Univ
81.086	D	N	63,465	Conservation Research and Development		
81.086	I	N	131,611	Conservation Research and Development	400119429	GE Global Research
81.086	I	Y	399,352	ARRA - Conservation Research and Development	2009-2513-05	Triangle J Coun Govt
81.086	I	Y	72,994	ARRA - Conservation Research and Development	TJCOG-CBS-037 / 15	Triangle J Coun Govt
81.087	D	N	2,885,570	Renewable Energy Research and Development		
81.087	I	N	4,032	Renewable Energy Research and Development	3TG153	South Dakota State Univ
81.087	I	N	29,221	Renewable Energy Research and Development	DE-EE0004420.001	AWS Truepower (AWST)
81.087	I	N	17,209	Renewable Energy Research and Development	DOE-SPEC-FE11-012	Biodiversity Research Inst.
81.087	I	N	48,011	Renewable Energy Research and Development	GO12026-330	Consortium For Plant Biotech
81.087	I	N	73,045	Renewable Energy Research and Development	GO12026-331	Consortium For Plant Biotech
81.089	I	N	26,156	Fossil Energy Research and Development	S388	Gas Technology Institute
81.112	D	N	237,106	Stewardship Science Grant Program		
81.113	D	N	506,541	Defense Nuclear Nonproliferation Research		
81.117	D	N	136,644	Energy Efficiency and Renewable Energy Information Dissemination, Outreach Training and Technical Analysis/Assistance		

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81.117	I	N	62,741	Energy Efficiency and Renewable Energy Information Dissemination, Outreach Training and Technical Analysis/Assistance	SOLAR AMERICA CITI	ICLEI
81.117	I	N	9,097	Energy Efficiency and Renewable Energy Information Dissemination, Outreach Training and Technical Analysis/Assistance	Z713101	Univ of Maryland, College Park
81.119	I	N	98,142	State Energy Program Special Projects	GT12-0237-001	Mississippi Development Auth.
81.121	D	N	605,816	Nuclear Energy Research, Development and Demonstration		
81.121	I	N	155,482	Nuclear Energy Research, Development and Demonstration	00042959 #24	Battelle Energy Alliance, LLC
81.121	I	N	10,453	Nuclear Energy Research, Development and Demonstration	00042959 RELEASE #	Idaho National Laboratory
81.121	I	N	780	Nuclear Energy Research, Development and Demonstration	OR10531-001.01	Univ of Tennessee
81.122	D	Y	431,691	ARRA - Electricity Delivery and Energy Reliability, Research, Development and Analysis		
81.135	D	N	2,002,078	Advanced Research and Projects Agency - Energy Financial Assistance Program		
81.135	D	Y	842,553	ARRA - Advanced Research and Projects Agency - Energy Financial Assistance Program		
81.135	I	N	73,714	Advanced Research and Projects Agency - Energy Financial Assistance Program	12-910	Arizona State Univ
81.135	I	N	2,672	Advanced Research and Projects Agency - Energy Financial Assistance Program	2013-0069	Grid Logic, Inc.
81.135	I	N	57,977	Advanced Research and Projects Agency - Energy Financial Assistance Program	2013-0070	Tai-yang Research Co.
81.135	I	N	35,276	Advanced Research and Projects Agency - Energy Financial Assistance Program	DE-AR0000327	Bio2electric, LLC
81.135	I	N	70,044	Advanced Research and Projects Agency - Energy Financial Assistance Program	N/A	Hexa Tech, Inc
81.135	I	Y	1,104	ARRA - Advanced Research and Projects Agency - Energy Financial Assistance Program	10-359	Arizona State Univ
81.135	I	Y	372,207	ARRA - Advanced Research and Projects Agency - Energy Financial Assistance Program	11-001 / DE-FOA-00	Cree, Inc.
84	D	N	360,353	U. S. Department of Education		
84	I	N	22,106	U. S. Department of Education	LPO-02013 L6043 T	American Inst. For Research
84	I	N	187,626	U. S. Department of Education	LPO-02013 L6043 TA	American Inst. For Research
84.007	D	N	405,342	Federal Supplemental Educational Opportunity Grants		
84.016	D	N	72,492	Undergraduate International Studies and Foreign Language Programs		
84.033	D	N	698,201	Federal Work-Study Program		
84.038	D	N	12,809,887	Federal Perkins Loan Program-Federal Capital Contributions		
84.042	D	N	204,707	TRIO-Student Support Services		
84.044	D	N	383,278	TRIO-Talent Search		
84.047	D	N	688,214	TRIO-Upward Bound		
84.063	D	N	22,604,467	Federal Pell Grant Program		
84.116	D	N	69,611	Fund for the Improvement of Postsecondary Education		
84.116	I	N	30,099	Fund for the Improvement of Postsecondary Education	144-907422	Univ of Wisconsin
84.116	I	N	254	Fund for the Improvement of Postsecondary Education	UF IFAS 00070915	Univ of Florida
84.200	D	N	524,547	Graduate Assistance in Areas of National Need		
84.215	D	N	52,675	Fund for the Improvement of Education		
84.268	D	N	114,383,164	Federal Direct Student Loans		
84.305	I	N	39,316	Education Research, Development and Dissemination	12-ED-1094	Duke University
84.324	I	N	17,530	Research in Special Education	224061B	Univ of Oregon
84.366	I	N	20,356	Mathematics and Science Partnerships	2011-2482	Catawba County Schools
84.366	I	N	478,039	Mathematics and Science Partnerships	553993 RAGAN, N.	Duplin County Schools
91	I	N	2,000	United States Institute of Peace	2013-1574	U. S. Institute of Peace
93	D	N	628,687	U. S. Department of Health and Human Services		
93	I	N	79,682	U. S. Department of Health and Human Services	#547662 DR APPERSO	Tulane University
93	I	N	17,842	U. S. Department of Health and Human Services	#547663 DR SCHAL	Tulane University
93	I	N	13,156	U. S. Department of Health and Human Services	1-312-0213517	RTI International
93	I	N	143,989	U. S. Department of Health and Human Services	1-N01-CN43302-WA-2	Cornell University
93	I	N	26,028	U. S. Department of Health and Human Services	2012-0518	Nanovector, Inc.

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93	I	N	103,316	U. S. Department of Health and Human Services	89369 / HHSN275200	Res. Fdn. For Mental Hygiene
93	I	N	64,573	U. S. Department of Health and Human Services	HHSF223201210130C	Food & Drug Administration
93	I	N	85,447	U. S. Department of Health and Human Services	HHSN272201000053C	Duke University Medical Ctr
93	I	N	67,391	U. S. Department of Health and Human Services	LSBPO1229	Lifesource Biomedical
93	I	N	57,706	U. S. Department of Health and Human Services	N/A	Ohio State Univ
93	I	N	49,510	U. S. Department of Health and Human Services	PO #400031414	GE Global Research
93.086	I	N	4,649	Healthy Marriage Promotion and Responsible Fatherhood Grants	RE580-018/4940236	Univ of Georgia
93.103	D	N	592,121	Food and Drug Administration-Research		
93.103	I	N	117,819	Food and Drug Administration-Research	11-AUFISI-360490-NC	Auburn Univ
93.103	I	N	12,142	Food and Drug Administration-Research	13-AUFISI-360490	Auburn University
93.103	I	N	7,670	Food and Drug Administration-Research	13-AUFISI-360490-NC	Auburn University
93.113	D	N	2,635,816	Environmental Health		
93.113	I	N	417,008	Environmental Health	2R01ES014065-06/NC	Mount Desert Island Bio Lab.
93.121	D	N	152,247	Oral Diseases and Disorders Research		
93.121	I	N	39,831	Oral Diseases and Disorders Research	000407304-001	Univ of Alabama
93.172	I	N	36,370	Human Genome Research	1440 G PA513	Univ of Cal-LA
93.226	D	N	3,944	Research on Healthcare Costs, Quality and Outcomes		
93.242	D	N	285,623	Mental Health Research Grants		
93.243	D	N	57,483	Substance Abuse and Mental Health Services-Projects of Regional and National Significance		
93.262	D	N	154,062	Occupational Safety and Health Program		
93.262	I	N	11,186	Occupational Safety and Health Program	3049024962-13-107	Univ of Kentucky Res. Found.
93.262	I	N	4,367	Occupational Safety and Health Program	60010415 PO: RFO	Ohio State Univ
93.273	D	N	191,442	Alcohol Research Programs		
93.286	D	N	721,112	Discovery and Applied Research for Technological Innovations to Improve Human Health		
93.286	I	N	13,600	Discovery and Applied Research for Technological Innovations to Improve Human Health	1543214	Univ of Colorado
93.286	I	N	88,135	Discovery and Applied Research for Technological Innovations to Improve Human Health	1548043 PO 1000030	Univ of Colorado-Boulder
93.286	I	N	14,711	Discovery and Applied Research for Technological Innovations to Improve Human Health	1550237/PO# 100020	Univ of Colorado-Boulder
93.286	I	N	34,534	Discovery and Applied Research for Technological Innovations to Improve Human Health	Y554537 / 1R21EB01	Univ of Arizona
93.310	D	N	10,089	Trans-NIH Research Support		
93.351	D	N	761,901	Research Infrastructure Programs		
93.360	D	N	1,145,371	Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development		
93.389	D	N	41,783	National Center for Research Resources		
93.389	I	N	4,561	National Center for Research Resources	FP22003	University of Alaska Fairbanks
93.393	D	N	1,270,757	Cancer Cause and Prevention Research		
93.393	I	N	2,300	Cancer Cause and Prevention Research	10-1791/PO#30442	Univ of S. Carolina
93.393	I	N	10,126	Cancer Cause and Prevention Research	12-00223/PO# M1300	NYU School of Medicine
93.393	I	N	23,253	Cancer Cause and Prevention Research	570363	Texas A&M Univ
93.394	D	N	303,582	Cancer Detection and Diagnosis Research		
93.394	I	N	27,773	Cancer Detection and Diagnosis Research	60019881-40885-A	Stanford Univ
93.395	D	N	86,843	Cancer Treatment Research		
93.396	D	N	1,110,234	Cancer Biology Research		
93.396	I	N	12,947	Cancer Biology Research	6071SC	Univ of Cal-San Fran
93.397	D	N	46,043	Cancer Centers Support Grants		
93.398	D	N	47,084	Cancer Research Manpower		

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93.531	I	N	2,418	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants-financed solely by 2012 Prevention and Public Health Funds	2013-2775	Person County Health Dept
93.648	I	N	125	Child Welfare Research Training or Demonstration	E00030648-3	Univ of Missouri-columbia
93.648	I	N	27,328	Child Welfare Research Training or Demonstration	E00034524-2	Univ of Missouri-Columbia
93.648	I	N	13,740	Child Welfare Research Training or Demonstration	E00038591-2	Univ of Missouri-columbia
93.652	D	N	129,308	Adoption Opportunities		
93.671	I	N	44,263	Family Violence Prevention and Services/Grants for Battered Women's Shelters-Grants to States and Indian Tribes	27574-13	Family Services, Inc.
93.701	D	Y	254,273	ARRA - Trans-NIH Recovery Act Research Support		
93.701	I	Y	667	ARRA - Trans-NIH Recovery Act Research Support	10-085	Univ of Texas (UTMB)
93.701	I	Y	11,287	ARRA - Trans-NIH Recovery Act Research Support	100052-D	Univ of Rochester
93.701	I	Y	13,763	ARRA - Trans-NIH Recovery Act Research Support	2012-1086	Bruce Technologies, Inc
93.701	I	Y	17,475	ARRA - Trans-NIH Recovery Act Research Support	203-2295	Duke University
93.837	D	N	677,569	Cardiovascular Diseases Research		
93.837	I	N	93,670	Cardiovascular Diseases Research	2010-1128	Lifescitech, LLC
93.838	D	N	772,704	Lung Diseases Research		
93.847	D	N	544,817	Diabetes, Digestive, and Kidney Diseases Extramural Research		
93.847	I	N	58,469	Diabetes, Digestive, and Kidney Diseases Extramural Research	197SUB/283SUB	Cleveland Clinic
93.847	I	N	65,130	Diabetes, Digestive, and Kidney Diseases Extramural Research	2011-0322	Theralogics, Inc.
93.847	I	N	70,863	Diabetes, Digestive, and Kidney Diseases Extramural Research	2011-1170	Nutrasorb LLC
93.847	I	N	313	Diabetes, Digestive, and Kidney Diseases Extramural Research	N/A	Koag Medical, Inc.
93.853	D	N	670,678	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.853	I	N	124,372	Extramural Research Programs in the Neurosciences and Neurological Disorders	11061135	Cornell University
93.855	D	N	1,673,982	Allergy, Immunology and Transplantation Research		
93.855	I	N	25,642	Allergy, Immunology and Transplantation Research	1928-11-02	Childrens Research Institute
93.855	I	N	13,675	Allergy, Immunology and Transplantation Research	302-0338	Duke University
93.855	I	N	37,734	Allergy, Immunology and Transplantation Research	302-0446	Duke University
93.855	I	N	110,927	Allergy, Immunology and Transplantation Research	6119-1236-00-A	Univ of South Florida
93.855	I	N	135,255	Allergy, Immunology and Transplantation Research	UF11263	Univ of Florida
93.859	D	N	4,844,174	Biomedical Research and Research Training		
93.859	I	N	18,337	Biomedical Research and Research Training	1P50GM09450301A1PO	Med College of Wisconsin, Inc.
93.859	I	N	61	Biomedical Research and Research Training	2009-1043	Syntrix Biosystems, Inc.
93.859	I	N	36,939	Biomedical Research and Research Training	431745-19071	Virginia Polytech Inst.
93.859	I	N	141,864	Biomedical Research and Research Training	5P50GM094503-02	Medical College of Wisconsin
93.859	I	N	44,500	Biomedical Research and Research Training	S0182729	Univ of Cal-Santa Cruz
93.859	I	N	51,626	Biomedical Research and Research Training	UA 12-009	Univ of Alabama
93.865	D	N	93,598	Child Health and Human Development Extramural Research		
93.865	I	N	45,778	Child Health and Human Development Extramural Research	N/A	Rehabilitation Inst of Chicago
93.866	D	N	454,169	Aging Research		
93.866	I	N	32,730	Aging Research	10-NIH-1034	Duke University
93.867	D	N	405,739	Vision Research		
93.867	I	N	66,344	Vision Research	120109-01	Blatek, Inc.
93.867	I	N	20,841	Vision Research	2001590661	Johns Hopkins University
93.867	I	N	54,026	Vision Research	25 7010170 / EY012	West Michigan Univ
94.007	I	N	350	Program Development and Innovation Grants	N/A	Elon University
97	I	N	55,923	U. S. Department of Homeland Security	13-215017110 FA45	Univ of South Carolina
97.044	D	N	756,035	Assistance to Firefighters Grant		
97.104	D	N	113,504	Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program		

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98	I	N	17,189	United States Agency for International Development	016258-62	Univ of Cal-Davis
98	I	N	7,727	United States Agency for International Development	09-002945-54	Univ of Cal-Davis
98	I	N	26,182	United States Agency for International Development	2007-1200-03	Oregon State Univ
98	I	N	304	United States Agency for International Development	2007-1200-04	Oregon State Univ
98	I	N	48,611	United States Agency for International Development	41060000-800004426	Purdue University
98	I	N	66,594	United States Agency for International Development	RC100599	Michigan State University
98	I	N	238,485	United States Agency for International Development	RD011G-C	Oregon State Univ
98.001	I	N	2,197	USAID Foreign Assistance for Programs Overseas	25-6805-0016-062	Univ of Nebraska-Lincoln
98.001	I	N	130,891	USAID Foreign Assistance for Programs Overseas	4-330-0212934	RTI International
98.001	I	N	12,634	USAID Foreign Assistance for Programs Overseas	451008-19071	Virginia Polytechnic
98.001	I	N	14,183	USAID Foreign Assistance for Programs Overseas	61-3886B	Michigan State University
			<u>345,545,570</u>	Total — North Carolina State University		

**University of North Carolina - General Administration**

11.550	D	N	356,941	Public Telecommunications Facilities Planning and Construction		
12.431	D	N	183,502	Basic Scientific Research		
64.124	D	N	366,296	All-Volunteer Force Educational Assistance		
84.334	D	N	2,347,929	Gaining Early Awareness and Readiness for Undergraduate Programs		
84.350	D	N	430,062	Transition to Teaching		
84.367	D	N	1,554,010	Improving Teacher Quality State Grants		
84.378	D	N	3,198,515	College Access Challenge Grant Program		
			<u>8,437,255</u>	Total — University of North Carolina - General Administration		

**University of North Carolina at Asheville**

10.310	D	N	91,112	Agriculture and Food Research Initiative (AFRI)		
10.652	D	N	467,474	Forestry Research		
10.664	D	N	12,057	Cooperative Forestry Assistance		
11.440	D	N	33,724	Environmental Sciences, Applications, Data, and Education		
15.637	D	N	29,879	Migratory Bird Joint Ventures		
15.657	D	N	225	Endangered Species Conservation - Recovery Implementation Funds		
15.669	D	N	16,892	Cooperative Landscape Conservation		
43.001	I	N	25,056	Science	16228099	Univ of Central FL
45.161	D	N	82,299	Promotion of the Humanities-Research		
47.049	D	N	104,343	Mathematical and Physical Sciences		
47.050	I	N	5,019	Geosciences	RR167-564/4895706	Univ of GA
47.076	D	N	105,745	Education and Human Resources		
47.082	D	Y	195,638	ARRA - Trans-NSF Recovery Act Research Support		
84.007	D	N	48,158	Federal Supplemental Educational Opportunity Grants		
84.015	I	N	8,630	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	HC12717	East-West Center
84.033	D	N	86,942	Federal Work-Study Program		
84.038	D	N	1,177,186	Federal Perkins Loan Program-Federal Capital Contributions		
84.063	D	N	4,951,703	Federal Pell Grant Program		
84.268	D	N	13,281,675	Federal Direct Student Loans		
84.379	D	N	2,000	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
			<u>20,725,757</u>	Total — University of North Carolina at Asheville		

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<b><u>University of North Carolina at Chapel Hill</u></b>						
10	D	N	192,202	U. S. Department of Agriculture		
10.001	D	N	356	Agricultural Research-Basic and Applied Research		
10.206	D	N	64,091	Grants for Agricultural Research-Competitive Research Grants		
10.212	I	N	41,947	Small Business Innovation Research	N/A	Innovative Educ Reso
10.253	I	N	26,445	Food Assistance and Nutrition Research Programs (FANRP)	62140-9609	Cornell University
10.255	I	N	13,230	Research Innovation and Development Grants in Economic (RIDGE)	018000-321470-0	Mississippi State University
10.310	D	N	144,732	Agriculture and Food Research Initiative (AFRI)		
10.500	I	N	44,564	Cooperative Extension Service	24060	Univ of Arizona
10.500	I	N	248,025	Cooperative Extension Service	RE580-377/49405	Univ of Georgia
10.500	I	N	112,567	Cooperative Extension Service	RR580-375/47855	Univ of Georgia
10.902	D	N	23,415	Soil and Water Conservation		
11	I	N	98,766	U. S. Department of Commerce	IOOS.11(033)UNC	Secoora-Se Coasta
11	I	N	-1	U. S. Department of Commerce	RU 3234/4-21463	Rutgers
11.012	I	N	-1,451	Integrated Ocean Observing System (IOOS)	2010-011	SURA(Se Univ Rsch)
11.012	I	N	38,225	Integrated Ocean Observing System (IOOS)	2011-001	SURA(Se Univ Rsch)
11.012	I	N	13,212	Integrated Ocean Observing System (IOOS)	433894/S1574936	Rutgers
11.012	I	N	140,863	Integrated Ocean Observing System (IOOS)	IOOS.11(033)UNC	Secoora-Se Coasta
11.303	D	N	444,413	Economic Development-Technical Assistance		
11.420	D	N	53,226	Coastal Zone Management Estuarine Research Reserves		
11.430	I	N	2,349	Undersea Research	08-10-032	Univ of Mississippi
11.431	D	N	153,407	Climate and Atmospheric Research		
11.431	I	N	6,907	Climate and Atmospheric Research	41653/13540-FA9	Univ of South Carolina
11.459	D	N	59,078	Weather and Air Quality Research		
11.473	I	N	-196	Coastal Services Center	3001003710	Univ of Michigan
11.473	I	N	2,004	Coastal Services Center	TO 20/432336	Rutgers
12	D	N	339,712	U. S. Department of Defense		
12	I	N	-9,312	U. S. Department of Defense	0000198797	Henry M Jackson Fdn
12	I	N	280,385	U. S. Department of Defense	1-321-0210294	RTI
12	I	N	10,463	U. S. Department of Defense	1176733	Sandia National Labs
12	I	N	33,410	U. S. Department of Defense	12084021/980402	MD Anderson Canc Ctr
12	I	N	740	U. S. Department of Defense	166125	Duke Univ Med Ctr
12	I	N	5,423	U. S. Department of Defense	2-340-0213337/8	RTI Rsch Triangle In
12	I	N	30,000	U. S. Department of Defense	20090925	Real Time Innovation
12	I	N	93,091	U. S. Department of Defense	206-000062	SRI International
12	I	N	80,100	U. S. Department of Defense	25-0521-156-003	Univ of Nebraska
12	I	N	38,667	U. S. Department of Defense	313-0111	Duke Univ Med Ctr
12	I	N	86,536	U. S. Department of Defense	69-200910	Ntl Ctr Manufa Science
12	I	N	162,845	U. S. Department of Defense	888-11-16-04/1-	RTI Rsch Triangle In
12	I	N	168,632	U. S. Department of Defense	888-11-16-04/5	RTI Rsch Triangle In
12	I	N	243,198	U. S. Department of Defense	N/A	Entegriion, Inc
12	I	N	2,163	U. S. Department of Defense	N00014-1-1-0204	Nat Marrow Donor Prg
12	I	N	39,865	U. S. Department of Defense	N00014-10-C-033	Entegriion, Inc
12	I	N	369	U. S. Department of Defense	P000015327	Serco-N America
12	I	N	-491	U. S. Department of Defense	PO# ML05000858	Serco-N America
12	I	N	17,273	U. S. Department of Defense	PO10-14267	DZSP 21 LLC
12	I	N	73,682	U. S. Department of Defense	S-000066.00011.	Applied Research Association
12	I	N	222,585	U. S. Department of Defense	S-001460.00000.	Applied Research Association
12	I	N	1,466	U. S. Department of Defense	W81XWH-08-1-076	American Burn Association
12	I	N	6,100	U. S. Department of Defense	XWH0810683	American Burn Association



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12.300	D	N	1,343,617	Basic and Applied Scientific Research		
12.300	I	N	8,653	Basic and Applied Scientific Research	4478-UNC-ONR-05	Penn State Univ
12.300	I	N	-8,167	Basic and Applied Scientific Research	570723	Texas A&M University
12.300	I	N	123,835	Basic and Applied Scientific Research	705017	Univ of Wash-Seattle
12.300	I	N	183,442	Basic and Applied Scientific Research	A100752	Woods Hole Ocea Inst
12.351	D	N	618,892	Basic Scientific Research-Combating Weapons of Mass Destruction		
12.420	D	N	3,039,323	Military Medical Research and Development		
12.420	I	N	-4,930	Military Medical Research and Development	12-12990-01-01-	H Lee Moffitt Cancer
12.420	I	N	37,000	Military Medical Research and Development	12-15479-01-16-	H Lee Moffitt Cancer
12.420	I	N	-331	Military Medical Research and Development	2008-06421-01/A	Univ of Ill Champaig
12.420	I	N	144,838	Military Medical Research and Development	35-2005-2016-00	Univ of NE Med Ctr
12.420	I	N	70,718	Military Medical Research and Development	557297	Univ of Pennsylvania
12.420	I	N	47,109	Military Medical Research and Development	6648SC	Univ Calif San Frans
12.420	I	N	72,127	Military Medical Research and Development	N/A	Allina Health System
12.420	I	N	7,315	Military Medical Research and Development	PO#41749/ 12-20	Univ of South Carolina
12.420	I	N	10,709	Military Medical Research and Development	S-1278-01	Geneva Foundation
12.431	D	N	2,912,564	Basic Scientific Research		
12.431	I	N	-2	Basic Scientific Research	09-ARO-1103	Duke University
12.431	I	N	20,273	Basic Scientific Research	60154614-51142-	Stanford University
12.431	I	N	-23	Basic Scientific Research	W911NF-10-1-038	Pulmatrix
12.556	I	N	16,173	Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools	DODEA	Harnett County School
12.630	D	N	90,546	Basic, Applied, and Advanced Research in Science and Engineering		
12.630	I	N	67	Basic, Applied, and Advanced Research in Science and Engineering	A666B1	Texas A&M University
12.800	D	N	61,291	Air Force Defense Research Sciences Program		
12.800	I	N	81,053	Air Force Defense Research Sciences Program	12-2113	Univ of South Carolina
12.800	I	N	79,896	Air Force Defense Research Sciences Program	2000933690	Johns Hopkins Univ
12.910	D	N	827,050	Research and Technology Development		
12.910	I	N	95,968	Research and Technology Development	450124-19467	VA Polytechnic Inst
12.910	I	N	106,241	Research and Technology Development	UTA10-000426	Univ of Texas-Austin
14	D	N	847	U. S. Department of Housing and Urban Development		
14	I	N	72,982	U. S. Department of Housing and Urban Development	30060	ABT Assoc Inc
14.516	D	N	13,762	Doctoral Dissertation Research Grants		
15	D	N	127,014	U. S. Department of Interior		
15.423	D	N	52,835	Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)		
15.810	D	N	6,652	National Cooperative Geologic Mapping Program		
16	D	N	65,467	U. S. Department of Justice		
16	I	N	18,581	U. S. Department of Justice	13-DOJ-1022	Duke University
19	I	N	15,696	U. S. Department of State	N/A	Alvarez & Associates
19.040	D	N	9,832	Public Diplomacy Programs		
20	D	N	2,117,419	U. S. Department of Transportation		
20	I	N	103,656	U. S. Department of Transportation	07-UNCCH-E1	Univ of Tennessee
20	I	N	44,980	U. S. Department of Transportation	106423-712000	Mississippi Archiv Hi
20	I	N	50,254	U. S. Department of Transportation	1201259 TO 0003	Transanalytics
20	I	N	14,550	U. S. Department of Transportation	37769.00	Vanasse Hangen Brust
20	I	N	407,280	U. S. Department of Transportation	38049-01	Vanasse Hangen Brust
20	I	N	-3,659	U. S. Department of Transportation	5710002072	MA Insti Technology
20	I	N	356	U. S. Department of Transportation	DTNH22-05-D-050	Transanalytics
20	I	N	60	U. S. Department of Transportation	DTNH22-09-D-001	Transanalytics

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20	I	N	6,905	U. S. Department of Transportation	DTNH22-10-D-001	Transanalytics
20	I	N	192,326	U. S. Department of Transportation	HR 25-36	Natl Acad of Science
20	I	N	80,810	U. S. Department of Transportation	HR 3-62	Natl Acad of Science
20	I	N	75,957	U. S. Department of Transportation	HR-17-48	Natl Acad of Science
20	I	N	47	U. S. Department of Transportation	HR-20-07 (314)	Natl Acad of Science
20	I	N	34,109	U. S. Department of Transportation	N/A	Dunlap Assoc
20	I	N	60,632	U. S. Department of Transportation	SUB0000104/HR17	Natl Acad of Science
20	I	N	47,058	U. S. Department of Transportation	UF-EIES-1200008	Stride
20.200	D	N	415,529	Highway Research and Development Program		
20.205	D	N	1,688,253	Highway Planning and Construction		
20.701	I	N	8,242	University Transportation Centers Program	246431A	Univ of Oregon
42	I	N	141,492	Library of Congress	12-PO-680-00002	Smithsonian Institute
43	D	N	117,654	National Aeronautics and Space Administration		
43	I	N	5,052	National Aeronautics and Space Administration	1436032	Calif Inst Technolog
43	I	N	4,483	National Aeronautics and Space Administration	1436036	Calif Inst Technolog
43	I	N	32,040	National Aeronautics and Space Administration	3001477342	Univ of Michigan
43	I	N	760	National Aeronautics and Space Administration	9-58-461/MA0000	Natl Space Biom Rsc
43	I	N	8,913	National Aeronautics and Space Administration	HST-AR-12147.01	Space Telescope Sci
43	I	N	332,206	National Aeronautics and Space Administration	N/A	CT Advance Scien Spa
43	I	N	-15,308	National Aeronautics and Space Administration	NCC 9-58-461	Baylor Col Med
43	I	N	32,728	National Aeronautics and Space Administration	PF03003	Natl Space Biom Rsc
43	I	N	-2,351	National Aeronautics and Space Administration	PO#5600400523/N	Baylor Col Med
43	I	N	88,429	National Aeronautics and Space Administration	SUB2012-113	Univ Ala-Huntsville
43.001	D	N	82,572	Science		
43.001	I	N	16,716	Science	57314A P3391 78	San Diego St Univ Fdn
43.008	D	N	97,953	Education		
45	D	N	81,656	Federal Council on the Arts and the Humanities		
45	I	N	-350	Federal Council on the Arts and the Humanities	N/A	EPG Consulting
45.024	D	N	150,409	Promotion of the Arts-Grants to Organizations and Individuals		
45.149	D	N	164,149	Promotion of the Humanities-Division of Preservation and Access		
45.161	D	N	193,097	Promotion of the Humanities-Research		
45.163	D	N	15,306	Promotion of the Humanities-Professional Development		
45.164	I	N	8,971	Promotion of the Humanities-Public Programs	MW-50007-12	American Bar Assoc
45.169	D	N	24,485	Promotion of the Humanities-Office of Digital Humanities		
45.301	D	N	44,356	Museums for America		
45.303	D	N	22,645	Conservation Project Support		
45.312	D	N	220,498	National Leadership Grants		
45.312	I	N	57,761	National Leadership Grants	00007896/200009	Univ Calif-Berkeley
45.312	I	N	29,064	National Leadership Grants	137263-02	Harvard Univ
45.313	D	N	914,319	Laura Bush 21st Century Librarian Program		
47	D	N	374,831	National Science Foundation		
47	I	N	-1,091	National Science Foundation	1003507	Tacoma Commu College
47	I	N	94,307	National Science Foundation	PO#9500009445	Global Envir Network I
47.041	D	N	1,329,618	Engineering Grants		
47.041	I	N	28,035	Engineering Grants	1159-7558-206-2	Clemson University
47.049	D	N	10,098,310	Mathematical and Physical Sciences		
47.049	I	N	265,926	Mathematical and Physical Sciences	08-SC-NSF-1026	Duke University
47.049	I	N	49,663	Mathematical and Physical Sciences	12-NSF-1027	Duke University
47.049	I	N	6,983	Mathematical and Physical Sciences	12-NSF-1093	Duke University
47.049	I	N	71,731	Mathematical and Physical Sciences	201556UNC	Univ of Notre Dame

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47.049	I	N	3,306	Mathematical and Physical Sciences	3001310127	Univ of Michigan
47.049	I	N	40,770	Mathematical and Physical Sciences	431140	Univ of Washington-Seattle
47.049	I	N	177,126	Mathematical and Physical Sciences	61-2482NC	Michigan St Univ
47.049	I	N	216,150	Mathematical and Physical Sciences	744885	Univ of Washington-Seattle
47.049	I	N	70,164	Mathematical and Physical Sciences	RC100639UNC	Michigan St Univ
47.050	D	N	2,886,858	Geosciences		
47.050	I	N	34,621	Geosciences	161533	Univ Southern California
47.050	I	N	9,412	Geosciences	37169010	Univ Southern California
47.050	I	N	4,629	Geosciences	8 GG002806	Columbia Univ
47.050	I	N	1,258	Geosciences	RR100-508/35065	Univ of Georgia
47.050	I	N	31,968	Geosciences	Y82253	Univ Southern California
47.050	I	N	18,690	Geosciences	Y83238	Univ Southern California
47.070	D	N	2,959,678	Computer and Information Science and Engineering		
47.070	I	N	565,000	Computer and Information Science and Engineering	1872/PO# 950001	Raytheon
47.070	I	N	7,568	Computer and Information Science and Engineering	9500011110/ 188	Raytheon
47.070	I	N	120,412	Computer and Information Science and Engineering	PO#9500011110/1	Raytheon
47.074	D	N	6,507,456	Biological Sciences		
47.074	I	N	12,887	Biological Sciences	09-072	Univ of Alabama-Tusc
47.074	I	N	7,548	Biological Sciences	09-NSF-1037	Duke University
47.074	I	N	-417	Biological Sciences	10-001	Wake Forest University
47.074	I	N	186,645	Biological Sciences	10-NSF-1060	Duke University
47.074	I	N	116,178	Biological Sciences	12-NSF-1081	Duke University
47.074	I	N	46,981	Biological Sciences	3161/200201411	Inst Ecosystem Studi
47.074	I	N	388	Biological Sciences	478778-19467	Virginia Polytech In
47.074	I	N	14,577	Biological Sciences	721826	Univ of Washington-Seattle
47.074	I	N	47,406	Biological Sciences	RR272-210/46896	Univ of Georgia
47.075	D	N	1,512,681	Social, Behavioral, and Economic Sciences		
47.075	I	N	62,215	Social, Behavioral, and Economic Sciences	10321092-SUB	Univ of California
47.075	I	N	-6,699	Social, Behavioral, and Economic Sciences	RR335-044/38421	Univ of Georgia
47.076	D	N	1,864,354	Education and Human Resources		
47.076	I	N	17,293	Education and Human Resources	SUBC-2685	Florida A & M Univ
47.078	D	N	323,715	Polar Programs		
47.079	D	N	22,602	Office of International and Integrative Activities		
47.079	I	N	99,609	Office of International and Integrative Activities	3000835643	Univ of Michigan
47.080	D	N	4,286,300	Office of Cyberinfrastructure		
47.080	I	N	29,612	Office of Cyberinfrastructure	063024-871T	Univ of New Mexico
47.080	I	N	41,896	Office of Cyberinfrastructure	10778	Univ of Arizona
47.080	I	N	-17,326	Office of Cyberinfrastructure	10779	Univ of Arizona
47.080	I	N	52,727	Office of Cyberinfrastructure	180K121	Univ Wisconsin
47.082	D	Y	3,732,585	ARRA - Trans-NSF Recovery Act Research Support		
47.082	I	Y	40,649	ARRA - Trans-NSF Recovery Act Research Support	3048106073-10-3	Univ of Kentucky
47.082	I	Y	90,423	ARRA - Trans-NSF Recovery Act Research Support	60443	Louisiana State Univ
47.082	I	Y	2,086	ARRA - Trans-NSF Recovery Act Research Support	N/A	Global Envir Network I
47.082	I	Y	45,130	ARRA - Trans-NSF Recovery Act Research Support	PO#9500010119	Global Envir Network I
47.082	I	Y	45,555	ARRA - Trans-NSF Recovery Act Research Support	RES504243	Case Western Reserve
64	D	N	65,270	U. S. Department of Veterans Affairs		
66	D	N	4,122,504	U. S. Environmental Protection Agency		
66	I	N	26,681	U. S. Environmental Protection Agency	4930-RFA11-2/13	Health Effects Inst
66	I	N	-1,984	U. S. Environmental Protection Agency	910207	Sonoma Technology
66	I	N	3,615	U. S. Environmental Protection Agency	910302	Sonoma Technology

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66	I	N	4,643	U. S. Environmental Protection Agency	FY2012-001	Mid-Atlantic Reg Air
66	I	N	3,185	U. S. Environmental Protection Agency	N/A	Health Effects Inst
66.202	I	N	206,882	Congressionally Mandated Projects	04366	Water Research Fdn
66.203	D	N	193,734	Environmental Finance Center Grants		
66.424	I	N	301,551	Surveys, Studies, Investigations, Demonstrations, and Training Grants-Section 1442 of the Safe Drinking Water Act	N/A	Newmexico Mining Tec
66.500	D	N	-59,473	Environmental Protection-Consolidated Research		
66.500	I	N	226,578	Environmental Protection-Consolidated Research	4902-RFA10-1/11	Health Effects Inst
66.509	D	N	1,191,064	Science To Achieve Results (STAR) Research Program		
66.511	D	N	1,334,932	Office of Research and Development Consolidated Research/Training/Fellowships		
66.514	D	N	81,267	Science To Achieve Results (STAR) Fellowship Program		
66.516	D	N	61,225	P3 Award: National Student Design Competition for Sustainability		
66.517	I	N	21,797	Regional Applied Research Efforts (RARE)	Z982801	Univ MD College Park
66.611	D	N	-564	Environmental Policy and Innovation Grants		
77	D	N	33,863	Nuclear Regulatory Commission		
81	D	N	531,914	U. S. Department of Energy		
81	I	N	41,504	U. S. Department of Energy	0000028087	Savanah River Nuclea
81	I	N	48,314	U. S. Department of Energy	0000094000	Savanah River Nuclea
81	I	N	149,504	U. S. Department of Energy	00119867	Battelle
81	I	N	78,258	U. S. Department of Energy	00126482	Battelle Energy Alli
81	I	N	36,090	U. S. Department of Energy	3F-30721	Argonne Nat'L Labs
81	I	N	-1,544	U. S. Department of Energy	4000089381	UT-Battelle/Ornl
81	I	N	556,115	U. S. Department of Energy	4000096612	UT-Battelle/Ornl
81	I	N	54,346	U. S. Department of Energy	4000097399	UT-Battelle/Ornl
81	I	N	218,551	U. S. Department of Energy	4000120148	UT-Battelle/Ornl
81	I	N	31,180	U. S. Department of Energy	456470	Duke Energy
81	I	N	62,860	U. S. Department of Energy	B598487	U Cal Lawrence Liver
81	I	N	44,018	U. S. Department of Energy	N/A	Krell Institute
81	I	N	16,875	U. S. Department of Energy	PO# 5-17171	Oak Ridge Assoc Univ
81	I	Y	41,125	ARRA - U. S. Department of Energy	2010MCA-0410-08	Ctr Climate Strategi
81	I	Y	483	ARRA - U. S. Department of Energy	N/A	Town of Cary
81.049	D	N	3,517,293	Office of Science Financial Assistance Program		
81.049	D	Y	3,601,299	ARRA - Office of Science Financial Assistance Program		
81.049	I	N	-20	Office of Science Financial Assistance Program	10-NICCR-1108	Duke University
81.049	I	N	224,238	Office of Science Financial Assistance Program	GQ10044-133949	Univ of Virginia
81.087	I	N	2,639	Renewable Energy Research and Development	F-2013-31	Univ of Toledo Toled
81.135	I	Y	110,087	ARRA - Advanced Research and Projects Agency - Energy Financial Assistance Program	0130 G QA717	Univ Cal Los Angeles
84	D	N	15,917,844	U. S. Department of Education		
84	I	N	20,484	U. S. Department of Education	12/13-006	Nc Partnership Child
84	I	N	22,809	U. S. Department of Education	R01615	Florida Sta
84.007	D	N	611,985	Federal Supplemental Educational Opportunity Grants		
84.015	D	N	1,909,215	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program		
84.015	I	N	-12,338	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	05-SC-DOE-1027	Duke University
84.015	I	N	-1,674	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	07-SC-DED-1046	Duke University

