

Cybersecurity Controls Over Critical Systems

Office of the Commissioner of Banks



Information Systems Audit Report

September 2025

State Auditor
Dave Boliek

*A Constitutional Office of the
State of North Carolina*





North Carolina Office of the State Auditor

Dave Boliek, State Auditor

Auditor's Transmittal

The Honorable Josh Stein, Governor
The Honorable Phil Berger, President Pro Tempore
The Honorable Destin Hall, Speaker of the House
Honorable Members of the North Carolina General Assembly
Katherine M.R. Bosken, Commissioner, Office of the Commissioner of Banks
The Honorable Bradford B. Briner, State Treasurer and Chair, State Banking Commission

Ladies and Gentlemen:

The North Carolina Office of the Commissioner of Banks (NCCOB), a self-supporting agency that regulates North Carolina's financial services marketplace, collects and processes a significant amount of consumer financial information. As such, having proper cybersecurity protocols in place is essential to the continuity of NCCOB's financial operations, the protection of sensitive consumer data, and the public's trust in the financial system.

In accordance with law, the Office of the State Auditor conducted an Information Systems Audit Report, Cybersecurity Controls Over Critical Systems, on NCCOB. The objective of the audit was to determine whether NCCOB performed selected cybersecurity control activities in compliance with the Statewide Information Security Manual. Our audit report discloses findings, as well as recommendations for NCCOB to improve its information systems security.

To protect state interests and data, the findings and recommendations of this report are confidential. NCCOB is not required to disclose security features of its electronic data processing systems, information technology systems, or telecommunications networks.

I'm thankful for the cooperation received from NCCOB as our office conducted this audit.

Respectfully submitted,

Dave Boliek
State Auditor