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84.015	I	N	-171	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	08-DED-1080	Duke University
84.015	I	N	98,428	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	11-DED-1019	Duke University
84.015	I	N	31,664	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	12-FLAS-1006	Duke University
84.015	I	N	278,414	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	12-FLAS-1103	Duke Univ Med Ctr
84.022	D	N	82,677	Overseas Programs-Doctoral Dissertation Research Abroad		
84.033	D	N	1,535,658	Federal Work-Study Program		
84.038	D	N	29,858,619	Federal Perkins Loan Program-Federal Capital Contributions		
84.047	D	N	448,344	TRIO-Upward Bound		
84.063	D	N	19,335	Federal Pell Grant Program		
84.116	D	N	66,997	Fund for the Improvement of Postsecondary Education		
84.129	D	N	97,178	Rehabilitation Long-Term Training		
84.133	D	N	276,709	National Institute on Disability and Rehabilitation Research		
84.133	I	N	7,425	National Institute on Disability and Rehabilitation Research	000500057-003	Univ of Alabama-Birm
84.170	D	N	442,087	Javits Fellowships		
84.200	D	N	227,237	Graduate Assistance in Areas of National Need		
84.217	D	N	213,376	TRIO-McNair Post-Baccalaureate Achievement		
84.220	D	N	151,268	Centers for International Business Education		
84.264	I	N	-8,016	Rehabilitation Training-Continuing Education	23482-02063-SO3	Syracuse Univ
84.268	D	N	164,763,719	Federal Direct Student Loans		
84.283	I	N	2,277	Comprehensive Centers	N/A	Education Northwest
84.305	D	N	2,280,257	Education Research, Development and Dissemination		
84.305	I	N	49,113	Education Research, Development and Dissemination	12-ED-1095	Duke University
84.305	I	N	250,193	Education Research, Development and Dissemination	21372-S4	Vanderbilt UnivMed C
84.305	I	N	84,474	Education Research, Development and Dissemination	4475-UNC-USDE-0	Penn State Univ
84.305	I	N	259,325	Education Research, Development and Dissemination	GM10087-126361	Univ of Virginia
84.305	I	N	397,185	Education Research, Development and Dissemination	PT108483-SC1045	VA Commonwealth Univ
84.323	I	N	21,562	Special Education-State Personnel Development	2011-00204/0037	Minnesota Dept Educ
84.324	D	N	3,613,763	Research in Special Education		
84.324	I	N	172,541	Research in Special Education	BL-4240321-UNC	Indiana Univ
84.325	D	N	2,358,163	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities		
84.325	I	N	15,453	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities	F-2012-115	Univ of Toledo Toled
84.325	I	N	32,356	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities	N/A	Norththampt Comm Coll
84.326	D	N	4,078,053	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities		
84.326	I	N	53,065	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	223791B	Univ of Oregon
84.326	I	N	296,876	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	51-001050	SRI International
84.326	I	N	27,268	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	5830-1251-00-E	Univ of So Florida

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84.326	I	N	64,448	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	AUM-SA-001-2011	Auburn Univ
84.326	I	N	191,455	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	UKRF 3048106788	Univ of Kentucky
84.327	D	N	292,590	Special Education-Technology and Media Services for Individuals with Disabilities		
84.373	I	N	303,970	Special Education-Technical Assistance on State Data Collection	51-001279	SRI International
84.373	I	N	379,796	Special Education-Technical Assistance on State Data Collection	FY2011-094	Univ of Kansas
84.378	I	N	149,618	College Access Challenge Grant Program	08538	Texa High Ed Coord Bd
84.381	D	N	156,021	Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages		
84.412	I	N	14,531	Race to the Top - Early Learning Challenge	C13-215/0000183	Delaware Early Learn
93	D	N	20,348,482	U. S. Department of Health and Human Services		
93	D	Y	3,033,506	ARRA - U. S. Department of Health and Human Services		
93	I	N	27,946	U. S. Department of Health and Human Services	0000680541	Fred Hutchinsn Can
93	I	N	441,380	U. S. Department of Health and Human Services	0000724840	Fred Hutchinsn Can
93	I	N	65,585	U. S. Department of Health and Human Services	0028	Natl Enviro Hlt Asso
93	I	N	436,385	U. S. Department of Health and Human Services	00663-2935.001	Amer Inst For Rsch
93	I	N	13,166	U. S. Department of Health and Human Services	0080.0059/814	Family Health Intnl
93	I	N	14,814	U. S. Department of Health and Human Services	0080.0144/955	Family Health Intnl
93	I	N	10,441	U. S. Department of Health and Human Services	0080.0145/956	Family Health Intnl
93	I	N	66,493	U. S. Department of Health and Human Services	0080.0168/966	Family Health Intnl
93	I	N	43,465	U. S. Department of Health and Human Services	0080.0185/983	Family Health Intnl
93	I	N	30,829	U. S. Department of Health and Human Services	01025813/5P01HL	Beth Israel Deacon
93	I	N	45,134	U. S. Department of Health and Human Services	020808-406807-3	Univ of Pit
93	I	N	105,287	U. S. Department of Health and Human Services	0258-3961	Mt Sinai Sch of Med
93	I	N	8,637	U. S. Department of Health and Human Services	06861S01686	Mathematica Pol Res
93	I	N	5,534	U. S. Department of Health and Human Services	08201.100.001	JBS International
93	I	N	61,385	U. S. Department of Health and Human Services	08800-036-00-FP	Urban Institute
93	I	N	-1,580	U. S. Department of Health and Human Services	1-312-0207901	RTI
93	I	N	21,178	U. S. Department of Health and Human Services	1-312-0210837	RTI
93	I	N	158,895	U. S. Department of Health and Human Services	1-312-0213462	RTI Rsch Triangle In
93	I	N	371	U. S. Department of Health and Human Services	10-070/00000005	Univ Texas-Galveston
93	I	N	165	U. S. Department of Health and Human Services	10-14025-05-06-	H Lee Moffitt Cancer
93	I	N	5,458	U. S. Department of Health and Human Services	10-16385-99-01-	H Lee Moffitt Cancer
93	I	N	33,391	U. S. Department of Health and Human Services	10-312-0212746	RTI
93	I	N	132,731	U. S. Department of Health and Human Services	10.10.90038	Hebrew Rehab Ctr
93	I	N	63,765	U. S. Department of Health and Human Services	107741	Univ Calif-San Franc
93	I	N	31,272	U. S. Department of Health and Human Services	108311	Univ Calif San Frans
93	I	N	117,482	U. S. Department of Health and Human Services	108861	Univ Calif San Frans
93	I	N	-13	U. S. Department of Health and Human Services	125466	Duke Univ Med Ctr
93	I	N	34,931	U. S. Department of Health and Human Services	129578/203-1116	Duke University
93	I	N	49,112	U. S. Department of Health and Human Services	12XS457	Saic-Frederick Inc
93	I	N	212,366	U. S. Department of Health and Human Services	17654	Natl Chldhd Cnrc Fdn
93	I	N	55,557	U. S. Department of Health and Human Services	178859	Duke Clinical Rsch
93	I	N	206,419	U. S. Department of Health and Human Services	19836-S1	Vanderbilt Univ Med C
93	I	N	205,762	U. S. Department of Health and Human Services	2-312-0213462	RTI Rsch Triangle In
93	I	N	102,667	U. S. Department of Health and Human Services	2011120781	Group Hlth Rsc Inst
93	I	N	14,857	U. S. Department of Health and Human Services	2012-03-0327-01	Asso Matern Chld Hlt
93	I	N	18,579	U. S. Department of Health and Human Services	2012023582/HHSN	Wake Forest Univ

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93	I	N	11,239	U. S. Department of Health and Human Services	2012026532/HHSN	Wake Forest Univ
93	I	N	10,916	U. S. Department of Health and Human Services	2013028376	Wake Forest Univ
93	I	N	80,386	U. S. Department of Health and Human Services	213	Family Health Intl
93	I	N	23,947	U. S. Department of Health and Human Services	220187	Mass Gen Hosp
93	I	N	159,590	U. S. Department of Health and Human Services	24982	ABT Assoc Inc
93	I	N	23	U. S. Department of Health and Human Services	24986	ABT Assoc Inc
93	I	N	15,544	U. S. Department of Health and Human Services	25051-08-381	La Jolla Ins Allergy
93	I	N	296,785	U. S. Department of Health and Human Services	29XS126/A59101	Saic-Frederick Inc
93	I	N	380,676	U. S. Department of Health and Human Services	29XS126/A62138	Saic-Frederick Inc
93	I	N	100,439	U. S. Department of Health and Human Services	3-N01-HC-95170	Jackson State Univ
93	I	N	53,236	U. S. Department of Health and Human Services	3002-001	Carter Consulting
93	I	N	15,022	U. S. Department of Health and Human Services	3002-082	Carter Consulting
93	I	N	111,193	U. S. Department of Health and Human Services	30305	Wake Forest Univ
93	I	N	-396	U. S. Department of Health and Human Services	3048104037-09-1	Univ of Kentucky
93	I	N	1,436	U. S. Department of Health and Human Services	31184 WHIMS MRI	Wake Forest Univ
93	I	N	24,301	U. S. Department of Health and Human Services	33001	Wake Forest Univ
93	I	N	6,662	U. S. Department of Health and Human Services	383-4542	Duke University
93	I	N	35,973	U. S. Department of Health and Human Services	40-4113/UNC-3	Nat Bureau Econ Res
93	I	N	4,997	U. S. Department of Health and Human Services	40142S03133	Mathematica Pol Res
93	I	N	-5,202	U. S. Department of Health and Human Services	413390300101.07	Chldms Hosp Reg Med
93	I	N	-5,703	U. S. Department of Health and Human Services	4216	Am Coll Radiol. Imag
93	I	N	5,812	U. S. Department of Health and Human Services	431630-19467	VA Polytechnic Inst
93	I	N	160,489	U. S. Department of Health and Human Services	451	Assc Univ Ctr Disab
93	I	N	-2,930	U. S. Department of Health and Human Services	4549	Mcking Consult Corp
93	I	N	2,214	U. S. Department of Health and Human Services	5-P01-HL087203-	Beth Israel Deacon
93	I	N	-97	U. S. Department of Health and Human Services	5215810-5500000	Broad Institute
93	I	N	491,170	U. S. Department of Health and Human Services	576652	Univ of Wash-Seattle
93	I	N	-780	U. S. Department of Health and Human Services	66242L/ PO M117	Univ of Miami
93	I	N	25	U. S. Department of Health and Human Services	6666	Amer Coll Radiology
93	I	N	19,205	U. S. Department of Health and Human Services	6671S00150	Mathematica Pol Res
93	I	N	5,304	U. S. Department of Health and Human Services	79184CBS36	Booz Allen&Hamilton
93	I	N	4,331	U. S. Department of Health and Human Services	79184CBS36/TO2:	Booz Allen&Hamilton
93	I	N	41,716	U. S. Department of Health and Human Services	8-49462	Mcmaster University
93	I	N	231,193	U. S. Department of Health and Human Services	80391	Hlth Res And Educ Tr
93	I	N	7,530	U. S. Department of Health and Human Services	8412-77804-X	Johns Hopkins Univ
93	I	N	12,834	U. S. Department of Health and Human Services	8502-90010-6	Johns Hopkins Univ
93	I	N	185,766	U. S. Department of Health and Human Services	861/0080.0016	Family Health Intl
93	I	N	126,142	U. S. Department of Health and Human Services	888-11-16-04	RTI Rsch Triangle In
93	I	N	68,684	U. S. Department of Health and Human Services	888-11-16-04 1-	RTI Rsch Triangle In
93	I	N	16,698	U. S. Department of Health and Human Services	888-11-16-04/2-	RTI Rsch Triangle In
93	I	N	150,101	U. S. Department of Health and Human Services	8977-S-003	Westat
93	I	N	16,783	U. S. Department of Health and Human Services	972	Family Health Intl
93	I	N	115,377	U. S. Department of Health and Human Services	987.02935.003	Amer Inst For Rsch
93	I	N	49,269	U. S. Department of Health and Human Services	9920120010	Rand Corporation,Inc
93	I	N	523,253	U. S. Department of Health and Human Services	A56711/29XS126	Saic-Frederick Inc
93	I	N	1,883,519	U. S. Department of Health and Human Services	A56768/29XS126	Saic-Frederick Inc
93	I	N	33,994	U. S. Department of Health and Human Services	AI15416	Univ Calif-San Franc
93	I	N	-91,080	U. S. Department of Health and Human Services	BRS-ACURE-Q-06-	Social & Scientific
93	I	N	-28,603	U. S. Department of Health and Human Services	BRS-IMPCT-Q-06-	Social & Scientific
93	I	N	52,099	U. S. Department of Health and Human Services	CA098543	Children's Hosp Phil

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93	I	N	-17	U. S. Department of Health and Human Services	CA80098	Am Coll Radiol. Imag
93	I	N	4,958	U. S. Department of Health and Human Services	CRB-UCLA1-S-10-	Social & Scientific
93	I	N	1,561	U. S. Department of Health and Human Services	DC010668	Boston University
93	I	N	-138	U. S. Department of Health and Human Services	DS603/100528	Duke University
93	I	N	123	U. S. Department of Health and Human Services	FD003516	Boston University
93	I	N	12,598	U. S. Department of Health and Human Services	FP00013013-SUB3	Children's Hosp Phil
93	I	N	109,880	U. S. Department of Health and Human Services	FY12.219.003/2-	Univ of Colorado
93	I	N	34,181	U. S. Department of Health and Human Services	GLNE 010	Univ of Michigan
93	I	N	76,397	U. S. Department of Health and Human Services	GMO-010171	UT Southwest Med Ctr
93	I	N	1,153	U. S. Department of Health and Human Services	GS 23F-0024M	Hager Sharp Inc
93	I	N	6,062	U. S. Department of Health and Human Services	HD040492	Duke Univ Med Ctr
93	I	N	42	U. S. Department of Health and Human Services	HSA29020060001	ABT Assoc Inc
93	I	N	23,282	U. S. Department of Health and Human Services	HHSD2002009F310	Scimetrika
93	I	N	25,524	U. S. Department of Health and Human Services	HHSN26120062200	H Lee Moffitt Cancer
93	I	N	13,396	U. S. Department of Health and Human Services	HL27520	Duke University
93	I	N	461	U. S. Department of Health and Human Services	ITN10200-00SC	Univ Calif-San Franc
93	I	N	-5,852	U. S. Department of Health and Human Services	K000038-S01	Kitware Inc
93	I	N	-476	U. S. Department of Health and Human Services	K000038-S02	Kitware Inc
93	I	N	42,114	U. S. Department of Health and Human Services	M60-NC-075-1101	Inst Clinical Rsch
93	I	N	1,973	U. S. Department of Health and Human Services	MH090833	Brain Plasticity
93	I	N	1,929,085	U. S. Department of Health and Human Services	N/A	Academyhealth
93	I	N	-722	U. S. Department of Health and Human Services	N01-WH-4-4221	Wake Forest Univ
93	I	N	-2,978	U. S. Department of Health and Human Services	N01-WH-4-4221/3	Wake Forest Univ Sch Med
93	I	N	19,445	U. S. Department of Health and Human Services	NS026835	Emmes Corporation
93	I	N	66	U. S. Department of Health and Human Services	PHR-NIDA4-S-10-	Social & Scientific
93	I	N	21,529	U. S. Department of Health and Human Services	PHR-SUPS2-S-11-	Social & Scientific
93	I	N	65,004	U. S. Department of Health and Human Services	PO 1568 G NA643	Emmes Corporation
93	I	N	50,636	U. S. Department of Health and Human Services	PO# 7810-96242	Johns Hopkins Univ
93	I	N	-566	U. S. Department of Health and Human Services	PO#3415583/2057	Mass Gen Hosp
93	I	N	33	U. S. Department of Health and Human Services	PO#3416088/2057	Mass Gen Hosp
93	I	N	267,193	U. S. Department of Health and Human Services	PO#P0007321/N01	Jackson State Univ
93	I	N	1,366	U. S. Department of Health and Human Services	RD30000419	TKC Integration Serv
93	I	N	3,920	U. S. Department of Health and Human Services	S20110000017320	Univ Mass
93	I	N	4,476	U. S. Department of Health and Human Services	S683870	Emory University
93	I	N	208	U. S. Department of Health and Human Services	S722093	Emory University
93	I	N	5,996	U. S. Department of Health and Human Services	SR00002217	Univ MD-Baltimore
93	I	N	49,283	U. S. Department of Health and Human Services	TFED29-117	NSABP Fdn
93	I	N	57,267	U. S. Department of Health and Human Services	U01DK065176	Duke Univ Med Ctr
93	I	N	-3,029	U. S. Department of Health and Human Services	UAB-UNC WA 21	Univ of Alabama-Birm
93	I	N	126	U. S. Department of Health and Human Services	UAB-UNC WA 22	Univ of Alabama-Birm
93	I	N	100,856	U. S. Department of Health and Human Services	UNC 01/HHSN2712	Feinstein Inst Med R
93	I	N	112,395	U. S. Department of Health and Human Services	UNC-14005-002-0	Advan Bioscience Lab
93	I	N	23,606	U. S. Department of Health and Human Services	US001-000025616	Battelle
93	I	N	-2,061	U. S. Department of Health and Human Services	WU-10-184/PO291	Wash Univ-St Louis
93	I	N	325,278	U. S. Department of Health and Human Services	WU-12-19/291659	Wash Univ-St Louis
93	I	Y	37,817	ARRA - U. S. Department of Health and Human Services	106073/HSA2902	Brigham Women's Hosp
93	I	Y	588	ARRA - U. S. Department of Health and Human Services	10ST1086	Saic-Frederick Inc
93	I	Y	494,285	ARRA - U. S. Department of Health and Human Services	5-312-0210837	RTI
93	I	Y	68	ARRA - U. S. Department of Health and Human Services	888-11-16-04/8-	RTI
93	I	Y	58,901	ARRA - U. S. Department of Health and Human Services	CRB-DCR01-S-09-	Social & Scientific



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93	I	Y	-2,050	ARRA - U. S. Department of Health and Human Services	UNC 01/HHSN2712	Feinstein Inst Med R
93.043	I	N	117,004	Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services	M60-NC-075-1101	Inst Clinical Rsch
93.048	I	Y	2,427	ARRA - Special Programs for the Aging-Title IV-and Title II-Discretionary Projects	S120025	Texas A&M U
93.051	D	N	305,509	Alzheimer's Disease Demonstration Grants to States		
93.061	D	N	466,797	Innovations in Applied Public Health Research		
93.061	I	N	-1,114	Innovations in Applied Public Health Research	N/A	Affinergy Inc
93.067	D	N	7,173,691	Global AIDS		
93.067	I	N	2,895,655	Global AIDS	12-4218	Infect Diseases Zambia
93.067	I	N	781,318	Global AIDS	N/A	Univ Malawi Colle Med
93.069	D	N	390,726	Public Health Emergency Preparedness		
93.073	D	N	1,142,708	Birth Defects and Developmental Disabilities-Prevention and Surveillance		
93.077	D	N	288,359	Family Smoking Prevention and Tobacco Control Act Regulatory Research		
93.077	I	N	27,812	Family Smoking Prevention and Tobacco Control Act Regulatory Research	110464	Wake Forest Univ
93.088	D	N	158,786	Advancing System Improvements to Support Targets for Healthy People 2010		
93.107	D	N	874,159	Area Health Education Centers Point of Service Maintenance and Enhancement Awards		
93.110	D	N	2,688,860	Maternal and Child Health Federal Consolidated Programs		
93.110	I	N	15,940	Maternal and Child Health Federal Consolidated Programs	4407-UNC-DHHS-1	Penn State Univ
93.110	I	N	108,650	Maternal and Child Health Federal Consolidated Programs	H30MC24046-01	Hemoph. of Georgia
93.113	D	N	8,298,969	Environmental Health		
93.113	I	N	34,763	Environmental Health	00000542	Brown Univ
93.113	I	N	-862	Environmental Health	000252739-008	Univ of Alabama-Birm
93.113	I	N	3,020	Environmental Health	10-NIH-1100	Duke University
93.113	I	N	84,263	Environmental Health	11-NIH-1002	Duke Univ Med Ctr
93.113	I	N	46,848	Environmental Health	2001627675	Johns Hopkins Univ
93.113	I	N	32,039	Environmental Health	415924-G/5-2886	Univ of Rochester
93.113	I	N	16,110	Environmental Health	H48496	Univ Southern Califo
93.113	I	N	1,435	Environmental Health	N/A	Biodeptronix LLC
93.113	I	N	46,948	Environmental Health	PO 753538/2331	Henry M Jackson Fdn
93.113	I	N	6,597	Environmental Health	PO#50018343	Univ Southern Califo
93.113	I	N	23,767	Environmental Health	SC6555950/02559	Mt Sinai Sch of Med
93.113	I	N	14,363	Environmental Health	UNC-1R43ES02063	Scikon Innovations
93.113	I	N	72,049	Environmental Health	UNCES019672	Penn State Univ
93.117	D	N	39,738	Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Coordinating Center for Integrative Medicine		
93.121	D	N	10,801,348	Oral Diseases and Disorders Research		
93.121	I	N	19,841	Oral Diseases and Disorders Research	018106-UNC2410	Forsyth Institute
93.121	I	N	-870	Oral Diseases and Disorders Research	1000020105/FY10	Univ of Colorado
93.121	I	N	-260	Oral Diseases and Disorders Research	10252SUB	Seattle Chld Hosp
93.121	I	N	3,516	Oral Diseases and Disorders Research	10416SUB	Seattle Chld Hosp
93.121	I	N	15,839	Oral Diseases and Disorders Research	10673SUB	Seattle Chld Hosp
93.121	I	N	16,331	Oral Diseases and Disorders Research	29070010-0003	Methodist Hosp Rsc I
93.121	I	N	9,560	Oral Diseases and Disorders Research	331651	Nova Southeast Univ
93.121	I	N	115,600	Oral Diseases and Disorders Research	549852	Univ of Pennsylvania
93.121	I	N	13,030	Oral Diseases and Disorders Research	85285270X110360	Healthpartners
93.121	I	N	52,268	Oral Diseases and Disorders Research	F6570-05	New York University
93.121	I	N	16,680	Oral Diseases and Disorders Research	F6934-02	New York University
93.121	I	N	135,676	Oral Diseases and Disorders Research	FY13.236.001	Univ of Colorado
93.121	I	N	72,590	Oral Diseases and Disorders Research	HS2356	Tufts University

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93.121	I	N	120,843	Oral Diseases and Disorders Research	N/A	3DMD LLC
93.121	I	N	6,336	Oral Diseases and Disorders Research	OGMB101066 UNC	Univ of Louisville
93.121	I	N	15,631	Oral Diseases and Disorders Research	R01DE018184	Beth Israel Deacon
93.127	D	N	270,225	Emergency Medical Services for Children		
93.135	D	N	3,494,992	Centers for Research and Demonstration for Health Promotion and Disease Prevention		
93.135	I	N	-169	Centers for Research and Demonstration for Health Promotion and Disease Prevention	5-899909/PO 415	Univ of Rochester
93.135	I	N	131,116	Centers for Research and Demonstration for Health Promotion and Disease Prevention	99-S132303	Texas A&M Univ
93.136	D	N	2,892,841	Injury Prevention and Control Research and State and Community Based Programs		
93.136	I	N	54,476	Injury Prevention and Control Research and State and Community Based Programs	0661	Pacific Inst Resch
93.143	D	N	2,957,386	NIEHS Superfund Hazardous Substances-Basic Research and Education		
93.145	I	N	155,090	AIDS Education and Training Centers	S847086	Emory University
93.145	I	N	19,538	AIDS Education and Training Centers	S847121	Emory University
93.145	I	N	5,312	AIDS Education and Training Centers	S922944	Emory University
93.153	I	N	10,292	Coordinated Services and Access to Research for Women, Infants, Children, and Youth	203-1658	Duke University
93.153	I	N	59,832	Coordinated Services and Access to Research for Women, Infants, Children, and Youth	309-0025	Duke Univ Med Ctr
93.153	I	N	50,144	Coordinated Services and Access to Research for Women, Infants, Children, and Youth	309-0026	Duke Univ Med Ctr
93.155	D	N	1,077,512	Rural Health Research Centers		
93.155	I	N	65,604	Rural Health Research Centers	N/A	Natl Rural Hlth Assn
93.172	D	N	8,647,902	Human Genome Research		
93.172	I	N	69,215	Human Genome Research	001G106004-A	Boise State Univ
93.172	I	N	58,443	Human Genome Research	12-NIH-1104	Duke Univ Med Ctr
93.172	I	N	-2,049	Human Genome Research	203-0254	Duke University
93.172	I	N	36,149	Human Genome Research	203-1625	Duke University
93.172	I	N	23,015	Human Genome Research	203-1628	Duke University
93.172	I	N	47,420	Human Genome Research	64780	Louisiana State Univ
93.173	D	N	3,442,139	Research Related to Deafness and Communication Disorders		
93.173	I	N	281,335	Research Related to Deafness and Communication Disorders	1000664992	Univ of Iowa
93.173	I	N	35,541	Research Related to Deafness and Communication Disorders	2000184642	Johns Hopkins Univ
93.173	I	N	128,783	Research Related to Deafness and Communication Disorders	SP0012457	Northwestern Univ
93.178	D	N	168,459	Nursing Workforce Diversity		
93.184	I	N	8,542	Disabilities Prevention	2011-06586-02-0	Univ of Ill-Chicago
93.184	I	N	223,627	Disabilities Prevention	ATHN2011001-IVN	Am Thromb Hemo Netw
93.185	D	N	1,609	Immunization Research, Demonstration, Public Information and Education-Training and Clinical Skills Improvement Projects		
93.186	D	N	280,516	National Research Service Award in Primary Care Medicine		
93.213	D	N	827,027	Research and Training in Complementary and Alternative Medicine		
93.213	I	N	4,241	Research and Training in Complementary and Alternative Medicine	203-1114	Duke Univ Med Ctr
93.213	I	N	-93	Research and Training in Complementary and Alternative Medicine	FY10.528.001/ 2	Univ of Colorado
93.213	I	N	-100	Research and Training in Complementary and Alternative Medicine	FY11.528.001/ 2	Univ of Colorado
93.217	I	N	116,161	Family Planning-Services	FPHPA046005-01-	Planned Parenthood
93.225	D	N	381,861	National Research Service Awards-Health Services Research Training		
93.226	D	N	3,174,559	Research on Healthcare Costs, Quality and Outcomes		
93.226	I	N	6,609	Research on Healthcare Costs, Quality and Outcomes	2011-05887-10-0	Univ of Ill-Chicago
93.226	I	N	7,507	Research on Healthcare Costs, Quality and Outcomes	A07706 (M10A104	Yale University
93.233	I	N	24,106	National Center on Sleep Disorders Research	106777	Brigham Women's Hosp

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
93.241	I	N	262,198	State Rural Hospital Flexibility Program	P000577701	Univ of Minnesota
93.242	D	N	21,273,273	Mental Health Research Grants		
93.242	I	N	13,176	Mental Health Research Grants	0580	Pacific Inst Resch
93.242	I	N	145,987	Mental Health Research Grants	1 (GG005538)	Trust Columbia Un Ny
93.242	I	N	242,879	Mental Health Research Grants	10-NIH-1045	Duke University
93.242	I	N	19,746	Mental Health Research Grants	104624	Brigham Women's Hosp
93.242	I	N	13,892	Mental Health Research Grants	11-1851	Univ of S Carolina
93.242	I	N	-3,089	Mental Health Research Grants	1350 G NA662	Univ Cal Los Angeles
93.242	I	N	11,413	Mental Health Research Grants	153844/303-1236	Duke University
93.242	I	N	5,064	Mental Health Research Grants	1R01MH100628-01	Rush Med College
93.242	I	N	552,732	Mental Health Research Grants	2 (GG005538)	Columbia Univ
93.242	I	N	220,028	Mental Health Research Grants	2000 G NF 192	Univ Cal Los Angeles
93.242	I	N	-4,360	Mental Health Research Grants	2000554784	Johns Hopkins Univ
93.242	I	N	527,903	Mental Health Research Grants	2001590660	Johns Hopkins Univ
93.242	I	N	35,191	Mental Health Research Grants	2001799974	Johns Hopkins Univ
93.242	I	N	25,105	Mental Health Research Grants	2001805606	Johns Hopkins Univ
93.242	I	N	159,144	Mental Health Research Grants	2011-2596	Univ Calif-Irvine
93.242	I	N	8,177	Mental Health Research Grants	203-0140	Duke University
93.242	I	N	53,633	Mental Health Research Grants	203-1144	Duke Univ Med Ctr
93.242	I	N	51,459	Mental Health Research Grants	203-1284	Duke University
93.242	I	N	63,971	Mental Health Research Grants	203-1993	Duke Univ Med Ctr
93.242	I	N	7,796	Mental Health Research Grants	2039425	Duke Univ Med Ctr
93.242	I	N	153,989	Mental Health Research Grants	23650-115782	Harvard Univ
93.242	I	N	163,552	Mental Health Research Grants	60091569-24813-	Stanford University
93.242	I	N	-305	Mental Health Research Grants	6109SC	Univ Calif-San Franc
93.242	I	N	21,000	Mental Health Research Grants	66911R	Univ of Miami
93.242	I	N	50,421	Mental Health Research Grants	BRS-IMPCT-Q-06-	Social & Scientific
93.242	I	N	110,323	Mental Health Research Grants	H39736	Univ Southern Califo
93.242	I	N	25,896	Mental Health Research Grants	IN4687096UNC	Indiana Univ
93.242	I	N	88,138	Mental Health Research Grants	M12A11109 (A082	Yale University
93.242	I	N	157,031	Mental Health Research Grants	N/A	Jericho Sciences
93.242	I	N	24,046	Mental Health Research Grants	PD301397-SC1018	VA Commonwealth Univ
93.242	I	N	23,425	Mental Health Research Grants	PO#2001591772	Johns Hopkins Univ
93.242	I	N	3,128	Mental Health Research Grants	PO#2001624376	Johns Hopkins Univ
93.242	I	N	22,753	Mental Health Research Grants	PO#2001624377	Johns Hopkins Univ
93.242	I	N	78,877	Mental Health Research Grants	PO#2001624432	Johns Hopkins Univ
93.242	I	N	34,138	Mental Health Research Grants	RES505297	Case Western Reserve
93.242	I	N	45,491	Mental Health Research Grants	S940547	Emory University
93.242	I	N	125,168	Mental Health Research Grants	SP0014522-PROJ0	Northwestern U Traf
93.242	I	N	26,190	Mental Health Research Grants	WFOHS 10075	Wake Forest Univ Sch Med
93.243	D	N	405,579	Substance Abuse and Mental Health Services-Projects of Regional and National Significance		
93.243	I	N	3,842	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	1065-97640	Wash Dept Soc & Hlth
93.243	I	N	-22	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	11-SAMHSA-1057	Duke University
93.243	I	N	51,678	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	12-SAMHSA-1011	Duke University
93.243	I	N	18,916	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	FY12.525.002	Univ of Colorado

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93.243	I	N	43,789	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	M13A11406(A0874	Yale University
93.243	I	N	9,999	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	M13A11521 (A090	Yale University
93.243	I	N	26,644	Substance Abuse and Mental Health Services-Projects of Regional and National Significance	N/A	Cincinnati Behav Hlt
93.247	D	N	213,329	Advanced Nursing Education Grant Program		
93.262	D	N	2,423,313	Occupational Safety and Health Program		
93.262	I	N	27,352	Occupational Safety and Health Program	10-597-UNC	West Virginia Univ
93.262	I	N	15,805	Occupational Safety and Health Program	3049024630-12-6	Univ Kentucky Res Fdn
93.262	I	N	60,919	Occupational Safety and Health Program	3049024994-13-1	Univ Kentucky Res Fdn
93.262	I	N	-22,293	Occupational Safety and Health Program	694727	Univ of Wash-Seattle
93.262	I	N	26,190	Occupational Safety and Health Program	729856	Univ of Wash-Seattle
93.262	I	N	3,689	Occupational Safety and Health Program	W000217890	Univ of Iowa
93.264	D	N	543,394	Nurse Faculty Loan Program (NFLP)		
93.265	D	N	30,101	Comprehensive Geriatric Education Program(CGEP)		
93.271	D	N	3	Alcohol Research Career Development Awards for Scientists and Clinicians		
93.272	D	N	100,373	Alcohol National Research Service Awards for Research Training		
93.273	D	N	9,678,682	Alcohol Research Programs		
93.273	I	N	44,609	Alcohol Research Programs	1-312-0212210	RTI
93.273	I	N	6,857	Alcohol Research Programs	108942	Loyola Univ-Chicago
93.273	I	N	23,314	Alcohol Research Programs	203-2467	Duke Univ Med Ctr
93.273	I	N	106,061	Alcohol Research Programs	55478	Binghamton Univ
93.273	I	N	-14	Alcohol Research Programs	ABNEU01690	Oregon Hlth Sciences
93.273	I	N	29,149	Alcohol Research Programs	GG005688/539716	Columbia Univ
93.273	I	N	-3	Alcohol Research Programs	R44AA018245	Science Lrng Res Inc
93.279	D	N	12,243,196	Drug Abuse and Addiction Research Programs		
93.279	I	N	19,571	Drug Abuse and Addiction Research Programs	1-312-0213082/8	RTI Rsch Triangle In
93.279	I	N	-292	Drug Abuse and Addiction Research Programs	1-R43-DA020217-	Flying Bridge Techno
93.279	I	N	17,770	Drug Abuse and Addiction Research Programs	148558/303-7479	Duke University
93.279	I	N	1,937	Drug Abuse and Addiction Research Programs	1549088/1000095	Univ of Colorado
93.279	I	N	961	Drug Abuse and Addiction Research Programs	1550335	Univ of Colorado
93.279	I	N	173,904	Drug Abuse and Addiction Research Programs	1R41DA032293 UN	Algynomics Inc
93.279	I	N	18,058	Drug Abuse and Addiction Research Programs	2001169668	Johns Hopkins Univ
93.279	I	N	264,338	Drug Abuse and Addiction Research Programs	203-1179	Duke University
93.279	I	N	93,846	Drug Abuse and Addiction Research Programs	203-1519	Duke University
93.279	I	N	7,945	Drug Abuse and Addiction Research Programs	57067A P4158 78	San Diego St Univ
93.279	I	N	53,719	Drug Abuse and Addiction Research Programs	710-9833-8985	Miriam Hospital
93.279	I	N	40,789	Drug Abuse and Addiction Research Programs	P24919-00	Texas Christian Univ
93.279	I	N	-3,076	Drug Abuse and Addiction Research Programs	PIRE	Pacific Inst Resch
93.279	I	N	72,977	Drug Abuse and Addiction Research Programs	PO#SR00000878	Univ MD-Baltimore
93.279	I	N	34,306	Drug Abuse and Addiction Research Programs	PO#SR00002140	Univ MD-Baltimore
93.279	I	N	451,879	Drug Abuse and Addiction Research Programs	UF12269	Univ of Florida
93.279	I	N	-8,151	Drug Abuse and Addiction Research Programs	Z028101	Univ MD College Park
93.281	D	N	177,014	Mental Health Research Career/Scientist Development Awards		
93.282	D	N	547,023	Mental Health National Research Service Awards for Research Training		
93.283	D	N	2,586,309	Centers for Disease Control and Prevention-Investigations and Technical Assistance		
93.283	I	N	20,239	Centers for Disease Control and Prevention-Investigations and Technical Assistance	16011-1 55	State&Territ Hlth of

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93.283	I	N	639	Centers for Disease Control and Prevention-Investigations and Technical Assistance	16011-1/111	State&Territ Hlth of
93.283	I	N	69,210	Centers for Disease Control and Prevention-Investigations and Technical Assistance	N/A	Morehouse School Med
93.283	I	N	-3,504	Centers for Disease Control and Prevention-Investigations and Technical Assistance	S3554-24/26	Assoc Sch Pub Health
93.283	I	N	1,131	Centers for Disease Control and Prevention-Investigations and Technical Assistance	S3698	Assoc Sch Pub Health
93.283	I	N	412	Centers for Disease Control and Prevention-Investigations and Technical Assistance	S3810	Assoc Sch Pub Health
93.283	I	N	-17,280	Centers for Disease Control and Prevention-Investigations and Technical Assistance	S3810-26/28	Assoc Sch Pub Health
93.286	D	N	3,265,640	Discovery and Applied Research for Technological Innovations to Improve Human Health		
93.286	I	N	186,578	Discovery and Applied Research for Technological Innovations to Improve Human Health	106368	Brigham Women's Hosp
93.286	I	N	22,336	Discovery and Applied Research for Technological Innovations to Improve Human Health	106370	Brigham Women's Hosp
93.286	I	N	69,683	Discovery and Applied Research for Technological Innovations to Improve Human Health	1106149	Univ NY Stony Brook
93.286	I	N	485	Discovery and Applied Research for Technological Innovations to Improve Human Health	21135-S1	Vanderbilt Univ Med C
93.286	I	N	141,416	Discovery and Applied Research for Technological Innovations to Improve Human Health	4/PO#1346727	ME College of Wi
93.286	I	N	2,114	Discovery and Applied Research for Technological Innovations to Improve Human Health	K000688-00-S03	Kitware Inc
93.286	I	N	12,078	Discovery and Applied Research for Technological Innovations to Improve Human Health	N/A	PDS Biotechnology
93.286	I	N	-6,279	Discovery and Applied Research for Technological Innovations to Improve Human Health	PO#1263805	ME College of Wi
93.286	I	N	80,452	Discovery and Applied Research for Technological Innovations to Improve Human Health	R-12-0122	Univ of Houston
93.307	D	N	1,460,130	Minority Health and Health Disparities Research		
93.307	I	N	22,705	Minority Health and Health Disparities Research	WFHUS12793	Wake Forest Bapti Med Ctr
93.307	I	N	-5,592	Minority Health and Health Disparities Research	WFUHS12742	Wake Forest Univ
93.310	D	N	3,314,095	Trans-NIH Research Support		
93.310	I	N	61,147	Trans-NIH Research Support	148614.0002	Harvard Med Sch
93.310	I	N	278,114	Trans-NIH Research Support	148614.5030571.	Harvard Med Sch
93.310	I	N	-17,784	Trans-NIH Research Support	155346	Duke University
93.310	I	N	61,612	Trans-NIH Research Support	557217	Univ of Pennsylvania
93.310	I	N	-1,169	Trans-NIH Research Support	557230	Univ of Pennsylvania
93.342	D	N	3,610,253	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students		
93.350	D	N	11,233,085	National Center for Advancing Translational Sciences		
93.351	D	N	2,758,582	Research Infrastructure Programs		
93.358	D	N	332,375	Advanced Education Nursing Traineeships		
93.359	D	N	362,647	Nurse Education, Practice Quality and Retention Grants		
93.361	D	N	3,247,237	Nursing Research		
93.361	I	N	7,227	Nursing Research	0008736	Univ of Pit
93.361	I	N	207,684	Nursing Research	0255-5071-4609	Mt Sinai Sch of Med
93.361	I	N	22,712	Nursing Research	560124	Univ of Pennsylvania
93.389	D	N	187,800	National Center for Research Resources		

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93.389	I	N	5,264	National Center for Research Resources	07-002383-UNC	Univ Calif-Davis
93.389	I	N	1,845	National Center for Research Resources	07193-01	Minn Med Res Frnd
93.389	I	N	-1	National Center for Research Resources	3002052454	Univ of Michigan
93.392	I	N	-8,637	Cancer Construction	H3155-01	Georgia State Univ
93.393	D	N	14,460,395	Cancer Cause and Prevention Research		
93.393	I	N	57,828	Cancer Cause and Prevention Research	0000721516	Fred Hutchinsn Can
93.393	I	N	56,222	Cancer Cause and Prevention Research	10-16373-99-01-	H Lee moffitt Cancer
93.393	I	N	31,492	Cancer Cause and Prevention Research	1156408	Dana-Farber Cancer
93.393	I	N	17,269	Cancer Cause and Prevention Research	13-194	Arizona State Univ
93.393	I	N	28,781	Cancer Cause and Prevention Research	151256/151249	Univ of Tex San Anto
93.393	I	N	50,417	Cancer Cause and Prevention Research	2012101784	Group Health Cooper
93.393	I	N	22,460	Cancer Cause and Prevention Research	203-0297	Duke University
93.393	I	N	146,915	Cancer Cause and Prevention Research	3001476655	Univ of Michigan
93.393	I	N	17,859	Cancer Cause and Prevention Research	3001801813	Univ of Michigan
93.393	I	N	20,437	Cancer Cause and Prevention Research	34313992	Univ Southern Califo
93.393	I	N	3,790	Cancer Cause and Prevention Research	44706-D	Univ of Chicago
93.393	I	N	100	Cancer Cause and Prevention Research	532	Dartmouth College
93.393	I	N	8,229	Cancer Cause and Prevention Research	55-8001-01	Roswell Park Canc In
93.393	I	N	95,160	Cancer Cause and Prevention Research	55-8002-01	Roswell Park Canc In
93.393	I	N	50,962	Cancer Cause and Prevention Research	55-8003-01	Roswell Park Canc In
93.393	I	N	18,530	Cancer Cause and Prevention Research	55-8004-01	Roswell Park Canc In
93.393	I	N	5,695	Cancer Cause and Prevention Research	55-8005-01	Roswell Park Canc In
93.393	I	N	1,345	Cancer Cause and Prevention Research	55-8006-01	Roswell Park Canc In
93.393	I	N	17,649	Cancer Cause and Prevention Research	66971E/M174648	Univ of Miami
93.393	I	N	654,183	Cancer Cause and Prevention Research	76-01	Roswell Park Canc In
93.393	I	N	22,409	Cancer Cause and Prevention Research	913	Dartmouth College
93.393	I	N	32,208	Cancer Cause and Prevention Research	BD515011	Sloan-Kettering Inst
93.393	I	N	43,472	Cancer Cause and Prevention Research	BD515047	Sloan-Kettering Inst
93.393	I	N	321,655	Cancer Cause and Prevention Research	CA154292-02-UNC	Group Hlth Rsc Inst
93.393	I	N	16	Cancer Cause and Prevention Research	H49879	Univ Southern Califo
93.393	I	N	32,228	Cancer Cause and Prevention Research	H50657	Univ Southern Califo
93.393	I	N	88,720	Cancer Cause and Prevention Research	N/A	Johns Hopkins Univ
93.393	I	N	33,404	Cancer Cause and Prevention Research	S725434	Emory University
93.393	I	N	85,204	Cancer Cause and Prevention Research	S898350	Emory University
93.394	D	N	5,570,871	Cancer Detection and Diagnosis Research		
93.394	I	N	36,039	Cancer Detection and Diagnosis Research	108415	Brigham Women's Hosp
93.394	I	N	43,837	Cancer Detection and Diagnosis Research	203-1797	Duke Univ Med Ctr
93.394	I	N	93,004	Cancer Detection and Diagnosis Research	3001861664	Univ of Michigan
93.394	I	N	14,098	Cancer Detection and Diagnosis Research	3001988623	Univ of Michigan
93.394	I	N	9,192	Cancer Detection and Diagnosis Research	500220-78050	Northeastern Univ
93.394	I	N	31,140	Cancer Detection and Diagnosis Research	6970SC	Univ Calif San Frans
93.394	I	N	21,320	Cancer Detection and Diagnosis Research	K000646-00-S01	Kitware Inc
93.394	I	N	71,836	Cancer Detection and Diagnosis Research	N/A	Morphormics Inc
93.394	I	N	42,395	Cancer Detection and Diagnosis Research	VUMC 38860	Vanderbilt Univ Med C
93.394	I	N	71,686	Cancer Detection and Diagnosis Research	WU-12-117/29173	Wash Univ-St Louis
93.394	I	N	281,558	Cancer Detection and Diagnosis Research	WU-13-68	Wash Univ-St Louis
93.395	D	N	8,214,112	Cancer Treatment Research		
93.395	I	N	-884	Cancer Treatment Research	105816	Brigham Women's Hosp
93.395	I	N	67,998	Cancer Treatment Research	107938	Brigham Women's Hosp
93.395	I	N	16,555	Cancer Treatment Research	203-1400	Duke Univ Med Ctr

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93.395	I	N	4,406	Cancer Treatment Research	5R01CA133049-04	Mayo Clinic Rocheste
93.395	I	N	11,603	Cancer Treatment Research	5R01CA133049-05	Mayo Clinic Rocheste
93.395	I	N	-4	Cancer Treatment Research	6067359/RFS7000	Univ Mass
93.395	I	N	708	Cancer Treatment Research	66249P	Univ of Miami
93.395	I	N	95,795	Cancer Treatment Research	9-526-1913	Albert Einstein Coll
93.395	I	N	196,422	Cancer Treatment Research	N/A	Gynecologic Oncology
93.395	I	N	69,490	Cancer Treatment Research	PD300629-SC1012	VA Commonwealth Univ
93.396	D	N	9,582,273	Cancer Biology Research		
93.396	I	N	114,415	Cancer Biology Research	5600599560	Baylor Col Med
93.396	I	N	189,236	Cancer Biology Research	900807/RGC00000	UT Southwest Med Ctr
93.396	I	N	5,083	Cancer Biology Research	GMO-900807	UT Southwest Med Ctr
93.397	D	N	13,533,794	Cancer Centers Support Grants		
93.397	I	N	36,744	Cancer Centers Support Grants	2000796704	Johns Hopkins Univ
93.397	I	N	-3,919	Cancer Centers Support Grants	3048105880-11-1	Univ Kentucky Res Fdn
93.397	I	N	7,838	Cancer Centers Support Grants	5U54CA142152-04	New Hanover Reg Med
93.398	D	N	5,762,641	Cancer Research Manpower		
93.399	D	N	-5,493	Cancer Control		
93.399	I	N	-1,015	Cancer Control	284K071	Univ Wisconsin-Madis
93.399	I	N	15,342	Cancer Control	355K235	Univ Wisconsin-Madis
93.399	I	N	40,424	Cancer Control	439K331	Univ Wisconsin-Madis
93.399	I	N	-2,004	Cancer Control	531007.5750	Dartmouth College
93.399	I	N	24,750	Cancer Control	N/A	NSABP Fdn
93.399	I	N	15,725	Cancer Control	RES506502	Case Western Reserve
93.399	I	N	61,456	Cancer Control	RES507599	Case Western Reserve
93.405	D	Y	-2,164	ARRA - Public Health Traineeship Program		
93.510	D	N	494,015	Affordable Care Act (ACA) Primary Care Residency Expansion Program		
93.512	I	N	155,868	Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST)	N/A	Fdn Adv Hlth Prog
93.516	D	N	624,699	Affordable Care Act (ACA) Public Health Training Centers Program, Resources Development and Academic Support to the Public Health Training Centers Program and Public Health Infrastructure and Systems		
93.516	I	N	-512	Affordable Care Act (ACA) Public Health Training Centers Program, Resources Development and Academic Support to the Public Health Training Centers Program and Public Health Infrastructure and Systems	730810	Univ of Wash-Seattle
93.600	D	N	1,559,871	Head Start		
93.600	I	N	170,070	Head Start	719100-NTI	American Academy Pediatrics
93.600	I	N	99,730	Head Start	719100-UNC	American Academy Pediatrics
93.607	D	N	532,107	Affordable Care Act - Preparedness and Emergency Response Research Centers: A Public Health Systems Approach		
93.610	I	N	8,822	Health Care Innovation Awards (HCIA)	500559-UNC-1	Feinstein Inst Med R
93.631	D	N	98,750	Developmental Disabilities Projects of National Significance		
93.632	D	N	550,067	University Centers for Excellence in Developmental Disabilities Education, Research, and Service		
93.647	I	N	43,826	Social Services Research and Demonstration	UA12-052	Univ of Alabama-Tusc
93.648	D	N	513,424	Child Welfare Research Training or Demonstration		
93.670	D	N	-20,949	Child Abuse and Neglect Discretionary Activities		
93.670	I	N	311	Child Abuse and Neglect Discretionary Activities	N/A	Ch Trn/Outreach Proj
93.701	D	Y	2,378,005	ARRA - Trans-NIH Recovery Act Research Support		
93.701	I	Y	11,703	ARRA - Trans-NIH Recovery Act Research Support	0000712036	Fred Hutchinsn Can
93.701	I	Y	5,395	ARRA - Trans-NIH Recovery Act Research Support	000259927-015	Univ of Alabama-Birm
93.701	I	Y	15	ARRA - Trans-NIH Recovery Act Research Support	0007006-A	Univ of Tex Houston

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93.701	I	Y	6,991	ARRA - Trans-NIH Recovery Act Research Support	09-46	Research Fdn of Suny
93.701	I	Y	22,164	ARRA - Trans-NIH Recovery Act Research Support	1-312-0212797	RTI
93.701	I	Y	157,916	ARRA - Trans-NIH Recovery Act Research Support	1-312-0212799	RTI
93.701	I	Y	222	ARRA - Trans-NIH Recovery Act Research Support	1000746008/W000	Univ of Iowa
93.701	I	Y	-1	ARRA - Trans-NIH Recovery Act Research Support	1000831828/W000	Univ of Iowa
93.701	I	Y	149	ARRA - Trans-NIH Recovery Act Research Support	10047SUB	Seattle Chld Rsch In
93.701	I	Y	-4,228	ARRA - Trans-NIH Recovery Act Research Support	115-9607-02	Kaiser Fdn Res Insti
93.701	I	Y	3,334	ARRA - Trans-NIH Recovery Act Research Support	157130/303-5508	Duke University
93.701	I	Y	-276	ARRA - Trans-NIH Recovery Act Research Support	163360	Duke University
93.701	I	Y	10,537	ARRA - Trans-NIH Recovery Act Research Support	163997/303-4618	Duke University
93.701	I	Y	42,042	ARRA - Trans-NIH Recovery Act Research Support	2000784930	Johns Hopkins Univ
93.701	I	Y	5,256	ARRA - Trans-NIH Recovery Act Research Support	2010116374	Group Health Cooper
93.701	I	Y	12,842	ARRA - Trans-NIH Recovery Act Research Support	203-0115	Duke University
93.701	I	Y	74,902	ARRA - Trans-NIH Recovery Act Research Support	203-0662	Duke Univ Med Ctr
93.701	I	Y	18,250	ARRA - Trans-NIH Recovery Act Research Support	203-9432	Duke University
93.701	I	Y	33,706	ARRA - Trans-NIH Recovery Act Research Support	23789-16	Georgia Hlth Sci Uni
93.701	I	Y	91,817	ARRA - Trans-NIH Recovery Act Research Support	24-6231-0186-00	Univ of Nebraska
93.701	I	Y	1,847	ARRA - Trans-NIH Recovery Act Research Support	24271-02-314	Wistar Institute
93.701	I	Y	796	ARRA - Trans-NIH Recovery Act Research Support	3001729478	Univ of Michigan
93.701	I	Y	37,819	ARRA - Trans-NIH Recovery Act Research Support	42989-D	Univ of Chicago
93.701	I	Y	71	ARRA - Trans-NIH Recovery Act Research Support	56028B 7806 AMG	San Diego St U Fdn
93.701	I	Y	12,782	ARRA - Trans-NIH Recovery Act Research Support	60028259	Ohio State Univ
93.701	I	Y	38,154	ARRA - Trans-NIH Recovery Act Research Support	60028291	Ohio State Univ
93.701	I	Y	11,929	ARRA - Trans-NIH Recovery Act Research Support	6020061-5500000	Broad Institute
93.701	I	Y	5,440	ARRA - Trans-NIH Recovery Act Research Support	701140Z	Univ of Wash-Seattle
93.701	I	Y	10,175	ARRA - Trans-NIH Recovery Act Research Support	815510	Rsch Ins Natwide Chl
93.701	I	Y	6,206	ARRA - Trans-NIH Recovery Act Research Support	950700RSUB	Children's Hosp Phil
93.701	I	Y	3,252	ARRA - Trans-NIH Recovery Act Research Support	951049RSUB	Children's Hosp Phil
93.701	I	Y	-3,688	ARRA - Trans-NIH Recovery Act Research Support	A001020701	Univ of Minnesota
93.701	I	Y	219	ARRA - Trans-NIH Recovery Act Research Support	BD513125	Sloan-Kettering Inst
93.701	I	Y	-26,496	ARRA - Trans-NIH Recovery Act Research Support	H-UONC-2	Sepulveda Rsch Corp
93.701	I	Y	3,491	ARRA - Trans-NIH Recovery Act Research Support	K00024-S1	Kitware Inc
93.701	I	Y	51,391	ARRA - Trans-NIH Recovery Act Research Support	N/A	Marquette Univ
93.701	I	Y	24,949	ARRA - Trans-NIH Recovery Act Research Support	P001015303	Univ of Minnesota
93.701	I	Y	-3,302	ARRA - Trans-NIH Recovery Act Research Support	VUMC35939	Vanderbilt Univ Med C
93.701	I	Y	5	ARRA - Trans-NIH Recovery Act Research Support	VUMC36089	Vanderbilt Univ Med C
93.701	I	Y	68,722	ARRA - Trans-NIH Recovery Act Research Support	ZC10075-139371	Univ of Virginia
93.708	I	Y	202,327	ARRA - Head Start	46900-621-V12UN	Georgia Early Care L
93.715	D	Y	995,300	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ		
93.715	I	Y	3,772	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	108219	Children's Hosp/Cinn
93.715	I	Y	3,471	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	108219/31002385	Children's Hosp/Cinn
93.715	I	Y	19,648	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	203-0681	Duke University
93.715	I	Y	163,041	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	N/A	NC Comm Care Netwo
93.718	D	Y	3,457,930	ARRA - Health Information Technology Regional Extension Centers Program		
93.721	I	Y	285,439	ARRA - Health Information Technology Professionals in Health Care	302-9787	Duke University
93.726	I	Y	195,487	ARRA - Accelerating Adoption of Comparative Effectiveness Research (CER)	171193/2039064	Duke University
93.726	I	Y	400,132	ARRA - Accelerating Adoption of Comparative Effectiveness Research (CER)	4-0601	Palo Alto Med Fdn H
93.727	I	Y	157,533	ARRA - Health Information Technology - Beacon Communities	90BC0001/01	So Piedm Comm Care P
93.730	D	Y	670,101	ARRA - Prevention Research Centers Comparative Effectiveness Research Program		
93.822	D	N	400,254	Health Careers Opportunity Program		



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93.837	D	N	24,756,158	Cardiovascular Diseases Research		
93.837	I	N	-12,011	Cardiovascular Diseases Research	0000724528	Fred Hutchinsn Can
93.837	I	N	153,232	Cardiovascular Diseases Research	0000745975	Fred Hutchinsn Can
93.837	I	N	5,997	Cardiovascular Diseases Research	0000772441	Fred Hutchinsn Can
93.837	I	N	77,357	Cardiovascular Diseases Research	0000926 (112946	Univ of Pit
93.837	I	N	63,802	Cardiovascular Diseases Research	0005	Gramercy Rsch Group
93.837	I	N	-451	Cardiovascular Diseases Research	0255-2751-4609	Mt Sinai Sch of Med
93.837	I	N	6,701	Cardiovascular Diseases Research	0255-2754-4609	Mt Sinai Sch of Med
93.837	I	N	4,201	Cardiovascular Diseases Research	10023252-01	Univ of Utah
93.837	I	N	27,878	Cardiovascular Diseases Research	13-2193	Univ of S Carolina
93.837	I	N	133,052	Cardiovascular Diseases Research	153742	Duke University
93.837	I	N	-299	Cardiovascular Diseases Research	157351	Univ Southern Califo
93.837	I	N	38,945	Cardiovascular Diseases Research	165014	Univ Southern Califo
93.837	I	N	26,116	Cardiovascular Diseases Research	1R41HL084857-02	Vascular Pharmaceuti
93.837	I	N	13,176	Cardiovascular Diseases Research	203-2282	Duke Univ Med Ctr
93.837	I	N	10,988	Cardiovascular Diseases Research	26723	Georgia Hlth Sci Uni
93.837	I	N	112,749	Cardiovascular Diseases Research	3001303804	Univ of Michigan
93.837	I	N	160,432	Cardiovascular Diseases Research	302-0442	Duke Univ Med Ctr
93.837	I	N	74,109	Cardiovascular Diseases Research	303-4533	Duke Univ Med Ctr
93.837	I	N	18,122	Cardiovascular Diseases Research	431746-19467	Virginia Polytech In
93.837	I	N	15,282	Cardiovascular Diseases Research	5-29746/415311-	Univ of Rochester
93.837	I	N	6,745	Cardiovascular Diseases Research	60028980	Ohio State Univ
93.837	I	N	3,404	Cardiovascular Diseases Research	60036989	Ohio State Univ
93.837	I	N	24,776	Cardiovascular Diseases Research	6763SC	Univ Calif-San Franc
93.837	I	N	508,061	Cardiovascular Diseases Research	710-9694	Miriam Hospital
93.837	I	N	-9,788	Cardiovascular Diseases Research	726240	Univ of Wash-Seattle
93.837	I	N	66,125	Cardiovascular Diseases Research	739597	Univ of Wash-Seattle
93.837	I	N	111,689	Cardiovascular Diseases Research	740622	Univ of Wash-Seattle
93.837	I	N	7,304	Cardiovascular Diseases Research	9-526-4424	Albert Einstein Coll
93.837	I	N	7,131	Cardiovascular Diseases Research	9-526-5496	Albert Einstein Coll
93.837	I	N	205	Cardiovascular Diseases Research	9-526-5552	Albert Einstein Coll
93.837	I	N	462	Cardiovascular Diseases Research	9920070130	Rand Corporation,Inc
93.837	I	N	187,272	Cardiovascular Diseases Research	9920080096	Rand Corporation,Inc
93.837	I	N	180,060	Cardiovascular Diseases Research	N/A	Exemplar Genetics
93.837	I	N	-2,320	Cardiovascular Diseases Research	N000550801	Univ of Minnesota
93.837	I	N	38,767	Cardiovascular Diseases Research	P000640503	Univ of Minnesota
93.837	I	N	47,129	Cardiovascular Diseases Research	P001897402	Univ of Minnesota
93.837	I	N	22,313	Cardiovascular Diseases Research	P663626606	Univ of Minnesota
93.837	I	N	16,998	Cardiovascular Diseases Research	RES505771	Case Western Reserve
93.837	I	N	8,897	Cardiovascular Diseases Research	SC280158UNC	Chld Hosp New Orlean
93.837	I	N	-35,513	Cardiovascular Diseases Research	SR00001778	Univ MD-Baltimore
93.837	I	N	91,696	Cardiovascular Diseases Research	SR00002297	Univ MD-Baltimore
93.837	I	N	785	Cardiovascular Diseases Research	W000203043	Univ of Iowa
93.837	I	N	-188	Cardiovascular Diseases Research	W000262096	Univ of Iowa
93.837	I	N	20,456	Cardiovascular Diseases Research	W000362057/1001	Univ of Iowa
93.837	I	N	-2,290	Cardiovascular Diseases Research	WFUHS 10385	Wake Forest Univ Sch Med
93.837	I	N	32,162	Cardiovascular Diseases Research	WFUHS 10853	Wake Forest Univ Sch Med
93.838	D	N	11,469,024	Lung Diseases Research		
93.838	I	N	34,965	Lung Diseases Research	000378244-001	Univ of Alabama-Birm
93.838	I	N	109,228	Lung Diseases Research	0016623/116438-	Univ of Pit

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93.838	I	N	2,694	Lung Diseases Research	10364SUB	Seattle Child Hosp
93.838	I	N	-3,508	Lung Diseases Research	1R41HL09529301A	Theralogics
93.838	I	N	57,832	Lung Diseases Research	2011-2546	Univ Calif-Irvine
93.838	I	N	144,644	Lung Diseases Research	203-0394	Duke University
93.838	I	N	44,425	Lung Diseases Research	203-1647	Duke University
93.838	I	N	90,945	Lung Diseases Research	203-2603	Duke University
93.838	I	N	191,618	Lung Diseases Research	2032351	Duke Univ Med Ctr
93.838	I	N	36,338	Lung Diseases Research	4915SC	Univ Calif-San Franc
93.838	I	N	4,498	Lung Diseases Research	557172/PO#27619	Univ of Pennsylvania
93.838	I	N	51,891	Lung Diseases Research	558891	Univ of Pennsylvania
93.838	I	N	-5,394	Lung Diseases Research	GC12067-138351	Univ of Virginia
93.838	I	N	-918	Lung Diseases Research	GC12067-138360	Univ of Virginia
93.838	I	N	15,070	Lung Diseases Research	GC12067-140628	Univ of Virginia
93.838	I	N	7,381	Lung Diseases Research	GC12067-140632	Univ of Virginia
93.838	I	N	10,807	Lung Diseases Research	IN-4086445-UNC	Trustees Indiana Uni
93.838	I	N	30,641	Lung Diseases Research	IN-4686685-UNC	Indiana Univ
93.838	I	N	58,099	Lung Diseases Research	N/A	Radikal Therapeutics
93.838	I	N	96,306	Lung Diseases Research	RES507797	Case Western Reserve
93.839	D	N	7,037,033	Blood Diseases and Resources Research		
93.839	I	N	315	Blood Diseases and Resources Research	09-SC-NIH-1028	Duke University
93.839	I	N	-5,616	Blood Diseases and Resources Research	120902	Duke University
93.839	I	N	-2,097	Blood Diseases and Resources Research	137187	Duke Univ Med Ctr
93.839	I	N	-409	Blood Diseases and Resources Research	139354/302-6004	Duke Univ Med Ctr
93.839	I	N	-912	Blood Diseases and Resources Research	145041/139006	Duke Univ Med Ctr
93.839	I	N	60,038	Blood Diseases and Resources Research	203-0276	Duke Univ Med Ctr
93.839	I	N	37,244	Blood Diseases and Resources Research	20469-01-01	Children's Hosp Phil
93.839	I	N	322,319	Blood Diseases and Resources Research	2046X-01-02/960	Children's Hosp Phil
93.839	I	N	67,694	Blood Diseases and Resources Research	24-1106-0002-20	Univ of Nebraska
93.839	I	N	-2,434	Blood Diseases and Resources Research	303-6160/DS746	Duke Univ Med Ctr
93.839	I	N	-6,561	Blood Diseases and Resources Research	303-6651	Duke University
93.839	I	N	199	Blood Diseases and Resources Research	303-6843/139006	Duke Univ Med Ctr
93.839	I	N	131,207	Blood Diseases and Resources Research	A12099	Rensselaer Polytechn
93.839	I	N	72,439	Blood Diseases and Resources Research	A12470	Rensselaer Polytechn
93.846	D	N	9,740,433	Arthritis, Musculoskeletal and Skin Diseases Research		
93.846	I	N	234,834	Arthritis, Musculoskeletal and Skin Diseases Research	1122-001	Maine Medical Center
93.846	I	N	14,717	Arthritis, Musculoskeletal and Skin Diseases Research	12-01091/101776	New York University
93.846	I	N	28,898	Arthritis, Musculoskeletal and Skin Diseases Research	238899 UNC-CHAP	Temple Univ
93.846	I	N	-968	Arthritis, Musculoskeletal and Skin Diseases Research	9000582/112640-	Univ of Pit
93.846	I	N	12,052	Arthritis, Musculoskeletal and Skin Diseases Research	MUSC08-127	Med Univ of SC
93.846	I	N	16,076	Arthritis, Musculoskeletal and Skin Diseases Research	MUSC08-128	Med Univ of SC
93.847	D	N	22,723,612	Diabetes, Digestive, and Kidney Diseases Extramural Research		
93.847	I	N	-2,013	Diabetes, Digestive, and Kidney Diseases Extramural Research	0000359544	Children's Hosp/Bost
93.847	I	N	10,367	Diabetes, Digestive, and Kidney Diseases Extramural Research	0000424928	Children's Hosp/Bost
93.847	I	N	207,030	Diabetes, Digestive, and Kidney Diseases Extramural Research	0000479892	Children's Hosp/Bost
93.847	I	N	4,458	Diabetes, Digestive, and Kidney Diseases Extramural Research	0000931474	Cedars-Sinai Med Ctr
93.847	I	N	57,192	Diabetes, Digestive, and Kidney Diseases Extramural Research	11091636-2	Cornell Medical Col
93.847	I	N	169,457	Diabetes, Digestive, and Kidney Diseases Extramural Research	12-023-58090	Calif Polytech St Un
93.847	I	N	545,405	Diabetes, Digestive, and Kidney Diseases Extramural Research	12-179296-06	Connecticut Child Med
93.847	I	N	13,838	Diabetes, Digestive, and Kidney Diseases Extramural Research	13070883-2	Cornell Medical Col
93.847	I	N	-1,303	Diabetes, Digestive, and Kidney Diseases Extramural Research	1319655	ME College of Wi

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93.847	I	N	131,876	Diabetes, Digestive, and Kidney Diseases Extramural Research	1R43DK093119-01	Novan Inc.
93.847	I	N	138,642	Diabetes, Digestive, and Kidney Diseases Extramural Research	203-0621	Duke Univ Med Ctr
93.847	I	N	2,088	Diabetes, Digestive, and Kidney Diseases Extramural Research	203-2789	Duke University
93.847	I	N	75,828	Diabetes, Digestive, and Kidney Diseases Extramural Research	221566	Mass Gen Hosp
93.847	I	N	6,356	Diabetes, Digestive, and Kidney Diseases Extramural Research	25034-4	Med College of GA
93.847	I	N	41,099	Diabetes, Digestive, and Kidney Diseases Extramural Research	29474870-48907-	Stanford University
93.847	I	N	34,775	Diabetes, Digestive, and Kidney Diseases Extramural Research	3	ME College of Wi
93.847	I	N	95,267	Diabetes, Digestive, and Kidney Diseases Extramural Research	3001310929	Univ of Michigan
93.847	I	N	12,859	Diabetes, Digestive, and Kidney Diseases Extramural Research	3001321183	Univ of Michigan
93.847	I	N	1,366	Diabetes, Digestive, and Kidney Diseases Extramural Research	3002498182	Univ of Michigan
93.847	I	N	35,659	Diabetes, Digestive, and Kidney Diseases Extramural Research	3100159441/1053	Children's Hosp/Cinn
93.847	I	N	1,362	Diabetes, Digestive, and Kidney Diseases Extramural Research	7279SC	Univ Calif San Frans
93.847	I	N	9,476	Diabetes, Digestive, and Kidney Diseases Extramural Research	805973	Cedars-Sinai Med Ctr
93.847	I	N	216	Diabetes, Digestive, and Kidney Diseases Extramural Research	951229RSUB	Children's Hosp Phil
93.847	I	N	8,929	Diabetes, Digestive, and Kidney Diseases Extramural Research	951230RSUB	Children's Hosp Phil
93.847	I	N	40,653	Diabetes, Digestive, and Kidney Diseases Extramural Research	960474RSUB/3301	Children's Hosp Phil
93.847	I	N	2,783	Diabetes, Digestive, and Kidney Diseases Extramural Research	960475RSUB/3301	Children's Hosp Phil
93.847	I	N	1,273	Diabetes, Digestive, and Kidney Diseases Extramural Research	DK095745	Connecticut Chld Med
93.847	I	N	129,521	Diabetes, Digestive, and Kidney Diseases Extramural Research	IN4685579UNC	Indiana Univ
93.847	I	N	72,802	Diabetes, Digestive, and Kidney Diseases Extramural Research	N/A	Connecticut Chld Med
93.847	I	N	2,250	Diabetes, Digestive, and Kidney Diseases Extramural Research	PO#M000085107/1	New York University
93.847	I	N	147,225	Diabetes, Digestive, and Kidney Diseases Extramural Research	R42DK074193-02A	Theralogics
93.847	I	N	4,127	Diabetes, Digestive, and Kidney Diseases Extramural Research	RES504795	Case Western Reserve
93.847	I	N	132,493	Diabetes, Digestive, and Kidney Diseases Extramural Research	S-GRD1213-KR31	George Washington Un
93.847	I	N	30,724	Diabetes, Digestive, and Kidney Diseases Extramural Research	S630622	Emory University
93.847	I	N	168,081	Diabetes, Digestive, and Kidney Diseases Extramural Research	UNCDK095078	Penn State Univ
93.847	I	N	179,485	Diabetes, Digestive, and Kidney Diseases Extramural Research	WU-10-172	Wash Univ-St Louis
93.847	I	N	166,823	Diabetes, Digestive, and Kidney Diseases Extramural Research	WU-11-230/29116	Wash Univ-St Louis
93.848	D	N	9,133	Digestive Diseases and Nutrition Research		
93.848	I	N	19,337	Digestive Diseases and Nutrition Research	CR-19467-431526	VA Polytechnic Inst
93.849	I	N	263,871	Kidney Diseases, Urology and Hematology Research	5006842 SERV	Tufts University
93.849	I	N	27,822	Kidney Diseases, Urology and Hematology Research	951193RSUB	Children's Hosp Phil
93.853	D	N	9,196,438	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.853	I	N	23,200	Extramural Research Programs in the Neurosciences and Neurological Disorders	0027698 (121489	Univ of Pit
93.853	I	N	34,894	Extramural Research Programs in the Neurosciences and Neurological Disorders	0314301/2259	Boston Med Ctr
93.853	I	N	1,452	Extramural Research Programs in the Neurosciences and Neurological Disorders	136398	Duke University
93.853	I	N	12,030	Extramural Research Programs in the Neurosciences and Neurological Disorders	19449/G100121-1	Univ Cincinnati
93.853	I	N	1,362	Extramural Research Programs in the Neurosciences and Neurological Disorders	2758588/551947	Univ of Pennsylvania
93.853	I	N	69,979	Extramural Research Programs in the Neurosciences and Neurological Disorders	2921/2259	Boston Med Ctr
93.853	I	N	314	Extramural Research Programs in the Neurosciences and Neurological Disorders	550900	Univ of Pennsylvania
93.853	I	N	-3,690	Extramural Research Programs in the Neurosciences and Neurological Disorders	551947	Univ of Pennsylvania
93.853	I	N	2,676	Extramural Research Programs in the Neurosciences and Neurological Disorders	60030884	Ohio State Univ
93.853	I	N	-897	Extramural Research Programs in the Neurosciences and Neurological Disorders	A07868 (M10A104	Yale University
93.853	I	N	8,708	Extramural Research Programs in the Neurosciences and Neurological Disorders	K000841-00-S02	Kitware Inc
93.853	I	N	11,906	Extramural Research Programs in the Neurosciences and Neurological Disorders	N/A	Univ of Miami
93.853	I	N	49,439	Extramural Research Programs in the Neurosciences and Neurological Disorders	UNC NS060722	Penn State Univ
93.853	I	N	4,711	Extramural Research Programs in the Neurosciences and Neurological Disorders	WU-06-236	Wash Univ-St Louis
93.853	I	N	13,984	Extramural Research Programs in the Neurosciences and Neurological Disorders	WU-12-110/PO 29	Wash Univ-St Louis
93.853	I	N	177,380	Extramural Research Programs in the Neurosciences and Neurological Disorders	WU-13-04/PO 291	Wash Univ-St Louis
93.853	I	N	9,880	Extramural Research Programs in the Neurosciences and Neurological Disorders	WU-13-54	Wash Univ-St Louis

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93.855	D	N	56,395,904	Allergy, Immunology and Transplantation Research		
93.855	I	N	7,180	Allergy, Immunology and Transplantation Research	0000737103	Fred Hutchinsn Can
93.855	I	N	10,984	Allergy, Immunology and Transplantation Research	0000737206	Fred Hutchinsn Can
93.855	I	N	31,114	Allergy, Immunology and Transplantation Research	0000739317	Fred Hutchinsn Can
93.855	I	N	8,320	Allergy, Immunology and Transplantation Research	0000739817	Fred Hutchinsn Can
93.855	I	N	34	Allergy, Immunology and Transplantation Research	0000739819	Fred Hutchinsn Can
93.855	I	N	4,922	Allergy, Immunology and Transplantation Research	0000754179-2120	Fred Hutchinsn Can
93.855	I	N	13,567	Allergy, Immunology and Transplantation Research	0000754180-2120	Fred Hutchinsn Can
93.855	I	N	4,922	Allergy, Immunology and Transplantation Research	0000754469-2120	Fred Hutchinsn Can
93.855	I	N	8,799	Allergy, Immunology and Transplantation Research	0000769695	Fred Hutchinsn Can
93.855	I	N	15,595	Allergy, Immunology and Transplantation Research	000256946-002	Univ of Alabama-Birm
93.855	I	N	15,718	Allergy, Immunology and Transplantation Research	000372529-001	Univ of Alabama-Birm
93.855	I	N	97,582	Allergy, Immunology and Transplantation Research	000397076-004	Univ of Alabama-Birm
93.855	I	N	353,373	Allergy, Immunology and Transplantation Research	000397076-011	Univ of Alabama-Birm
93.855	I	N	61,392	Allergy, Immunology and Transplantation Research	000421524-004	Univ of Alabama-Birm
93.855	I	N	75,597	Allergy, Immunology and Transplantation Research	0254-6997-4609	Mt Sinai Sch of Med
93.855	I	N	504,262	Allergy, Immunology and Transplantation Research	0254-6998-4609	Mt Sinai Sch of Med
93.855	I	N	51,101	Allergy, Immunology and Transplantation Research	1-340-0213085	RTI Rsch Triangle In
93.855	I	N	15,177	Allergy, Immunology and Transplantation Research	10466	Wake Forest Univ Sch Med
93.855	I	N	482,588	Allergy, Immunology and Transplantation Research	108084	Brigham Women's Hosp
93.855	I	N	12,193	Allergy, Immunology and Transplantation Research	108085	Brigham Women's Hosp
93.855	I	N	251,123	Allergy, Immunology and Transplantation Research	108088	Brigham Women's Hosp
93.855	I	N	95,573	Allergy, Immunology and Transplantation Research	108090	Brigham Women's Hosp
93.855	I	N	90,679	Allergy, Immunology and Transplantation Research	108273	Brigham Women's Hosp
93.855	I	N	31,081	Allergy, Immunology and Transplantation Research	108402	Brigham Women's Hosp
93.855	I	N	-321	Allergy, Immunology and Transplantation Research	11-039/00000006	Univ Texas-Galveston
93.855	I	N	17,088	Allergy, Immunology and Transplantation Research	114621-5067469	Harvard Sch Pub Hlth
93.855	I	N	217,245	Allergy, Immunology and Transplantation Research	1186603	Dana-Farber Cancer
93.855	I	N	9,824	Allergy, Immunology and Transplantation Research	130219	Children's Hosp/Cinn
93.855	I	N	8,279	Allergy, Immunology and Transplantation Research	130219/PO#31002	Children's Hosp/Cinn
93.855	I	N	85,422	Allergy, Immunology and Transplantation Research	1R43AI096569-01	Novan Inc.
93.855	I	N	34,591	Allergy, Immunology and Transplantation Research	2	Trust Columbia Un Ny
93.855	I	N	-1,250	Allergy, Immunology and Transplantation Research	2000056553	Johns Hopkins Univ
93.855	I	N	6,063,659	Allergy, Immunology and Transplantation Research	2000098352	Johns Hopkins Univ
93.855	I	N	24,714	Allergy, Immunology and Transplantation Research	2001057406	Johns Hopkins Univ
93.855	I	N	364,671	Allergy, Immunology and Transplantation Research	2009-2312	Univ Calif-Irvine
93.855	I	N	514	Allergy, Immunology and Transplantation Research	203-0868	Duke University
93.855	I	N	-10,424	Allergy, Immunology and Transplantation Research	203-0869	Duke University
93.855	I	N	640,392	Allergy, Immunology and Transplantation Research	203-0870	Duke University
93.855	I	N	11,621	Allergy, Immunology and Transplantation Research	203-0871	Duke University
93.855	I	N	8,868	Allergy, Immunology and Transplantation Research	203-0872	Duke University
93.855	I	N	19,996	Allergy, Immunology and Transplantation Research	203-0873	Duke University
93.855	I	N	239,267	Allergy, Immunology and Transplantation Research	203-1099	Duke University
93.855	I	N	117,243	Allergy, Immunology and Transplantation Research	203-1380	Duke University
93.855	I	N	99,004	Allergy, Immunology and Transplantation Research	203-2315	Duke Univ Med Ctr
93.855	I	N	122,718	Allergy, Immunology and Transplantation Research	203-2319/203-13	Duke Univ Med Ctr
93.855	I	N	262,698	Allergy, Immunology and Transplantation Research	2031965	Duke Univ Med Ctr
93.855	I	N	85	Allergy, Immunology and Transplantation Research	212022-S4475	Fred Hutchinsn Can
93.855	I	N	921	Allergy, Immunology and Transplantation Research	2835409/P40669	Imperia Coleg London
93.855	I	N	14,334	Allergy, Immunology and Transplantation Research	2R44AI084284-03	G-Zero Therapeutics

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93.855	I	N	41,402	Allergy, Immunology and Transplantation Research	302-0478	Duke Univ Med Ctr
93.855	I	N	-891	Allergy, Immunology and Transplantation Research	303-1082	Duke University
93.855	I	N	-4,635	Allergy, Immunology and Transplantation Research	303-1083	Duke University
93.855	I	N	83	Allergy, Immunology and Transplantation Research	303-1379	Duke University
93.855	I	N	-6,904	Allergy, Immunology and Transplantation Research	303-5612	Duke University
93.855	I	N	-3,670	Allergy, Immunology and Transplantation Research	303-5613	Duke University
93.855	I	N	-22,161	Allergy, Immunology and Transplantation Research	303-5892	Duke University
93.855	I	N	-29	Allergy, Immunology and Transplantation Research	303-5894	Duke University
93.855	I	N	-1,091	Allergy, Immunology and Transplantation Research	303-5896	Duke University
93.855	I	N	-5,589	Allergy, Immunology and Transplantation Research	412A775	Univ Wisconsin
93.855	I	N	137,480	Allergy, Immunology and Transplantation Research	559406	Univ of Pennsylvania
93.855	I	N	97,225	Allergy, Immunology and Transplantation Research	5R44A1084284-04	G-Zero Therapeutics
93.855	I	N	141,688	Allergy, Immunology and Transplantation Research	638915	Univ of Wash-Seattle
93.855	I	N	-1,585	Allergy, Immunology and Transplantation Research	7228	Magee Women Rsh Inst
93.855	I	N	40,723	Allergy, Immunology and Transplantation Research	7264	Magee Women Rsh Inst
93.855	I	N	245,486	Allergy, Immunology and Transplantation Research	7281	Magee Women Rsh Inst
93.855	I	N	263,828	Allergy, Immunology and Transplantation Research	736772	Univ of Wash-Seattle
93.855	I	N	92,715	Allergy, Immunology and Transplantation Research	749398	Univ of Wash-Seattle
93.855	I	N	170	Allergy, Immunology and Transplantation Research	9-526-2885	Albert Einstein Coll
93.855	I	N	56,060	Allergy, Immunology and Transplantation Research	9-526-6257	Albert Einstein Coll
93.855	I	N	139,960	Allergy, Immunology and Transplantation Research	9004157 UNCC B	Oregon Hlth Sciences
93.855	I	N	3,962	Allergy, Immunology and Transplantation Research	9500241409	Boston University
93.855	I	N	3,680	Allergy, Immunology and Transplantation Research	9500301035	Boston University
93.855	I	N	132,894	Allergy, Immunology and Transplantation Research	9500301461	Boston University
93.855	I	N	50,127	Allergy, Immunology and Transplantation Research	9500301463	Boston University
93.855	I	N	7,903	Allergy, Immunology and Transplantation Research	A1068641	Inst Clinical Rsch
93.855	I	N	433,412	Allergy, Immunology and Transplantation Research	AVGTIO103	Oregon Hlth Sciences
93.855	I	N	76,062	Allergy, Immunology and Transplantation Research	BRS-ACURE-Q-06-	Social & Scientific
93.855	I	N	-510	Allergy, Immunology and Transplantation Research	BRS-ACURE-S-11-	Social & Scientific
93.855	I	N	25,096	Allergy, Immunology and Transplantation Research	GC12065-138407	Univ of Virginia
93.855	I	N	327,568	Allergy, Immunology and Transplantation Research	N/A	Brigham Women's Hosp
93.855	I	N	720	Allergy, Immunology and Transplantation Research	P661628544	Univ of Minnesota
93.855	I	N	-18,891	Allergy, Immunology and Transplantation Research	P66162854R	Univ of Minnesota
93.855	I	N	-3,175	Allergy, Immunology and Transplantation Research	PO# 2000056553	Johns Hopkins Univ
93.855	I	N	70,830	Allergy, Immunology and Transplantation Research	PO#2001317148	Johns Hopkins Univ
93.855	I	N	57,479	Allergy, Immunology and Transplantation Research	R41A1098192	Agile Sciences Inc
93.856	D	N	-10,389	Microbiology and Infectious Diseases Research		
93.856	I	N	-185	Microbiology and Infectious Diseases Research	303-0936	Duke Univ Med Ctr
93.859	D	N	27,694,416	Biomedical Research and Research Training		
93.859	I	N	54,455	Biomedical Research and Research Training	0023300(122281-	Univ of Pitt
93.859	I	N	29,646	Biomedical Research and Research Training	154181	Duke University
93.859	I	N	5,297	Biomedical Research and Research Training	203-0510	Duke University
93.859	I	N	56,779	Biomedical Research and Research Training	203-1083	Duke University
93.859	I	N	26,990	Biomedical Research and Research Training	203-1990	Duke Univ Med Ctr
93.859	I	N	72,881	Biomedical Research and Research Training	212851A	Univ of Oregon
93.859	I	N	260,137	Biomedical Research and Research Training	412K285	Univ Wisconsin
93.859	I	N	7,652	Biomedical Research and Research Training	44178-C	Univ of Chicago
93.859	I	N	165,085	Biomedical Research and Research Training	5 U01 GM094663-	Burnham Inst
93.859	I	N	676	Biomedical Research and Research Training	5U01GM09466302/	Burnham Inst
93.859	I	N	90,206	Biomedical Research and Research Training	61-0859UNC	Michigan St Univ

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93.859	I	N	109	Biomedical Research and Research Training	6114557/RFS9002	Univ Mass
93.859	I	N	101	Biomedical Research and Research Training	6126037/RFS2011	Univ Mass
93.859	I	N	51,060	Biomedical Research and Research Training	6134813/RFS2012	Univ Mass
93.859	I	N	328,755	Biomedical Research and Research Training	659298	Jackson Laboratory
93.859	I	N	9,930	Biomedical Research and Research Training	9-526-2457	Albert Einstein Coll
93.859	I	N	-3,389	Biomedical Research and Research Training	9-526-4689	Albert Einstein Coll
93.859	I	N	78,757	Biomedical Research and Research Training	9004929	Univ of Pit
93.859	I	N	1,777	Biomedical Research and Research Training	FY2012-096	Univ of Kansas
93.859	I	N	89,272	Biomedical Research and Research Training	M8446041102	Univ of Minnesota
93.859	I	N	79,883	Biomedical Research and Research Training	MUSC12-032	Med Univ of SC
93.859	I	N	92,180	Biomedical Research and Research Training	PO#200103	Jackson Laboratory
93.859	I	N	164	Biomedical Research and Research Training	PO#642466	Jackson Laboratory
93.859	I	N	9,393	Biomedical Research and Research Training	PO#659181	Jackson Laboratory
93.859	I	N	72,171	Biomedical Research and Research Training	R-11-0032	Univ of Houston
93.865	D	N	26,223,974	Child Health and Human Development Extramural Research		
93.865	I	N	7,424	Child Health and Human Development Extramural Research	000312141-002	Univ of Alabama-Birm
93.865	I	N	120,496	Child Health and Human Development Extramural Research	000378020-001	Univ of Alabama-Birm
93.865	I	N	61,385	Child Health and Human Development Extramural Research	000388010-011	Univ of Alabama-Birm
93.865	I	N	32,226	Child Health and Human Development Extramural Research	000426855-001	Univ of Alabama-Birm
93.865	I	N	66,437	Child Health and Human Development Extramural Research	1-312-0213113	RTI Rsch Triangle In
93.865	I	N	-83	Child Health and Human Development Extramural Research	1071750-3-46797	Research Fdn of Suny
93.865	I	N	84,029	Child Health and Human Development Extramural Research	1547811	Univ of Colorado
93.865	I	N	3,659	Child Health and Human Development Extramural Research	2012-2745	Univ Calif-Irvine
93.865	I	N	25,688	Child Health and Human Development Extramural Research	203-1390	Duke University
93.865	I	N	17,819	Child Health and Human Development Extramural Research	203-1829	Duke Univ Med Ctr
93.865	I	N	95,833	Child Health and Human Development Extramural Research	3001141253	Univ of Michigan
93.865	I	N	160,304	Child Health and Human Development Extramural Research	40-4131/UNC	Nat Bureau Econ Res
93.865	I	N	26,803	Child Health and Human Development Extramural Research	4243-UNC-DHHS-0	Penn State Univ
93.865	I	N	8,648	Child Health and Human Development Extramural Research	554599	Univ of Wash-Seattle
93.865	I	N	-44	Child Health and Human Development Extramural Research	60028528	Northwestern Univ
93.865	I	N	62,484	Child Health and Human Development Extramural Research	60032241 UNC	Northwestern U Traf
93.865	I	N	8,166	Child Health and Human Development Extramural Research	60032241 UNCH	Northwestern U Traf
93.865	I	N	135,914	Child Health and Human Development Extramural Research	727265	Univ of Wash-Seattle
93.865	I	N	562	Child Health and Human Development Extramural Research	F6207-01	New York University
93.865	I	N	16,790	Child Health and Human Development Extramural Research	GC12123-139438	Univ of Virginia
93.865	I	N	90,509	Child Health and Human Development Extramural Research	N/A	Southeast Technivent
93.865	I	N	75,885	Child Health and Human Development Extramural Research	PD301855-SC1024	VA Commonwealth Univ
93.865	I	N	25,443	Child Health and Human Development Extramural Research	S826076	Emory University
93.865	I	N	24,996	Child Health and Human Development Extramural Research	UA12-051	Univ of Alabama-Tusc
93.865	I	N	179,475	Child Health and Human Development Extramural Research	VUMC35301	Vanderbilt Univ Med C
93.866	D	N	4,268,433	Aging Research		
93.866	I	N	-9,278	Aging Research	0000004732	Univ MD-Baltimore
93.866	I	N	18,807	Aging Research	2001442722	Johns Hopkins Univ
93.866	I	N	19,284	Aging Research	23030.914940.66	Cty Hope Nat Med Ctr
93.866	I	N	162,165	Aging Research	57884-9061	Cornell Univ
93.866	I	N	18,717	Aging Research	90055	Hebrew Rehab Ctr
93.866	I	N	57,746	Aging Research	A12418	Rensselaer Polytechn
93.866	I	N	8,572	Aging Research	N/A	Vortant Technologies
93.866	I	N	81,632	Aging Research	PO#50025514	Univ Southern Califo
93.867	D	N	3,233,335	Vision Research		

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93.867	I	N	55,272	Vision Research	0255-5081-4609	Mt Sinai Sch of Med
93.867	I	N	7,996	Vision Research	10018990-01	Univ of Utah
93.867	I	N	64,057	Vision Research	1R41EY021943-01	Vascular Pharmaceuti
93.867	I	N	-1,630	Vision Research	2000133834	Johns Hopkins Univ
93.867	I	N	182,343	Vision Research	2000540260	Johns Hopkins Univ
93.867	I	N	25,126	Vision Research	2001536656	Johns Hopkins Univ
93.867	I	N	1,606	Vision Research	R073286137	Univ of Tennessee
93.879	D	N	81,362	Medical Library Assistance		
93.884	D	N	291,687	Grants for Primary Care Training and Enhancement		
93.918	D	N	706,413	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease		
93.924	D	N	14,968	Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants		
93.928	D	N	463,634	Special Projects of National Significance		
93.931	D	N	-644	Demonstration Grants to States for Community Scholarship		
93.936	I	N	-20,488	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program	0080.0126/830	Family Health Intl
93.941	D	N	122,579	HIV Demonstration, Research, Public and Professional Education Projects		
93.941	I	N	12,085	HIV Demonstration, Research, Public and Professional Education Projects	115793	Wake Forest Univ
93.943	D	N	855,542	Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups		
93.945	D	N	730,982	Assistance Programs for Chronic Disease Prevention and Control		
93.964	D	N	137,976	Prevention and Public Health Fund (PPHF) Public Health Traineeships		
93.969	D	N	429,764	PPHF-2012 Geriatric Education Centers		
93.989	D	N	2,970,488	International Research and Research Training		
93.989	I	N	38,852	International Research and Research Training	5-R25TW008981-0	Stellenbosch Univer
93.989	I	N	9,879	International Research and Research Training	61-0012UNC	Michigan St Univ
93.989	I	N	-1,984	International Research and Research Training	IR25TW008981-01	Stellenbosch Univer
93.989	I	N	73,188	International Research and Research Training	N/A	Univ of Zambia
93.989	I	N	53,495	International Research and Research Training	VUMC 34750	Vanderbilt Univ Med C
93.989	I	N	5,675	International Research and Research Training	VUMC 35711	Vanderbilt Univ Med C
93.989	I	N	3,753	International Research and Research Training	VUMC 38423	Vanderbilt Univ Med C
93.989	I	N	7,359	International Research and Research Training	VUMC 38477	Vanderbilt Univ Med C
93.989	I	N	7,101	International Research and Research Training	VUMC 38480	Vanderbilt Univ Med C
93.989	I	N	24,762	International Research and Research Training	VUMC 39590	Vanderbilt Univ Med C
93.989	I	N	-847	International Research and Research Training	VUMC34750	Vanderbilt Univ Med C
93.989	I	N	29,522	International Research and Research Training	VUMC35568	Vanderbilt Univ Med C
93.989	I	N	-2,293	International Research and Research Training	VUMC35711	Vanderbilt Univ Med C
93.989	I	N	-18,473	International Research and Research Training	VUMC36767	Vanderbilt Univ Med C
93.991	I	N	38,606	Preventive Health and Health Services Block Grant	N/A	NE Dept SS
93.999	D	N	199,297	Test for Suppression Effects of Advanced Energy		
94.006	D	N	332,554	AmeriCorps		
96.007	I	N	120	Social Security-Research and Demonstration	5001537-7 SANDE	Boston College
97	I	N	131,958	U. S. Department of Homeland Security	12-DHS-1035	Duke University
97	I	N	48,196	U. S. Department of Homeland Security	4-312-0212782	RTI
97	I	N	60,432	U. S. Department of Homeland Security	N/A	Natrl Hazard Mitigat
97.044	D	N	309,911	Assistance to Firefighters Grant		
97.061	D	N	2,243,427	Centers for Homeland Security		
97.061	I	N	2,860	Centers for Homeland Security	Z955901	Univ MD College Park

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97.077	D	N	14,955	Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection		
97.122	D	N	4,346,915	Bio-Preparedness Collaboratory		
98	D	N	39,448,956	United States Agency for International Development		
98	I	N	550,624	United States Agency for International Development	0437.0158/80527	Family Health Intl
98	I	N	148,570	United States Agency for International Development	08-UNC-97	Kenan Institute Asia
98	I	N	-16,505	United States Agency for International Development	5305/2001208509	Johns Hopkins Univ
98	I	N	447,752	United States Agency for International Development	6015-S03	Westat
98	I	N	-1,146	United States Agency for International Development	631548-8S-1204	Macro
98	I	N	49,527	United States Agency for International Development	AID-623-A-12-00	Intrahealth
98	I	N	-230	United States Agency for International Development	GHS-I-00-07-000	John Snow Institute
98	I	N	3,186	United States Agency for International Development	MSA-04-392	Eastern VA Med Sch
98	I	N	108,734	United States Agency for International Development	N/A	Right To Care
98	I	N	134,758	United States Agency for International Development	PPC-11-119	Eastern VA Med Sch
98	I	N	15,004	United States Agency for International Development	PPC-11-128	Eastern VA Med Sch
98	I	N	-182	United States Agency for International Development	PSA-06-09	Eastern VA Med Sch
98	I	N	71,963	United States Agency for International Development	UNC001	Witkoppen Hlth Welfa
98.012	I	N	97,797	USAID Development Partnerships for University Cooperation and Development	HED060-9748-LAC	Higher Educat Develo
99	D	N	36,960	Other Federal Assistance		
			<u>858,206,727</u>	University of North Carolina at Chapel Hill		

**University of North Carolina at Charlotte**

10	D	N	13,391	U. S. Department of Agriculture		
10.310	D	N	224,702	Agriculture and Food Research Initiative (AFRI)		
10.652	D	N	33,009	Forestry Research		
11	D	N	130,817	U. S. Department of Commerce		
11.440	D	N	1,842	Environmental Sciences, Applications, Data, and Education		
11.609	D	N	236,020	Measurement and Engineering Research and Standards		
12	D	N	1,534,931	U. S. Department of Defense		
12.300	D	N	312,655	Basic and Applied Scientific Research		
12.300	I	N	16,714	Basic and Applied Scientific Research	201323A	Florida Institute of Tech
12.420	D	N	56,323	Military Medical Research and Development		
12.431	D	N	1,139,594	Basic Scientific Research		
12.431	I	N	159,140	Basic Scientific Research	2010-04989-01	University of Illinois
12.630	D	N	90,308	Basic, Applied, and Advanced Research in Science and Engineering		
12.630	I	N	17,139	Basic, Applied, and Advanced Research in Science and Engineering	DOE SEP-5-12/W911NF-10-2-0076	Academy of Applied Science
12.750	D	N	9,032	Uniformed Services University Medical Research Projects		
12.800	D	N	566,796	Air Force Defense Research Sciences Program		
12.800	I	N	29,660	Air Force Defense Research Sciences Program	S10198C	Ball Aeospace & Tech
12.800	I	N	6,694	Air Force Defense Research Sciences Program	UF-EIES-1202005-UNC	University of Florida
12.901	I	N	3,506	Mathematical Sciences Grants Program	245153	Simons Foundation
12.902	D	N	2,339	Information Security Grant Program		
14	D	N	8,035	U. S. Department of Housing and Urban Development		
14.703	I	N	85,986	Sustainable Communities Regional Planning Grant Program	5811-02/NCRIP0058-11	Centralina Council of Gov
15.608	I	N	12,934	Fish and Wildlife Management Assistance	191000.331301.07/4018	Mississippi State Univer
16.804	D	Y	44,751	ARRA - Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government		
17.502	D	N	25,950	Occupational Safety and Health-Susan Harwood Training Grants		
20	D	N	40,767	U. S. Department of Transportation		
20.505	I	N	23,157	Metropolitan Transportation Planning	12-01115	City of Charlotte



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20.931	D	N	74,932	Transportation Planning, Research and Education		
43	D	N	183,694	National Aeronautics and Space Administration		
43.008	D	N	3,920	Education		
45.129	I	N	2,153	Promotion of the Humanities-Federal/State Partnership	12-08	NC Humanities Council
45.160	D	N	49,914	Promotion of the Humanities-Fellowships and Stipends		
45.163	D	N	7,655	Promotion of the Humanities-Professional Development		
45.169	D	N	6,980	Promotion of the Humanities-Office of Digital Humanities		
47.041	D	N	2,219,266	Engineering Grants		
47.041	I	N	96,200	Engineering Grants	00007541/00006051	Univ of California-Berkeley
47.041	I	N	8,466	Engineering Grants	2012-90-01	Clemson University
47.041	I	N	35,426	Engineering Grants	40B31-A	Research Fnd of CUNY
47.041	I	N	27,623	Engineering Grants	478496-19773/CMMI-1132373	Virginia Polytechnic Institute
47.041	I	N	13,112	Engineering Grants	SUB082112/0003361-CMMI-1234780	University of Rhode Island
47.041	I	N	15,398	Engineering Grants	SUB375-32565-1/CMMI-1055669	Clarkson University
47.049	D	N	741,244	Mathematical and Physical Sciences		
47.049	I	N	15,637	Mathematical and Physical Sciences	TSC-1034-34141	University of Wyoming
47.050	D	N	51,943	Geosciences		
47.070	D	N	2,863,529	Computer and Information Science and Engineering		
47.070	I	N	43,803	Computer and Information Science and Engineering	00000195(PO#P254493)	Brown University
47.070	I	N	24,031	Computer and Information Science and Engineering	09-059	Arizona State University
47.070	I	N	23,373	Computer and Information Science and Engineering	CCF-0729161	Rensselaer Polytechnic Institute
47.070	I	N	4,415	Computer and Information Science and Engineering	RD199-G2/CNS-1228352	Georgia Insitute of Technology
47.074	D	N	411,115	Biological Sciences		
47.074	I	N	38,794	Biological Sciences	BL-4824384-UNCC	Indiana University
47.074	I	N	58,899	Biological Sciences	RC371-226-4941666	Univ of Georgia Res Fnd
47.075	D	N	427,858	Social, Behavioral, and Economic Sciences		
47.076	D	N	2,010,141	Education and Human Resources		
47.076	I	N	104,426	Education and Human Resources	4101-48922	Purdue University
47.076	I	N	1,721	Education and Human Resources	DELAT37G	Cleveland State University
47.076	I	N	4,798	Education and Human Resources	G001103-7505	Southern Methodist Univ
47.079	D	N	4,669	Office of International and Integrative Activities		
47.079	I	N	44,064	Office of International and Integrative Activities	202200545-02	Florida International University
47.079	I	N	4,849	Office of International and Integrative Activities	RUB1-2984-IR-10	U. S. Civilian Rsch & Dev
47.080	D	N	89,147	Office of Cyberinfrastructure		
47.082	D	Y	733,347	ARRA - Trans-NSF Recovery Act Research Support		
64	D	N	18,752	U. S. Department of Veterans Affairs		
66.509	I	N	25,348	Science To Achieve Results (STAR) Research Program	8000001508	Texas State Univ-San Marcos
66.516	D	N	14,893	P3 Award: National Student Design Competition for Sustainability		
81	D	N	78,199	U. S. Department of Energy		
81.042	D	N	944,562	Weatherization Assistance for Low-Income Persons		
81.049	D	N	274,253	Office of Science Financial Assistance Program		
81.086	D	Y	161,838	ARRA - Conservation Research and Development		
81.129	D	N	17,364	Energy Efficiency and Renewable Energy Technology Deployment Demonstration and Commercialization		
84.007	D	N	647,439	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	660,455	Federal Work-Study Program		
84.038	D	N	6,426,803	Federal Perkins Loan Program-Federal Capital Contributions		
84.042	D	N	213,020	TRIO-Student Support Services		
84.063	D	N	35,812,753	Federal Pell Grant Program		
84.116	D	N	31,532	Fund for the Improvement of Postsecondary Education		

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
84.200	D	N	6,502	Graduate Assistance in Areas of National Need		
84.268	D	N	127,755,038	Federal Direct Student Loans		
84.305	D	N	29,690	Education Research, Development and Dissemination		
84.324	D	N	561,209	Research in Special Education		
84.324	I	N	134,069	Research in Special Education	224311A	University of Oregon
84.324	I	N	30,696	Research in Special Education	5-000561	Univ of California-Riverside
84.325	D	N	648,019	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities		
84.326	D	N	1,543,781	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities		
84.326	I	N	54,030	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	FY2013-039/H326Y120005	Univ of Kansas Cntr Rsch
84.366	D	N	746,676	Mathematics and Science Partnerships		
84.367	I	N	55,591	Improving Teacher Quality State Grants	92-NC08-SEED2012	National Writing Project
84.368	I	N	61,489	Grants for Enhanced Assessment Instruments	ED11-0003-2	Arizona Dept of Education
84.373	I	N	529,345	Special Education-Technical Assistance on State Data Collection	A001945802	University of Minnesota
84.413	I	Y	100,143	ARRA - Race to the Top	2975-2012-0731/EP4822655	Southwest Educ Alliance
93	D	N	5,589	U. S. Department of Health and Human Services		
93.110	I	N	53,385	Maternal and Child Health Federal Consolidated Programs	RS20110719-02	University of Oklahoma
93.124	D	N	29,016	Nurse Anesthetist Traineeships		
93.213	I	N	17,375	Research and Training in Complementary and Alternative Medicine	219820-5R21AT006123-02	Mass General Hospital
93.226	I	N	40,582	Research on Healthcare Costs, Quality and Outcomes	Agreement DD 9/24/09	Carolinas Healthcare Sys
93.286	D	N	368	Discovery and Applied Research for Technological Innovations to Improve Human Health		
93.307	I	N	115,072	Minority Health and Health Disparities Research	5RO1MD006127-02	Carolinas Healthcare Sys
93.359	I	N	13,757	Nurse Education, Practice Quality and Retention Grants	158595	Duke University
93.389	D	N	273,671	National Center for Research Resources		
93.393	D	N	210,729	Cancer Cause and Prevention Research		
93.394	I	N	54,421	Cancer Detection and Diagnosis Research	26-1601-83-61	Univ of Texas-Arlington
93.395	D	N	181,710	Cancer Treatment Research		
93.396	D	N	60,960	Cancer Biology Research		
93.701	D	Y	207,708	ARRA - Trans-NIH Recovery Act Research Support		
93.701	I	Y	21,733	ARRA - Trans-NIH Recovery Act Research Support	1R24MD00493001	Carolinas Healthcare Sys
93.715	I	Y	82,240	ARRA - Recovery Act - Comparative Effectiveness Research-AHRQ	Agreement Dated 2/27/12	Carolinas Healthcare Sys
93.846	I	N	40,669	Arthritis, Musculoskeletal and Skin Diseases Research	3048108355-12-317	Univ of Kentucky Rsch Fnd
93.846	I	N	9,123	Arthritis, Musculoskeletal and Skin Diseases Research	PO 1000749056	University of Iowa
93.853	D	N	484,733	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.853	I	N	7,261	Extramural Research Programs in the Neurosciences and Neurological Disorders	11118	Georgia Southern University
93.855	I	N	82,196	Allergy, Immunology and Transplantation Research	0000739328	Fred Hutchinson Cancer Center
93.859	D	N	572,545	Biomedical Research and Research Training		
93.859	I	N	3,908	Biomedical Research and Research Training	2012-URF-6108	NC Biotechnology Center
93.866	I	N	19,200	Aging Research	2011-23	Davidson College
93.925	D	N	462,950	Scholarships for Health Professions Students from Disadvantaged Backgrounds		
93.941	D	N	230,435	HIV Demonstration, Research, Public and Professional Education Projects		
97.061	I	N	73,823	Centers for Homeland Security	4112-31739/4112-51775	Purdue University
97.061	I	N	41,216	Centers for Homeland Security	B586747	Los Alamos National Laboratory
97.104	I	N	7,119	Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program	R9847-G1	Georgia Institute of Technology
98.001	I	N	71,101	USAID Foreign Assistance for Programs Overseas	98.001	Family Health International
			<u>196,330,818</u>	Total — University of North Carolina at Charlotte		

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<b>University of North Carolina at Greensboro</b>						
10.212	I	N	8,000	Small Business Innovation Research	FD 205515	Mycosynthetix Inc
10.217	D	N	102,637	Higher Education Challenge Grants		
10.250	D	N	22,714	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations		
10.255	I	N	14,426	Research Innovation and Development Grants in Economic (RIDGE)	018000.321470.17	Mississippi State University
10.310	D	N	85,406	Agriculture and Food Research Initiative (AFRI)		
10.664	D	N	8,373	Cooperative Forestry Assistance		
12	I	N	29,765	U. S. Department of Defense	FWL-SC-11201-01	Foresight Wireless, LLC
12.431	D	N	5,000	Basic Scientific Research		
12.901	D	N	7,665	Mathematical Sciences Grants Program		
14.703	I	N	37,344	Sustainable Communities Regional Planning Grant Program	FD 205441	Piedmont Authority for Regional Transportation
14.704	I	N	14,270	Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants	FD 205507	City of High Point
14.900	I	N	17,533	Lead-Based Paint Hazard Control in Privately-Owned Housing	2012-5156	City of Greensboro
14.900	I	N	3,111	Lead-Based Paint Hazard Control in Privately-Owned Housing	2013-5268	City of Greensboro
45.160	D	N	49,491	Promotion of the Humanities-Fellowships and Stipends		
45.160	I	N	54,168	Promotion of the Humanities-Fellowships and Stipends	FD 205491	Institute for Advanced Study
45.160	I	N	33,514	Promotion of the Humanities-Fellowships and Stipends	FD 205502	City of Chicago Newberry Library
45.161	D	N	84,295	Promotion of the Humanities-Research		
45.313	D	N	438,485	Laura Bush 21st Century Librarian Program		
47.049	D	N	190,618	Mathematical and Physical Sciences		
47.049	I	N	2,600	Mathematical and Physical Sciences	FD 205516	Mathematical Association of America
47.070	D	N	132,570	Computer and Information Science and Engineering		
47.074	D	N	298,647	Biological Sciences		
47.075	D	N	193,150	Social, Behavioral, and Economic Sciences		
47.076	D	N	1,434,357	Education and Human Resources		
47.076	I	N	46,553	Education and Human Resources	# 3002578934	University of Michigan
47.076	I	N	16,463	Education and Human Resources	2-312-0213140	Research Triangle Institute International
47.076	I	N	39,750	Education and Human Resources	61-2168UNCG	Michigan State University
47.076	I	N	11,103	Education and Human Resources	RF01170813/60018933	Ohio State University
47.078	D	N	104,684	Polar Programs		
47.079	D	N	31,120	Office of International and Integrative Activities		
47.082	D	Y	114,453	ARRA - Trans-NSF Recovery Act Research Support		
66	D	N	26,298	U. S. Environmental Protection Agency		
84	D	N	1,183,604	U. S. Department of Education		
84.007	D	N	460,181	Federal Supplemental Educational Opportunity Grants		
84.011	I	N	39,966	Migrant Education-State Grant Program	# 120600	Texas State University San Marcos
84.011	I	N	57,795	Migrant Education-State Grant Program	# 8000121000	Texas State University San Marcos
84.011	I	N	68,932	Migrant Education-State Grant Program	PO 4500060115	Texas State University San Marcos
84.031	D	N	115,723	Higher Education-Institutional Aid		
84.033	D	N	297,626	Federal Work-Study Program		
84.038	D	N	5,809,782	Federal Perkins Loan Program-Federal Capital Contributions		
84.042	D	N	297,722	TRIO-Student Support Services		
84.063	D	N	28,111,308	Federal Pell Grant Program		
84.116	I	N	10,877	Fund for the Improvement of Postsecondary Education	B-3	National Commission on Teaching and Americas Future
84.173	I	N	29,356	Special Education-Preschool Grants	OCR 678-201438/CFM	Louisiana Dept of Education
84.215	D	N	57,520	Fund for the Improvement of Education		
84.268	D	N	93,362,068	Federal Direct Student Loans		
84.283	I	N	34,240	Comprehensive Centers	S-45000-05-013/P00	Edvantia, Inc

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84.305	D	N	592,326	Education Research, Development and Dissemination		
84.305	I	N	29,636	Education Research, Development and Dissemination	GM 10087-125783	University of Virginia
84.324	I	N	231,909	Research in Special Education	GM 10118-136450	University of Virginia
84.325	D	N	840,548	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities		
84.325	I	N	24,366	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities	# 83401	Salus University
84.350	I	N	23,783	Transition to Teaching	SRV09TA-018	Guilford County School
84.365	D	N	87,651	English Language Acquisition State Grants		
84.374	I	N	7,322	Teacher Incentive Fund	FD 205548	Evidence Based Education Res & Evaluation
84.377	I	N	42,945	School Improvement Grants	C1U0156	State of Alabama Dept of Education
84.377	I	N	33,603	School Improvement Grants	C240564	State of Alabama Dept of Education
84.379	D	N	50,716	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
84.395	I	Y	117,776	ARRA - State Fiscal Stabilization Fund (SFSF)-Race-to-the-Top Incentive Grants, Recovery Act	3-5400-157-311	Winston Salem Forsyth County Schools
84.405	D	Y	1,094,676	ARRA - Teacher Quality Partnerships, Recovery Act		
84.411	I	N	6,161	Investing in Innovation (i3) Fund	PO 41000001228	Guilford County Schools
84.411	I	N	48,083	Investing in Innovation (i3) Fund	SRV11TA-025	NC New Schools Project Inc
84.411	I	N	210,643	Investing in Innovation (i3) Fund	SRV12TA-026	NC New Schools Project Inc
84.411	I	N	55,929	Investing in Innovation (i3) Fund	U411B120049	Jobs for the Future
93	I	N	24,895	U. S. Department of Health and Human Services	200-2008-27889	SciMetrika, LLC
93.110	I	N	45,307	Maternal and Child Health Federal Consolidated Programs	S854432	Emory University
93.121	I	N	11,609	Oral Diseases and Disorders Research	WFUHS 56413	Wake Forest University Health Sciences
93.124	D	N	50,750	Nurse Anesthetist Traineeships		
93.172	I	N	4,271	Human Genome Research	1090321-265147	Carnegie-Mellon University
93.173	D	N	20,107	Research Related to Deafness and Communication Disorders		
93.191	D	N	166,449	Graduate Psychology Education Program and Patient Navigator and Chronic Disease Prevention Program		
93.213	D	N	306,042	Research and Training in Complementary and Alternative Medicine		
93.213	I	N	140,391	Research and Training in Complementary and Alternative Medicine	# 724787	University of Washington
93.213	I	N	2,550	Research and Training in Complementary and Alternative Medicine	2R44AT003365-02-01	OrganiPharm, LLC
93.242	D	N	1,459,980	Mental Health Research Grants		
93.242	I	N	1,248	Mental Health Research Grants	# 639	Dartmouth College
93.242	I	N	140,043	Mental Health Research Grants	303-1237	Duke University
93.262	I	N	8,815	Occupational Safety and Health Program	WFUHS 11210	Wake Forest University Health Sciences
93.265	D	N	90,709	Comprehensive Geriatric Education Program(CGEP)		
93.273	D	N	755,557	Alcohol Research Programs		
93.273	I	N	80,891	Alcohol Research Programs	# 20130028	Prevention Strategies LLC
93.279	D	N	445,886	Drug Abuse and Addiction Research Programs		
93.279	I	N	103,450	Drug Abuse and Addiction Research Programs	# 20110207	Prevention Strategies LLC
93.279	I	N	11,635	Drug Abuse and Addiction Research Programs	36-0507-371/PO#cc	Temple University
93.279	I	N	122,037	Drug Abuse and Addiction Research Programs	360782-04760-02	Temple University
93.279	I	N	11,336	Drug Abuse and Addiction Research Programs	541750P03683	Northeastern University
93.279	I	N	561	Drug Abuse and Addiction Research Programs	542650P0902021	Northeastern University
93.279	I	N	47,796	Drug Abuse and Addiction Research Programs	B6296386201	University of Minnesota
93.283	I	N	3,116	Centers for Disease Control and Prevention-Investigations and Technical Assistance	FD 205499	Oak Ridge Institute for Science and Education
93.307	D	N	1,057,646	Minority Health and Health Disparities Research		
93.351	D	N	361,868	Research Infrastructure Programs		
93.358	D	N	349,731	Advanced Education Nursing Traineeships		

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
93.361	D	N	166,486	Nursing Research		
93.393	I	N	56,490	Cancer Cause and Prevention Research	# 729536	University of Washington
93.395	I	N	127,519	Cancer Treatment Research	# 60014860	Ohio State University
93.395	I	N	16,997	Cancer Treatment Research	M12A11243 (A08642)	Yale University
93.512	I	N	2,016	Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST)	FD 205487	NC Foundation for Advanced Health Program
93.600	D	N	13,552	Head Start		
93.600	I	N	8,368	Head Start	FD 205555	Guilford Child Development
93.701	I	Y	4,379	ARRA - Trans-NIH Recovery Act Research Support	1RC4AG038635-01	University of Iowa
93.701	I	Y	9,728	ARRA - Trans-NIH Recovery Act Research Support	8771-S17	Westat Inc
93.846	I	N	43,902	Arthritis, Musculoskeletal and Skin Diseases Research	# 2039384	Duke University
93.847	D	N	150,525	Diabetes, Digestive, and Kidney Diseases Extramural Research		
93.853	D	N	164,262	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.855	D	N	48,207	Allergy, Immunology and Transplantation Research		
93.859	D	N	92,033	Biomedical Research and Research Training		
93.865	D	N	849,072	Child Health and Human Development Extramural Research		
93.865	I	N	24,859	Child Health and Human Development Extramural Research	000388010-007	University of Alabama
93.865	I	N	14,730	Child Health and Human Development Extramural Research	431500-19728	Virginia Polytechnic Institute and State Univ
93.865	I	N	360,139	Child Health and Human Development Extramural Research	AA-5-44452-01	Oklahoma State University
93.865	I	N	13,242	Child Health and Human Development Extramural Research	UTA11-000808	University of Texas at Austin
93.865	I	N	699	Child Health and Human Development Extramural Research	WFUHS 10055	Wake Forest University Health Sciences
93.866	D	N	158,524	Aging Research		
93.888	D	N	11,746	Specially Selected Health Projects		
			<u>145,255,487</u>	Total — University of North Carolina at Greensboro		
<b>University of North Carolina at Pembroke</b>						
17.259	I	N	264,196	WIA Youth Activities	44-12-5467-Yth	LRCOG
17.275	I	N	150,966	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	SESP Grant 5015	LRCOG
20.205	D	N	31,753	Highway Planning and Construction		
81.087	D	N	227,391	Renewable Energy Research and Development		
84.007	D	N	145,752	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	462,650	Higher Education-Institutional Aid		
84.033	D	N	171,865	Federal Work-Study Program		
84.038	D	N	922,186	Federal Perkins Loan Program-Federal Capital Contributions		
84.042	D	N	268,566	TRIO-Student Support Services		
84.047	D	N	136,124	TRIO-Upward Bound		
84.063	D	N	12,693,545	Federal Pell Grant Program		
84.268	D	N	30,423,277	Federal Direct Student Loans		
84.299	D	N	269,813	Indian Education -- Special Programs for Indian Children		
84.379	D	N	108,358	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
84.382	D	N	307,540	Strengthening Minority-Serving Institutions		
93.859	D	N	237,373	Biomedical Research and Research Training		
93.926	D	N	386,115	Healthy Start Initiative		
			<u>47,207,470</u>	Total — University of North Carolina at Pembroke		

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<b><u>University of North Carolina at Wilmington</u></b>						
10.200	D	N	634	Grants for Agricultural Research, Special Research Grants	IOOS.11 (033)UNCW.LL.E&O.1	SECOORA
10.206	D	N	169,347	Grants for Agricultural Research-Competitive Research Grants	IOOS.11 (33) UNCW.LL.OBS.1	SECOORA
11.012	I	N	23,490	Integrated Ocean Observing System (IOOS)	IOOS.11(033)UNCW.JD.COMM.2	SECOORA
11.012	I	N	312,231	Integrated Ocean Observing System (IOOS)	IOOS.11(33)UNCW.LL.OBS.2	SECOORA
11.012	I	N	8,190	Integrated Ocean Observing System (IOOS)		
11.012	I	N	18,734	Integrated Ocean Observing System (IOOS)		
11.427	D	N	26,435	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program	Z12-96952	University Corporation for Atmospheric Research
11.430	D	N	833,026	Undersea Research	URH35-040130	Florida Atlantic University
11.431	I	N	8,002	Climate and Atmospheric Research	URH35-040130 (5.5.3)	Florida Atlantic University
11.432	I	N	192,638	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes		
11.432	I	N	91,975	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	2592	New England Aquarium
11.439	D	N	131,469	Marine Mammal Data Program		
11.452	I	N	9,341	Unallied Industry Projects	NA10NWS4670015	East Tennessee State University
11.463	D	N	27,767	Habitat Conservation	sub NA10NMF4720402	Partnership for Mid Atlantic Fisheries Science
11.467	I	N	5,321	Meteorologic and Hydrologic Modernization Development		
11.472	I	N	31,463	Unallied Science Program		
11.473	D	N	284,928	Coastal Services Center		
11.478	D	N	75,971	Center for Sponsored Coastal Ocean Research-Coastal Ocean Program		
11.618	D	Y	8,282,553	ARRA - National Institute of Standards and Technology Construction Grant Program		
12.300	D	N	221,767	Basic and Applied Scientific Research		
12.900	D	N	13,753	Language Grant Program		
15.630	D	N	15,316	Coastal Program		
15.657	D	N	299	Endangered Species Conservation - Recovery Implementation Funds		
15.808	D	N	259,726	U. S. Geological Survey- Research and Data Collection		
15.810	D	N	7,582	National Cooperative Geologic Mapping Program	54105-A	Western Washington University
15.875	D	N	84,027	Economic, Social, and Political Development of the Territories		
15.904	I	N	4,364	Historic Preservation Fund Grants-In-Aid	SUBAWARD 13-2214	University of South Carolina
15.945	D	N	18,234	Cooperative Research and Training Programs - Resources of the National Park System		
15.945	I	N	25,276	Cooperative Research and Training Programs - Resources of the National Park System	4000101498	UT-Battelle LLC-Oak Ridge National Laboratory
16.525	D	N	45,034	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus	4000121918	UT-Battelle LLC-Oak Ridge National Laboratory
16.560	I	N	35,913	National Institute of Justice Research, Evaluation, and Development Project Grants	sub GA GA08C0016	Waynesburg College
16.560	I	N	10,000	National Institute of Justice Research, Evaluation, and Development Project Grants		
42.002	I	N	518	Copyright Service		
43.001	D	N	129,733	Science		
45.024	D	N	3,770	Promotion of the Arts-Grants to Organizations and Individuals		
47.049	D	N	124,309	Mathematical and Physical Sciences	45-25162	Columbia University
47.050	D	N	650,522	Geosciences	A100983	Woods Hole Oceanographic Institution
47.050	I	N	98,696	Geosciences		
47.050	I	N	20,860	Geosciences	717511-712683	Virginia Institute of Marine Science
47.074	D	N	1,182,245	Biological Sciences	Y483309	University of Arizona
47.074	I	N	9,215	Biological Sciences		
47.074	I	N	65,498	Biological Sciences		
47.075	D	N	217,168	Social, Behavioral, and Economic Sciences	4554-UNCW-NSF-4670	Pennsylvania State University
47.076	D	N	291,875	Education and Human Resources		

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47.076	I	N	13,591	Education and Human Resources		
47.078	D	N	28,134	Polar Programs		
47.082	D	Y	139,243	ARRA - Trans-NSF Recovery Act Research Support		
84.007	D	N	198,675	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	235,341	Federal Work-Study Program		
84.038	D	N	5,243,936	Federal Perkins Loan Program-Federal Capital Contributions		
84.063	D	N	14,494,144	Federal Pell Grant Program		
84.116	D	N	11,585	Fund for the Improvement of Postsecondary Education	RR242-421/4785206	University of Georgia
84.153	D	N	-2,562	Business and International Education Projects		
84.234	I	N	21,712	Projects with Industry		
84.268	D	N	64,838,011	Federal Direct Student Loans	Partner IHE MSP (CE-STEM)	Onslow County Schools
84.365	D	N	279,089	English Language Acquisition State Grants		
84.366	I	N	29,602	Mathematics and Science Partnerships	60033310	Ohio State University(OSU)
84.379	D	N	124,500	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
84.396	I	Y	314,524	ARRA - State Fiscal Stabilization Fund (SFSF)-Investing in Innovation (i3) Fund Recovery Act		
93.113	D	N	177,210	Environmental Health	UNCW CROSSROADS	Coastal Horizons Center Inc
93.213	D	N	104,442	Research and Training in Complementary and Alternative Medicine		
93.276	I	N	10,078	Drug-Free Communities Support Program Grants		
93.279	D	N	58,923	Drug Abuse and Addiction Research Programs		
93.701	D	Y	3,982	ARRA - Trans-NIH Recovery Act Research Support		
93.853	D	N	31,115	Extramural Research Programs in the Neurosciences and Neurological Disorders		
93.855	D	N	25,461	Allergy, Immunology and Transplantation Research		
93.859	D	N	119,920	Biomedical Research and Research Training		
93.865	D	N	33,663	Child Health and Human Development Extramural Research		
93.866	D	N	166,157	Aging Research		
			<u>100,769,691</u>	Total — University of North Carolina at Wilmington		
<b>University of North Carolina School of the Arts</b>						
47.076	I	N	14,799	Education and Human Resources	08-004	Wake Forest University
84.007	D	N	46,638	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	35,866	Federal Work-Study Program		
84.038	D	N	546,995	Federal Perkins Loan Program-Federal Capital Contributions		
84.063	D	N	1,015,101	Federal Pell Grant Program		
84.268	D	N	6,362,988	Federal Direct Student Loans		
93.889	D	N	4,940	National Bioterrorism Hospital Preparedness Program		
			<u>8,027,327</u>	Total — North Carolina School of the Arts		
<b>Western Carolina University</b>						
10.435	D	N	96,478	State Mediation Grants		
10.652	D	N	46,896	Forestry Research		
11.303	D	N	123,354	Economic Development-Technical Assistance		
11.469	D	N	173,344	Congressionally Identified Awards and Projects		
12.114	D	N	110,625	Collaborative Research and Development		
12.800	D	N	16,168	Air Force Defense Research Sciences Program		
12.910	I	N	16,938	Research and Technology Development	64016304-01	University of Central Florida
15.657	D	N	84,798	Endangered Species Conservation - Recovery Implementation Funds		
15.945	D	N	126,694	Cooperative Research and Training Programs - Resources of the National Park System		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
16.560	D	N	54,870	National Institute of Justice Research, Evaluation, and Development Project Grants		
23.011	D	N	95,352	Appalachian Research, Technical Assistance, and Demonstration Projects		
45.024	I	N	2,400	Promotion of the Arts-Grants to Organizations and Individuals	2012-13 Southern Circuit	Southern Arts
47.041	D	N	59,030	Engineering Grants		
47.049	D	N	1,081	Mathematical and Physical Sciences		
47.050	D	N	119,455	Geosciences		
47.074	I	N	344,652	Biological Sciences	1475-206-2007430	Clemson University
47.075	D	N	28,199	Social, Behavioral, and Economic Sciences		
47.076	D	N	46,030	Education and Human Resources		
59.037	D	N	486,523	Small Business Development Centers		
84.007	D	N	184,390	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	413,466	Federal Work-Study Program		
84.038	D	N	5,649,677	Federal Perkins Loan Program-Federal Capital Contributions		
84.044	D	N	355,413	TRIO-Talent Search		
84.063	D	N	13,096,758	Federal Pell Grant Program		
84.268	D	N	50,358,549	Federal Direct Student Loans		
84.305	I	N	224	Education Research, Development and Dissemination	2049-S1 R0305A080621	Vanderbilt University
84.325	D	N	226,762	Special Education-Personnel Development to Improve Services and Results for Children with Disabilities		
84.368	I	N	197,501	Grants for Enhanced Assessment Instruments	ED11-0003-1	Arizona Department of Education
84.379	D	N	24,716	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		
84.407	D	N	460,862	Transition Programs for Students with Intellectual Disabilities into Higher Education		
84.408	D	N	2,775	Postsecondary Education Scholarships for Veteran's Dependents		
93.124	D	N	11,516	Nurse Anesthetist Traineeships		
93.247	D	N	267,373	Advanced Nursing Education Grant Program		
93.358	D	N	332,640	Advanced Education Nursing Traineeships		
93.359	I	N	9,850	Nurse Education, Practice Quality and Retention Grants	158595-D08 HP11272-02-00	Duke University
93.701	D	N	69,060	Trans-NIH Recovery Act Research Support		
93.865	D	N	4,521	Child Health and Human Development Extramural Research		
			<u>73,698,940</u>	Total — Western Carolina University		

**Winston-Salem State University**

12.630	D	N	102,473	Basic, Applied, and Advanced Research in Science and Engineering		
14.520	D	N	324,341	Historically Black Colleges and Universities Program		
16.609	D	N	127,280	Project Safe Neighborhoods		
20.215	D	N	9,955	Highway Training and Education		
45.162	D	N	53,900	Promotion of the Humanities-Teaching and Learning Resources and Curriculum Development		
47.049	D	N	44,876	Mathematical and Physical Sciences		
47.050	D	N	11,393	Geosciences		
47.070	D	N	8,054	Computer and Information Science and Engineering		
47.074	D	N	55,727	Biological Sciences		
47.076	D	N	835,198	Education and Human Resources		
84.007	D	N	602,843	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	6,194,109	Higher Education-Institutional Aid		
84.033	D	N	628,806	Federal Work-Study Program		
84.038	D	N	1,106,524	Federal Perkins Loan Program-Federal Capital Contributions		
84.042	D	N	202,791	TRIO-Student Support Services		
84.047	D	N	40,318	TRIO-Upward Bound		



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<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
84.063	D	N	13,636,842	Federal Pell Grant Program		
84.120	D	N	52,782	Minority Science and Engineering Improvement		
84.129	D	N	251,517	Rehabilitation Long-Term Training		
84.153	D	N	2,280	Business and International Education Projects		
84.268	D	N	36,200,637	Federal Direct Student Loans		
84.315	D	N	194,278	Capacity Building for Traditionally Underserved Populations		
84.382	D	N	290,050	Strengthening Minority-Serving Institutions		
93.307	D	N	36,817	Minority Health and Health Disparities Research		
93.358	D	N	349,995	Advanced Education Nursing Traineeships		
93.364	D	N	5,679	Nursing Student Loans		
93.779	D	N	112,826	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations		
93.859	D	N	305,210	Biomedical Research and Research Training		
93.865	D	N	118,601	Child Health and Human Development Extramural Research		
93.925	D	N	1,060,147	Scholarships for Health Professions Students from Disadvantaged Backgrounds		
			<u>62,966,249</u>	Total — Winston-Salem State University		
			<u>2,560,578,834</u>	Total — University of North Carolina System		

**Community Colleges:****Alamance Community College**

84.007	D	N	92,158	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	110,000	Federal Work-Study Program		
84.063	D	N	9,711,459	Federal Pell Grant Program		
			<u>9,913,617</u>	Total — Alamance Community College		

**Asheville-Buncombe Technical Community College**

10.305	D	N	53,516	International Science and Education Grants		
17.258	I	N	359	WIA Adult Program	WIA-2011-0412-61-11-02	Mountain Area Workforce Development
17.258	I	N	39,741	WIA Adult Program	WIA-2012-0412-61-11-02	Mountain Area Workforce Development
17.258	I	N	39,462	WIA Adult Program	WIA-2012-0412-61-57-02	Mountain Area Workforce Development
17.258	I	N	24,746	WIA Adult Program	WIA-Aspire	Mountain Area Workforce Development
17.260	I	N	14,314	WIA Dislocated Workers	WIA-2012-0412-61-11-02	Mountain Area Workforce Development
17.260	I	N	24,742	WIA Dislocated Workers	WIA-2012-0412-61-57-02	Mountain Area Workforce Development
47.080	I	N	2,562	Office of Cyberinfrastructure	DUE-09027-47	Prince George Community College
81.117	D	N	240,671	Energy Efficiency and Renewable Energy Information Dissemination, Outreach Training and Technical Analysis/Assistance		
84.007	D	N	104,025	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	92,561	Federal Work-Study Program		
84.063	D	N	14,261,089	Federal Pell Grant Program		
84.268	D	N	12,250,659	Federal Direct Student Loans		
			<u>27,148,447</u>	Total — Asheville-Buncombe Technical Community College		

**Beaufort County Community College**

84.007	D	N	38,470	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	45,429	Federal Work-Study Program		
84.042	D	N	336,431	TRIO-Student Support Services		
84.063	D	N	4,833,508	Federal Pell Grant Program		
84.268	D	N	4,617,384	Federal Direct Student Loans		
			<u>9,871,222</u>	Total — Beaufort County Community College		

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<b><u>Bladen Community College</u></b>						
17.258	I	N	134,377	WIA Adult Program	44-12-5227-Adl/DW/Yth	Lumber River Council of Government
17.259	I	N	98,208	WIA Youth Activities	44-12-5227-Adl/DW/Yth	Lumber River Council of Government
17.260	I	N	73,846	WIA Dislocated Workers	44-12-5227-Adl/DW/Yth	Lumber River Council of Government
84.007	D	N	50,000	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	57,900	Federal Work-Study Program		
84.063	D	N	4,344,728	Federal Pell Grant Program		
			<u>4,759,059</u>	Total — Bladen Community College		
<b><u>Blue Ridge Community College</u></b>						
17.258	I	N	86,439	WIA Adult Program	WIA-2011-0421-61-45-01	Buncombe County
17.260	I	N	127,567	WIA Dislocated Workers	WIA-2011-0421-61-45-01	Buncombe County
64.028	D	N	51,360	Post-9/11 Veterans Educational Assistance		
84.007	D	N	63,831	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	49,320	Federal Work-Study Program		
84.063	D	N	3,756,860	Federal Pell Grant Program		
84.268	D	N	1,845,527	Federal Direct Student Loans		
			<u>5,980,904</u>	Total — Blue Ridge Community College		
<b><u>Brunswick Community College</u></b>						
47.081	D	N	81,090	Office of Experimental Program to Stimulate Competitive Research		
84.033	D	N	26,952	Federal Work-Study Program		
84.063	D	N	2,676,201	Federal Pell Grant Program		
			<u>2,784,243</u>	Total — Brunswick Community College		
<b><u>Caldwell Community College and Technical Institute</u></b>						
84.007	D	N	93,330	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	114,933	Federal Work-Study Program		
84.042	D	N	291,662	TRIO-Student Support Services		
84.044	D	N	230,205	TRIO-Talent Search		
84.063	D	N	9,465,330	Federal Pell Grant Program		
84.268	D	N	9,187,678	Federal Direct Student Loans		
			<u>19,383,138</u>	Total — Caldwell Community College and Technical Institute		
<b><u>Cape Fear Community College</u></b>						
84.007	D	N	120,704	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	206,616	Federal Work-Study Program		
84.063	D	N	18,275,216	Federal Pell Grant Program		
84.268	D	N	16,365,600	Federal Direct Student Loans		
			<u>34,968,136</u>	Total — Cape Fear Community College		
<b><u>Carteret Community College</u></b>						
17.258	I	N	40,668	WIA Adult Program	2020	ECWDB
17.278	I	N	59,437	WIA Dislocated Worker Formula Grants	2020	ECWDB
84.007	D	N	32,800	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	36,237	Federal Work-Study Program		
84.042	D	N	237,558	TRIO-Student Support Services		
84.063	D	N	3,935,827	Federal Pell Grant Program		

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84.268	D	N	2,286,779	Federal Direct Student Loans		
84.335	D	N	19,415	Child Care Access Means Parents in School		
			<u>6,648,721</u>	Total — Carteret Community College		
<b><u>Catawba Valley Community College</u></b>						
84.007	D	N	155,138	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	83,956	Federal Work-Study Program		
84.063	D	N	8,607,550	Federal Pell Grant Program		
84.268	D	N	3,508,615	Federal Direct Student Loans		
			<u>12,355,259</u>	Total — Catawba Valley Community College		
<b><u>Central Carolina Community College</u></b>						
84.007	D	N	56,900	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	243,751	Higher Education-Institutional Aid		
84.033	D	N	44,101	Federal Work-Study Program		
84.047	D	N	224,867	TRIO-Upward Bound		
84.063	D	N	8,627,553	Federal Pell Grant Program		
			<u>9,197,172</u>	Total — Central Carolina Community College		
<b><u>Central Piedmont Community College</u></b>						
16.753	D	N	112,276	Congressionally Recommended Awards		
17.268	D	N	941,623	H-1B Job Training Grants		
17.275	I	Y	26,559	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	N/A	MDC, Inc.
77.008	D	N	34,489	U. S. Nuclear Regulatory Commission Scholarship and Fellowship Program		
81.117	D	N	83,608	Energy Efficiency and Renewable Energy Information Dissemination, Outreach Training and Technical Analysis/Assistance		
84.007	D	N	749,700	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	626,542	Federal Work-Study Program		
84.042	D	N	267,165	TRIO-Student Support Services		
84.063	D	N	35,455,476	Federal Pell Grant Program		
84.268	D	N	20,121,584	Federal Direct Student Loans		
			<u>58,419,022</u>	Total — Central Piedmont Community College		
<b><u>Cleveland Community College</u></b>						
47.076	D	N	56,702	Education and Human Resources		
84.007	D	N	66,250	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	452,071	Higher Education-Institutional Aid		
84.033	D	N	65,446	Federal Work-Study Program		
84.042	D	N	228,036	TRIO-Student Support Services		
84.063	D	N	7,788,908	Federal Pell Grant Program		
			<u>8,657,413</u>	Total — Cleveland Community College		
<b><u>Costal Carolina Community College</u></b>						
17.258	I	N	176,162	WIA Adult Program	12-2020-40-6035-07	East Carolina Workforce Development Board
17.260	I	N	2,431	WIA Dislocated Workers	12-2030-40-6035-07	East Carolina Workforce Development Board
84.007	D	N	147,257	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	151,407	Federal Work-Study Program		

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84.063	D	N	9,538,501	Federal Pell Grant Program		
84.268	D	N	60,165	Federal Direct Student Loans		
			<u>10,075,923</u>	Total — Coastal Carolina Community College		
<b><u>College of the Albemarle</u></b>						
84.007	D	N	51,713	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	48,661	Federal Work-Study Program		
84.063	D	N	4,147,830	Federal Pell Grant Program		
84.268	D	N	3,887	Federal Direct Student Loans		
			<u>4,252,091</u>	Total — College of the Albemarle		
<b><u>Craven Community College</u></b>						
84.007	D	N	86,940	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	66,692	Federal Work-Study Program		
84.042	D	N	186,027	TRIO-Student Support Services		
84.063	D	N	6,526,894	Federal Pell Grant Program		
84.268	D	N	3,371,206	Federal Direct Student Loans		
			<u>10,237,759</u>	Total — Craven Community College		
<b><u>Davidson County Community College</u></b>						
47.076	D	N	107,318	Education and Human Resources		
84.007	D	N	71,728	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	69,499	Federal Work-Study Program		
84.042	D	N	224,012	TRIO-Student Support Services		
84.063	D	N	9,998,709	Federal Pell Grant Program		
84.268	D	N	9,658,302	Federal Direct Student Loans		
			<u>20,129,568</u>	Total — Davidson County Community College		
<b><u>Durham Technical Community College</u></b>						
84.007	D	N	180,000	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	155,406	Federal Work-Study Program		
84.063	D	N	9,620,784	Federal Pell Grant Program		
84.268	D	N	7,408,285	Federal Direct Student Loans		
94.002	D	N	50,692	Retired and Senior Volunteer Program		
			<u>17,415,167</u>	Total — Durham Technical Community College		
<b><u>Edgecombe Community College</u></b>						
17.260	D	N	128,932	WIA Dislocated Workers		
84.033	D	N	58,304	Federal Work-Study Program		
84.063	D	N	8,684,468	Federal Pell Grant Program		
84.268	D	N	5,080,219	Federal Direct Student Loans		
			<u>13,951,923</u>	Total — Edgecombe Community College		
<b><u>Fayetteville Technical Community College</u></b>						
12	I	N	51,637	U. S. Department of Defense	N/A	MCCYN
84.007	D	N	217,250	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	232,236	Federal Work-Study Program		
84.063	D	N	27,379,655	Federal Pell Grant Program		

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84.116	I	N	9,373	Fund for the Improvement of Postsecondary Education	P116B100202	CAEL
84.268	D	N	17,253,958	Federal Direct Student Loans		
			45,144,109	Total — Fayetteville Technical Community College		
<b><u>Forsyth Technical Community College</u></b>						
11.557	I	Y	64,934	ARRA - Broadband Technology Opportunities Program (BTOP)	37-42-B10529	WinstonNet Inc
17.282	D	N	860,258	Trade Adjustment Assistance Community College and Career Training (TAACCT) Grants		
84.007	D	N	358,674	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	197,432	Federal Work-Study Program		
84.063	D	N	20,932,245	Federal Pell Grant Program		
84.268	D	N	16,123,185	Federal Direct Student Loans		
			38,536,728	Total — Forsyth Technical Community College		
<b><u>Gaston College</u></b>						
84.007	D	N	106,691	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	354,478	Higher Education-Institutional Aid		
84.033	D	N	102,461	Federal Work-Study Program		
84.042	D	N	211,290	TRIO-Student Support Services		
84.063	D	N	12,118,690	Federal Pell Grant Program		
			12,893,610	Total — Gaston College		
<b><u>Guilford Technical Community College</u></b>						
17.282	I	N	2,263	Trade Adjustment Assistance Community College and Career Training (TAACCT) Grants	TC-23794-12-60-A-20	Wichita Area Technical College
64.028	D	N	201,848	Post-9/11 Veterans Educational Assistance		
84.007	D	N	367,347	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	412,048	Federal Work-Study Program		
84.063	D	N	41,873,645	Federal Pell Grant Program		
84.268	D	N	50,079,098	Federal Direct Student Loans		
91.004	D	N	2,000	Public Education for Peacebuilding Awards Program		
			92,938,249	Total — Guilford Technical Community College		
<b><u>Halifax Community College</u></b>						
17.258	D	N	200,032	WIA Adult Program		
84.007	D	N	46,002	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	49,349	Federal Work-Study Program		
84.042	D	N	240,287	TRIO-Student Support Services		
84.063	D	N	4,181,278	Federal Pell Grant Program		
84.268	D	N	1,545,377	Federal Direct Student Loans		
84.335	D	N	12,598	Child Care Access Means Parents in School		
84.382	D	N	302,475	Strengthening Minority-Serving Institutions		
			6,577,398	Total — Halifax Community College		
<b><u>Haywood Community College</u></b>						
84.007	D	N	40,100	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	49,854	Federal Work-Study Program		
84.063	D	N	4,884,197	Federal Pell Grant Program		
84.268	D	N	1,488,159	Federal Direct Student Loans		
			6,462,310	Total — Haywood Community College		

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<b><u>Isothermal Community College</u></b>						
84.007	D	N	39,555	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	36,168	Federal Work-Study Program		
84.063	D	N	5,914,495	Federal Pell Grant Program		
84.268	D	N	2,217,704	Federal Direct Student Loans		
99	I	N	251,604	Other Federal Assistance	1545	Corporation for Public Broadcasting
			<u>8,459,526</u>	Total — Isothermal Community College		
<b><u>James Sprunt Community College</u></b>						
17.258	D	N	236,787	WIA Adult Program		
84.007	D	N	9,754	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	38,452	Federal Work-Study Program		
84.042	D	N	337,929	TRIO-Student Support Services		
84.044	D	N	276,480	TRIO-Talent Search		
84.047	D	N	295,222	TRIO-Upward Bound		
84.063	D	N	4,703,925	Federal Pell Grant Program		
84.268	D	N	3,355,834	Federal Direct Student Loans		
			<u>9,254,383</u>	Total — James Sprunt Community College		
<b><u>Johnston Community College</u></b>						
84.007	D	N	107,440	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	78,373	Federal Work-Study Program		
84.042	D	N	243,986	TRIO-Student Support Services		
84.063	D	N	8,251,785	Federal Pell Grant Program		
84.268	D	N	7,402,823	Federal Direct Student Loans		
			<u>16,084,407</u>	Total — Johnston Community College		
<b><u>Lenoir Community College</u></b>						
17.258	I	N	293,023	WIA Adult Program	11-2020-40-6169	Eastern Carolina Workforce Dev Board
17.259	I	N	79,014	WIA Youth Activities	11-2020-40-6169	Eastern Carolina Workforce Dev Board
17.278	I	N	445,712	WIA Dislocated Worker Formula Grants	11-2020-40-6169	Eastern Carolina Workforce Dev Board
84.007	D	N	138,436	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	93,174	Federal Work-Study Program		
84.063	D	N	6,211,624	Federal Pell Grant Program		
			<u>7,260,983</u>	Total — Lenoir Community College		
<b><u>Martin Community College</u></b>						
84.007	D	N	28,600	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	26,231	Federal Work-Study Program		
84.063	D	N	1,866,320	Federal Pell Grant Program		
			<u>1,921,151</u>	Total — Martin Community College		
<b><u>Mayland Community College</u></b>						
17.258	I	N	1,800	WIA Adult Program	12-2010-49-1380	Region D
17.258	I	N	243,759	WIA Adult Program	12-2020-49-1380	Region D
17.259	I	N	267,842	WIA Youth Activities	12-2040-49-1380	Region D
17.275	I	Y	3,354	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	12-5015-49-1380	Region D
17.277	I	Y	18,000	ARRA - Workforce Investment Act (WIA) National Emergency Grants	12-6015-49-1380	Region D
17.278	I	N	202,821	WIA Dislocated Worker Formula Grants	12-2030-49-1380	Region D

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
17.278	I	N	39,156	WIA Dislocated Worker Formula Grants	12-2031-49-1380	Region D
23.001	D	N	16,666	Appalachian Regional Development (See individual Appalachian Programs)		
84.007	D	N	26,835	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	22,792	Federal Work-Study Program		
84.042	D	N	292,471	TRIO-Student Support Services		
84.063	D	N	2,470,460	Federal Pell Grant Program		
84.268	D	N	804,117	Federal Direct Student Loans		
			<u>4,410,073</u>	Total — Mayland Community College		
<b><u>McDowell Technical Community College</u></b>						
84.007	D	N	25,203	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	26,230	Federal Work-Study Program		
84.063	D	N	2,857,582	Federal Pell Grant Program		
84.268	D	N	1,462,304	Federal Direct Student Loans		
			<u>4,371,319</u>	Total — McDowell Technical Community College		
<b><u>Mitchell Community College</u></b>						
84.007	D	N	48,387	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	37,727	Federal Work-Study Program		
84.063	D	N	6,557,008	Federal Pell Grant Program		
84.375	D	N	-488	Academic Competitiveness Grants		
			<u>6,642,634</u>	Total — Mitchell Community College		
<b><u>Montgomery Community College</u></b>						
84.007	D	N	23,909	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	24,544	Federal Work-Study Program		
84.063	D	N	1,602,880	Federal Pell Grant Program		
			<u>1,651,333</u>	Total — Montgomery Community College		
<b><u>Nash Community College</u></b>						
17.258	I	N	122,596	WIA Adult Program	9-2020/2040-21-4597-03	Turning Point Workforce Development Board
17.259	I	N	71,063	WIA Youth Activities	9-2020/2040-21-4597-03	Turning Point Workforce Development Board
84.007	D	N	10,400	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	25,000	Federal Work-Study Program		
84.063	D	N	7,040,952	Federal Pell Grant Program		
84.268	D	N	3,802,115	Federal Direct Student Loans		
			<u>11,072,126</u>	Total — Nash Community College		
<b><u>Pamlico Community College</u></b>						
17.258	D	N	86,624	WIA Adult Program		
17.804	D	N	10,480	Local Veterans' Employment Representative Program		
84.007	D	N	15,278	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	11,416	Federal Work-Study Program		
84.063	D	N	802,113	Federal Pell Grant Program		
			<u>925,911</u>	Total — Pamlico Community College		
<b><u>Piedmont Community College</u></b>						
17.258	I	N	110,000	WIA Adult Program	N/A	Council of Governments
17.259	I	N	146,500	WIA Youth Activities	N/A	Council of Governments

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
17.260	I	N	110,000	WIA Dislocated Workers	N/A	Council of Governments
84.007	D	N	60,000	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	54,944	Federal Work-Study Program		
84.042	D	N	357,120	TRIO-Student Support Services		
84.063	D	N	3,871,980	Federal Pell Grant Program		
84.066	D	N	632,644	TRIO-Educational Opportunity Centers		
84.268	D	N	1,948,539	Federal Direct Student Loans		
			<u>7,291,727</u>	Total — Piedmont Community College		
<b><u>Pitt Community College</u></b>						
10.558	I	N	18,015	Child and Adult Care Food Program	N/A	USDA
47.076	D	N	30,583	Education and Human Resources		
84.007	D	N	147,302	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	208,049	Federal Work-Study Program		
84.042	D	N	206,428	TRIO-Student Support Services		
84.063	D	N	22,069,566	Federal Pell Grant Program		
84.066	D	N	290,540	TRIO-Educational Opportunity Centers		
84.268	D	N	24,492,997	Federal Direct Student Loans		
93.721	D	Y	5,323,855	ARRA - Health Information Technology Professionals in Health Care		
			<u>52,787,335</u>	Total — Pitt Community College		
<b><u>Randolph Community College</u></b>						
84.007	D	N	45,045	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	54,109	Federal Work-Study Program		
84.063	D	N	6,340,252	Federal Pell Grant Program		
			<u>6,439,406</u>	Total — Randolph Community College		
<b><u>Richmond Community College</u></b>						
84.007	D	N	15,694	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	35,509	Federal Work-Study Program		
84.063	D	N	7,114,174	Federal Pell Grant Program		
84.116	D	N	69,050	Fund for the Improvement of Postsecondary Education		
			<u>7,234,427</u>	Total — Richmond Community College		
<b><u>Roanoke-Chowan Community College</u></b>						
84.007	D	N	13,913	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	37,914	Federal Work-Study Program		
84.042	D	N	321,401	TRIO-Student Support Services		
84.063	D	N	2,529,857	Federal Pell Grant Program		
			<u>2,903,085</u>	Total — Roanoke-Chowan Community College		
<b><u>Robeson Community College</u></b>						
17.259	I	N	123,472	WIA Youth Activities	2040 44 5465	Council of Government
17.282	D	N	9,511,249	Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants		
43.001	D	N	71,455	Science		
47.076	D	N	76,911	Education and Human Resources		
84.007	D	N	32,750	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	53,962	Federal Work-Study Program		
84.042	D	N	208,171	TRIO-Student Support Services		



**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
84.063	D	N	9,060,476	Federal Pell Grant Program		
84.268	D	N	8,058,238	Federal Direct Student Loans		
84.382	D	N	201,614	Strengthening Minority-Serving Institutions		
			<u>27,398,298</u>	Total — Robeson Community College		
<b><u>Rockingham Community College</u></b>						
84.007	D	N	47,125	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	56,873	Federal Work-Study Program		
84.042	D	N	212,715	TRIO-Student Support Services		
84.063	D	N	4,027,459	Federal Pell Grant Program		
			<u>4,344,172</u>	Total — Rockingham Community College		
<b><u>Rowan-Cabarrus Community College</u></b>						
84.007	D	N	72,747	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	51,743	Federal Work-Study Program		
84.063	D	N	17,128,350	Federal Pell Grant Program		
84.268	D	N	9,589,348	Federal Direct Student Loans		
			<u>26,842,188</u>	Total — Rowan-Cabarrus Community College		
<b><u>Sampson Community College</u></b>						
84.002	D	N	205,129	Adult Education-Basic Grants to States		
84.007	D	N	40,500	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	36,489	Federal Work-Study Program		
84.042	D	N	272,624	TRIO-Student Support Services		
84.048	D	N	81,956	Career and Technical Education -- Basic Grants to States		
84.063	D	N	3,169,078	Federal Pell Grant Program		
			<u>3,805,776</u>	Total — Sampson Community College		
<b><u>Sandhills Community College</u></b>						
84.007	D	N	48,748	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	109,285	Federal Work-Study Program		
84.042	D	N	241,060	TRIO-Student Support Services		
84.063	D	N	7,642,176	Federal Pell Grant Program		
			<u>8,041,269</u>	Total — Sandhills Community College		
<b><u>South Piedmont Community College</u></b>						
84.007	D	N	46,530	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	49,546	Federal Work-Study Program		
84.063	D	N	4,119,810	Federal Pell Grant Program		
			<u>4,215,886</u>	Total — South Piedmont Community College		
<b><u>Southeastern Community College</u></b>						
17.258	I	N	271,197	WIA Adult Program	0-2020-33	Cape Fear COG
17.259	I	N	167,435	WIA Youth Activities	0-0240-33	Cape Fear COG
17.260	I	N	149,237	WIA Dislocated Workers	0-2030-33	Cape Fear COG
84.007	D	N	96,684	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	81,861	Federal Work-Study Program		
84.038	D	N	12,804	Federal Perkins Loan Program-Federal Capital Contributions		
84.044	D	N	392,315	TRIO-Talent Search		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
84.063	D	N	4,352,805	Federal Pell Grant Program		
84.268	D	N	3,188,660	Federal Direct Student Loans		
93.364	D	N	20,850	Nursing Student Loans		
94.002	D	N	94,690	Retired and Senior Volunteer Program		
			<u>8,828,538</u>	Total — Southeastern Community College		
<b><u>Southwestern Community College</u></b>						
84.007	D	N	34,638	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	193,280	Higher Education-Institutional Aid		
84.033	D	N	67,261	Federal Work-Study Program		
84.042	D	N	275,263	TRIO-Student Support Services		
84.047	D	N	243,864	TRIO-Upward Bound		
84.063	D	N	5,102,531	Federal Pell Grant Program		
84.268	D	N	2,074,263	Federal Direct Student Loans		
			<u>7,991,100</u>	Total — Southwestern Community College		
<b><u>Stanly Community College</u></b>						
17.275	I	Y	35,508	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	GJ-19871-10-60-A-11	Comm Workers of America
84.007	D	N	47,228	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	80,304	Federal Work-Study Program		
84.063	D	N	5,726,848	Federal Pell Grant Program		
			<u>5,889,888</u>	Total — Stanly Community College		
<b><u>Surry Community College</u></b>						
84.007	D	N	38,063	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	83,888	Federal Work-Study Program		
84.047	D	N	307,692	TRIO-Upward Bound		
84.063	D	N	6,095,501	Federal Pell Grant Program		
84.066	D	N	239,486	TRIO-Educational Opportunity Centers		
84.116	D	N	4,005	Fund for the Improvement of Postsecondary Education		
			<u>6,768,635</u>	Total — Surry Community College		
<b><u>Tri-County Community College</u></b>						
84.007	D	N	30,237	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	33,033	Federal Work-Study Program		
84.063	D	N	3,627,351	Federal Pell Grant Program		
84.268	D	N	1,251,951	Federal Direct Student Loans		
			<u>4,942,572</u>	Total — Tri-County Community College		
<b><u>Vance-Granville Community College</u></b>						
17.258	D	N	222,007	WIA Adult Program		
17.259	D	N	167,125	WIA Youth Activities		
64.028	D	N	39,128	Post-9/11 Veterans Educational Assistance		
84.007	D	N	91,741	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	91,146	Federal Work-Study Program		
84.063	D	N	7,370,660	Federal Pell Grant Program		
84.268	D	N	4,050,622	Federal Direct Student Loans		
			<u>12,032,429</u>	Total — Vance-Granville Community College		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
<b><u>Wake Technical Community College</u></b>						
16.753	D	N	13,869	Congressionally Recommended Awards		
17.245	D	N	88,001	Trade Adjustment Assistance		
17.261	D	N	164,783	WIA Pilots, Demonstrations, and Research Projects		
47.076	D	N	119,837	Education and Human Resources		
84.007	D	N	177,490	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	176,040	Federal Work-Study Program		
84.063	D	N	31,542,920	Federal Pell Grant Program		
84.141	D	N	527,880	Migrant Education-High School Equivalency Program		
84.268	D	N	46,846,931	Federal Direct Student Loans		
			<u>79,657,751</u>	Total — Wake Technical Community College		
<b><u>Wayne Community College</u></b>						
17.258	D	N	147,243	WIA Adult Program		
17.260	D	N	142,527	WIA Dislocated Workers		
17.282	D	N	2,172	Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants		
47.076	D	N	62,069	Education and Human Resources		
84.007	D	N	107,805	Federal Supplemental Educational Opportunity Grants		
84.031	D	N	115,764	Higher Education-Institutional Aid		
84.033	D	N	120,351	Federal Work-Study Program		
84.063	D	N	7,866,135	Federal Pell Grant Program		
84.268	D	N	6,870,440	Federal Direct Student Loans		
			<u>15,434,506</u>	Total — Wayne Community College		
<b><u>Western Piedmont Community College</u></b>						
84.007	D	N	59,267	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	86,663	Federal Work-Study Program		
84.042	D	N	289,397	TRIO-Student Support Services		
84.044	D	N	306,093	TRIO-Talent Search		
84.063	D	N	6,781,627	Federal Pell Grant Program		
84.268	D	N	2,141,142	Federal Direct Student Loans		
			<u>9,664,189</u>	Total — Western Piedmont Community College		
<b><u>Wilkes Community College</u></b>						
17.258	I	N	2,086	WIA Adult Program	11-2020-49-1533	High Country COG
17.258	I	N	207,018	WIA Adult Program	12-2020-49-1533	High Country COG
17.259	I	N	4,886	WIA Youth Activities	11-2040-49-1533	High Country COG
17.259	I	N	181,118	WIA Youth Activities	12-2040-49-1533	High Country COG
17.275	I	Y	47,083	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	12-5015-49-1533	High Country COG
17.277	I	Y	10,956	ARRA - Workforce Investment Act (WIA) National Emergency Grants	11-6015-49-1533	High Country COG
17.277	I	Y	40,481	ARRA - Workforce Investment Act (WIA) National Emergency Grants	12-6015-49-1533	High Country COG
17.278	I	N	5,472	WIA Dislocated Worker Formula Grants	11-2030-49-1533	High Country COG
17.278	I	N	201,202	WIA Dislocated Worker Formula Grants	12-2030-49-1533	High Country COG
17.278	I	N	77,599	WIA Dislocated Worker Formula Grants	12-2031-1533OJT	High Country COG
17.278	I	N	168,598	WIA Dislocated Worker Formula Grants	12-2031-49-1533	High Country COG
84.007	D	N	20,000	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	41,764	Federal Work-Study Program		
84.042	D	N	302,307	TRIO-Student Support Services		

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (BY STATE AGENCY)**

For the Fiscal Year Ended June 30, 2013

<b>Federal CFDA Number</b>	<b>Direct/ Indirect</b>	<b>ARRA</b>	<b>Program Disbursements</b>	<b>Program Title</b>	<b>Pass-Through Number</b>	<b>Pass-Through Entity Name</b>
84.063	D	N	6,215,669	Federal Pell Grant Program		
84.268	D	N	2,187,974	Federal Direct Student Loans		
			<u>9,714,213</u>	Total — Wilkes Community College		
<b><u>Wilson Community College</u></b>						
84.007	D	N	39,018	Federal Supplemental Educational Opportunity Grants		
84.033	D	N	47,442	Federal Work-Study Program		
84.042	D	N	291,193	TRIO-Student Support Services		
84.047	D	N	251,339	TRIO-Upward Bound		
84.063	D	N	4,604,956	Federal Pell Grant Program		
84.268	D	N	1,219,682	Federal Direct Student Loans		
			<u>6,453,630</u>	Total — Wilson Community College		
			<u>879,436,054</u>	Total — Community Colleges		
<b><u>Other Component Units:</u></b>						
<b><u>Global Trans Park</u></b>						
20.106	D	N	17,635	Airport Improvement Program		
			<u>17,635</u>	Total — Global Trans Park		
<b><u>The N.C. Health Risk Pool, Inc d/b/a Inclusive Health</u></b>						
93.780	D	N	28,591,562	Grants to States for Operation of Qualified High-Risk Pools		
			<u>28,591,562</u>	Total — The N.C. Health Risk Pool, Inc d/b/a Inclusive Health		
			<u>28,609,197</u>	Total — Other Component Units		
			<u>3,468,624,085</u>	Total — Component Units		
			<u><b>\$22,272,449,584</b></u>	<b>Total Expenditures of Federal Awards</b>		

N/A = Not Available

D = Direct Federal Assistance – Assistance received directly from the federal government or received in a pass-through relationship from other State entities.

I = Indirect Federal Assistance – Assistance received in a pass-through relationship from entities other than the federal government or other State entities.

The accompanying notes are an integral part of this schedule.

## NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

June 30, 2013

## NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

### A. Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. The Schedule appears in three formats:

- **Summary** – The summary schedule reports expenditures of federal awards by program or program cluster.
- **Detail** – The detail schedule presents federal programs by federal agency and lists individual programs within program clusters.
- **By State Agency** – The schedule by state agency presents expenditures of federal awards by state agency and by program. In some instances, federal funds have been transferred from one state agency to another to be expended in the same program. When this is the case, the expenditure of federal awards has been reported under the organization which received the funds directly from the Federal Government.

Federal CFDA numbers are from the Catalog of Federal Domestic Assistance published by the Office of Management and Budget and the General Services Administration. Programs without a CFDA number are presented with only the federal agency's two-digit prefix in place of a CFDA number.

### B. Reporting Entity

The State of North Carolina financial reporting entity is fully described in Note 1 to the financial statements. The financial reporting entity includes (1) the primary government, (2) organizations for which the primary government is financially accountable, and (3) other organizations for which the nature and significance of their relationship with the primary government are such that exclusion would cause the primary government's financial statements to be misleading or incomplete.

The accompanying Schedule of Expenditures of Federal Awards includes all federal programs administered by the state financial reporting entity except the programs of the State Education Assistance Authority and North Carolina Housing Finance Agency. These agencies issue separate single audit reports for their programs.

### C. Basis of Accounting

An award is considered expended when the activity related to the award occurs. The activity generally pertains to events requiring compliance with laws, regulations, and the provisions of contract and grant agreements. Specific transaction types have been recognized as follows:

*Direct Costs:* Direct costs have been recognized on the cash basis of accounting, that is, when cash is disbursed rather than when the charge is incurred.

*Indirect costs:* Indirect costs are recovered from the federal government by applying a federally approved indirect cost rate or by allocating these costs among benefiting programs in accordance with a federally approved plan. Each program's share of indirect costs is recognized on the accompanying Schedule of Expenditures of Federal Awards.

*Loan and loan guarantee transactions:*

- Federal Perkins Loan Program - Federal Capital Contributions (CFDA 84.038), Nurse Faculty Loan Program (CFDA 93.264), Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (93.342), Nursing Student Loans (CFDA 93.364), Capitalization Grants for Clean Water State Revolving Funds (CFDA 66.458), Capitalization Grants for Drinking Water State Revolving Funds (66.468) and Community Development Block Grant Revolving Loan Fund (14.228) – Expenditures of federal awards in the accompanying Schedule of Expenditures of Federal Awards include the value of new loans made during the year, the balance of loans from previous years with federal continuing compliance requirements, federal capital contributions received during the year, interest subsidy, and administrative cost allowances. Loan balances outstanding at year-end are disclosed in Note 2.
- Federal Direct Student Loans (CFDA 84.268) – These loans are made by outside lenders to students at institutions of higher education within the State reporting entity. The institutions are responsible for certain administrative requirements for new loans. As a result, the value of loans made during the year and administrative cost allowances are recognized as expenditures of federal awards in the accompanying Schedule of Expenditures of Federal Awards. The balance of loans for previous years is not included because the lender accounts for the prior balances.

**NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**

June 30, 2013

*Other non-cash assistance:*

The State of North Carolina participated in several federal programs in which non-cash benefits are provided through the state to eligible participants. These include:

- Food Distribution Programs – Food commodities donated to the N.C. Department of Agriculture are reported at the value assigned by the U.S. Department of Agriculture. The Schedule of Expenditures of Federal Awards includes commodity distributions of:
 

<u>Program</u>	<u>CFDA #</u>	<u>Non-Cash Value</u>
Nation School Lunch Program	10.555	\$38,257,229
Summer Food Service Program for Children	10.559	12,751
Commodity Supplemental Food Program	10.565	306,682
Food Distribution Program on Indian Reservations	10.567	390,979
Emergency Food Assistance Program (Food Commodities)	10.569	17,129,234
- Supplemental Nutrition Assistance Program (CFDA 10.551) – The State issues food stamp benefits in electronic form. Food stamp benefits are recognized as expenditures when recipients use the benefits. Food stamps used during the fiscal year of \$2,476,935,617 are reported as expenditures in the Schedule of Expenditures of Federal Awards.
- Immunization Grants (CFDA 93.268) – Vaccines donated to the N.C. Department of Health and Human Services are reported at the value assigned by the U.S. Department of Health and Human Services. The amount reported in the Schedule of Expenditures of Federal Awards reflects \$107,371,036 in routine immunizations including seasonal influenza.
- Donation of Federal Surplus Personal Property (CFDA 39.003) – Federal surplus property donated to the N.C. Department of Administration is valued at estimated fair market value at the time of receipt. Federal surplus property, with an estimated value of \$9,020,690, received during the fiscal year is reported

as expenditures in the Schedule of Expenditures of Federal Awards.

- Higher Education – Institutional Aid (CFDA 84.031) Funds to be used for endowments and the endowment is federally restricted. The cumulative balance of the federal awards for endowment funds that are restricted are considered awards expended in each year in which the funds are restricted. The endowment balance at the end of the fiscal year of \$6,915,139 is reported as an expenditure in the Schedule of Expenditures of Federal Awards.

**NOTE 2: FEDERAL LOANS OUTSTANDING**

The State of North Carolina had the following federal loan balances outstanding at year-end:

<u>Program</u>	<u>CFDA #</u>	<u>Amount Outstanding</u>
Federal Perkins Loan Program - Federal Capital Contributions	84.038	\$95,664,815
Capitalization Grants for Drinking Water State Revolving Funds	66.468	37,795,362
Capitalization Grants for Clean Water State Revolving Funds	66.458	91,208,128
Community Development Block Grant Revolving Loan Fund	14.228	3,756,485
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	93.342	3,649,269
Nursing Student Loans	93.364	421,187
Nurse Faculty Loan Program	93.264	452,024

**NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**June 30, 2013

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**NOTE 3: UNEMPLOYMENT INSURANCE**

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State unemployment tax revenues and payments in lieu of taxes are combined with federal funds and used to pay benefits under the Unemployment Insurance program (CFDA 17.225). The state and federal portions of the total expenditures reported on the Schedule are \$1,189,799,878 and \$1,362,086,680 respectively. Of the federal portion of expenditures noted, \$723,215 are American Recovery and Reinvestment Act funds.

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**NOTE 4: SUPPLEMENTAL NUTRITIONAL ASSISTANCE PROGRAM**

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The reported expenditures for benefits under the Supplemental Nutrition Assistance Program (SNAP) (CFDA No. 10.551) are supported by both regularly appropriated funds and incremental funding made available under section 101 of the American Recovery and Reinvestment Act of 2009. The portion of total expenditures for SNAP benefits that is supported by Recovery Act funds varies according to fluctuations in the cost of the Thrifty Food Plan, and to changes in participating households' income, deductions, and assets. This condition prevents USDA from obtaining the regular and Recovery Act components of SNAP benefits expenditures through normal program reporting processes. As an alternative, USDA has computed a weighted average percentage to be applied to the national aggregate SNAP benefits provided to households in order to allocate an appropriate portion thereof to Recovery Act funds. This methodology generates valid results at the national aggregate level but not at the individual State level. Therefore, we cannot validly disaggregate the regular and Recovery Act components of our reported expenditures for SNAP benefits. At the national aggregate level, however, Recovery Act funds account for 7.79 percent of USDA's total expenditures for SNAP benefits in the Federal fiscal year ended September 30, 2013.

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**NOTE 5: PASS-THROUGH AWARDS**

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Circular A-133 defines a *subrecipient* as an entity that expends federal awards that are received from a pass-through entity to carry out a federal program. The total amount provided to subrecipients from each federal program is separately identified in the accompanying Summary Schedule of Expenditures of Federal Awards.

Note: Certain transactions relating to federal financial assistance may appear in the records of more than one state recipient agency. To avoid duplication and the overstatement of the aggregate level of federal financial assistance expended by the State of North Carolina, when federal financial assistance is received by one state recipient agency and redistributed to another state agency (i.e., a pass-through of funds by the primary recipient state agency to a sub-recipient state agency), the federal financial assistance will be reflected as expenditures by the primary recipient state agency.

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**Summary Schedule of Prior Audit Findings  
For the Year Ended June 30, 2013**

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-3	Public Instruction	<p><u>Failure to Monitor Subrecipients for State Revenue Match</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Agriculture is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-5	Health and Human Services	<p><u>Documentation Lacking in County WIC Case Files</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Agriculture is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-6	Health and Human Services	<p><u>Deficiencies Identified in the Monitoring of WIC High-Risk Vendors</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Agriculture is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-7	Health and Human Services	<p><u>Weaknesses in the Monitoring of the Calculation of the WIC Maximum Allowable Reimbursement Rates</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Agriculture is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-9	Health and Human Services	<p><u>Identified Questioned Costs at the Subrecipient Level</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Agriculture is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-10	Commerce	<p><u>Deficiencies in Federal Reporting</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Housing and Urban Development is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-11	Commerce	<p><u>Subrecipient Monitoring Needs Improvement</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Housing and Urban Development is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-12	Commerce	<p><u>Errors Noted in the Schedule of Expenditures of Federal Awards (SEFA)</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Housing and Urban Development is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-13	Crime Control and Public Safety	<p><u>Improper Allocation of Salaries to Fund Sources</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, December 17, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Justice is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-14	Crime Control and Public Safety	<p><u>Lack of Internal Control Over Access to Computer Information Systems</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, December 17, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Justice is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-15	Crime Control and Public Safety	<p><u>Deficiencies Noted in the Subrecipient Monitoring Process</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, December 17, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Justice is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-16	Crime Control and Public Safety	<p><u>Deficiencies Noted in the Tracking and Review of Subrecipient Audit Reports</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, December 17, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Justice is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-17	Crime Control and Public Safety	<p><u>Improper Allocation of Salaries to Fund Sources</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, December 17, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Justice is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-18	Crime Control and Public Safety	<p><u>Lack of Internal Control Over Access to Computer Information Systems</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, December 17, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Justice is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-19	Crime Control and Public Safety	<p><u>Deficiencies Noted in the Subrecipient Monitoring Process</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, December 17, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Justice is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-31	Commerce	<p><u>Failure to Comply with Federal Suspension and Debarment Requirements</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-32	Commerce	<u>Deficiencies in Federal Reporting</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-33	Commerce	<u>Subrecipient Monitoring Needs Improvement</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-34	Commerce	<u>Errors Noted in the Schedule of Expenditures of Federal Awards (SEFA)</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-35	Commerce	<u>Failure to Communicate ARRA Federal Award Information to Subrecipients</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-38	Commerce	<p><u>Failure to Comply with Federal Suspension and Debarment Requirements</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-39	Commerce	<p><u>Deficiencies in Federal Reporting</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-40	Commerce	<p><u>Subrecipient Monitoring Needs Improvement</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-41	Commerce	<p><u>Errors Noted in the Schedule of Expenditures of Federal Awards (SEFA)</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-42	Commerce	<p><u>Failure to Communicate ARRA Federal Award Information to Subrecipients</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-44	Commerce	<p><u>Failure to Comply with Federal Suspension and Debarment Requirements</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-45	Commerce	<p><u>Deficiencies in Federal Reporting</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-46	Commerce	<p><u>Subrecipient Monitoring Needs Improvement</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>



**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-47	Commerce	<p><u>Errors Noted in the Schedule of Expenditures of Federal Awards (SEFA)</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-48	Commerce	<p><u>Failure to Communicate ARRA Federal Award Information to Subrecipients</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Labor is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-56	Environment and Natural Resources	<p><u>Subrecipient Monitoring Needs Improvement</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, July 25, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Environmental Protection Agency is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-57	Environment and Natural Resources	<p><u>Source of ARRA Funds Not Communicated to Subrecipients</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, July 25, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Environmental Protection Agency is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-58	Environment and Natural Resources	<u>Subrecipient Monitoring Needs Improvement</u> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, July 25, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Environmental Protection Agency is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-59	Environment and Natural Resources	<u>Public Notice for Categorical Exclusions Not Provided</u> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, July 25, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Environmental Protection Agency is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-60	Environment and Natural Resources	<u>Source of ARRA Funds Not Communicated to Subrecipients</u> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, July 25, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Environmental Protection Agency is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-61	Environment and Natural Resources	<u>Binding Commitments Threshold Not Properly Tracked</u> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, July 25, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Environmental Protection Agency is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**For the Fiscal Year Ended June 30, 2013

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-62	Commerce	<u>Deficiencies in Cash Management</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"><li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li><li>2. The U.S. Department of Energy is not currently following up with the Department on the audit finding; and</li><li>3. A management decision has not been issued.</li></ol>
10-SA-63	Commerce	<u>Deficiencies in Federal Reporting</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"><li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li><li>2. The U.S. Department of Energy is not currently following up with the Department on the audit finding; and</li><li>3. A management decision has not been issued.</li></ol>
10-SA-64	Commerce	<u>Subrecipient Monitoring Needs Improvement</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"><li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li><li>2. The U.S. Department of Energy is not currently following up with the Department on the audit finding; and</li><li>3. A management decision has not been issued.</li></ol>
10-SA-65	Commerce	<u>Federal Award Number Not Communicated to ARRA Subrecipients</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"><li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li><li>2. The U.S. Department of Energy is not currently following up with the Department on the audit finding; and</li><li>3. A management decision has not been issued.</li></ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-66	Commerce	<p><u>Errors Noted in the Schedule of Expenditures of Federal Awards (SEFA)</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, August 22, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Energy is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-67	Public Instruction	<p><u>Title I Subrecipient Monitoring Process Needs Improvement</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-69	Public Instruction	<p><u>Control Weakness Over Maintenance of Effort Requirement</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-70	Public Instruction	<p><u>Deficiencies in Subrecipient Monitoring Process</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-72	Public Instruction	<u>Inadequate Subrecipient Monitoring of the Career and Technical Education Grant</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-74	North Carolina Agricultural and Technical State University	<u>University Failed to Properly Return Unearned Student Financial Aid Funds</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, March 11, 2014, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-75	Health and Human Services	<u>Claim Payment Errors for the Rehabilitation Services - Vocational Rehabilitation Grants to States Program</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-76	Health and Human Services	<u>Deficiencies in Documentation of Client Eligibility</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-77	Public Instruction	<u>Control Weakness Over Maintenance of Effort Requirement</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-78	Public Instruction	<u>Deficiencies in Subrecipient Monitoring Process</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-80	North Carolina Agricultural and Technical State University	<u>University Failed to Properly Return Unearned Student Financial Aid Funds</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, March 11, 2014, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-81	Public Instruction	<u>Weaknesses in Improving Teacher Quality Subrecipient Monitoring Process</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-83	Public Instruction	<u>Title I Subrecipient Monitoring Process Needs Improvement</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-85	Public Instruction	<u>Failure to Communicate ARRA Federal Award Information to Subrecipients</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-86	Health and Human Services	<u>Claim Payment Errors for the Rehabilitation Services - Vocational Rehabilitation Grants to States Program</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-87	Health and Human Services	<u>Deficiencies In Documentation of Client Eligibility</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-88	Public Instruction	<u>Control Weakness Over Maintenance of Effort Requirement</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-89	Public Instruction	<u>Deficiencies in Subrecipient Monitoring Process</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-91	Public Instruction	<u>Failure to Communicate ARRA Federal Award Information to Subrecipients</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-92	Public Instruction	<u>Control Weakness Over Maintenance of Effort Requirement</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>



**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-93	Public Instruction	<u>Deficiencies in Subrecipient Monitoring Process</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-95	Public Instruction	<u>Failure to Communicate ARRA Federal Award Information to Subrecipients</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-96	Public Instruction	<u>Deficiencies in Subrecipient Monitoring Process</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-97	Public Instruction	<u>Failure to Communicate ARRA Federal Award Information to Subrecipients</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, November 21, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Education is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-98	Health and Human Services	<u>Deficiencies in Federal Reporting Procedures</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-99	Health and Human Services	<u>Deficiencies in Subrecipient Monitoring Procedures</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-100	Health and Human Services	<u>Inadequate Control over Access to Computer Systems for the Immunization Cluster</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-101	Health and Human Services	<u>Deficiencies in Subrecipient Monitoring Procedures for the Immunization Cluster</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-102	Health and Human Services	<u>Failure to Timely Communicate ARRA Federal Award Information to Subrecipients</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-104	Health and Human Services	<u>Appropriate Action Not Taken in Child Support Cases</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-105	Information Technology Systems	<u>Control Deficiencies Noted for Expenditures Charged to Computing Services Fund</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, March 11, 2014, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-111	Health and Human Services	<u>Inadequate Control Over County User Access for the Child Placement and Payment System</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> </ol>

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For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
		<ol style="list-style-type: none"> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-113	Health and Human Services	<p><u>Inadequate Control over Access to Computer Systems for the Immunization Cluster</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-114	Health and Human Services	<p><u>Deficiencies in Subrecipient Monitoring Procedures for the Immunization Cluster</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-115	Health and Human Services	<p><u>Failure to Timely Communicate ARRA Federal Award Information to Subrecipients</u></p> <p>The audit finding does not warrant further action because:</p> <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-118	Health and Human Services	<u>Documentation Lacking in County Children's Health Insurance Program Case Files</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-119	Health and Human Services	<u>Deficiencies In Monitoring Procedures for the Children's Health Insurance Program</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-120	State Health Plan for Teachers and State Employees	<u>Payment Errors for Children's Health Insurance Program Claims</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, October 1, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The US Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-121	Health and Human Services	<u>Errors in Medicaid Provider Billing and Payment Process</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-122	Health and Human Services	<u>Failure to Terminate User Access for the Medicaid Management Information System and Department Network</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-123	Health and Human Services	<u>Deficiencies in Cash Management Procedures for the Medicaid Program</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-124	Health and Human Services	<u>Documentation Lacking in County Medicaid Case Files</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-125	Health and Human Services	<u>Deficiencies in Monitoring Procedures Over the Provider Eligibility Enrollment Process</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
10-SA-126	Health and Human Services	<u>Control Deficiencies Over the Provider Sanction Notification and Termination Process</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
10-SA-127	Health and Human Services	<u>Deficiencies Noted with Program Integrity Case Management Tracking System</u>  The audit finding does not warrant further action because: <ol style="list-style-type: none"> <li>1. As of today, June 14, 2013, two years have passed since the audit report in which the finding occurred was submitted on March 31, 2011 to the Federal clearinghouse;</li> <li>2. The U.S. Department of Health and Human Services is not currently following up with the Department on the audit finding; and</li> <li>3. A management decision has not been issued.</li> </ol>
11-SA-1	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Agriculture.
11-SA-2	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Agriculture.
11-SA-3	Public Instruction	<u>Inadequate Monitoring of Subrecipients for the State Revenue Match</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Agriculture.
11-SA-4	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Agriculture.

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-5	Health and Human Services	<u>Inadequate Program Change Controls</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Agriculture.
11-SA-6	Health and Human Services	<u>Deficiencies in WIC Cash Management Procedures</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Agriculture.
11-SA-7	Health and Human Services	<u>Deficiencies Identified in the Monitoring of WIC High-Risk Vendors</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Agriculture.
11-SA-8	Commerce	<u>Lack of Segregation of Duties – Administration of Grant Management System</u>  Partial corrective action has been taken. The Division is undergoing a restructuring and trying to identify appropriate staff with proper technical skills to ensure the intended outcomes resulting from changes to the database and program are correct.
11-SA-9	Commerce	<u>Deficiencies in Federal Reporting</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Housing and Urban Development.
11-SA-10	Commerce	<u>Deficiencies in Information System Access Controls</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Housing and Urban Development.
11-SA-11	Commerce	<u>Deficiencies in Subrecipient Monitoring</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Housing and Urban Development.
11-SA-12	Commerce	<u>Lack of Segregation of Duties – Administration of Grant Management System</u>  Partial corrective action has been taken. The Division is undergoing a restructuring and trying to identify appropriate staff with proper technical skills to ensure the intended outcomes resulting from changes to the database and program are correct.
11-SA-13	Commerce	<u>Deficiencies in Federal Reporting</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Housing and Urban Development.



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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-14	Commerce	<u>Deficiencies in Information System Access Controls</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Housing and Urban Development.
11-SA-15	Commerce	<u>Deficiencies in Subrecipient Monitoring</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Housing and Urban Development.
11-SA-16	Commerce	<u>Federal Information Not Communicated to ARRA Subrecipients</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Housing and Urban Development.
11-SA-17	Crime Control and Public Safety	<u>Deficiencies in Internal Control Over Access to the E-Procurement System</u>  Full corrective action has been taken.
11-SA-18	Crime Control and Public Safety	<u>Subrecipient Monitoring Needs Improvement</u>  Full corrective action has been taken.
11-SA-19	Crime Control and Public Safety	<u>Deficiencies in Internal Control Over Access to Grant Enterprise Management System</u>  Full corrective action has been taken.
11-SA-20	Crime Control and Public Safety	<u>Internal Controls Over Procurement Needs Improvement</u>  Full corrective action has been taken.
11-SA-21	Crime Control and Public Safety	<u>Deficiencies in Internal Control Over Access to the E-Procurement System</u>  Full corrective action has been taken.
11-SA-22	Crime Control and Public Safety	<u>Subrecipient Monitoring Needs Improvement</u>  Full corrective action has been taken.
11-SA-23	Crime Control and Public Safety	<u>Deficiencies in Internal Control Over Access to Grant Enterprise Management System</u>  Full corrective action has been taken.
11-SA-24	Crime Control and Public Safety	<u>Noncompliance with ARRA Award Provisions</u>  Full corrective action has been taken.
11-SA-40	Transportation	<u>Subrecipients Not Monitored In Accordance With Plan</u>  No corrective action has been taken. The Public Transportation Division has developed a Professional Services 3-year work plan that includes conducting

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
		<p>compliance reviews of transit systems. This plan estimates the performance of approximately thirty-one (31) subrecipient monitoring site visits per year and will bring the Division into compliance with the State Management Plan and OMB A-133.</p> <p>Anticipated Completion date: The corrective action will be implemented beginning Fiscal Year 2015.</p>
11-SA-41	Environment and Natural Resources	<p><u>Complete Award Information Not Clearly Communicated to Subrecipients</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Environmental Protection Agency.</p>
11-SA-42	Environment and Natural Resources	<p><u>Monitoring of Subrecipient Cash Management Needs Improvement</u></p> <p>Partial corrective action has been taken. The cash management requirements were Federal and DENR requirements included in the loan/grant agreements.</p> <p>In an effort to ensure that subrecipients expend requisitioned funds for the purpose of paying the costs of the project within three (3) banking days following receipt of funds from the State, DENR included Cash Management requirements in the State Compliance Supplement for the Clean Water and Drinking Water programs which is to be used by the local CPA when auditing the subrecipient. However, this corrective action plan would not be sufficient because audit reports would only test for compliance after the fiscal year had ended and not during the award monitoring. Cash management requirements should be tested during the period that the funds are being expended.</p> <p>Corrective action has been implemented. As of September 2011, the State Compliance Supplements now contain a requirement that the single audit includes verification that the cash management requirements in the contract between the Department and the subrecipient are met. In addition, the DENR Internal Audit Staff will audit subrecipients for compliance.</p>
11-SA-43	Environment and Natural Resources	<p><u>Complete Award Information Not Clearly Communicated to Subrecipients</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Environmental Protection Agency.</p>
11-SA-44	Environment and Natural Resources	<p><u>Monitoring of Subrecipient Cash Management Needs Improvement</u></p> <p>Partial corrective action has been taken. The cash management requirements were Federal and DENR requirements included in the loan/grant agreements.</p> <p>In an effort to ensure that subrecipients expend requisitioned funds for the purpose of paying the costs of the project within three (3) banking days following receipt of funds from the State, DENR included Cash Management requirements in the State Compliance Supplement for the Clean Water and Drinking Water programs which is to be used by the local CPA when auditing</p>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
		the subrecipient. However, this corrective action plan would not be sufficient because audit reports would only test for compliance after the fiscal year had ended and not during the award monitoring. Cash management requirements should be tested during the period that the funds are being expended.
		Corrective action has been implemented. As of September 2011, the State Compliance Supplements now contain a requirement that the single audit includes verification that the cash management requirements in the contract between the Department and the subrecipient are met. In addition, the DENR Internal Audit Staff will audit subrecipients for compliance.
11-SA-45	Commerce	<u>Deficiencies in Cash Management</u>  Full corrective action has been taken.
11-SA-46	Commerce	<u>Monitoring of Subrecipient Audit Reports Needs Improvement</u>  Full corrective action has been taken.
11-SA-47	Commerce	<u>Subrecipient Monitoring Needs Improvement</u>  Full corrective action has been taken.
11-SA-48	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-49	Public Instruction	<u>Insufficient Documentation in Title I Subrecipient Monitoring Process</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.
11-SA-50	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken.
11-SA-51	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-52	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken.

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-53	Fayetteville State University	<u>Internal Controls Over Procurement and Suspension and Debarment Need Improvement</u>  Full corrective action has been taken.
11-SA-54	Fayetteville State University	<u>Lack of Controls Over Preparation of the Annual Performance Report</u>  Partial corrective action has been taken. To ensure that expenses used in the APR are those reported for the fiscal year of the grant, the Office of Title III in collaboration with the Office of Contracts and Grants has hired an accounting technician to support the Title III Office. This position will provide assistance to the Title III Office by performing various duties to include technical and administrative accounting, examining budget estimates for completeness and accuracy, and providing all financial reporting for applications and reports due to the Federal Department of Education and other external and internal offices.
11-SA-55	Community College System Office	<u>Subrecipient Monitoring Process Needs Improvement</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.
11-SA-56	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-57	Public Instruction	<u>Noncompliance With Administrative Maintenance of Effort Requirement</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.
11-SA-58	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken.
11-SA-59	Health and Human Services	<u>Claim Payment Errors for the Rehabilitation Services – Vocational Rehabilitation Grants to States Program</u>  Full corrective action has been taken.
11-SA-60	Health and Human Services	<u>Deficiencies in Monitoring Procedures for the Community Rehabilitation Program Contracts</u>  Full corrective action has been taken.
11-SA-61	Health and Human Services	<u>Deficiencies in Cash Management Procedures</u>  Full corrective action has been taken.

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-62	Health and Human Services	<u>Deficiencies in Documentation of Client Eligibility</u>  Full corrective action has been taken.
11-SA-63	Health and Human Services	<u>State Procurement Policies Not Followed</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.
11-SA-64	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-65	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken.
11-SA-66	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-67	Public Instruction	<u>Twenty-First Century Grant Lacked Formalized Monitoring Procedures</u>  Partial corrective action has been taken. The Department is developing effective controls that will ensure adequate documentation is maintained for all activities. The agency's Compliance and Monitoring Section will provide documentation of monitoring procedures and training to Department federal program staff.
11-SA-68	Public Instruction	<u>Inadequate Controls Over DUNS Number Requirement</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.
11-SA-69	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-70	Public Instruction	<u>Weaknesses in Improving Teacher Quality Subrecipient Monitoring Process</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-71	Public Instruction	<p><u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u></p> <p>Full corrective action has been taken.</p>
11-SA-72	Public Instruction	<p><u>Monitoring of Cash Management Procedures Needs Improvement</u></p> <p>Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.</p>
11-SA-73	Public Instruction	<p><u>Incomplete Submission of Quarterly Recovery Act Reports</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.</p>
11-SA-74	Public Instruction	<p><u>Insufficient Documentation in Title I Subrecipient Monitoring Process</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.</p>
11-SA-75	Public Instruction	<p><u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u></p> <p>Full corrective action has been taken.</p>
11-SA-76	Health and Human Services	<p><u>Deficiencies in Cash Management Procedures</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.</p>
11-SA-77	Health and Human Services	<p><u>Deficiencies in Documentation of Client Eligibility</u></p> <p>Partial corrective action has been taken. As of July 31, 2013, an internal audit of the 60-day eligibility compliance requirement has been completed by the Quality Development Specialists. The results of the audit will be analyzed to determine if the Department is continuing to demonstrate significant improvement in this compliance area; and to report results to the Executive Leadership Team.</p> <p>The agency's new electronic case management system known as BEAM (Business Electronic Accessible Management) was scheduled to go-live October 15, 2013. Due to the impact of the federal shutdown, the go-live date for BEAM was postponed to January 2014. BEAM will feature an action alert mechanism to alert staff when timing thresholds have passed so immediate action can be taken.</p> <p>The new policy pertaining to required signatures for minor applicants has been delayed until the implementation of BEAM. The case management components for counselors to carry out the policy will be embedded in BEAM, due to go-live in January 2014. Therefore, it was determined that it</p>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
		was not feasible to make any updates to the current electronic case management system (CATS).
		The Department's primary focus is to train staff on the new BEAM system. Client financial eligibility training will continue when the new case management system is in place. The BEAM system will feature new tools and components for counselors to determine a client's financial status. The financial training will be more meaningful and timely for staff once the BEAM system is fully operational.
11-SA-78	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-79	Public Instruction	<u>Incomplete Submission of Quarterly Recovery Act Reports</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.
11-SA-80	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken.
11-SA-81	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-82	Public Instruction	<u>Incomplete Submission of Quarterly Recovery Act Reports</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.
11-SA-83	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken.
11-SA-84	Public Instruction	<u>Allotments Not Reconciled to SFSF Grant Awards</u>  The audit finding is no longer valid. Since this grant is subject to the CMIA Agreement between the State of North Carolina and the United States Department of Treasury for the period of July 1, 2011 until June 30, 2015, U.S. Department of Education does not sustain this finding.

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-85	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-86	Public Instruction	<u>Incomplete Submission of Quarterly Recovery Act Reports</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.
11-SA-87	Public Instruction	<u>Management Decisions Not Issued to Subrecipients for Significant Deficiency Findings</u>  Full corrective action has been taken.
11-SA-88	State Budget and Management	<u>1512 Reports Not Prepared in Accordance with ARRA Requirements</u>  Full corrective action has been taken.
11-SA-89	Public Instruction	<u>Monitoring of Cash Management Procedures Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
11-SA-90	Public Instruction	<u>Incomplete Submission of Quarterly Recovery Act Reports</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.
11-SA-91	State Budget and Management	<u>1512 Reports Not Prepared in Accordance with ARRA Requirements</u>  Full corrective action has been taken.
11-SA-92	Health and Human Services	<u>Departmental Monitoring of Vaccine Providers was Inadequate</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-93	Health and Human Services	<u>Documentation Lacking in County Temporary Assistance for Needy Families Program Case Files</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-94	Health and Human Services	<u>Deficiencies in Subrecipient Expenditures Identified</u>  Partial corrective action has been taken. Connect Inc. is no longer in business and the Department of Health and Human Services is working through the Attorney General's Office to work out a settlement.



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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-95	Health and Human Services	<p><u>Deficiencies in Federal Reporting Procedures</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-96	Health and Human Services	<p><u>Deficiencies in Subrecipient Monitoring Procedures</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-97	Health and Human Services	<p><u>Federal Award Information Not Properly Communicated to Subrecipients</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-98	Health and Human Services	<p><u>Appropriate Action Not Taken in Child Support Cases</u></p> <p>Partial corrective action has been taken. The NC Child Support Program did not meet the 75% Paternity-Establishment performance measure as required; however, the establishment performance rate continues to increase each State Fiscal Year (SFY) as follows:</p> <ul style="list-style-type: none"> <li>SFY 2011 – 60.84%</li> <li>SFY 2012 – 63.33%</li> <li>SFY 2013 – 64.50%</li> </ul> <p>Establishment continues to be a challenging area in which to achieve compliance. The Division of Social Services (DSS) will continue to monitor and strive to improve the Paternity-Establishment Self-Assessment score.</p> <p>The Child Support Program Representatives will continue to monitor local offices quarterly, complete quality reviews, and provide assistance in developing Corrective Action Plans as needed. Staff will also continue to use the Data Warehouse reports to identify cases that require further action to meet compliance standards. Statewide, Child Support Manager conference calls are held monthly to identify best practices and/or review performance statistics with supervisors and managers. Efforts in the area of Paternity-Establishment are on-going.</p>
11-SA-101	Health and Human Services	<p><u>Failure to Properly Report Subrecipient Pass-Through Amounts on the Schedule of Expenditures of Federal Awards (SEFA)</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-102	Health and Human Services	<p><u>Procedures Should Be Enhanced to Ensure Fraudulent Child Care Payments are Detected and Reported</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-103	Health and Human Services	<u>Failure to Properly Report Subrecipient Pass-Through Amounts on the Schedule of Expenditures of Federal Awards (SEFA)</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-104	Health and Human Services	<u>Procedures Should Be Enhanced to Ensure Fraudulent Child Care Payments Are Detected and Reported</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-105	Health and Human Services	<u>Federal Award Information Not Properly Communicated to Subrecipients</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-106	Health and Human Services	<u>Failure to Properly Report Subrecipient Pass-Through Amounts on the Schedule of Expenditures of Federal Awards (SEFA)</u>  Full corrective action has been taken.
11-SA-107	Health and Human Services	<u>Federal Award Information Not Properly Communicated to Subrecipients</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-108	Health and Human Services	<u>Deficiencies Identified During Subrecipient Monitoring Not Followed Up</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-109	Health and Human Services	<u>Verification of Central Contractor Registration Not Performed</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-110	Health and Human Services	<u>Federal Award Number Not Communicated to ARRA Recipients</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-111	Health and Human Services	<u>Departmental Monitoring of Vaccine Providers was Inadequate</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
11-SA-112	Health and Human Services	<u>Failure to Properly Report Subrecipient Pass-Through Amounts on the Schedule of Expenditures of Federal Awards (SEFA)</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-113	Health and Human Services	<p><u>Procedures Should Be Enhanced to Ensure Fraudulent Child Care Payments are Detected and Reported</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-114	Health and Human Services	<p><u>Documentation Lacking in County Temporary Assistance for Needy Families Program Case Files</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-115	Health and Human Services	<p><u>Deficiencies in Subrecipient Expenditures Identified</u></p> <p>Partial corrective action has been taken. Connect Inc. is no longer in business and the Department of Health and Human Services is working through the Attorney General's Office to work out a settlement.</p>
11-SA-116	Health and Human Services	<p><u>Verification of Central Contractor Registration Not Performed</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-117	Health and Human Services	<p><u>Documentation Lacking in County Temporary Assistance for Needy Families Program Case Files</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-118	Health and Human Services	<p><u>Deficiencies in Subrecipient Expenditures Identified</u></p> <p>Partial corrective action has been taken. Connect Inc. is no longer in business and the Department of Health and Human Services is working through the Attorney General's Office to work out a settlement.</p>
11-SA-119	Health and Human Services	<p><u>Verification of Central Contractor Registration Not Performed</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-120	Health and Human Services	<p><u>Errors in Provider Billing and Payments</u></p> <p>Partial corrective action has been taken. Program Integrity (PI) is in the process of finalizing their review of the sixty-one (61) North Carolina Health Choice (NCHC) beneficiaries' claims cited as deficient. Of the 61 claim errors identified, fifty-nine (59) case reviews have been completed by Program Integrity. To date, two (2) cases remain open. In one case cited, the provider has not yet been located and the provider of the other case has been mailed a Tentative Notice of Overpayment; but has the option to appeal the case within the required timeframe. The anticipated completion date for these two cases is indefinite.</p>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-121	Health and Human Services	<p><u>Documentation Lacking in County Children's Health Insurance Program Case Files</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-122	Health and Human Services	<p><u>Noncompliance with Procurement, Suspension, and Debarment Requirements</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-123	Health and Human Services	<p><u>Deficiencies in Monitoring Procedures for the Children's Health Insurance Program</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-124	Health and Human Services	<p><u>Medicaid Disproportionate Share Hospital Payments to an Ineligible Hospital</u></p> <p>Partial corrective action has been taken. The Division of Medical Assistance (DMA) continues to have ongoing and open communication with the Provider Enrollment Section of DMA to ensure that Finance Management receives notices timely for enrollment, termination, change in ownership, or other events that would affect payments made to a North Carolina Medicaid provider. The appeal, issued by the hospital in February 2012, to contest the recoupment amount of \$980,085 is still under review. The documents submitted by the hospital indicate that an underpayment of \$138,801 occurred rather than an overpayment. A resolution has not been reached or finalized. The Department anticipates a resolution to the finding by February 2014.</p>
11-SA-125	Health and Human Services	<p><u>Errors in Medicaid Provider Billing and Payment Process</u></p> <p>Partial corrective action has been taken. As a result of the audit finding, the individual errors cited were referred to the appropriate Sections within the Department for follow-up and resolution. As of December 2013, twenty-one of the twenty-six Medicaid claims identified as errors have been corrected. The status of the five (5) claim errors that remain open is as follows: four (4) of the claim errors were referred to the Rate Setting Section for resolution; one (1) claim error case was subsequently referred to the Attorney General's Office for collection but has not been recouped. Follow-up will continue until full resolution is met.</p>
11-SA-126	Health and Human Services	<p><u>Deficiencies in Internal Control to Prevent Unauthorized User Access to the Medicaid Management Information System</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
11-SA-127	Health and Human Services	<p><u>Deficiencies in Cash Management Procedures for the Medicaid Program</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-128	Health and Human Services	<p><u>Documentation Lacking in County Medicaid Case Files</u></p> <p>Partial corrective action has been taken. As of January 31, 2014, fifteen (15) of the thirty-six (36) deficiencies cited remain unresolved. The Department obtained documentation relevant to the remaining 15 errors; however, additional information is required before the errors can be fully resolved. The Medicaid Program Representatives (MPRs) will continue to review the remaining open errors to determine the appropriate course of action. The anticipated completion date is June 30, 2014.</p>
11-SA-129	Health and Human Services	<p><u>Inadequate Follow-Up on Identified Subrecipient Errors</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-130	Health and Human Services	<p><u>Deficiencies in Monitoring Procedures Over the Provider Eligibility and Termination Processes</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-131	Health and Human Services	<p><u>Monitoring Procedures Need Improvement</u></p> <p>Partial corrective action has been taken. The Department's program monitors and audit staff continue to perform comprehensive reviews of the Block Grants for Prevention and Treatment of Substance Abuse program. As of August 2012, the subrecipient monitoring plan was enhanced to include a consistent process for the documentation of activities, including monitoring sub-recipients for allowable cost and allowable activities. In addition, monitoring tools were modified to fully address eligibility requirements. During the State Fiscal Year 2013 settlement review process, the Department will implement the follow-up of corrective action plans to ensure that deficiencies previously noted during fiscal monitoring reviews are adequately addressed. The anticipated completion date is June 30, 2014.</p>
11-SA-132	Health and Human Services	<p><u>Federal Award Information Not Properly Communicated to Subrecipients</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
11-SA-133	Health and Human Services	<p><u>Deficiencies in Internal Control Over Contracting</u></p> <p>Partial corrective action has been taken. The Division of Mental Health/Developmental Disabilities/Substance Abuse Services continues to review policies regarding contract development and related implementation criteria. In accordance with the General Statute, the Division is authorized to allocate funding to the Local Management Entities (LMEs) for delivery of</p>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
		behavioral health services. The Department will complete the contracting process prior to implementation and the release of funding to LMEs to ensure compliance with departmental contracting policies. The Department anticipates completion of this process by June 30, 2014.
11-SA-134	Health and Human Services	<u>Internal Control Over Payments for Provider Consultative Examinations Needs Improvement</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Social Security Administration.
11-SA-135	Health and Human Services	<u>Deficiencies in Enrollment Procedures for Providers of Consultative Examination Services</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Social Security Administration.
11-SA-136	Health and Human Services	<u>Deficiencies in Federal Reporting Procedures</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Social Security Administration.
12-SA-1	Public Instruction	<u>Corrective Action Plans Not Obtained from Subrecipients</u>  Partial corrective action has been taken. The Department has revised its fiscal monitoring policies and procedures to include consequences for subrecipients who do not submit their requested corrective action plans within the time allotted.
12-SA-2	Public Instruction	<u>Corrective Action Plans Not Obtained from Subrecipients</u>  Partial corrective action has been taken. The Department has revised its fiscal monitoring policies and procedures to include consequences for subrecipients who do not submit their requested corrective action plans within the time allotted.
12-SA-3	Public Instruction	<u>Corrective Action Plans Not Obtained from Subrecipients</u>  Partial corrective action has been taken. The Department has revised its fiscal monitoring policies and procedures to include consequences for subrecipients who do not submit their requested corrective action plans within the time allotted.
12-SA-4	Commerce	<u>Deficiencies in Federal Reporting</u>  Partial corrective action has been taken. <ul style="list-style-type: none"> <li>The division is currently working with Commerce Management Information Systems (MIS) section to develop a plan and process to evaluate the integrity of the data generated for the Performance Evaluation Report (PER). The plan will develop a process by which the data reported in Grants Management System (GMS) will be</li> </ul>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

Original Finding Number **	State Department	Current Status of Prior Audit Finding
		<p>evaluated against data available in Integrated Disbursement Information System (IDIS), North Carolina Accounting System (NCAS) and grantee files to identify inaccuracies and make data corrections using formalized change management procedures. Going forward, the data in GMS will be reconciled regularly against data in IDIS and NCAS to insure the accuracy of the PER and other state and federal reporting requirements.</p> <ul style="list-style-type: none"> <li>• The balance of funds for the Commerce Finance Center revolving loan fund is currently reported in the federal Integrated Disbursement Information System (IDIS) as State funding. Each year, the awards from the revolving loan fund will be reported in the Performance Evaluation Report as required by federal reporting requirements.</li> <li>• The division has implemented procedural changes to address the deficiencies noted in the Section 3 Summary Report and Annual Performance Report data and will develop and incorporate additional measures to insure that the data is collected, reviewed and reported accurately. The division will require that the data is validated at multiple points and by multiple personnel during the review process. Once the data is compiled, additional verification of the data will be conducted prior to submission of the report to HUD. The division will also seek guidance and technical assistance from HUD regarding best practice in preparing and validating the Section 3 Summary Report.</li> <li>• To date, all previous award data has been entered into the FFATA Subaward Reporting system and uploaded. The FFATA system will be updated with new awards as they are made.</li> </ul>
12-SA-5	Commerce	<p><u>Lack of Segregation of Duties in the Administration of Grants Management System</u></p> <p>Partial corrective action has been taken. The division is in the process of fully examining the systems and processes in place regarding Grants Management System (GMS) and is in the process of developing a comprehensive plan to 1) identify the weaknesses of the system and to establish new temporary operational procedures to insure proper segregation of duties and data integrity; 2) develop a plan and timeline to adopt a new out-of-the-box (non-proprietary) grant management software solution; 3) verify the validity of the current GMS data and make corrections using formalized change management procedures; 4) migrate the GMS data to the new grant management software solution; and 5) develop a set of formalized policies and procedures regarding proper segregation of duties, data validation, staff training, and system security, and maintenance.</p> <p>The division has enlisted the assistance of the Commerce Management Information Systems section (MIS) to assume temporary responsibility for programming changes to the GMS database with multiple individuals that have knowledge of the functions of the database. The division is in the process of developing a formal data change management and verification</p>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

Original Finding Number **	State Department	Current Status of Prior Audit Finding
		<p>procedure that will require that different personnel are responsible for making changes to the database and verifying the completion and accuracy of the changes. The procedure will require that all database changes are documented in writing.</p> <p>The data reported in GMS will be evaluated against data available in Integrated Disbursement Information System (IDIS), North Carolina Accounting System (NCAS) and grantee files to identify inaccuracies. Using the formal data change management and verification procedure, the data in GMS will be corrected as necessary. Once the data in GMS is validated against the data contained in other systems, the data will be migrated to a new, non-proprietary grant management solution. The new grant management solution will be designed and managed according to standard database management protocols. The standardization of the database will enable additional personnel within the division to be trained to complete specific tasks within the database and validate any changes made. The use of an out-of-the-box software solution will also provide the division with access to technical support from the grant management software company, which is unavailable with the current system.</p> <p>As the new grant management solution is implemented, the division will develop and adopt a set of formal policies and procedures that will insure the proper segregation of duties, protect data integrity and security, and insure that scheduled software maintenance and updates are conducted. The policies and procedures will be developed according to best practice identified in the Statewide Information Security Manual.</p>
12-SA-6	Commerce	<p><u>Deficiencies in Federal Reporting</u></p> <p>Partial corrective action has been taken.</p> <ul style="list-style-type: none"> <li>The division is currently working with Commerce Management Information Systems (MIS) section to develop a plan and process to evaluate the integrity of the data generated for the Performance Evaluation Report (PER). The plan will develop a process by which the data reported in Grants Management System (GMS) will be evaluated against data available in Integrated Disbursement Information System (IDIS), North Carolina Accounting System (NCAS) and grantee files to identify inaccuracies and make data corrections using formalized change management procedures. Going forward, the data in GMS will be reconciled regularly against data in IDIS and NCAS to insure the accuracy of the PER and other state and federal reporting requirements.</li> <li>The balance of funds for the Commerce Finance Center revolving loan fund is currently reported in the federal Integrated Disbursement Information System (IDIS) as State funding. Each year, the awards from the revolving loan fund will be reported in the Performance Evaluation Report as required by federal reporting requirements.</li> </ul>



**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

Original Finding Number **	State Department	Current Status of Prior Audit Finding
		<ul style="list-style-type: none"> <li>The division has implemented procedural changes to address the deficiencies noted in the Section 3 Summary Report and Annual Performance Report data and will develop and incorporate additional measures to insure that the data is collected, reviewed and reported accurately. The division will require that the data is validated at multiple points and by multiple personnel during the review process. Once the data is compiled, additional verification of the data will be conducted prior to submission of the report to HUD. The division will also seek guidance and technical assistance from HUD regarding best practice in preparing and validating the Section 3 Summary Report.</li> <li>To date, all previous award data has been entered into the FFATA Subaward Reporting system and uploaded. The FFATA system will be updated with new awards as they are made.</li> </ul>
12-SA-7	Commerce	<p data-bbox="560 869 1437 926"><u>Lack of Segregation of Duties in the Administration of Grants Management System</u></p> <p data-bbox="560 955 1469 1318">Partial corrective action has been taken. The division is in the process of fully examining the systems and processes in place regarding Grants Management System (GMS) and is in the process of developing a comprehensive plan to 1) identify the weaknesses of the system and to establish new temporary operational procedures to insure proper segregation of duties and data integrity; 2) develop a plan and timeline to adopt a new out-of-the-box (non-proprietary) grant management software solution; 3) verify the validity of the current GMS data and make corrections using formalized change management procedures; 4) migrate the GMS data to the new grant management software solution; and 5) develop a set of formalized policies and procedures regarding proper segregation of duties, data validation, staff training, and system security, and maintenance.</p> <p data-bbox="560 1348 1469 1619">The division has enlisted the assistance of the Commerce Management Information Systems section (MIS) to assume temporary responsibility for programming changes to the GMS database with multiple individuals that have knowledge of the functions of the database. The division is in the process of developing a formal data change management and verification procedure that will require that different personnel are responsible for making changes to the database and verifying the completion and accuracy of the changes. The procedure will require that all database changes are documented in writing.</p> <p data-bbox="560 1648 1469 1921">The data reported in GMS will be evaluated against data available in Integrated Disbursement Information System (IDIS), North Carolina Accounting System (NCAS) and grantee files to identify inaccuracies. Using the formal data change management and verification procedure, the data in GMS will be corrected as necessary. Once the data in GMS is validated against the data contained in other systems, the data will be migrated to a new, non-proprietary grant management solution. The new grant management solution will be designed and managed according to standard database management protocols. The standardization of the database will</p>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
		<p>enable additional personnel within the division to be trained to complete specific tasks within the database and validate any changes made. The use of an out-of-the-box software solution will also provide the division with access to technical support from the grant management software company, which is unavailable with the current system.</p> <p>As the new grant management solution is implemented, the division will develop and adopt a set of formal policies and procedures that will insure the proper segregation of duties, protect data integrity and security, and insure that scheduled software maintenance and updates are conducted. The policies and procedures will be developed according to best practice identified in the Statewide Information Security Manual.</p>
12-SA-8	Commerce	<p><u>Deficiencies in Benefit Integrity's Processing and Monitoring of Overpayment Investigations</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Labor.</p>
12-SA-9	Commerce	<p><u>Controls Over System Access Need Improvement</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Labor.</p>
12-SA-10	Commerce	<p><u>Deficiencies in Subrecipient Monitoring</u></p> <p>Corrective action taken is significantly different from corrective action previously reported in a corrective action plan. In November, 2013 Division of Workforce Solutions staff added questions to address this finding to the Oversight Review Guide, the tool used to monitor sub-recipient activities funded through the Workforce Investment Act. The entire document or the section containing the additional questions are available upon request. While the US Department of Labor has not yet issued a final management decision, USDOL staff have accepted the changes as submitted.</p>
12-SA-11	Commerce	<p><u>Deficiencies in Subrecipient Monitoring</u></p> <p>Corrective action taken is significantly different from corrective action previously reported in a corrective action plan. In November, 2013 Division of Workforce Solutions staff added questions to address this finding to the Oversight Review Guide, the tool used to monitor sub-recipient activities funded through the Workforce Investment Act. The entire document or the section containing the additional questions are available upon request. While the US Department of Labor has not yet issued a final management decision, USDOL staff have accepted the changes as submitted.</p>
12-SA-12	Commerce	<p><u>Deficiencies in Subrecipient Monitoring</u></p> <p>Corrective action taken is significantly different from corrective action previously reported in a corrective action plan. In November, 2013 Division of Workforce Solutions staff added questions to address this finding to the Oversight Review Guide, the tool used to monitor sub-recipient activities</p>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
		funded through the Workforce Investment Act. The entire document or the section containing the additional questions are available upon request. While the US Department of Labor has not yet issued a final management decision, USDOL staff have accepted the changes as submitted.
12-SA-13	Commerce	<p><u>Deficiencies in Subrecipient Monitoring</u></p> <p>Corrective action taken is significantly different from corrective action previously reported in a corrective action plan. In November, 2013 Division of Workforce Solutions staff added questions to address this finding to the Oversight Review Guide, the tool used to monitor sub-recipient activities funded through the Workforce Investment Act. The entire document or the section containing the additional questions are available upon request. While the US Department of Labor has not yet issued a final management decision, USDOL staff have accepted the changes as submitted.</p>
12-SA-14	Environment and Natural Resources	<p><u>Inadequate Review Procedures for Subrecipient Audit Reports</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Environmental Protection Agency.</p>
12-SA-15	Environment and Natural Resources	<p><u>Monitoring of Subrecipient Cash Management Needs Improvement</u></p> <p>Partial corrective action has been taken. The cash management requirements were Federal and DENR requirements included in the loan/grant agreements.</p> <p>In an effort to ensure that subrecipients expend requisitioned funds for the purpose of paying the costs of the project within three (3) banking days following receipt of funds from the State, DENR included Cash Management requirements in the State Compliance Supplement for the Clean Water and Drinking Water programs which is to be used by the local CPA when auditing the subrecipient. However, this corrective action plan would not be sufficient because audit reports would only test for compliance after the fiscal year had ended and not during the award monitoring. Cash management requirements should be tested during the period that the funds are being expended.</p> <p>Corrective action has been implemented. As of September 2011, the State Compliance Supplements now contain a requirement that the single audit includes verification that the cash management requirements in the contract between the Department and the subrecipient are met. In addition, the DENR Internal Audit Staff will audit subrecipients for compliance.</p>
12-SA-16	Environment and Natural Resources	<p><u>Inadequate Review Procedures for Subrecipient Audit Reports</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Environmental Protection Agency.</p>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
12-SA-17	Environment and Natural Resources	<p><u>Monitoring of Subrecipient Cash Management Needs Improvement</u></p> <p>Partial corrective action has been taken. The cash management requirements were Federal and DENR requirements included in the loan/grant agreements.</p> <p>In an effort to ensure that subrecipients expend requisitioned funds for the purpose of paying the costs of the project within three (3) banking days following receipt of funds from the State, DENR included Cash Management requirements in the State Compliance Supplement for the Clean Water and Drinking Water programs which is to be used by the local CPA when auditing the subrecipient. However, this corrective action plan would not be sufficient because audit reports would only test for compliance after the fiscal year had ended and not during the award monitoring. Cash management requirements should be tested during the period that the funds are being expended.</p> <p>Corrective action has been implemented. As of September 2011, the State Compliance Supplements now contain a requirement that the single audit includes verification that the cash management requirements in the contract between the Department and the subrecipient are met. In addition, the DENR Internal Audit Staff will audit subrecipients for compliance.</p>
12-SA-18	Commerce	<p><u>Deficiencies in Cash Management</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Energy.</p>
12-SA-19	Commerce	<p><u>Deficiencies in Federal Reporting</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Energy.</p>
12-SA-20	Commerce	<p><u>Subrecipient Monitoring Needs Improvement</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Energy.</p>
12-SA-21	Commerce	<p><u>Deficiencies in Cash Management</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Energy.</p>
12-SA-22	Commerce	<p><u>Subrecipient Monitoring Needs Improvement</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Energy.</p>
12-SA-23	Public Instruction	<p><u>Cash Management Monitoring Needs Improvement</u></p> <p>Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.</p>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
12-SA-24	Public Instruction	<u>Deficiencies in Title I Program Monitoring</u>  Partial corrective action has been taken. The Department will develop and implement a tracking mechanism to help ensure timely, effective and complete monitoring of subrecipients. In addition, the Department will implement a verification process to ensure all planned corrective actions have been taken and adhered to.
12-SA-25	Public Instruction	<u>Corrective Action Plans Not Obtained from Subrecipients</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-26	Public Instruction	<u>Cash Management Monitoring Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-27	Public Instruction	<u>Corrective Action Plans Not Obtained from Subrecipients</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-28	Fayetteville State University	<u>Cash Management Controls Need Improvement</u>  Full corrective action has been taken.
12-SA-29	Fayetteville State University	<u>Internal Controls Over Davis-Bacon Requirements Need Improvement</u>  Full corrective action has been taken.
12-SA-30	Fayetteville State University	<u>Internal Controls Over Equipment Need Improvement</u>  Full corrective action has been taken.
12-SA-31	Fayetteville State University	<u>Internal Controls Over Procurement and Suspension and Debarment Need Improvement</u>  Full corrective action has been taken.
12-SA-32	Winston-Salem State University	<u>Lack of Controls Over Federal Suspension and Debarment Verification</u>  Partial corrective action has been taken. Sponsored Programs vets all vendors to be used by new grants against the Excluded Parties List System maintained by the General Services Administration. The Purchasing Department has linked its website to the Excluded Parties List System maintained by the General Services Administration. All new vendors are vetted against this list along with the State of North Carolina debarred vendors listing.

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
		<p>We are in compliance with the above except for Title III Funding. It was mistakenly assumed at the time that Sponsored Programs was also vetting Title III Funding against the Excluded Parties List System maintained by the General Services Administration. We have now employed procedures to ensure that these funds are being vetted also.</p> <ul style="list-style-type: none"> <li>• Title III Funds used for construction projects will be vetted by Facilities Management. Since the bidding process is being handled in this area, all bids submitted for a project will be vetted before a contract is awarded to the selected bidder.</li> <li>• Title III Funds used for non-construction projects will now be vetted by Sponsored Programs.</li> </ul>
12-SA-33	Health and Human Services	<p><u>Errors in Claims Payment Process</u></p> <p>Full corrective action has been taken.</p>
12-SA-34	Health and Human Services	<p><u>Noncompliance with Cash Management Requirements</u></p> <p>Full corrective action has been taken.</p>
12-SA-35	Health and Human Services	<p><u>Deficiencies in Documentation of Participant Eligibility</u></p> <p>Full corrective action has been taken.</p>
12-SA-36	Public Instruction	<p><u>Cash Management Monitoring Needs Improvement</u></p> <p>Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.</p>
12-SA-37	Public Instruction	<p><u>Corrective Action Plans Not Obtained from Subrecipients</u></p> <p>Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.</p>
12-SA-38	Central Piedmont Community College	<p><u>Untimely Notice to Lender of Changes in Enrollment Status</u></p> <p>Full corrective action has been taken.</p>
12-SA-39	University of North Carolina at- Charlotte	<p><u>Untimely Reconciliation of Direct Loan Disbursements</u></p> <p>Full corrective action has been taken.</p>
12-SA-40	University of North Carolina at- Charlotte	<p><u>Failure to Send Direct Loan Notifications</u></p> <p>Full corrective action has been taken.</p>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
12-SA-41	University of North Carolina at-Pembroke	<p><u>Lack of Controls Over Notifications of Disbursements to or on Behalf of Students</u></p> <p>Partial corrective action has been taken. The Office of Financial Aid implemented a manual process to send notifications to each Direct Loan recipient. However, this manual process still allows for inconsistency with the notifications. The Office of Financial Aid and the Office of Internal Audit have had discussions with the Division of Information Technology (DoIT) to make these notifications a daily automated process. Our plan is to have the automated process fully implemented prior to June 30, 2014. In the meantime and effective immediately, the Office of Financial Aid will perform weekly reconciliations to ensure that each Direct Loan recipient is timely notified of any loan disbursements or changes to their loans.</p>
12-SA-42	University of North Carolina at-Wilmington	<p><u>Improvements Needed in Direct Loan Notification Process</u></p> <p>Full corrective action has been taken.</p>
12-SA-43	Wake Technical Community College	<p><u>Inadequate Reconciliation of Direct Loans to Supporting College Records</u></p> <p>Full corrective action has been taken.</p>
12-SA-44	Wake Technical Community College	<p><u>Student Enrollment Data Not Accurately Reported</u></p> <p>Full corrective action has been taken.</p>
12-SA-45	Public Instruction	<p><u>Cash Management Monitoring Needs Improvement</u></p> <p>Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.</p>
12-SA-46	Public Instruction	<p><u>Deficiencies in Twenty-First Century Subrecipient Monitoring Process</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.</p>
12-SA-47	Public Instruction	<p><u>Inadequate Controls Over DUNS Number Requirement</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Education.</p>
12-SA-48	Public Instruction	<p><u>Cash Management Monitoring Needs Improvement</u></p> <p>Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.</p>
12-SA-49	Public Instruction	<p><u>Corrective Action Plans Not Obtained from Subrecipients</u></p> <p>Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.</p>

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
12-SA-50	Public Instruction	<u>Cash Management Monitoring Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-51	Public Instruction	<u>Deficiencies in Title I Program Monitoring</u>  Partial corrective action has been taken. The Department will develop and implement a monitoring tracking system. In addition, the Department will implement a verification process to ensure all planned corrective actions have been taken and adhered to.
12-SA-52	Public Instruction	<u>Corrective Action Plans Not Obtained from Subrecipients</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-53	Public Instruction	<u>Cash Management Monitoring Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-54	Public Instruction	<u>Corrective Action Plans Not Obtained from Subrecipients</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-55	Public Instruction	<u>Cash Management Monitoring Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-56	Public Instruction	<u>Corrective Action Plans Not Obtained from Subrecipients</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-57	Public Instruction	<u>Cash Management Monitoring Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.



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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
12-SA-58	Public Instruction	<u>Cash Management Monitoring Needs Improvement</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-59	Public Instruction	<u>Corrective Action Plans Not Obtained from Subrecipients</u>  Full corrective action has not been taken, however the U.S. Department of Education has issued a final management decision and action taken was consistent with the final management decision.
12-SA-60	Health and Human Services	<u>Deficiencies in County Eligibility Determination Processes</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
12-SA-61	Health and Human Services	<u>Failure to Properly Report Amounts on the Schedule of Expenditures of Federal Awards (SEFA)</u>  Full corrective action has been taken.
12-SA-62	Health and Human Services	<u>Failure to Properly Report Amounts on the Schedule of Expenditures of Federal Awards (SEFA)</u>  Full corrective action has been taken.
12-SA-63	Health and Human Services	<u>Subrecipient Monitoring Process Needs Improvement</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
12-SA-64	Health and Human Services	<u>Deficiencies in County Eligibility Determination Processes</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
12-SA-65	Health and Human Services	<u>Failure to Properly Report Amounts on the Schedule of Expenditures of Federal Awards (SEFA)</u>  Full corrective action has been taken.
12-SA-66	Health and Human Services	<u>Errors in Provider Billing and Payment Process</u>  Partial corrective action has been taken. As of January 31, 2014, four (4) of the original nine (9) claim errors identified remain open. Follow-up on the four (4) errors that remained open were as follows: two (2) of the open claim errors were forwarded to the Rate Setting Section for follow-up and final resolution; one (1) claim error was forwarded to the Recipient Provider Services Section for final resolution; and one (1) claim error processed has not been recouped. Follow-up will continue with an anticipated completion date of June 30, 2014.

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<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
12-SA-67	Health and Human Services	<p><u>Deficiencies in Cash Management Procedures</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
12-SA-68	Health and Human Services	<p><u>Deficiencies in County Eligibility Determination Processes</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
12-SA-69	Health and Human Services	<p><u>Deficiencies in Subrecipient Monitoring Procedures</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
12-SA-70	Health and Human Services	<p><u>Errors in Provider Billing and Payment Process</u></p> <p>Partial corrective action has been taken. As a result of the audit finding, the individual errors cited were referred to the appropriate Sections within the Department for follow-up and resolution. As of December 2013, thirty-two (32) of the forty-four (44) identified claim errors have been completely resolved. Seven (7) of the claim errors were forwarded to the Rate Setting Section for follow-up and final resolution; accounts receivables were set up for three (3) of the unresolved claim errors but to date no funds have been collected; and two (2) claim errors have been partially resolved. Follow-up will continue on the remaining open errors as necessary until full resolution is made. The anticipated completion date is June 30, 2014.</p>
12-SA-71	Health and Human Services	<p><u>Deficiencies in the Eligibility System Interface Process</u></p> <p>Partial corrective action has been taken. The Medicaid Management Information System (MMIS) was permanently shut down as of June 30, 2013. NC Tracks, the replacement MMIS system effective July 1, 2013, uses an entirely different mechanism for collection of third party insurance (TPI) information originating from the North Carolina Families Accessing Services through Technology system (NC FAST). Unlike the legacy MMIS, NC Tracks is the system of record for TPI. Data will be entered by County Department of Social Services (DSS) workers directly into NC Tracks via a web service. NC FAST will replace the Eligibility Information System, employed by DSS workers, to collect TPI information from prospective Medicaid recipients.</p> <p>The Department continues communication efforts including but not limited to conducting meetings to establish monitoring activities and strengthen internal control procedures to ensure that TPI errors are identified and corrected in a timely manner. The anticipated date of completion is June 30, 2014.</p>
12-SA-72	Health and Human Services	<p><u>Deficiencies in Cash Management Procedures</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
12-SA-73	Health and Human Services	<p><u>Deficiencies in County Eligibility Determination Processes</u></p> <p>Partial corrective action has been taken. As of January 28, 2014, fourteen (14) of the thirty five (35) errors cited have been corrected. The Medicaid Program Representatives (MPRs) will continue to review the remaining twenty-one (21) open errors to determine the appropriate course of action. In addition, training will continue to be provided to staff to ensure on-going compliance. The anticipated completion date is June 30, 2014.</p>
12-SA-74	Health and Human Services	<p><u>Inadequate Follow-Up on Identified Subrecipient Errors</u></p> <p>Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.</p>
12-SA-75	Health and Human Services	<p><u>Deficiencies in Monitoring Procedures Over Provider Eligibility and Termination Process</u></p> <p>Partial corrective action has been taken. As a result of increased internal monitoring measures, the Department determined the five (5) files cited as lacking adequate documentation to support the monitoring procedures performed on the service providers' activities were due to the work performance of one enrollment specialist. Based on further review of the files, the Department determined the enrollment specialist also falsified state records. Employment with the Division of Medical Assistance (DMA) was terminated in April 2013.</p> <p>In September 2013, the Department implemented a new monitoring plan with more control and oversight of service provider activities. Additional oversight of service provider activities includes the assurance that Quality Control (QC) is reviewed throughout the month and submitted on a monthly basis. In addition, management reviews a sample of the work the enrollment specialists complete to ensure the activities of service providers are effectively monitored.</p> <p>Per the contracted service provider, the Operations Managers, Supervisors, QC/Quality Assurance (QA) Auditors, and Department Leads review daily, weekly, and monthly processing and activity reports to monitor compliance to current policies and procedures. Daily reports are reviewed to ensure the Service Level Agreements are met throughout each month and the work is randomly checked and logged. In October 2013, the Department implemented the process of monitoring fiscal agent activities on a monthly basis.</p>
12-SA-76	Health and Human Services	<p><u>Deficiencies with Program Integrity Functions</u></p> <p>Partial corrective action has been taken. Program Integrity (PI) continues to conduct monthly quality assurance reviews for all contractors performing case investigations to ensure the quality assurance review process is strengthened. Controls have been strengthened to monitor the cases referred to the Medicaid Investigations Division (MID) where the Deputy</p>

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
		Assistant Director monitors the MID spreadsheet to ensure timely responses to and from MID and that appropriate action is taken.
		PI also continues in its effort to procure an effective case tracking system to ensure case file documentation is maintained. The new Case Management Application will provide a tracking/monitoring system to track all referrals to PI as well as access to all referrals, cases, and case records from the point of referral to permanent disposition. In addition, the case management system will provide internal communication options such as email and Quality Assurance mechanisms for management in which management will be able to track staff case assignments and case completion times. Pending success of the current initiative, the application should be available for use by June 30, 2014.
12-SA-77	Health and Human Services	<u>Deficiencies in Access Control Over the Medicaid Management Information System</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
12-SA-78	Health and Human Services	<u>Deficiencies in Cash Management Procedures</u>  Partial corrective action has been taken. Effective April 1, 2013, the Department implemented procedures to ensure HIV CARE Formula Grant funds are not used to advance payments to subrecipients. The Department will continue to monitor and utilize internal controls to ensure that federal draws are only requested to fund actual expenditures. In addition, the Department will investigate other cash management procedures and employ additional internal controls as necessary to ensure compliance with federal and state cash management requirements. The anticipated date of completion is June 30, 2014.
12-SA-79	Health and Human Services	<u>Deficiencies in Eligibility Determinations</u>  Full corrective action has been taken.
12-SA-80	Health and Human Services	<u>Deficiencies with the Drug Discount Program</u>  Full corrective action has been taken.
12-SA-81	Health and Human Services	<u>Monitoring Procedures Need Improvement</u>  Partial corrective action has been taken. In June 2013, the Department implemented a new selection process to review providers to ensure that all providers of substance abuse services are subject to monitoring.  Effective January 2014, the Division of Mental Health, Developmental Disabilities and Substance Abuse Services implemented new monitoring procedures to require corrective action plans for all deficiencies noted during Local Management Entity-Managed Care Organization (LME/MCO) settlement reviews. The anticipated date of completion is June 30, 2014.

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

For the Fiscal Year Ended June 30, 2013

<b>Original Finding Number **</b>	<b>State Department</b>	<b>Current Status of Prior Audit Finding</b>
12-SA-82	Health and Human Services	<u>Noncompliance with DUNS Number Requirement</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Health and Human Services.
12-SA-83	Health and Human Services	<u>Failure to Properly Report Amounts on the Schedule of Expenditures of Federal Awards (SEFA)</u>  Full corrective action has been taken.
12-SA-84	Health and Human Services	<u>Noncompliance with Consultative Examination Costs</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Social Security Administration.
12-SA-85	Health and Human Services	<u>Deficiencies in Maintaining Vendor File</u>  Partial corrective action has been taken. The Department strengthened internal controls to prevent scheduling with providers who are no longer on the consultative examinations panel. In addition, the Department is in the process of obtaining the United States Social Security Administration's (SSA) assistance to properly purge inactive vendor records and deactivate duplicate vendor records. SSA's guidance in this file purge is required due to the future implementation of the SSA nationwide vendor file conversion project. The anticipated completion date is January 1, 2014.
12-SA-86	Public Safety	<u>Deficiencies in Internal Control Over Access to Public Assistance Management System</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Homeland Security.
12-SA-87	Public Safety	<u>Review of Subrecipient Audit Reports Needs Improvement</u>  Partial corrective action has been taken. The Controller's Office staff uses reports from the North Carolina Accounting System to determine a complete list of sub-grantees. This list is utilized to track the receipt of the sub-grantee audit reports. Also, the grant monitoring tracking spreadsheet has been revised to document the receipt of the report on compliance.
12-SA-88	Public Safety	<u>Subrecipient Monitoring Needs Improvement</u>  Full corrective action has been taken, however awaiting management decision from the U.S. Department of Homeland Security.

\*\* The first two digits denote the June 30 fiscal year-end from whence the finding originated.

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**Corrective Action Plan  
For the Year Ended June 30, 2013**

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-001	Health and Human Services  David Locklear (919) 527-6311  Angie Taylor (919) 707-4006	<p><u>Noncompliance with SNAP Requirements</u></p> <p>The majority of the cases identified with incorrect certification periods were converted from the legacy Food Stamp Information System. In addition, a hard stop validation feature was added to the system to prevent workers from extending the certification period beyond 12 months for regular Food Nutrition Service. As part of the validation, the following message is displayed: You are requesting to edit the certification period. Please ensure that you have reviewed the policy prior to making the updates.</p> <p><i>Anticipated Completion Date:</i> Corrective action completed November 4, 2013.</p> <p>DSS will evaluate the reopen process and submit the appropriate change requirements to NC FAST if this business process is changed.</p> <p><i>Anticipated Completion Date:</i> April 1, 2014.</p> <p>NC FAST program has defined User Acceptance Procedures (UAT) in place that included business stakeholder acceptance before any system change can be released into production. NC FAST has also implemented additional steps based on these findings to clearly provide documented business stakeholder approval.</p> <p><i>Anticipated Completion Date:</i> Corrective action completed December 2013.</p>
2013-002	Public Instruction  Alexis Schauss (919) 807-3700	<p><u>Monitoring Results Not Reported Timely</u></p> <ol style="list-style-type: none"> <li>The Monitoring and Compliance Section's fiscal monitoring policies and procedures are in the process of being revised. Updated policies and procedures will stipulate a reasonable period from the actual on-site visit date for the completion, review and issuance of the final monitoring report as well as include noncompliance consequences for those subrecipients who fail to submit requested corrective action plans within the time allotted.</li> <li>The Department implemented formal monitoring report cover letter and report templates for use with all 2013-2014 fiscal monitoring visits which includes language for potential consequences if the LEA or Charter School fails to respond to the request for a formal response, corrective action plan and documentation when applicable.</li> </ol> <p><i>Anticipated Completion Date:</i></p> <ol style="list-style-type: none"> <li>July 1, 2014</li> <li>Corrective Action was completed in February 2014</li> </ol>



**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-003	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u> See 2013-002 for Corrective Action Plan.
2013-004	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u> See 2013-002 for Corrective Action Plan.
2013-005	Health and Human Services Kim Lovenduski (919) 707-5750	<u>Deficiencies in County Eligibility Determination Processes</u> As follow-up to the findings identified during the audits of the county departments of social services (DSS), the Department immediately researched each of the cases identified as deficient and verified eligibility documentation in twelve (12) of the thirteen (13) cases cited. <ul style="list-style-type: none"> <li>• In six instances related to lack of documentation of proof of identity, State Agency staff was able to verify that this requirement was documented in the WIC Automated Data Processing System (ADPS) on screen 21 in five instances and in one instance on the food instrument receipt.</li> <li>• In five instances related to lack of documentation of the nutrition risk, State Agency staff was able to verify that this requirement was documented in the WIC ADPS on screen 20.</li> <li>• In one instance related to a missing signature ensuring nutrition risk was determined by a competent professional authority, State Agency staff verified the nutrition risk documented in the WIC ADPS on screen 20 was valid.</li> </ul> <p>The State Agency concurs with the finding in one instance; however, documentation of proof of identity was recorded at prior and subsequent client benefit food issuance. Two local WIC agencies responsible for determination of participant eligibility were involved with this finding. Each local WIC agency developed and implemented corrective action plans. The State Agency staff verified that local WIC agency corrective action plans were fully implemented.</p> <p><i>Anticipated Completion Date:</i> Corrective action completed March 3, 2014.</p>
2013-006	Health and Human Services Kathy Sommese (919) 527-6415	<u>Federal Funding Accountability Transparency Act Reporting Not Completed Timely</u> All information has been reported into the federal system for compliance with the Federal Funding Accountability Transparency Act (FFATA). <p>The Department reported the data entry issues to the federal service desk in June 2012 but the issues were not resolved until December 2013.</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		<p>The Division staff will enter required data into Federal Subaward Reporting System (FSRS) as the system allows and will maintain contact with federal partners as necessary to resolve any system issues and will ensure that FFATA reports are submitted timely.</p> <p><i>Anticipated Completion Date:</i> Corrective action completed January 10, 2014.</p>
2013-007	<p>Commerce - Community Assistance</p> <p>Melody Adams (919) 571-4900</p>	<p><u>Inaccurate Cash Management Improvement Act Analysis</u></p> <p>Community Assistance will strengthen its internal controls to ensure that all cash draws and disbursements are included in the year-end report submitted to the Office of the State Controller. Community Assistance will implement the following procedure.</p> <ol style="list-style-type: none"> <li>A. Upon receipt of the CDBG and NSP draw worksheets two verifications of each draw will be performed. <ol style="list-style-type: none"> <li>a. The first verification performed is to ensure the draw amounts correspond to deposits made in Cash Management. The AK07 screen is used to verify the amount and date of the deposit.</li> <li>b. The second verification performed is to ensure the draw(s) match the entries in the Doc ID Logs maintained by the Fiscal Management Accounts Receivable Manager.</li> </ol> </li> <li>B. Once these verifications have been performed and the information matches that which was submitted by Community Assistance an email is sent to Community Assistance indicating concurrence. If the information does not match, Community Assistance will be notified of any differences and a corrected draw worksheet will be sent by Community Assistance to Fiscal Management with the updated information.</li> <li>C. When agreement between Cash Management, the Doc. ID Logs, Community Assistance, and Commerce Fiscal has been achieved the CMIA worksheet and the draw worksheet will be sent by email to Office of the State Controller, Community Assistance, and all concerned.</li> <li>D. The Community Assistance Business Officer and Commerce Fiscal Management staff is responsible for implementing and monitoring this procedure.</li> </ol> <p><i>Anticipated Completion Date:</i> March 10, 2014.</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-008	Commerce - Community Assistance  Melody Adams  (919) 571-4900	<p><u>Inability to Support Compliance with Earmarking Requirements</u></p> <p>Community Assistance will maintain a grants management system to record, monitor and produce required reports to ensure that the amount expended on planning and administrative costs does not exceed 20%. The data contained in the grants management system will be reconciled with Community Assistance accounting records and other systems. The Director, Business Officer and Compliance Section Chief are responsible for implementing and monitoring this procedure.</p> <p><i>Anticipated Completion Date:</i> October 31, 2014.</p>
2013-009	Commerce  John Hoomani  (919) 715-5579	<p><u>Management's Implementation of Corrective Action Was Inadequate</u></p> <p>The Department acknowledges its failure to ensure proper implementation of corrective action plans for past audits. These prior findings occurred during the previous administration, but the Department has new leadership in place and is committed to ensuring that all corrective action plan items for this and previous audits are completed.</p> <p><i>Anticipated Completion Date:</i> October 31, 2014.</p>
2013-010	Commerce - Community Assistance  Melody Adams  (919) 571-4900	<p><u>Lack of Segregation of Duties in the Administration of Grants Management System</u></p> <p>A comprehensive plan is currently under development regarding the Grants Management System (GMS) that will 1) identify the weaknesses of the system and establish new temporary operational procedures to insure proper segregation of duties and data integrity; 2) develop a hiring plan to insure the proper segregation of duties and workload regarding the grants management solution; 3) develop a plan and timeline to adopt a new out-of-the-box (non-proprietary) grant management software solution; 4) verify the validity of the current GMS data and make corrections using formalized change management procedures; 5) migrate the GMS data to the new grant management software solution; and 6) develop a set of formalized policies and procedures regarding proper segregation of duties, data validation, staff training, and system security, and maintenance.</p> <p>Community Assistance will develop and adopt a set of formal policies and procedures that will insure the proper segregation of duties, protect data integrity, implement security protocols, and insure that scheduled software maintenance and updates are conducted. The policies and procedures will be developed according the <i>Statewide Information Security Manual</i> and other best practice.</p> <p><i>Anticipated Completion Date:</i> October 31, 2014.</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-011	Commerce - Community Assistance  Melody Adams  (919) 571-4900	<p><u>Inaccurate Federal Reporting</u></p> <p>Community Assistance will strengthen its internal controls to ensure that reports are prepared in accordance with federal reporting requirements and will implement a grants management system to capture and maintain subrecipient and financial data. Community Assistance will ensure that the data contained in the grants management system is reconciled with Department accounting records and federal reporting.</p> <p>Community Assistance will implement and maintain a new grants management system to record, manage and produce accurate data regarding grant expenditures and cash drawdowns for the Performance Evaluation Report and other compliance reporting requirements. Community Assistance will ensure that data contained in the grants management system is reconciled with the Department's accounting data and other systems. The Director, Compliance Section Chief and Business Officer are responsible for implementing this corrective action.</p> <p>Community Assistance will strengthen its internal controls to ensure that accurate data is collected for and reported in the Section 3 Summary Report. The corrective action will include multiple checkpoints to ensure that subrecipient Annual Performance Report (APR) data are collected and reported accurately. Community Assistance will implement the following procedure:</p> <ol style="list-style-type: none"> <li>A. Staff will run a report from the grants management database to determine which grantees are required to submit Annual Performance Reports and Section 3 Reports.</li> <li>B. As Subrecipient APR reports are received, staff will enter the data into the grants management database.</li> <li>C. A second staff person will validate each subrecipient's APR data entered into the grants management database for completeness and accuracy.</li> <li>D. When all subrecipient APR data has been entered and validated, the Compliance Section Chief will review the compiled subrecipient data prior to its output into the Consolidated Annual Performance Evaluation Report (CAPER) narratives.</li> <li>E. The Compliance Section Chief and Director are responsible for implementing and monitoring this procedure.</li> </ol> <p>Community Assistance will strengthen its internal controls to ensure that all subrecipient awards of \$25,000 and greater are entered into the FSRS (FFATA Sub-Award Reporting System) as required by the Federal Funding</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		<p>Accountability and Transparency Act (FFATA). Community Assistance will implement the following procedure:</p> <ul style="list-style-type: none"> <li>A. As grant awards are issued, the Business Officer will verify the address, Duns Number and Federal Tax ID number of the subrecipient prior to data entry.</li> <li>B. The Business Officer will enter the award information for all subrecipient grant awards of \$25,000 and greater into the FSRS system within the timeframe specified by FFATA guidance.</li> <li>C. The Business Officer will upload the report and print a copy of the upload verification page.</li> <li>D. The Business office will validate the awards entered into FSRS system against the CDBG grant register to check for accuracy.</li> <li>E. One copy of the FSRS report and upload verification page will be provided to the Director and one will be filed by the IDIS/Accounting Clerk.</li> <li>F. The Business Officer and Director are responsible for implementing and monitoring this procedure.</li> </ul> <p><i>Anticipated Completion Date:</i> October 31, 2014.</p>
2013-012	Commerce - Community Assistance Melody Adams (919) 571-4900	<p><u>Deficiencies in Subrecipient Monitoring</u></p> <p>Community Assistance will strengthen its internal controls to ensure that the activities of subrecipients are used for authorized purposes in compliance with laws, regulations and the provisions of contracts or grant agreements and to ensure that performance goals are achieved. Community Assistance will take the following corrective actions to improve subrecipient monitoring.</p> <ul style="list-style-type: none"> <li>A. Community Assistance will revise its subrecipient monitoring plan to identify subrecipients that require more comprehensive monitoring based on identified risk. Subrecipients identified as higher risk will receive additional desk and on-site monitoring reviews throughout the life of the grant.</li> <li>B. Community Assistance will update its Standard Operating Procedure Manual to include: guidance on the types and number of transactions and documents that should be reviewed during monitoring visits and the documentation that should be maintained to support conclusions drawn by monitors and reported on the monitoring checklists.</li> <li>C. Monitoring checklists and other documents will be revised where necessary to add supporting documentation and/or notations of the</li> </ul>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		<p>items received to support the basis for conclusions of sub-recipient compliance requirements.</p> <p>D. The Grants Management Section Chief and Director are responsible for the implementation and monitoring of these corrective actions.</p> <p><i>Anticipated Completion Date: May 30, 2014.</i></p>
2013-013	Public Safety Lorrie Dollar (919) 733-2126	<p><u>Review of Subrecipient Audit Reports Needs Improvement</u></p> <p>The Department will add steps to the sub-recipient audit report review process to include follow-up on findings noted in the audit reports. These additional steps will ensure communication to the subrecipient and timely corrective action. Staff will document the findings and responses within the developed tracking mechanism.</p> <p><i>Anticipated Completion Date: 09/30/2014</i></p>
2013-014	Public Safety Lorrie Dollar (919) 733-2126	<p><u>Review of Subrecipient Audit Reports Needs Improvement</u></p> <p>See 2013-013 for Corrective Action Plan.</p>
2013-015	Commerce John Hoomani (919) 715-5579	<p><u>Management's Implementation of Corrective Action Was Inadequate</u></p> <p>See 2013-009 for Corrective Action Plan.</p>
2013-16	Commerce - Employment Security Ted Brinn (919) 707-1120	<p><u>Deficiencies in Processing and Monitoring of Overpayment Investigations</u></p> <p>We agree with the finding. For fiscal year ending 2013, the Division of Employment Security (DES) identified more than 16,000 cases within the backlog as reported from the BARTS application that required investigation and/or review for potential inappropriate benefit payments. Using the corrective action methods as communicated for fiscal year ending 2012, the Division was able to reduce the backlog by more than 2,000 within fiscal year 2013. Although the Division showed improvement in 2013, the Division recognizes the need to use more automation to more quickly and more accurately address the backlog. Therefore, the Division is currently working with Onpoint Technology to fully maximize and utilize the BARTS application and hope to significantly reduce the backlog to no more than 5000 cases by fiscal year ending 2014. In addition to working with the vendor to improve the use of BARTS, the Division has made some organizational changes to improve the supervision of the unit charged with investigating those that may be receiving unemployment benefits while potentially having active employment as follows:</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		<p>The Benefit Integrity management:</p> <ol style="list-style-type: none"> <li>1. Continues to utilize the aging report to monitor the existing caseload status to assist with efficient use of resources;</li> <li>2. Increase the threshold in business rules to allow more cases to adjudicate automatically through the system (BARTS);</li> <li>3. Train investigators to review the calculations made by BARTS to ensure the accuracy and quality of administrative decisions and assist with appeals;</li> <li>4. Implement the ability to accept credit cards and route claimants to a new Claimant dashboard to more quickly recoup overpayments of benefits.</li> <li>5. Continues to evaluate ways to enhance operations and improve business processes.</li> </ol> <p><i>Anticipated Completion Date: June 30, 2014.</i></p>
2013-017	Commerce - Employment Security  Ted Brinn  (919) 707-1120	<p><u>Inaccurate Federal Reporting</u></p> <p>We agree with the finding. The Division will develop extract files from the Guide application to assist the Benefits Integrity unit in creating the ETA 227 report. These extract files will be made available to the LEAD unit for comparison and review. These extracts will feed into spreadsheets that will calculate both dollars and counts for the ETA 227, thus eliminating human error. This will also reduce the need to tally both counts and dollars manually on notepads, thus allowing for more accurate reporting of the ETA 227. In addition to these changes, the LEAD unit will be required to double check the ETA 227 report entries with Benefits Integrity management before submission to the United States Department of Labor. LEAD and Benefit Integrity management's review will be evidence by appropriate personnel signing off on the report certifying that the report is complete and accurate. Future inaccurate submissions of the ETA 227 report will be reflected in the performance management documents of personnel responsible.</p> <p><i>Anticipated Completion Date: June 30, 2014.</i></p>
2013-018	Commerce  John Hoomani  (919) 715-5579	<p><u>Management's Implementation of Corrective Action Was Inadequate</u></p> <p>See 2013-009 for Corrective Action Plan.</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-019	Commerce - Workforce Solutions  Daniel Giddens (919) 814-0325	<u>Deficiencies in Subrecipient Monitoring</u>  In November 2013, Division of Workforce Solutions staff added questions to address this finding to the Oversight Review Guide, the document used to monitor sub-recipient activities funded through the Workforce Investment Act. While the US Department of Labor has not yet issued a final management decision, USDOL staff has accepted the changes as submitted.  <i>Anticipated Completion Date: November, 2013.</i>
2013-020	Commerce  John Hoomani (919) 715-5579	<u>Management's Implementation of Corrective Action Was Inadequate</u>  See 2013-009 for Corrective Action Plan.
2013-021	Commerce - Workforce Solutions  Daniel Giddens (919) 814-0325	<u>Deficiencies in Subrecipient Monitoring</u>  See 2013-019 for Corrective Action Plan.
2013-022	Commerce  John Hoomani (919) 715-5579	<u>Management's Implementation of Corrective Action Was Inadequate</u>  See 2013-009 for Corrective Action Plan.
2013-023	Commerce- Workforce Solutions  Daniel Giddens (919) 814-0325	<u>Deficiencies in Subrecipient Monitoring</u>  See 2013-019 for Corrective Action Plan.
2013-024	Transportation  Director of Technical Services (919) 707-2900	<u>Justification for Awarding Contracts Not Documented</u>  Since the audited period, a leadership change has been made in the Director of Technical Services position. The Department of Transportation will prepare formal documentation that summarizes the results of the selection committee meetings and outlines the rationale/justification of the selections.  <i>Anticipated Completion Date: March 1, 2014.</i>
2013-025	Transportation  Chief Engineer (919) 707-2500	<u>Quality Assurance Procedures Not Followed</u>  This finding is the result of transitioning to a new Independent Assurance Program in partnership with the North Carolina Federal Highway Administration (FHWA). In 2012, the Department designed a new approach that is allowed by the Code of Federal Regulations. FHWA encouraged the Department to pilot this approach before full implementation. In April 2013,



**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		<p>the Department began the pilot and was to continue the previously FHWA approved approach. However, the approach in effect for fiscal 2013 was not always properly performed.</p> <p>NCDOT received concurrence from FHWA to fully implement the new approach effective in January 2014. With this new approach, the Materials and Tests Unit has a technician who is responsible for monitoring all Independent Assurance activities and notifies the field employees and their management of the status of compliance through formal reports sent via email monthly (office specific) and quarterly (overall effectiveness). The target for calendar year 2014 is to assess 80 percent of all actively testing technicians.</p> <p>The Department will institute a formal policy defining the expected training and qualifying laboratory technicians inclusive of the expected documentation process. In December 2013, a portion of the personnel (nine technicians) responsible for compressive strength testing received training and obtained the American Concrete Institute's Concrete Strength Testing Technician Level I certification. Another session will be held in March 2014 to certify ten of the remaining technicians. Others will be trained in the same fashion as needed. This exceeds the requirements of the CCRL for this particular test. Also, the five Materials and Tests Regional laboratories will obtain AMRL and CCRL accreditation by December 31, 2014. This will ensure that annual documentation of the testing protocol/procedure by each technician is maintained properly.</p> <p><i>Anticipated Completion Date:</i> Fully implemented by December, 2014</p>
2013-026	Transportation Deputy Secretary of Transit (919) 707-2800	<p><u>Certified Payrolls Not Obtained</u></p> <p>The Rail Division will ensure certified payrolls for contractors and sub-contractors will be obtained and that the certified payrolls will be reviewed by a DOT employee.</p> <p><i>Anticipated Completion Date:</i> March 1, 2014</p>
2013-027	Transportation Deputy Secretary of Transit (919) 707-2800	<p><u>Buy-American Provisions Excluded From Contract Terms</u></p> <p>There were four contracts issued without the Buy American provision for rail station projects. As the Piedmont Improvement Program developed, with coordination between the Rail Division and Contract Standards and Development, all other contracts included the Buy American provision. Measures are in place to ensure the provision will be in all contracts going forward.</p> <p>The Rail Division has informed the Federal Railroad Administration (FRA). A memo will be prepared outlining the affected projects and the approximate dollar values of the applicable materials per project. The</p>

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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		memo will be copied to FRA's attention with a request for guidance on additional information and approval requirements.
		<i>Anticipated Completion Date:</i> March 31, 2014
2013-028	Transportation  Director of Technical Services  (919) 707-2800	<u>Justification for Awarding Contracts Not Documented</u>  Since the audited period, a leadership change has been made in the Director of Technical Services position. The Department of Transportation will prepare formal documentation that summarizes the results of the selection committee meetings and outlines the rationale/justification of the selections.  <i>Anticipated Completion Date:</i> March 1, 2014
2013-029	Transportation  Deputy Secretary of Transit  (919) 707-2800	<u>Federal Reports Contained Errors</u>  The difference in federal reports (OMB 1512 vs. SF-425) was due to timing issues and the Department's use of different financial reports capturing reimbursements during a given period of time. Due to the timing, OMB 1512 was created prior to the completion of the month-end closeout and the SF-425 was created after completion of the month-end closeout. The Rail Division has implemented a procedure to create monthly snapshots of information that will be archived for future reference. The Rail Division has implemented a procedure to utilize the Department's preferred query to help ensure federal reports are properly created.  <i>Anticipated Completion Date:</i> January 31, 2014
2013-030	Transportation  Deputy Secretary of Transit  (919) 707-2800	<u>Review of Subrecipient Applications Not Always Documented</u>  In order to provide better electronic retention capability for future Application Checklists, they will be attached to the Partner Connect System. Once a grant application is reviewed and approved by the respective program managers, it will be attached to the grant award record.  The Program Manager will review that all information is complete in the process. Assistant Directors have also been charged with properly training the Mobility Development Specialists (MDS) and ensuring that all documentation can be found in Partner Connect and placed in the appropriate files.  <i>Anticipated Completion Date:</i> April 1, 2014
2013-031	Transportation  Deputy Secretary of Transit	<u>Subrecipient Data in Federal Reports Not Reviewed</u>  The results from a report from the Institute for Transportation Research and Education (ITRE) contained information that could not be reconciled to the DOT financials system and the local auditing process. Public

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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
	(919) 707-2800	<p>Transportation will reinstitute a review process to ensure accurate data reporting inclusive of the operating data for federal compliance. The Deputy Director will approve the final financial data prior to submittal.</p> <p><i>Anticipated Completion Date:</i> Changes will be fully implemented by September 30, 2014</p>
2013-032	Transportation Deputy Secretary of Transit (919) 707-2800	<p><u>Schedule of Expenditures of Federal Awards Not Accurate</u></p> <p>Cameras and security equipment were purchased by NCDOT purchase orders during the fiscal year for each subrecipient. This was an unusual situation but deemed a quicker solution in order to collectively purchase the items rather than each subrecipient procuring the items. The expense was not identified or communicated as subrecipient related cost. An adjusting entry has been made to correct the reporting of this purchase and new general ledger codes have been established for this type of activity.</p> <p><i>Anticipated Completion Date:</i> March 1, 2014</p>
2013-033	Transportation Deputy Secretary of Transit (919) 707-2800	<p><u>Subrecipient Monitoring Not Adequately Performed</u></p> <p>Recognizing that issues existed, there has been a change in the leadership position of the area. The Public Transportation Division is creating a plan to conduct on-site compliance reviews. The on-site reviews will include items to assist meeting A-133 audit requirements (including sampling the documentation that supports the invoices). In addition, all subrecipients will be required to attend a webinar to understand their legal responsibilities when receiving federal funds prior to future grant awards.</p> <p><i>Anticipated Completion Date:</i> Efforts are underway but cannot be fully accomplished in FY14 since it is late in the fiscal year. NCDOT anticipates being complete by December 2014.</p>
2013-034	Environment and Natural Resources Rex Whaley (919) 707-8561	<p><u>Federal Funds Expended After the Period of Availability</u></p> <p>The Clean Water State Revolving Fund had unspent ARRA administrative funds and had requested a 3-month extension from EPA to clarify if these funds could be used for administrative expenses in the base program or if it should be applied to projects. Due to the pending extension, administrative expenses continued to be posted to the ARRA fund. Consequently, EPA chose to deny the extension and requested that all ARRA funding be closed out. Due to the extension denial, the liquidation period was established and the \$15,512 expensed and not reversed. Subsequently, EPA allowed us to move the remaining unspent administrative funds to the base program (of which the \$15,512 would have been a part of had they been reversed).</p> <p><i>Anticipated Completion Date:</i> June 30, 2014 pending EPA approval.</p>

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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-035	Environment and Natural Resources  Rex Whaley (919) 707-8561	<p><u>Monitoring of Subrecipient Cash Management Needs Improvement</u></p> <p>The Department has strengthened sub-recipient monitoring by implementing procedures to ensure that cash management requirements are being met. DENR internal audit unit implemented procedures in January 2014 to monitor sub-recipient cash management for the 2013-2014 fiscal year. Monitoring procedures included using random sampling methodology to create a sample from the population of sub-recipients of the Clean Water State Revolving Fund. The sub-recipients were contacted by DENR internal audit staff and were asked to provide documentation of the timing of funds received and documentation of the timing of project expenditures. Additionally, DENR internal audit staff observed internal records regarding the distribution of funds to sub-recipients. Sub-recipients were asked for documentation for transactions occurring between July 1, 2013 - December 31, 2013. DENR internal audit will continue to implement procedures annually to provide continuous monitoring of sub-recipient cash management to ensure that funds are expended in accordance with the cash management requirements communicated in the Offer and Acceptance document.</p> <p><i>Anticipated Completion Date:</i> Annually, by March 31<sup>st</sup> of each year.</p>
2013-036	Environment and Natural Resources  Rex Whaley (919) 707-8561	<p><u>Federal Funds Used for Unallowable Activity</u></p> <p>All transactions involving the \$1,652,657 from the Drinking Water State Revolving Fund have been reversed. At the time, staff understood that this "refinancing" was acceptable. However, in lieu of this direct reallocation, the projects could have been reallocated to ARRA funding (all projects met the ARRA conditions). Since this issue is unique to ARRA which is now closed, it will not reoccur.</p> <p><i>Anticipated Completion Date:</i> October, 2013.</p>
2013-037	Environment and Natural Resources  Rex Whaley (919) 707-8561	<p><u>Subrecipient Monitoring Needs Improvement</u></p> <p>The monitoring plan was intended to provide guidance to division staff rather than a requirement; however, this was not clearly indicated in the plan. The monitoring plan has been updated to more clearly define the inspection protocol.</p> <p><i>Anticipated Completion Date:</i> February, 2014.</p> <p>The Department has strengthened sub-recipient monitoring by implementing procedures to ensure that cash management requirements are being met. DENR internal audit unit implemented procedures in January 2014 to monitor sub-recipient cash management for the 2013-2014 fiscal year. Monitoring procedures included using random sampling methodology to create a sample from the population of sub-recipients of the Drinking Water State Revolving Fund. The sub-recipients were contacted</p>

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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		<p>by DENR internal audit staff and were asked to provide documentation of the timing of funds received and documentation of the timing of project expenditures. Additionally, DENR observed internal records regarding the distribution of funds to sub-recipients. Sub-recipients were asked for documentation for transactions occurring between July 1, 2013 - December 31, 2013. DENR internal audit will continue to implement procedures annually to provide continuous monitoring of sub-recipient cash management to ensure that funds are expended in accordance with the cash management requirements communicated in the Offer and Acceptance document.</p> <p><i>Anticipated Completion Date:</i> Annually, by March 31<sup>st</sup> of each year.</p> <p>Although contractors were being monitored for suspensions and/or debarments, the Department did not previously check for this certification regarding engineers. The "Owner DBE Checklist" has now been updated to require this certification.</p> <p><i>Anticipated Completion Date:</i> February, 2014.</p>
2013-038	Public Instruction Alexis Schauss (919) 807-3700 Sarah Harris (919) 807-3636	<p><u>Noncompliance with Cash Management Requirements</u></p> <ul style="list-style-type: none"> <li>a. The Department's Monitoring and Compliance Section is in the process of developing effective policies and procedures for monitoring for LEA compliance and appropriate notification, consequences, etc. for identified instances of noncompliance. In addition, procedures for on-site fiscal monitoring are being revised.</li> <li>b. The Department will develop a year end accounting procedure to ensure that excess cash on hand is disbursed within three business days or returned to the appropriate federal awarding agency.</li> </ul> <p><i>Anticipated Completion Date:</i></p> <ul style="list-style-type: none"> <li>a. July 1, 2014</li> <li>b. Fiscal Year ending June 30, 2014</li> </ul>
2013-039	Public Instruction Alexis Schauss (919) 807-3700	<p><u>Noncompliance with Period of Availability Requirements</u></p> <ul style="list-style-type: none"> <li>a. The Department's Monitoring and Compliance Section is in the process of developing effective policies and procedures to monitor period of availability requirements and ensure compliance as well as identify appropriate methods of notification of required reductions for identified instances of noncompliance.</li> </ul> <p><i>Anticipated Completion Date:</i></p> <ul style="list-style-type: none"> <li>a. July 1, 2014</li> </ul>

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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-040	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u>  See 2013-002 for Corrective Action Plan.
2013-041	Public Instruction Donna Brown (919) 807-3443	<u>Deficiencies in Title I Program Monitoring</u> <ol style="list-style-type: none"> <li>The Department's Federal Program Monitoring and Support Division initiated an internal review of the Title I subrecipient monitoring procedures including documentation to support subrecipient monitoring.</li> <li>The Department implemented procedures to include organizing documentation in files for planning, fieldwork, reporting, and maintenance of files in Dropbox; revising the monitoring instrument adding a section to provide detailed documentation of evidence reviewed and recommendations made; developing common practices and providing staff training for documenting review; and, revising the monitoring report to include additional information and space for action taken and final status.</li> <li>The Title I monitoring staff were trained on monitoring and documentation procedures.</li> <li>The Federal Program Monitoring and Support Division will maintain all documentation, including tracking forms, in a web-based format that will provide management with easy access to monitoring processes and procedures throughout the subrecipient monitoring process</li> </ol> <p><i>Anticipated Completion Date:</i></p> <ol style="list-style-type: none"> <li>Corrective action was taken in Fiscal Year 2012-2013</li> <li>Corrective action was taken in Fiscal Year 2012-2013</li> <li>Corrective action was taken in August and September of 2013</li> <li>Ongoing</li> </ol>
2013-042	Public Instruction Alexis Schauss (919) 807-3700 Sarah Harris (919) 807-3636	<u>Noncompliance with Cash Management Requirements</u>  See 2013-038 for Corrective Action Plan.
2013-043	Public Instruction William Hussey (919) 807-3969	<u>Deficiencies in Special Education Cluster Monitoring</u> <ol style="list-style-type: none"> <li>The Department's Exceptional Children's Division will put cross-check procedures in place to ensure that monitoring reports are reviewed and each subrecipient receives a monitoring report. These</li> </ol>

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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		cross-check procedures will include a procedure to ensure that routing forms are completed and retained to document the status of the monitoring process.
		<i>Anticipated Completion Date:</i>
		a. July 1, 2014
2013-044	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u>  See 2013-002 for Corrective Action Plan.
2013-045	Elizabeth City State University Rachael Haines (252) 335-3787	<u>Lack of Controls Over Federal Suspension and Debarment Verification</u>  The University concurs and the Purchasing Office has checked the federal list at SAM.gov for all purchase orders \$25,000 and above from the period of October 1, 2011 through September 30, 2013 for all federal awards and found no vendors with exclusions. Procedures for setting up new vendors were put in place June 17, 2013. These procedures included checking the database prior to setting up the vendor, but did not include a method for documenting the database was checked.  Effective June 17, 2013, purchase requisitions \$25,000 and above, using federal funds, are checked using SAM.gov. Effective December 20, 2013, comments are now made in the requisition file to document verification. This procedure has been improved to include not only comments, but a screen shot from the database that is attached to the requisition.  <i>Anticipated Completion Date:</i> Corrective action was completed on December 20, 2013.
2013-046	Fayetteville State University Yolanda Bonnette (910) 672-2642	<u>Cash Management Controls Need Improvement</u>  The Contracts and Grants office concurs with the finding. Going forward the Contracts and Grants office will ensure that all draws are done at least monthly to coincide with the monthly payroll schedule. Attached to the draw request will be documentation of all expenditures for the requested draw, such as, a reconciliation of revenue to expenditures for each fund related to the draw request. The Director of Contracts and Grants is currently in the process of reassigning this function to another staff member and will monitor the process to make sure that the draws are done timely and accurately.  <i>Anticipated Completion Date:</i> 03/31/2014.

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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-047	Fayetteville State University Sophia Long (910) 672-2515	<p><u>Expenditures Understated on Annual Performance Report</u></p> <p>Title III Coordination office concurs with the finding. To ensure that expenses used in the APR are those reported for the fiscal year of the grant, the Office of Title III in collaboration with the Office of Contracts and Grants has hired an accounting technician to support the Title III Office. This position will provide assistance to the Title III Office by performing various duties to include technical and administrative accounting, examining budget estimates for completeness and accuracy, and providing all financial reporting for applications and reports due to the Federal Department of Education and other external and internal offices.</p> <p><i>Anticipated Completion Date: 01/27/2014</i></p>
2013-048	Public Instruction Alexis Schauss (919) 807-3700 Sarah Harris (919) 807-3636	<p><u>Noncompliance with Cash Management Requirements</u></p> <p>See 2013-038 for Corrective Action Plan.</p>
2013-049	Public Instruction Jo Anne Honeycutt (919) 807-3764 Sarah Harris (919) 807-3636	<p><u>Noncompliance with Maintenance of Effort Requirements</u></p> <ul style="list-style-type: none"> <li>a. The Department will continue to review and analyze the level of effort on a quarterly basis.</li> <li>b. A formal review and discussion regarding the level of effort will be scheduled annually with the North Carolina Community College System.</li> <li>c. If the circumstances related to the level of effort noncompliance meet the Perkins Act waiver requirements, the Department will request a waiver.</li> </ul> <p><i>Anticipated Completion Date:</i></p> <ul style="list-style-type: none"> <li>a. Ongoing</li> <li>b. Fiscal Year ending June 30, 2014</li> <li>c. Ongoing</li> </ul>
2013-050	Public Instruction Alexis Schauss (919) 807-3700	<p><u>Monitoring Results Not Reported Timely</u></p> <p>See 2013-002 for Corrective Action Plan.</p>



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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-051	James Sprunt Community College  Tamara Glaspie (910) 296-2503	<p><u>Weakness in the Student Financial Aid Application Verification Process</u></p> <p>The financial aid staff has been re-trained by the Financial Aid Director in the verification process. The College has implemented quarterly quality assurance reviews that will be performed on five percent of the students selected for verification to ensure that financial aid staff responsible for verification is using all information obtained during verification to update financial aid awards properly, and awarding students in accordance with federal regulations. This review will be performed by the Financial Aid Director to ensure that all student application data is obtained, verified, and filed in accordance with program requirements for central processor selected students.</p> <p><i>Anticipated Completion Date:</i> Corrective action was implemented on January 13, 2014.</p>
2013-052	Health and Human Services  Patricia Harper (919) 855-3592	<p><u>Errors in Claims Payment Process</u></p> <p>The Department will continue to enhance its control procedures to ensure that all claims are documented, processed and paid properly. Additional efforts are being made within the Department to ensure the payment tables reflect updated medical rates in the legacy claims processing system.</p> <p>The Department will continue efforts to implement a replacement claims processing system, BEAM, that will improve accuracy and eliminate errors that are attributed to an inconsistent payment methodology applied to Medicaid and/or other departmental pricing policies. The replacement claims processing system will also have the functionality to ensure that services are properly documented and authorized in the participants' plan before payments are issued.</p> <p>In addition, collections and/or payments of the identified over and underpaid claims will be made timely and appropriately if substantiated.</p> <p><i>Anticipated Completion Date:</i> June 30, 2014.</p>
2013-053	Health and Human Services  Patricia Harper (919) 855-3592	<p><u>Deficiencies in Participant Eligibility Determinations</u></p> <p>The Department will investigate the case files identified as deficient and appropriately resolve them if substantiated.</p> <p>The Department will continue efforts to strengthen internal controls to ensure that eligibility determination is made within required timeframes and all applicable eligibility information is maintained in files including evidence of required signatures. In addition, Division management will have greater accountability in monitoring the timeliness of eligibility requirements through system generated reports. During random site visits, Quality Assurance staff will conduct internal reviews focusing on the timeliness of eligibility</p>

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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		decisions, review for applicable parent consent signatures and required eligibility documentation maintained in the case files.
		Anticipated Completion Date: June 30, 2014.
2013-054	Public Instruction Alexis Schauss (919) 807-3700 Sarah Harris (919) 807-3636	<u>Noncompliance with Cash Management Requirements</u>  See 2013-038 for Corrective Action Plan.
2013-055	Public Instruction William Hussey (919) 807-3969	<u>Deficiencies in Special Education Cluster Monitoring</u>  See 2013-043 for Corrective Action Plan.
2013-056	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u>  See 2013-002 for Corrective Action Plan.
2013-057	James Sprunt Community College Tamara Glaspie (910) 296-2503	<u>Weakness in the Student Financial Aid Application Verification Process</u>  See 2013-051 for Corrective Action Plan.
2013-058	North Carolina Agricultural & Technical State University Sherri M. Avent, (336) 334-7973	<u>Improvements Needed in Direct Loan Notification Process</u>  The University designed and implemented a control to ensure that the notifications of Direct Loan disbursements are sent in conjunction with the loan funds crediting the students' accounts. Additional criteria were added to the report used to capture the Direct Loan recipients.  <i>Corrective action was completed on: May 16, 2013</i>
2013-059	NC State University Louis Hunt (919) 515-2572	<u>Untimely Notice to Lenders of Changes in Enrollment Status</u>  The Office of Registration and Records has amended the enrollment reporting schedule utilized by the National Student Clearinghouse to report student enrollment statuses. The Clearinghouse reporting schedule has been revised to include submission of an enrollment report the day after the end of each term. The end of the term is defined as the end of final exams for that term.  <i>Corrective Action Completed On: May 2013 for Summer Sessions 2013</i>

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<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-060	Public Instruction Alexis Schauss (919) 807-3700 Sarah Harris (919) 807-3636	<u>Noncompliance with Cash Management Requirements</u>  See 2013-038 for Corrective Action Plan.
2013-061	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u>  See 2013-002 for Corrective Action Plan.
2013-062	Public Instruction Alexis Schauss (919) 807-3700 Sarah Harris (919) 807-3636	<u>Noncompliance with Cash Management Requirements</u>  See 2013-038 for Corrective Action Plan.
2013-063	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u>  See 2013-002 for Corrective Action Plan.
2013-064	Public Instruction Alexis Schauss (919) 807-3700 Sarah Harris (919) 807-3636	<u>Noncompliance with Cash Management Requirements</u>  See 2013-038 for Corrective Action Plan.
2013-065	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u>  See 2013-002 for Corrective Action Plan.
2013-066	Public Instruction Alexis Schauss (919) 807-3700 Sarah Harris (919) 807-3636	<u>Noncompliance with Cash Management Requirements</u>  See 2013-038 for Corrective Action Plan.

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<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-067	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u>  See 2013-002 for Corrective Action Plan.
2013-068	Public Instruction Alexis Schauss (919) 807-3700  Sarah Harris (919) 807-3636	<u>Noncompliance with Cash Management Requirements</u>  See 2013-038 for Corrective Action Plan.
2013-069	Public Instruction Alexis Schauss (919) 807-3700	<u>Subrecipients Not Notified of Budget Overruns</u>  a. The Monitoring and Compliance Section's fiscal monitoring policies and procedures are in the process of being revised. Updated procedures will incorporate procedures to ensure adequate monitoring of budget vs expenditures for applicable programs, time notification to subrecipients of identified budget overruns, implementation of a tracking mechanism for notifications and verification of the subrecipients' corrective action taken.
<i>Anticipated Completion Date:</i>		
a. July 1, 2014		
2013-070	Public Instruction Alexis Schauss (919) 807-3700	<u>Monitoring Results Not Reported Timely</u>  See 2013-002 for Corrective Action Plan.
2013-071	Health and Human Services Johnice Tabron (919) 527-6312	<u>Deficiencies in County Eligibility Determination Processes</u>  The Department has required county departments of social services to develop Corrective Action Plans (CAPs) to address all reported issues to ensure compliance with program policy. In addition, the assigned Work First Program Consultant (WFPC) will conduct onsite case file reviews at each county identified with findings to ensure that the CAPs are implemented, errors are corrected as necessary, and any overpayments are recouped. During onsite visits, the WFPC will perform a random testing of case files to ensure files are appropriately maintained with all required documentation by the county department of social services offices.

*Anticipated Completion Date:* June 30, 2014.

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For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-072	Health and Human Services  Kevin Kelley (919) 527-6401	<p><u>Deficiencies in County Eligibility Determination Processes</u></p> <p>The Department will continue to provide Title IV-E training to the county departments of social services (DSS). Training will include monthly technical assistance webinars with DSS staff to ensure that policies and procedures are put in place or reinforced to ensure required documentations is obtained and maintained in the case file. In addition, Department programmatic and budget staff will collaborate to follow-up on DSS corrective action plans and fiscal adjustments to recoup questioned cost as appropriate.</p> <p><i>Anticipated Completion Date:</i> October 1, 2014.</p>
2013-073	Health and Human Services  Kevin Kelley (919) 527-6401	<p><u>Deficiency in Subrecipient Monitoring</u></p> <p>The Department will identify an independent existing position to assume the responsibility of providing quality assurance for the eligibility monitoring process. All monitoring tools will be reviewed to ensure they are complete and adequately documented to support the federal eligibility requirements prior to sending results to the county departments of social services.</p> <p><i>Anticipated Completion Date:</i> June 30, 2014.</p>
2013-074	Health and Human Services  Jeff Horton / Linda Marsh (919) 814-0134	<p><u>Errors in Provider Billing and Payment Process</u></p> <p>The Department's Division of Medical Assistance (DMA) will investigate the twenty-eight (28) claims cited to determine which claims were paid in error and which claims can be resolved by obtaining additional documentation from providers. DMA will also follow-up on any over and underpaid paid claims to ensure appropriate collection or payment is made.</p> <p>The Division of Medical Assistance (DMA) will enhance its efforts to educate providers about proper documentation to support the medical necessity and coding of services billed to Children's Health Insurance Program. DMA will implement a training module for providers to ensure proper coding and required documentation. DMA will provide ongoing communication via Medicaid Bulletins and other communication venues to enhance the education and training. DMA will evaluate proposed amendments to G.S. 108C to strengthen requirements for providers to submit documentation to support medical necessity and coding of services billed to Children's Health Insurance Program.</p> <p><i>Anticipated Completion Date:</i> December 31, 2014.</p>
21013-075	Health and Human Services  Christine Coffey (919) 855-4064	<p><u>Deficiencies in County Eligibility Determination Processes</u></p> <p>The Department will obtain and review case specific information relating to each finding from the county audits. Upon review of the documentation, the Department will require the relevant county departments of social services</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		to formulate specific corrective action plans to adequately address all areas of deficiencies and take steps to verify its implementation by the county departments of social services.
		<i>Anticipated Completion Date:</i> December 31, 2014.
2013-076	Health and Human Services  Jeff Horton / Linda Marsh  (919) 814-0134	<p><u>Errors in Provider Billing and Payment Process</u></p> <p>The Department's Division of Medical Assistance (DMA) investigated the sixty-five (65) claims cited to determine which claims were paid in error and which claims could be resolved by obtaining additional documentation from providers. DMA will perform follow-up on any over and underpaid paid claims to ensure appropriate collection or payment is made.</p> <p>DMA will enhance its efforts to educate providers about proper documentation to support the medical necessity and coding of services billed to Medicaid. DMA will implement a training module for providers to ensure proper coding and required documentation. DMA will provide ongoing communication via Medicaid Bulletins and other communication venues to enhance education and training.</p> <p>DMA will evaluate proposed amendments to G.S. 108C to strengthen requirements for providers to submit documentation to support medical necessity and coding of services billed to Medicaid.</p> <p><i>Anticipated Completion Date:</i> December 31, 2014</p>
2013-077	Health and Human Services  Roger Barnes  (919) 855-4109	<p><u>Medicaid Disproportionate Share Hospital Payments Made Incorrectly</u></p> <p>The Department's Division of Medical Assistance (DMA) reviewed issues identified during the audit for the State Fiscal Year (SFY) 2013 disproportionate share hospital (DSH) model and made the appropriate corrections during the first quarter of SFY 2014.</p> <p>DMA will enhance current procedures as necessary to ensure that hospital documentation is reviewed timely and ensure accurate DSH calculations and payments. The DSH model will be reviewed periodically and adjusted as necessary.</p> <p><i>Anticipated Completion Date:</i> December 31, 2014.</p>
2013-078	Health and Human Services  David Holoman  (919) 855-4226	<p><u>Deficiencies in the Eligibility System Interface Process</u></p> <p>The Department will review the process by which private insurance data is transferred from the eligibility system to the claims processing system. The Department will document the measures taken to ensure that the data is reviewed on an on-going basis and that Medicaid is the payer of last resort.</p> <p><i>Anticipated Completion Date:</i> April 30, 2014.</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
2013-079	Health and Human Services  Christine Coffey (919) 855-4064	<p><u>Deficiencies in County Eligibility Determination Processes</u></p> <p>The Department will obtain and review case specific information relating to each finding from the county departments of social services' audits. Upon review of the documentation, the Department will require the relevant county departments of social services to formulate specific corrective action plans to adequately all address all areas of deficiencies and take steps to verify its implementation by the county department of social services office.</p> <p>The Department will evaluate the effectiveness of the policy training globally and evaluate focused training needed by specific county departments of social services based on the counties' case findings. The Department will request all county departments of social services to review their internal compliance review processes for case monitoring to identify errors and processes to prevent future errors at the county level.</p> <p><i>Anticipated Completion Date:</i> December 31, 2014.</p>
2013-080	Health and Human Services  Jeff Horton / Linda Marsh (919) 814-0134	<p><u>Deficiencies with Program Integrity Functions</u></p> <p>The Department's Division of Medical Assistance's Program Integrity Unit (PI) will review its case tracking database procedures with staff to ensure accurate tracking of case investigations. In addition, PI will continue to conduct monthly quality assurance reviews of case tracking. The existing case tracking system will be upgraded to allow for more comprehensive tracking of cases and reporting.</p> <p><i>Anticipated Completion Date:</i> Ongoing</p>
2013-081	Health and Human Services  Denise Travis (919) 855-4061	<p><u>Deficiencies in Provider Enrollment and Termination Processes</u></p> <p>The Division of Medical Assistance (DMA) and the Office of MMIS Services (OMMISS) will perform the following actions:</p> <ul style="list-style-type: none"> <li>• Identify the potential root cause(s) of the issues described in the finding which will include assessing the current processes and procedures employed by the contracted service provider ("contractor") for completeness, accuracy and appropriateness.</li> <li>• Document any instances where the contractor may have failed to follow existing processes/procedures in a separate corrective action plan and follow up to ensure completion. The Department will also advise the contractor of any deficiencies necessary revisions to processes/procedures.</li> </ul> <p>DMA and OMMISS will also review monitoring controls over the eligibility and termination processes utilized by the service provider. As required, the</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		agencies will enhance controls to ensure any issues encountered are addressed and resolved with the contractor on a timely basis.  <i>Anticipated Completion Date:</i> May 31, 2014.
2013-082	Health and Human Services  John Peebles (919) 715-6737	<u>Untimely Use of Rebates</u>  Effective March 1, 2014, the Department implemented procedures to ensure appropriate disbursement of rebates. Upon receipt of drug invoices, budget staff determines the availability of rebates as reflected in the North Carolina Accounting System (NCAS). If available, rebates are used for disbursements prior to the use of federal funds.  <i>Anticipated Completion Date:</i> March 1, 2014.
2013-083	Health and Human Services  Allen Hawks (919) 707-5076	<u>Deficiencies in Eligibility Determinations</u>  The Department will continue to conduct a quarterly, random sampling of records to assure that all required information is maintained in client files until the Division of Public Health and the Division of Medical Assistance have implemented a process to ensure that participants eligible for Medicaid will not receive treatments funded by the HIV CARE Formula Grant. The amount of any questioned costs will be verified and repaid to the federal agency as appropriate.  <i>Anticipated Completion Date:</i> May 31, 2014.
2013-084	Health and Human Services  Wanda Mitchell (919) 733-7013	<u>Noncompliance with DUNS Number Requirement</u>  Effective June 1, 2013, all LME/MCO contracts, with the exception of one contract, were amended to include the requirement to obtain a valid DUNS number prior to the time of sub-award. The contract amendment between the one remaining contract and DMH/DD/SAS is currently in negotiation. The Department will include the DUNS number requirement in all contracts entered into with the LME/MCOs in subsequent periods.  <i>Anticipated Completion Date:</i> June 30, 2014.
2013-085	Health and Human Services  Wanda Mitchell (919) 733-7013  Sandee Resnick (919) 981-2580	<u>Monitoring Procedures Need Improvement</u>  The Department revised its Local Management Entities (LMEs)/ Managed Care Organizations (MCOs) fiscal monitoring procedures and guidelines for conducting settlement reviews effective January 2014; to include the requirement for a corrective action plan for all deficiencies found during the LME/MCO fiscal settlement reviews. The process followed will be in accordance with the procedures and timelines in the DMH/DD/SAS Plan of



**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		<p>Correction policy, <a href="http://www.ncdhhs.gov/mhddsas/providers/POC/poc-policy.pdf">http://www.ncdhhs.gov/mhddsas/providers/POC/poc-policy.pdf</a>.</p> <p><i>Anticipated Completion Date:</i> Corrective action completed January 2014.</p> <p>Staff has been informed to complete each item on all monitoring tools regardless of the eligibility criteria previously determined. In addition, the Department will implement an electronic audit tool to require monitoring worksheets to be complete before the related question on the tool can automatically rate criteria as Met or Not Met.</p> <p><i>Anticipated Completion Date:</i> April 15, 2014.</p>
2013-086	Public Safety Michael Sprayberry (919) 825-2291	<p><u>Deficiencies in Federal Reporting</u></p> <p>The Department will report Public Assistance disaster subawards of \$25,000 or more in the federal reporting system, as required under the Federal Funding Accountability and Transparency Act (FFATA). All awards that have been listed by out Grantor will be updated monthly to ensure that reports are accurately prepared and submitted in accordance with federal reporting requirements.</p> <p><i>Anticipated Completion Date:</i> 06/30/2014</p>
2013-087	Public Safety Lorrie Dollar (919) 733-2126	<p><u>Review of Subrecipient Audit Reports Needs Improvement</u></p> <p>See 2013-013 for Corrective Action Plan.</p>
2013-088	NC State University Jeffrey Cheek (919) 513-2148	<p><u>Lack of Controls Over Required Reporting of Federal Grant Data</u></p> <p>The Office of Sponsored Programs and Regulatory Compliance Services has developed a process to formally verify successful completion and oversight of the FFATA monthly reporting process, including procedures that will prevent a failure to submit a required report, a late submission of a required report, and/or a submission of a report with inaccurate information. The control adds to our existing process by having a member of SPARCS management team review the report prior to on-line submission for timeliness and accuracy and documenting said review and approval prior to the monthly submission deadline.</p> <p><i>Corrective Action Completed On:</i> February 2014 for the January 2014 reporting deadline.</p>
2013-089	University of North Carolina Chapel Hill Janet Rupert (919) 843-5098	<p><u>Internal Controls Over Equipment Need Improvement</u></p> <p>Inventory records have been updated for the three pieces of equipment identified in the audit.</p>

**CORRECTIVE ACTION PLAN**

For the Fiscal Year Ended June 30, 2013

<b>Finding Number</b>	<b>Department/ Contact Person/ Phone Number</b>	<b>Corrective Action Planned</b>
		<p>The Capital Asset Manager has communicated to the respective laboratory and departments regarding their obligation to track decaled items and to timely notify Asset Management regarding any permanent change in location or status of an item.</p> <p>The Capital Asset Manager will send a communication to campus reminding departments of the obligation to provide timely notification to Asset Management when there is a permanent change in location of a decaled equipment item or whenever an equipment item is lost, stolen, traded-in, scrapped or transferred to another institution.</p> <p><i>Anticipated Completion Date: 4/30/2014.</i></p>
2013-090	University of North Carolina Chapel Hill  Vanessa Peoples (919) 962-4676	<p><u>Internal Control Deficiencies Related to Subrecipient Audit Findings</u></p> <p>Effective with the FY12 subrecipient annual audit report request, the Office of Sponsored Research has implemented a process to issue management decision letters to subrecipients within six months after receipt of the subrecipients' audit report which includes weaknesses. The letter notifies each subrecipient that the review has been completed and identifies (as needed) any additional corrective action necessary.</p> <p><i>Corrective action was completed on 11/15/2013.</i></p>
2013-091	University of North Carolina Chapel Hill  Vanessa Peoples (919) 962-4676	<p><u>For-Profit Subrecipient Monitoring Not Adequate</u></p> <p>The Office of Sponsored Research will expand its post award audit process to review a larger sample of for profit invoices. In addition, a mini audit questionnaire will be implemented to assist with reviewing the for profit subrecipients internal control mechanisms (cash management, audits, procurement, payroll management, property management etc), as well as allow further evaluation of the subrecipients ability to comply with applicable requirements as stated in the subrecipient contract.</p> <p><i>Anticipated Completion Date: 5/31/2014.</i></p>

## ORDERING INFORMATION

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Copies of this report may be obtained by contacting the:

Office of the State Auditor  
State of North Carolina  
2 South Salisbury Street  
20601 Mail Service Center  
Raleigh, North Carolina 27699-0601

Telephone: 919-807-7500

Facsimile: 919-807-7647

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For additional information contact:

Bill Holmes  
Director of External Affairs  
919-807-7513

The planning and compilation of the statewide federal compliance procedures for the *Single Audit Report* required 704 audit hours at an approximate cost of \$53,504.

The hours and related costs of audits at individual state entities to support the independent auditor's reports contained herein are included in audit reports issued for those entities.